



Code Minimization Technology for SIL2LinuxMP – Qualifying Linux® for Functional Safety

Jul/13/2016

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- 2. SIL2LinuxMP Organization & Strategy**
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1. Functional Safety in OSS/Linux

When Linux runs in control units in cars...

“Segmentation Fault”

in the brake system?

This should never happen !

Growing demands for OSS/Linux in Safety Critical domains.

- Automobiles
- Industrial Control Systems
- Traffic Management Systems
- ...



How to prove **safety** in OSS ?

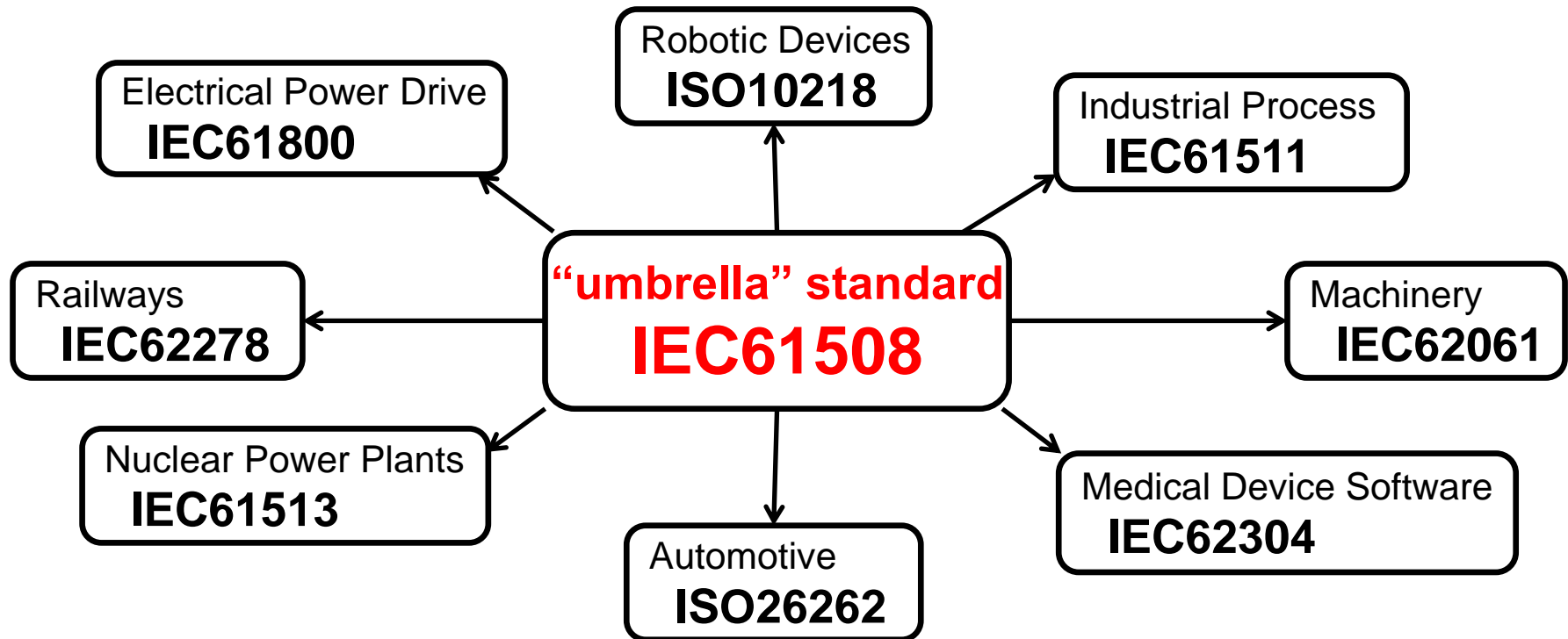
Problem:

OSS project **does not guarantee** required safety level.

Insufficient development **evidences** for assessment.

“Compliance to the Standards” is becoming mandatory.

-> However, existing standards are **hardly applicable to OSS**.



Challenge:

Establish a general certification process for OSS/Linux.

2. SIL2LinuxMP Organization & Strategy

OSADL: Open Source Automation Development Lab

SIL2LinuxMP Project:

Aims to **establish a process to certify OSS/Linux** with IEC61508.

Target scope:

Linux Kernel, glibc, BusyBox.

Minimal configuration as to ease complexity in assessment

Participants:

Organizer: OSADL, OpenTech

4 Full Partners: BMW Car-IT, KUKA, A&R Tech, SensorTechnik

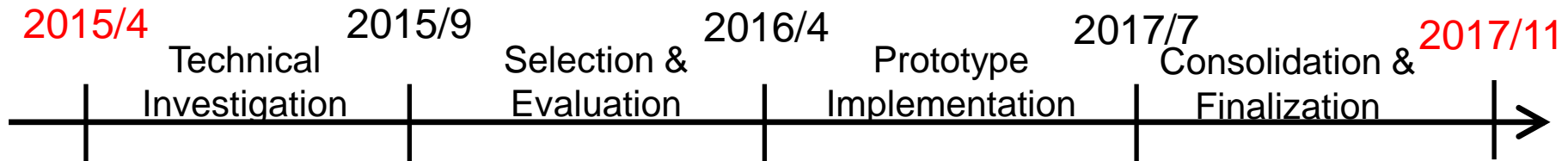
9 Reviewing Partners: **Hitachi**, Renesas, etc...

Consulting body : TÜV SÜD

Certification authority: TÜV Rheinland

Provides usecases as certification targets

Plan (Hitachi's View):



How to comply IEC61508 with OSS/Linux ??

IEC61508 Part3 7.4.2.12:

Route 1_S: “Standard Compliant Development” ?

NO, OSS is not developed this way by itself.

Route 2_S: “Proven in Use” ?

NO, too time-consuming, too expensive strategy.

Also, vulnerable to even slight changes of SW/HW.

Route 3_S: “Compliant non-compliant Development” ?

YES, only this is the suitable way for OSS.

This route complies non-compliant software by compensating missing evidences

Route3_S: Compliant non-compliant Development

Step 1:

Assess used pre-existing COTS* component,
identify missing evidences or non-compliant process.
- Ex: missing development document, untested codes etc.

Step 2:

Plan how to compensate **missing evidences** & compliant processes.
- Ex: automatic testing, metrics calculation & regressions

Step 3:

Apply the planned processes, review/**assess the outcome**.
- Ex: make arguments by obtained coverage metrics.

* COTS: Commercial off-the-shelf

Route3_S: Compliant non-compliant Development

Step 1:

Assess used pre-existing COTS* component,
identify missing evidences or non-compliant process.
- Ex: missing development document, untested codes etc.

Step 2:

“Analysis Technique” is the Key Factor !

Plan how to compensate **missing evidences** & compliant processes.
- Ex: automatic testing, metrics calculation & regressions

Step 3:

Apply the planned processes, review/**assess the outcome**.
- Ex: make arguments by obtained coverage metrics.

* COTS: Commercial off-the-shelf



3. Static and Dynamic analysis & test

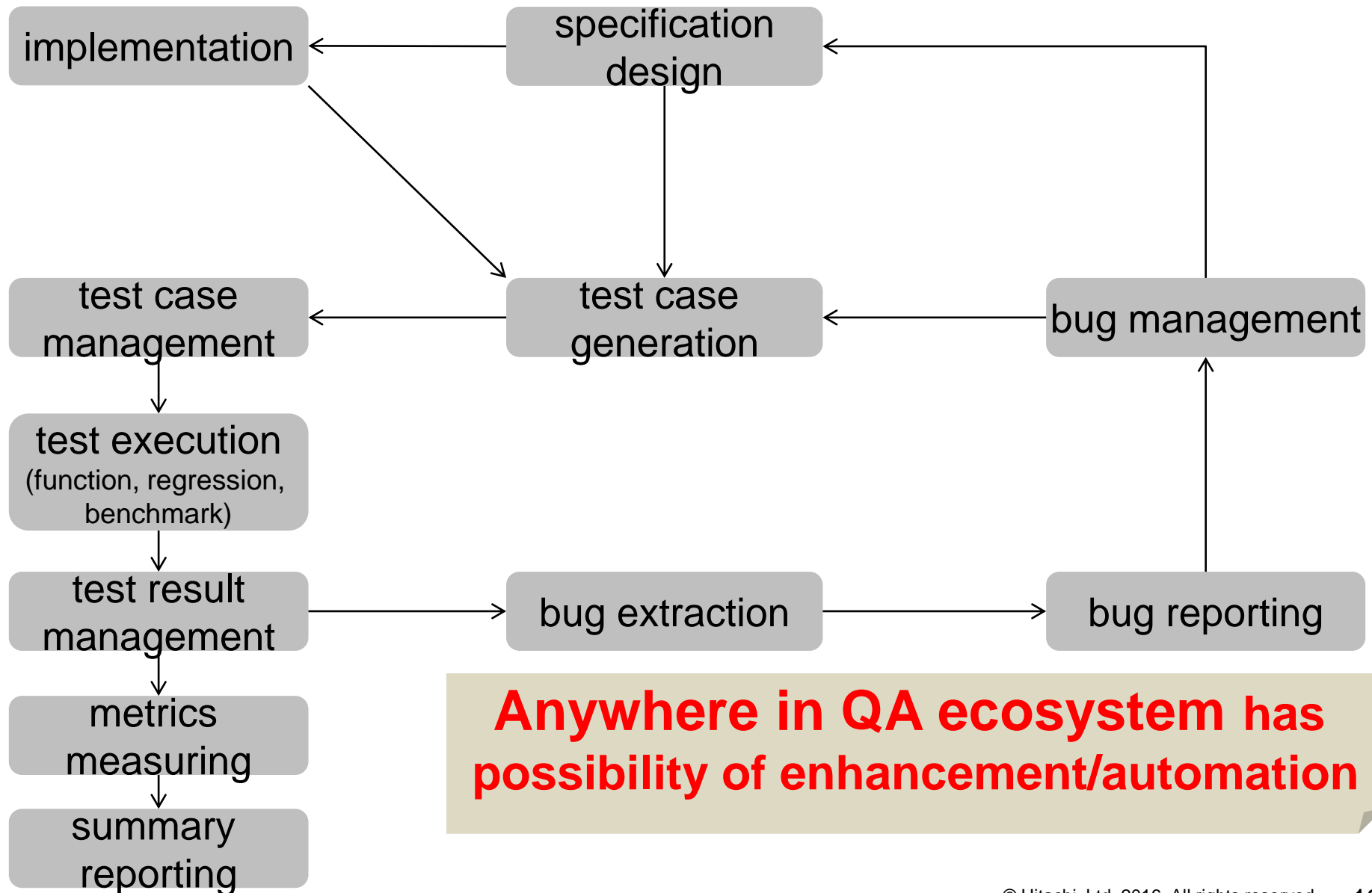
Tool for Test Automation ??

test execution
(function, regression,
benchmark)

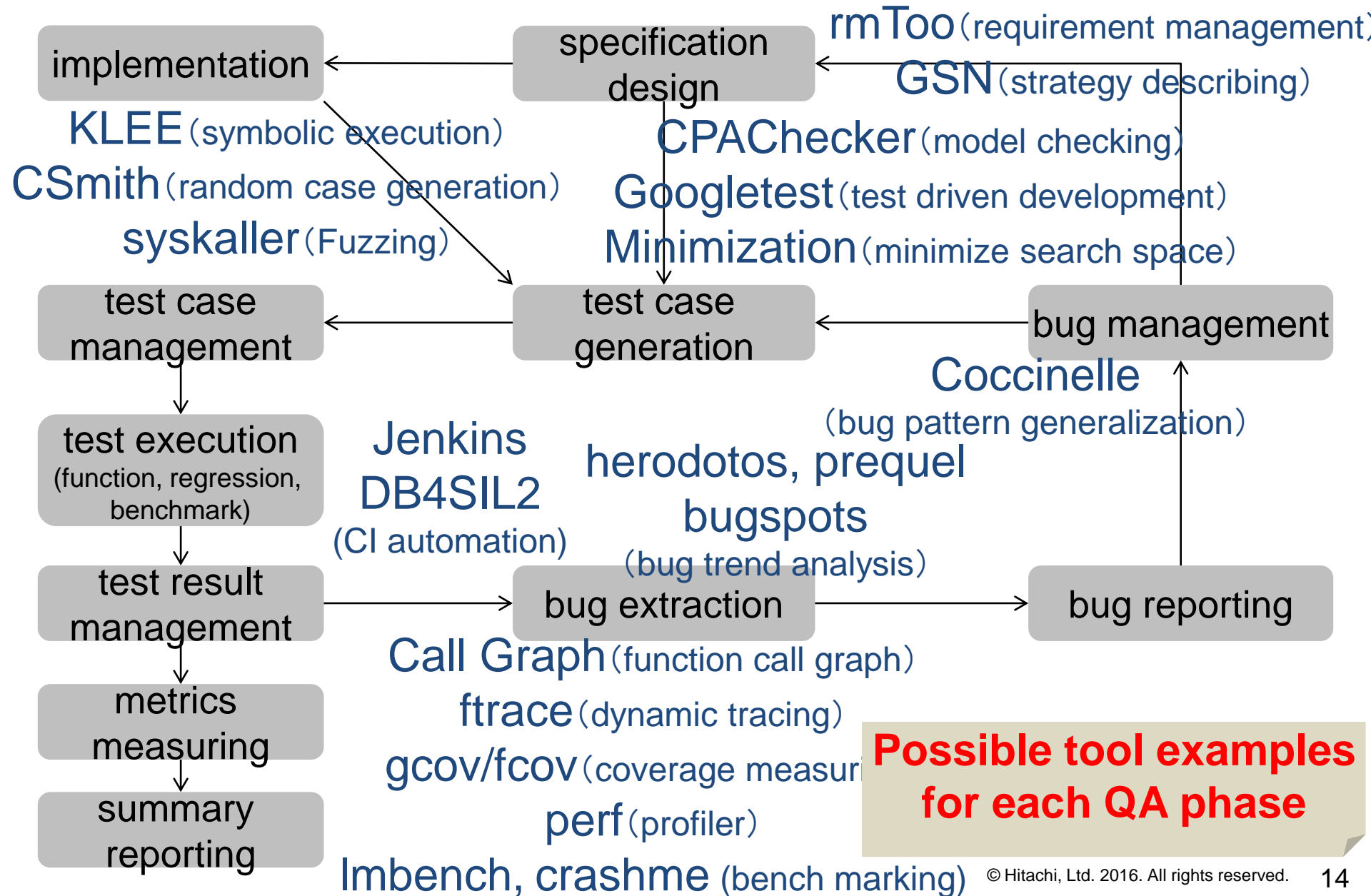
QA is not only about Test Execution !!



Tasks in analysis & test with V&V



Tools that help V&V and QA tasks



Standards Table A9 Software verification

Technique/Measure *		Ref.	SIL 1	SIL 2	SIL 3	SIL 4
1	Formal proof	C.5.12	---	R	R	HR
2	Animation of specification and design	C.5.26	R	R	R	R
3	Static analysis	B.6.4 Table B.8	R	HR	HR	HR
4	Dynamic analysis and testing	B.6.5 Table B.2	R	HR	HR	HR
5	Forward traceability between the software design specification and the software verification (including data verification) plan	C.2.11	R	R	HR	HR
6	Backward traceability between the software verification (including data verification) plan and the software design specification	C.2.11	R	R	HR	HR
7	Offline numerical analysis	C.2.13	R	R	HR	HR
Software module testing and integration		See Table A.5				
Programmable electronics integration testing		Quoted from IEC 61508-3:2010 (Ed.2)				
Software system testing (validation)						

Standards Table B.8 Static analysis

QA → Safety Assurance		requires		Evidence chain		
Technique/Measure *		Ref	SIL 1	SIL 2	SIL 3	SIL 4
1	Boundary value analysis	C.5.4	R	R	HR	HR
2	Checklists	B.2.5	R	R	R	R
3	Control flow analysis	C.5.9	R	HR	HR	HR
4	Data flow analysis	C.5.10	R	HR	HR	HR
5	Error guessing	C.5.5	R	R	R	R
6a	Formal inspections, including specific criteria	C.5.14	R	R	HR	HR
6b	Walk-through (software)	C.5.15	R	R	R	R
7	Symbolic execution	C.5.11	---	---	R	R
8	Design review	C.5.16	HR	HR	HR	HR
9	Static analysis of run time error behaviour	B.2.2, C.2.4	R	R	R	HR
10	Worst-case execution time analysis	C.5.20				

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Quoted from
IEC 61508-3:2010 (Ed.2)

Tools that help V&V and QA tasks



4. Minimization Technique

/drivers/dma/dmaengine.c

➤ The #ifdefs makes the code hard to:

- Review
- Debug
- Maintain
- Verify

However,

```
static bool device_has_all_tx_types(struct dma_device *device)
{
    /* A device that satisfies this test has channels that will never cause
     * an async_tx channel switch event as all possible operation types can
     * be handled.
     */
    #ifdef CONFIG_ASYNC_TX_DMA
    if (!dma_has_cap(DMA_INTERRUPT, device->cap_mask))
        return false;
    #endif

    #if defined(CONFIG_ASYNC_MEMCPY) || defined(CONFIG_ASYNC_MEMCPY_MODULE)
    if (!dma_has_cap(DMA_MEMCPY, device->cap_mask))
        return false;
    #endif

    #if defined(CONFIG_ASYNC_XOR) || defined(CONFIG_ASYNC_XOR_MODULE)
    if (!dma_has_cap(DMA_XOR, device->cap_mask))
        return false;
    #endif

    #ifndef CONFIG_ASYNC_TX_DISABLE_XOR_VAL_DMA
    if (!dma_has_cap(DMA_XOR_VAL, device->cap_mask))
        return false;
    #endif
    #endif

    #if defined(CONFIG_ASYNC_PQ) || defined(CONFIG_ASYNC_PQ_MODULE)
    if (!dma_has_cap(DMA_PQ, device->cap_mask))
        return false;
    #endif

    #ifndef CONFIG_ASYNC_TX_DISABLE_PQ_VAL_DMA
    if (!dma_has_cap(DMA_PQ_VAL, device->cap_mask))
        return false;
    #endif
    #endif

    return true;
}
```

/drivers/dma/dmaengine.c

```
static bool device_has_all_tx_types(struct dma_device *device)
{
    /* A device that satisfies this test has channels that will never cause
     * an async_tx channel switch event as all possible operation types can
     * be handled.
     */
    if (!dma_has_cap(DMA_INTERRUPT, device->cap_mask))
        return false;

    if (!dma_has_cap(DMA_PQ, device->cap_mask))
        return false;

    return true;
}
```

If code is free from #ifdef blocks then, analysis shall be more effective.

Is there a way ?



Questions

Strip Linux kernel sources according to .config



Is there any efficient way (maybe by abusing the gcc preprocessor?) to get a set of stripped kernel sources where all code not needed according to .config is left out?

4



linux

kernel

minify

c-preprocessor

stripping

<http://stackoverflow.com/questions/7353640/strip-linux-kernel-sources-according-to-config>



Possible if we tweak **gcc** preprocessor options.



How to do it for the whole source tree ??

<http://stackoverflow.com/questions/7353640/strip-linux-kernel-sources-according-to-config>

Use of GREP (Approach-I)

- Requires complete build in advance.
- Text parsing has to be acquired from build log.
- Source code modification to remove redundant code.

① `make KBUILD_VERBOSE=1 | tee build.log`

② `grep 'gcc' build.log > gccbuild.log`

③ `sed 's/ -c -o / /g' gccbuild.log > plainbuild.log`

④ `grep -v '#include' <PATH> | gcc -E -fdirectives-only -undef <GCC Stripped Code>`
`grep -v '^#'`

⑤ `mkdir -p ~/NewKernel/scripts/basic/`
`grep -v '#include' scripts/basic/fixdep.c | gcc -E -fdirectives-only -undef`
`gcc -Wp,-MD,scripts/basic/.fixdep.d -Wall -Wmissing-prototypes -Wstrict-`
`prototypes -O2 -fomit-frame-pointer -std=gnu89 -o scripts/basic/fixdep`
`scripts/basic/fixdep.c - | grep -v '^#' > ~/NewKernel/scripts/basic/fixdep.c`

LIMITATIONS

*Too much user
Involvement!!!*

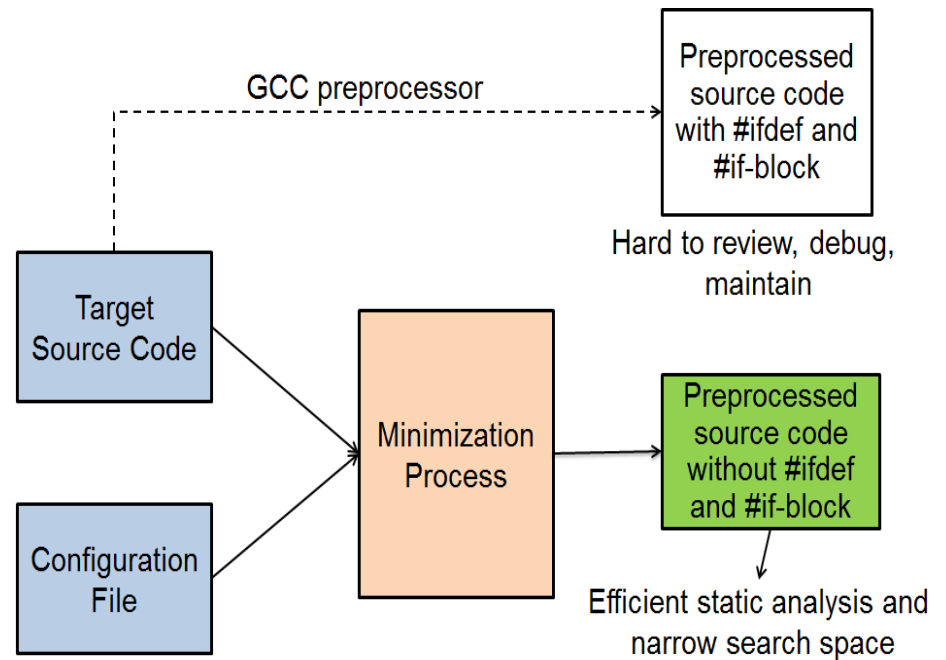
2 phases of GCC process

No integration with MakeFile

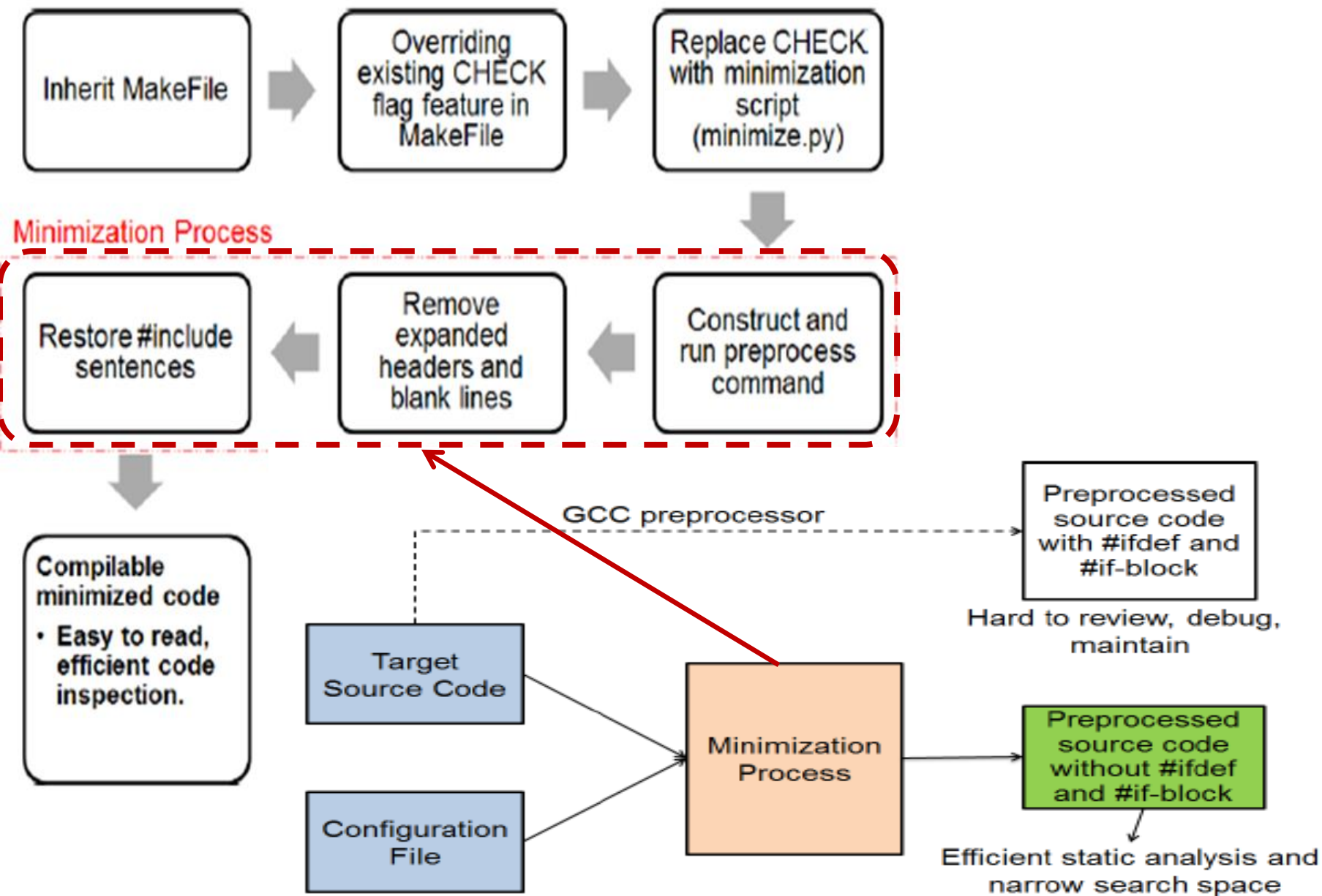
Expanded Headers persist

- The minimization approach tweaks integrated MakeFile options to produce compilable stripped code.
- Signifies efficient way to get a set of stripped kernel source code based on a .config file.

- Generate source tree where;
 - Unused `#ifdef`, `#if` blocks have been removed
 - `#include` and `#define` lines are preserved
 - Only used source files exist
 - Produces the same binary file as the original tree



Minimization flow



- Makefile integration
 - Override existing CHECK flag feature
- Minimizing procedure
 - Preprocess, expanded header restoration
- Binary verification
 - Compare “minimized binary” and the original

- Override existing CHECK feature in kernel Makefile

```
kotaro@kotaro-OptiPlex-7020:~/Minimization/linux-4.3.3$ make help | grep CHECK
make C=1      [targets] Check all c source with $CHECK (sparse by default)
make C=2      [targets] Force check of all c source with $CHECK
```

Makefile of the root directory:

```
CHECK          = sparse

CHECKFLAGS     := -D__linux__ -Dlinux -D__STDC__ -Dunix -D__unix__ \
                  -Wbitwise -Wno-return-void $(CF)
```

- Minimization script(minimize.py) usage:

Replace **CHECK** with **minimize.py** so make can process minimization

```
$ make C=1 CHECK=minimize.py CF="-mindir ../minimized-tree/"
```

In make process, “minimize.py” will receive the same option as the compile flags of each source file, plus \$CHECKFLAGS variable.

ON THE FLY GENERATION (no post processing)

1. Preprocess the source files

`gcc -E -fdirectives-only`



`#ifdef` block disappears, `#include` gets expanded,
but `#define` macros are preserved.


2. Identify & delete the expanded header contents

- Use clues(linemarkers) that exist in the preprocessed file
- Example of linemarkers: `# 30 "/usr/include/sys/stsname.h" 2`

3. Restore `#include` sentences

- Copy relevant `#include` lines from the original source

- preprocess() function in minimize.py
 - Takes gcc options passed via Makefile
 - Appends “-E -fdirectives-only” flags
 - Perform preprocess for the target C file



```
Users\khashimoto\Desktop\hoger\uname.c
50
51 //usage:#define uname_trivial_usage
52 //usage:      "[-amnrspvio]"
53 //usage:#define uname_full_usage "\n\n"
54 //usage:      "Print system information\n"
55 //usage:      "\n      -a  Print all"
56 //usage:      "\n      -m  The machine (hardware) type"
57 //usage:      "\n      -n  Hostname"
58 //usage:      "\n      -r  Kernel release"
59 //usage:      "\n      -s  Kernel name (default)"
60 //usage:      "\n      -p  Processor type"
61 //usage:      "\n      -v  Kernel version"
62 //usage:      "\n      -i  The hardware platform"
63 //usage:      "\n      -o  OS name"
64 //usage:
65 //usage:#define uname_example_usage
66 //usage:      "$ uname -a\n"
67 //usage:      "Linux debian 2.4.23 #2 Tue Dec 23 17:09:10 MST 2003 i
68
69 #include "libbb.h"
70
71 /* After libbb.h, since it needs sys/types.h on some systems */
72 #include <sys/utsname.h>

C:\Users\khashimoto\Desktop\hoger\uname.c.preprocessed
43773 #define BBUNIT_ASSERT_STRNOTEQ(STR1,STR2) do { if (strcmp(STR1, STR2)
43774 # 2121 "include/libbb.h"
43775
43776
43777 POP_SAVED_FUNCTION_VISIBILITY
43778
43779 # 70 "coreutils/uname.c" 2
43780 /* After libbb.h, since it needs sys/types.h on some systems */
43781 # 1 "/usr/include/x86_64-linux-gnu/sys/utsname.h" 1 3
43782 /* Copyright (C) 1991-2014 Free Software Foundation, Inc.
43783      This file is part of the GNU C Library.
43784
```

- stripHeaders() function in minimize.py
 - Takes preprocessed C file
 - Search Preprocessor Output relevant to #include lines
 - Delete included contents guided by the *linemarkers*

Included file name and line number information is conveyed in the preprocessor output; *linemarkers*

Ex. # 30 “/usr/include/sys/utsname.h” 2

linenum

It means, the following lines originated in line 30 of utsname.h, after having included another file(flag:2).

filename

flags

Flags:

1: indicates the start of the new file
2: indicates returning to the file.

<https://gcc.gnu.org/onlinedocs/cpp/Preprocessor-Output.html>

- stripHeaders() algorithm
 - Find linemarkers (starting with '# number "filename"')
 - If *filename* is the target C file:
 - copy the following lines
 - And if *flag* in the linemarker is 2:
 - Mark "TO BE REPLACED" that means "there is #include line"

Flag 2 indicates returning to the file
(after having included another file).

```
43768 # 2100 "include/libbb.h"
43769
43770 #define BBUNIT_ASSERT_STREQ(STR1,STR2) do { if (strcmp(STR1, STR2) !=
43771 # 2110 "include/libbb.h"
43772
43773 #define BBUNIT_ASSERT_STRNOTEQ(STR1,STR2) do { if (
43774 # 2121 "include/libbb.h"
43775
43776
43777 POP_SAVED_FUNCTION_VISIBILITY
43778
43779 # 70 "coreutils/uname.c" 2
43780 /* After libbb.h, since it needs sys/types.h on some systems */
43781 # 1 "/usr/include/x86_64-linux-gnu/sys/utsname.h" 1 3
43782 /* Copyright (C) 1991-2014 Free Software Foundation, Inc.
43783 This file is part of the GNU C Library.
43784
43785 The GNU C Library is free software; you can redistribute it and/or
43786 modify it under the terms of the GNU Lesser General Public
```

```
64 //usage:
65 //usage:#define uname_example_usage
66 //usage: "$ uname -a\n"
67 //usage: "Linux debian 2.4.23 #2 Tue Dec 23 17:09:10 MST 2003 i6
68
69 TO BE REPLACED: "include/libbb.h"
70 /* After libbb.h, since it needs sys/types.h on some systems */
71 TO BE REPLACED: "/usr/include/x86_64-linux-gnu/sys/utsname.h"
```

- restoreHeaderInclude() function in minimize.py
 - Takes header-stripped preprocessed file
 - Look for “TO BE REPLACED” marks
 - Compare with the original C file, copy original #include lines

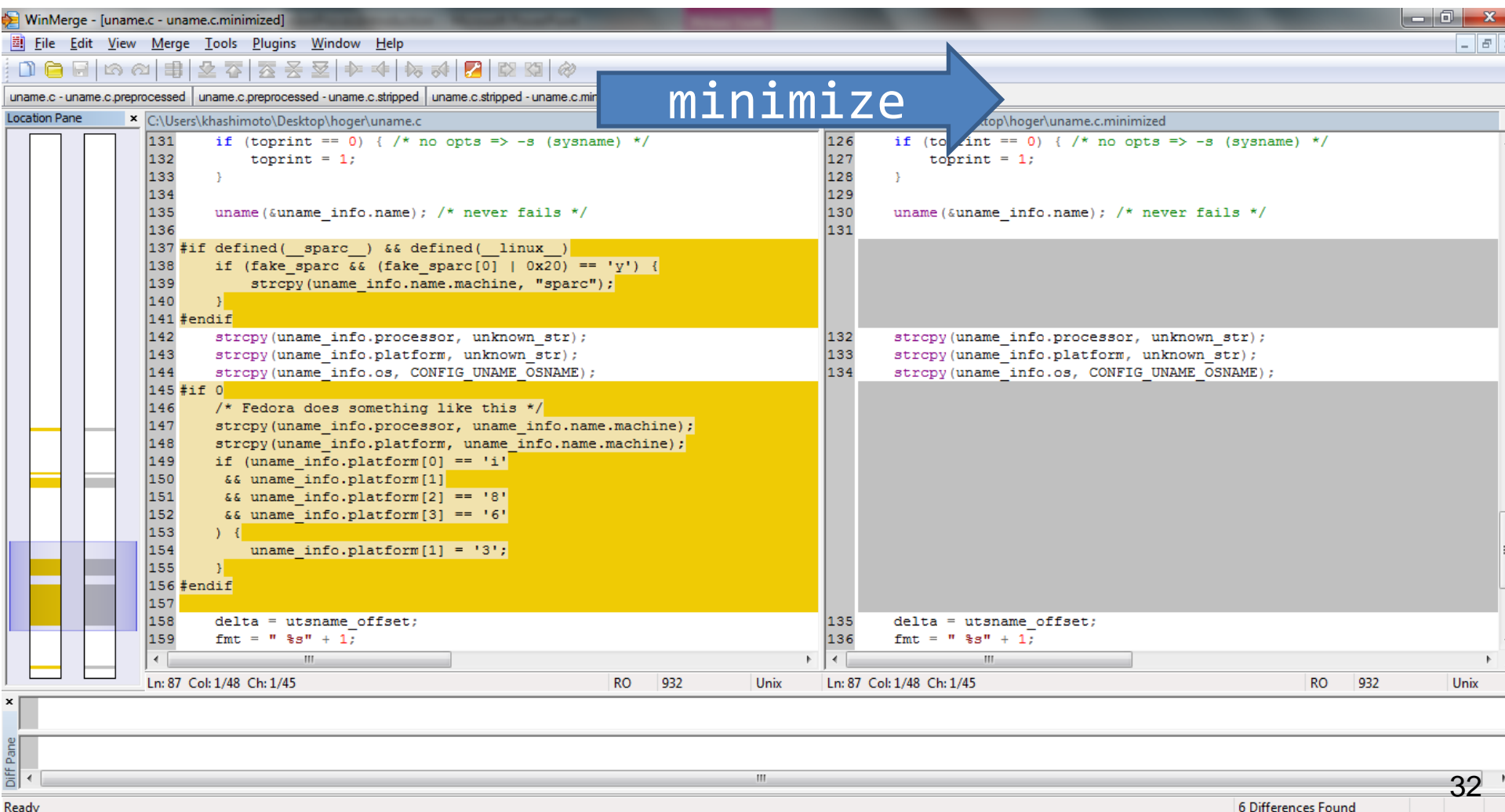


restoreHeaderInclude()

```
64 //usage:
65 //usage:#define uname_example_usage
66 //usage:      "$ uname -a\n"
67 //usage:      "Linux debian 2.4.23 #2 Tue Dec 23 17:09:1
68
69 TO BE REPLACED: "include/libbb.h"
70 /* After libbb.h, since it needs sys/types.h on some syst
71 TO BE REPLACED: "/usr/include/x86_64-linux-gnu/sys/utsnam
72
73 typedef struct {
74     struct utsname name;
75     char processor[sizeof(((struct utsname*)NULL)->machi
76     char platform[sizeof(((struct utsname*)NULL)->machine
```

```
64 //usage:
65 //usage:#define uname_example_usage
66 //usage:      "$ uname -a\n"
67 //usage:      "Linux debian 2.4.23 #2 Tue Dec 23 17:09:
68
69 #include "libbb.h"
70 /* After libbb.h, since it needs sys/types.h on some sys
71 #include <sys/utsname.h>
72
73 typedef struct {
74     struct utsname name;
75     char processor[sizeof(((struct utsname*)NULL)->machi
76     char platform[sizeof(((struct utsname*)NULL)->machi
```


- Finally, diff result is only deletions of the unused code.
 - Without changing #include, #define lines.



The screenshot shows the WinMerge application with two panes. The left pane displays the original source code for 'uname.c', and the right pane shows the minimized version. A large blue arrow labeled 'minimize' points from the left to the right. The code in the left pane includes several conditional blocks for different architectures, with some lines highlighted in yellow. The right pane shows the same code but with many sections removed, leaving only the essential parts. The status bar at the bottom indicates 'Ln: 87 Col: 1/48 Ch: 1/45' and 'RO 932 Unix'.

```
WinMerge - [uname.c - uname.c.minimized]
File Edit View Merge Tools Plugins Window Help
uname.c - uname.c.preprocessed  uname.c.preprocessed - uname.c.strip...  uname.c.strip... - uname.c.minimized
Location Pane
C:\Users\khashimoto\Desktop\hoger\uname.c
131  if (toprint == 0) { /* no opts => -s (sysname) */
132      toprint = 1;
133  }
134
135  uname(&uname_info.name); /* never fails */
136
137  #if defined(__sparc__) && defined(__linux__)
138      if (fake_sparc && (fake_sparc[0] | 0x20) == 'y') {
139          strcpy(uname_info.name.machine, "sparc");
140      }
141  #endif
142  strcpy(uname_info.processor, unknown_str);
143  strcpy(uname_info.platform, unknown_str);
144  strcpy(uname_info.os, CONFIG_UNAME_OSNAME);
145  #if 0
146      /* Fedora does something like this */
147      strcpy(uname_info.processor, uname_info.name.machine);
148      strcpy(uname_info.platform, uname_info.name.machine);
149      if (uname_info.platform[0] == 'i'
150          && uname_info.platform[1]
151          && uname_info.platform[2] == '8'
152          && uname_info.platform[3] == '6'
153      ) {
154          uname_info.platform[1] = '3';
155      }
156  #endif
157
158  delta = utsname_offset;
159  fmt = " %s" + 1;
Ln: 87 Col: 1/48 Ch: 1/45  RO 932  Unix
C:\top\hoger\uname.c.minimized
126  if (toprint == 0) { /* no opts => -s (sysname) */
127      toprint = 1;
128  }
129
130  uname(&uname_info.name); /* never fails */
131
132  strcpy(uname_info.processor, unknown_str);
133  strcpy(uname_info.platform, unknown_str);
134  strcpy(uname_info.os, CONFIG_UNAME_OSNAME);
135
136  delta = utsname_offset;
137  fmt = " %s" + 1;
Ln: 87 Col: 1/48 Ch: 1/45  RO 932  Unix
```



4 ½ Results & Evaluation

Linux Kernel Tree

- allnoconfig: 64684 unused lines were removed → **22% LoC reduced.**
- defconfig: 103144 unused lines were removed → **5% LoC reduced.**

BusyBox Tree

- allnoconfig: 51 out of 112 compiled C files have been minimized 5945 lines unused lines were removed → **34% LoC reduced**
- defconfig: 296 out of 505 compiled C files have been minimized. 20453 lines unused lines were removed → **11% LoC reduced**

ARCTIC Core source code

- Statistics shows approximately **5.5 times higher chances of eliminating unused #ifdef switches** compared to Linux Kernel.

Complexity Statistics reduced

- To analyze the complexity of “C” program function.
- Linux with PREEMPT_RT patch, Linux Kernel source, BusyBox tree as shown in table below.
- Complexity (a GNU utility) tool has been used.

Disassembled code(“objdump -d”) matches

- Between the binaries built from minimized source and original one.
- Confirmed configuration & target:
 - BusyBox-1.24.1: defconfig, allnoconfig
 - busybox (executable)
 - Linux kernel 4.4.1: allnoconfig
 - vmlinux.o

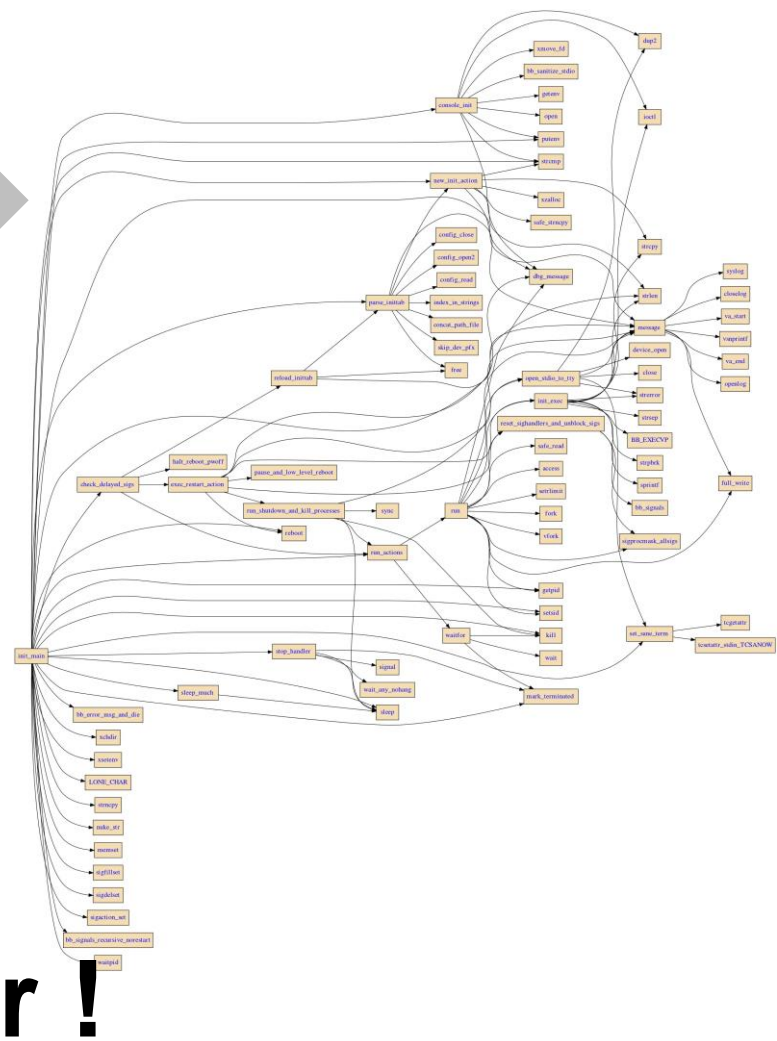
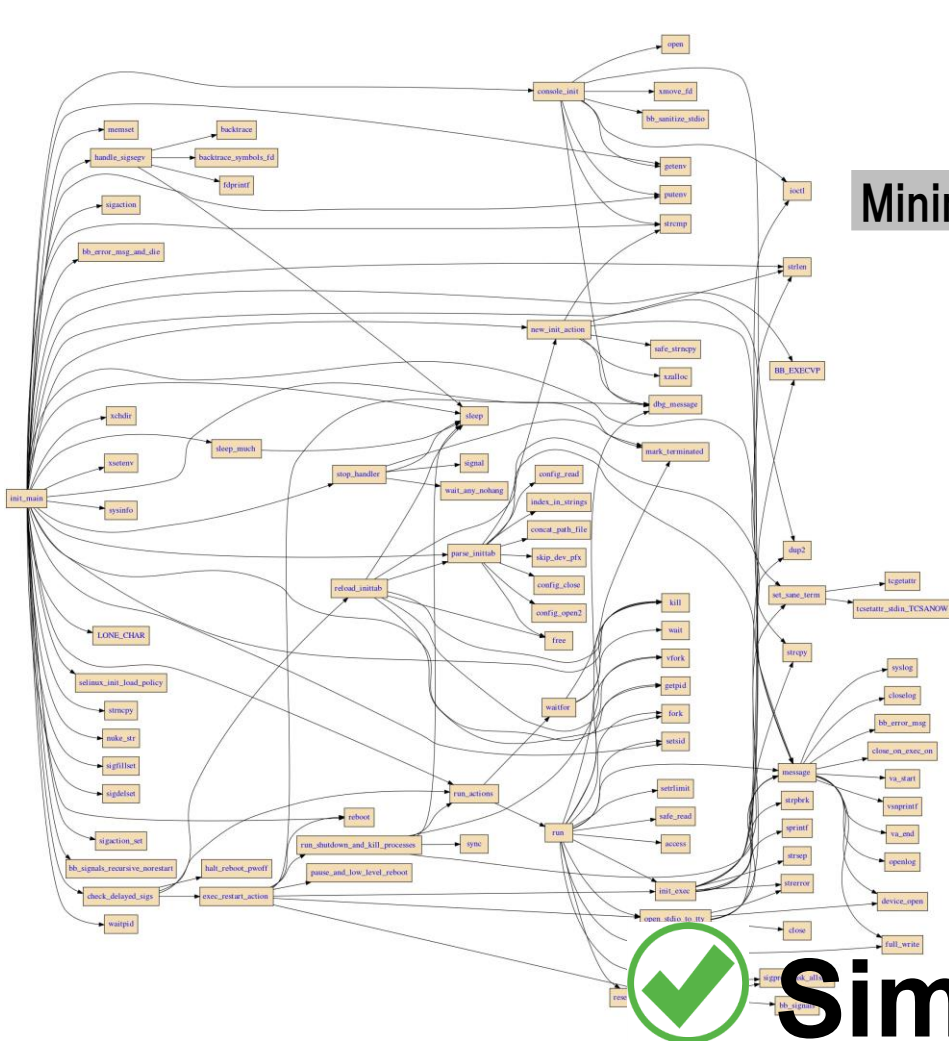
Minimized code is compilable and produces same binary

Complexity Metrics	Linux Kernel			BusyBox Tree			PREEMPT_RT	
	Original Source	Minimized(x86_defconfig)	Minimized(allnoconfig)	Original Source	Minimized(x86_defconfig)	Minimized(allnoconfig)	Original	Minimized
Average Line Score	23	7	5	22	21	19	10	7
50%-ile score	4	> 3	> 2	9	> 9	> 5	4	> 3
Highest Score	1846	> 194	> 158	283	> 283	> 283	530	> 194

Measured complexity in terms of average line score, 50%-ile score and highest score.

“Complexity” reduced after Minimization !!

- Verification time and cost improvement
 - Static analysis through Coccinelle
 - Executed a semantic patch for detecting functions have different return type values
 - Statistics
 - Comparison of **execution time** and minimization was **faster**.
 - **12[s] and 2.24[s]** for **original and minimized** kernel respectively.
- False positive reduction
 - Wrong Coccinelle indication about presence of particular condition.
 - Statistics
 - **Original** kernel source: **126**
 - **Minimized** kernel source: **82**
- Pruning function call graph
 - Analysis requires every possible call path to establish and trace relationship between program and subroutines.
 - Call graph is a directed graph that represents this relationship.



No. of nodes: 94
No. of edges: 140

No. of nodes: 85
No. of edges: 123

Simpler !

Extracting Minimal Subtarget Sources

```
$ cd busybox-1.24.1  
$ make init C=2 CHECK=minimize.py CF="-mindir ../min-init"
```

If subtarget is specified in the minimized command,
Only the used source files will be extracted.

```
min-init/  
├── applets  
│   ├── applets.c  
│   └── include  
│       ├── applet_metadata.h  
│       ├── autoconf.h  
│       ├── busybox.h  
│       ├── grp.h  
│       ├── libbb.h  
│       ├── platform.h  
│       ├── pwd.h  
│       ├── shadow.h  
│       └── xatnum.h  
└── init  
    ├── bootchartd.c  
    ├── halt.c  
    ├── init.c  
    ├── mesg.c  
    └── reboot.h
```

Depended *.c files in minimized form.
Actually included *.h files



Easy to identify which files are used

Helps efficient software walk-through

5. Conclusion and Future prospects

- To get Linux certified with the **functional safety** standard, **code analysis tools** are mandatory to be applied to OSS/Linux
- Minimization **widens possibility of products with OSS/Linux certified to functional safety** standard making the **code analysis and review on them more applicable**.
 - Minimized code also have **minimized search spaces** in which such tools explore.

- Extend the Minimization technique to support other source codes that do not use Kbuild-like build system.
 - *Linux kernel* and *busybox* both use Kbuild.
 - *libc* should be addressed
 - *Automake*, *Cmake* support will broaden the supported applications.
- Evaluate the technique in the practical tools to be used for real certification in SIL2LinuxMP.

- To prove minimized tree is “equal” to original one
How to formally verify equivalence ??
- To find out more application targets for Minimization ??
Something that enhances existing tools / techniques

Minimization tool available in:


<https://github.com/Hitachi-India-Pvt-Ltd-RD/minimization>

Try it out !




HITACHI
Inspire the Next

Fork me on GitHub

<https://github.com/Hitachi-India-Pvt-Ltd-RD/minimization>

 This repository Search

Pull requestsIssuesGist

Hitachi-India-Pvt-Ltd-RD / minimization

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Strip out unused `#ifdef` blocks from the source tree, making it simpler and even compilable

15 commits


1 branch





0 releases

2 contributors

Branch: master [New pull request](#)

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 **hitachi-india-rd** Merge pull request #6 from KotaroHashimoto/master Latest commit 133415e an hour ago

 LICENSE	Initial commit	13 days ago
 README.ja.md	Let the minimized source tree contain the used included files selecti...	a day ago
 README.md	Let the minimized source tree contain the used included files selecti...	a day ago
 minimize.py	Refined redundant code.	an hour ago

Please suggest useful applications !

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END



Code Minimization Technology for SIL2LinuxMP – Qualifying Linux for Functional Safety

Jul/13/2016

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HITACHI
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