

Class Transformer

One of the Best-Kept Java Secrets

Bernd Müller



Alternative Title

How to pass ALL unit tests ;-)

Speaker Introduction

- ▶ Prof. Computer Science, Ostfalia University, Germany
- ▶ Book author (JSF, JPA, JBoss Seam, ...)



- ▶ Member EGs JSR 344 (JSF 2.2) und JSR 338 (JPA 2.1)
- ▶ CEO PMST GmbH
- ▶ ...

Outline

- ▶ Class Loader
- ▶ Class Transformer
 - ▶ Redefinition
 - ▶ Retransformation

Motivation

- ▶ Bad News:
 - ▶ For application developers probably no utilizable knowledge
 - ▶ Framework developers will already know

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 - ▶ For application developers probably no utilizable knowledge
 - ▶ Framework developers will already know
- ▶ Good News:
 - ▶ For Java developers it pays off to know what goes on behind the scenes
 - ▶ You will understand some things better and therefore get better
 - ▶ Eventually you will have some fun (see unit tests)

Class Loader

or

How classes get into the VM

Motivation: A Look Behind the Scenes

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- ▶ Some methods in SDK classes have parameter of type `ClassLoader` and there are implementations of abstract class `ClassLoader`
- ▶ Class loader important for Java EE. What the spec says . . .

Java EE 6 (JSR 316)

► EE.8.3 Class Loading Requirements

The Java EE specification purposely **does not define the exact types and arrangements of class loaders** that must be used by a Java EE product. Instead, **the specification defines requirements in terms of what classes must or must not be visible to components**. A Java EE product **is free to use whatever class loaders it chooses** to meet these requirements. Portable applications must not depend on the types of class loaders used or the hierarchical arrangement of class loaders, if any. Applications should use the techniques described in Section EE.8.2.5, “Dynamic Class Loading” if they need to load classes dynamically.

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- ▶ Initializing: Execute initialization method `<clinit>`

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- ▶ Loading creates (with some basic checks) a `Class` object which can't be used yet
- ▶ Linking consists of:
 - ▶ Verification
 - ▶ Preparation
 - ▶ Resolution

Verification

- ▶ Verification checks that class will “behave well“ and does not cause runtime problems

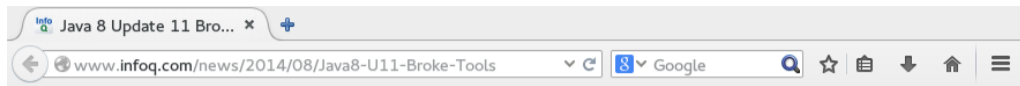
Verification

- ▶ Verification checks that class will “behave well“ and does not cause runtime problems
- ▶ Some checks:
 - ▶ Constant Pool consistent
 - ▶ No overriding of final methods
 - ▶ Methods respect access control
 - ▶ Methods called with correct number and types of parameters
 - ▶ Byte code does not manipulate stack
 - ▶ Variables initialized before usage
 - ▶ Values assigned to variables type compatible
 - ▶ Call to superclass constructor first statement in constructor
 - ▶ ...

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 - ▶ ...
- ▶ If violated a `VerifyError` is thrown

Verification: Recent Example



Java 8 Update 11 Broke Third Party Tools

by [Ben Evans](#) on Aug 01, 2014 | [Discuss](#)

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Oracle's latest release of Java, 8 update 11 (and 7 update 65), has caused problems for some third-party tools. One of the affected tools is ZeroTurnaround's [JRebel](#), with the Groovy programming language also [reporting incompatibilities](#). Other affected tools include [Javassist](#), a Java bytecode manipulation library, with some users also reporting problems with tools such as Google's Guice (in some circumstances - notably those using AOP) and the Jacoco code coverage tool. Oracle confirmed the bug via a test case from Jochen Theodorou, from the Groovy project team.

The problems seem to stem from a change in the JVM's bytecode verification subsystem in 8u11. The Java language requires any call to a superclass constructor to be the first action undertaken by a constructor, but it seems that this was [not enforced](#) by the bytecode verifier in earlier versions of the platform. Oracle's decision to begin firmer enforcement of this language feature may be closing a language specification bug, but it seems to have impacted a number of tools within the ecosystem.

Educational Content

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[Ben Linders](#) Sep 23, 2014

Mark Levison on the Magic and Science of Teams

[Mark Levison](#) Sep 22, 2014

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- ▶ If all classes are loaded, initialization is performed (class variables and static initializer blocks)
- ▶ If completed class is ready to be used

Java Doc ClassLoader

```
public abstract class ClassLoader extends Object
```

A class loader is an object that is responsible for loading classes. The class `ClassLoader` is an abstract class. ...

Every Class object contains a reference to the ClassLoader that defined it. ...

Applications implement subclasses of `ClassLoader` in order to extend the manner in which the Java virtual machine dynamically loads classes.

Class loaders may typically be used by security managers to indicate security domains.

Java Doc ClassLoader (cont'd)

The ClassLoader class uses a **delegation model** to search for classes and resources. Each instance of ClassLoader has an associated **parent class loader**. When requested to find a class or resource, a ClassLoader instance will delegate the search for the class or resource to its parent class loader before attempting to find the class or resource itself. The virtual machine's built-in class loader, called the "**bootstrap class loader**", does not itself have a parent but may serve as the parent of a ClassLoader instance.

Class loaders that support concurrent loading of classes are known as **parallel capable class loaders** and are required to register themselves at their class initialization time by invoking the **ClassLoader.registerAsParallelCapable** method. Note that the ClassLoader class is registered as parallel capable by default. However, its subclasses still need to register themselves if they are parallel capable. In environments in which the delegation model is not strictly hierarchical, class loaders need to be parallel capable, . . .

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- ▶ Class Loader is ordinary class and extends `Object`. `Object` must already be loaded. Who did it?
- ▶ Mentioned in Java doc: *bootstrap class loader*
- ▶ Because of delegation model a hierarchy is formed: All class loaders have a parent. Exception is bootstrap class loader

Class Loader Hierarchy

- ▶ **Bootstrap class loader**
 - ▶ Very early instantiated at VM start
 - ▶ Usually implemented native
 - ▶ Virtually belongs to VM
 - ▶ Loads system JARs, e.g. `rt.jar`
 - ▶ Does not verify
 - ▶ Path property: `sun.boot.class.path`

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 - ▶ Does not verify
 - ▶ Path property: `sun.boot.class.path`
- ▶ **Extension class loader**
 - ▶ Loads standard extensions
 - ▶ Path property: `java.ext.dirs`
 - ▶ Class: `sun.misc.Launcher$ExtClassLoader`

Class Loader Hierarchie (cont'd)

- ▶ **Application class loader**

- ▶ Loads application classes
- ▶ In SE class loader which loads most of the classes
- ▶ Path property: `java.class.path`
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▶ **Custom class loader**

- ▶ Required in Java-EE to comply with Spec
- ▶ Everyone (you ?) can write own class loader
- ▶ Well known example: JBoss Modules

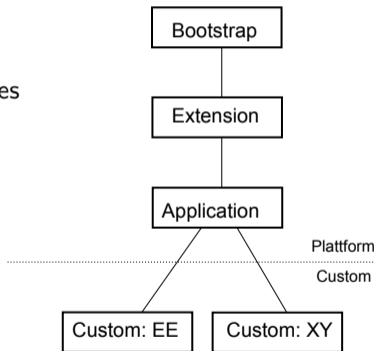
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Can we review this?

- ▶ VM class loader option: `-verbose:class`

```
1: [Opened /usr/lib/jvm/.../jre/lib/rt.jar]
2: [Loaded java.lang.Object from /usr/lib/jvm/.../jre/lib/rt.jar]
3: [Loaded java.io.Serializable from /usr/lib/jvm/.../jre/lib/rt.jar]
12: [Loaded java.lang.ClassLoader from /usr/lib/jvm/.../jre/lib/rt.jar]
55: [Loaded sun.reflect.DelegatingClassLoader from /usr/lib/jvm/.../jre/lib/rt.jar]
223: [Loaded java.lang.ClassLoader$3 from /usr/lib/jvm/.../jre/lib/rt.jar]
228: [Loaded java.lang.ClassLoader$NativeLibrary from /usr/lib/jvm/.../jre/lib/rt.jar]
243: [Loaded java.security.SecureClassLoader from /usr/lib/jvm/.../jre/lib/rt.jar]
244: [Loaded java.net.URLClassLoader from /usr/lib/jvm/.../jre/lib/rt.jar]
245: [Loaded sun.misc.Launcher$ExtClassLoader from /usr/lib/jvm/.../jre/lib/rt.jar]
247: [Loaded java.lang.ClassLoader$ParallelLoaders from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
256: [Loaded java.net.URLClassLoader$7 from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
259: [Loaded sun.misc.Launcher$ExtClassLoader$1 from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
317: [Loaded sun.misc.Launcher$AppClassLoader from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
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319: [Loaded java.lang.SystemClassLoaderAction from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
321: [Loaded java.net.URLClassLoader$1 from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
383: [Loaded de.pdbm.simple.Main from file:/home/bernd/lehre/skripte/classloader/workspace/class-loader-1.0.0.jar]
384: [Loaded java.lang.Void from /usr/lib/jvm/java-1.7.0-openjdk-1.7.0.60-2.4.3.0.fc19.x86_64/jre/lib/rt.jar]
385: Hello World
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                               boolean resolve)
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- ▶ If parent null: bootstrap class loader

Java Doc Method `loadClass()`

Loads the class with the specified binary name. The default implementation of this method searches for classes in the following order:

1. Invoke `findLoadedClass(String)` to check if the class has already been loaded.
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- ▶ Called *parent first* strategy

Delegation to AppClassLoader

```
public class Different { main(){  
  
    URL url = new URL("file://.");  
    URLClassLoader loader1 = new URLClassLoader(new URL []{url});  
    URLClassLoader loader2 = new URLClassLoader(new URL []{url});  
    System.out.println(loader1.equals(loader2)); // false  
    System.out.println(loader1.getParent());      // AppClassLoader  
    System.out.println(loader2.getParent());      // AppClassLoader  
    Class<?> class1 = loader1.loadClass(CLASS);  
    System.out.println(Different.class.equals(class1)); //false  
    Class<?> class2 = loader2.loadClass(CLASS);  
    System.out.println(class2.equals(class1));    // true  
    System.out.println(class1.getClassLoader());  // AppClassLoader  
    System.out.println(class2.getClassLoader());  // AppClassLoader  
}
```


Pre-Main

or

Is there Life before `main()` ?

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- ▶ Can be used for arbitrary tasks (e.g. by JPA provider, test coverage tools, ...)

Package Specification `java.lang.instrument`

„An agent is deployed as a JAR file. An attribute in the JAR file manifest specifies the agent class which will be loaded to start the agent. For implementations that support a command-line interface, an agent is started by specifying an option on the command-line. Implementations may also support a mechanism to start agents some time after the VM has started. For example, an implementation may provide a mechanism that allows a tool to attach to a running application, and initiate the loading of the tool's agent into the running application. The details as to how the load is initiated, is implementation dependent.“

- ▶ Central: the agent

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 - ▶ After VM start by some not specified binding (optional and implementation dependent)

Start Agent via Command Line

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- ▶ Syntax: `-javaagent:jarpath[=options]`
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- ▶ Manifest contains attribute `Premain-Class`
- ▶ Agent class contains `premain()` method
- ▶ After VM is initialized all `premain()` methods are executed in sequence, then `main()` method
- ▶ Two signatures allowed:

```
public static void premain(String agentArgs ,  
                           Instrumentation inst);  
public static void premain(String agentArgs);
```

- ▶ Second called only if first doesn't exist

Agent start via command line (cont'd)

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- ▶ Exceptions in `premain()` method also terminates VM

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 - ▶ Delete `final` modifier
 - ▶ Mark paths to compute code coverage in unit tests
 - ▶ ...
 - ▶ In general: attach desirable behavior afterwards and only if needed

Monitoring Example: Number of Method Invocations

```
public class ClassToMonitor {  
  
    public void foo() {  
        // something  
    }  
  
}
```

- ▶ Task: Count number of `foo()` invocations

Monitoring Example: Counter and Main

```
public class Monitor {
    public static int counter = 0;
}

public class Main {
    public static void main(String[] args)
        throws Exception {
        System.out.println("Counter before loop: " + Monitor.counter);
        ClassToMonitor classToMonitor = new ClassToMonitor();
        for (int i = 0; i < 1000; i++) {
            classToMonitor.foo();
        }
        System.out.println("Counter after loop: " + Monitor.counter);
    }
}
```

Monitoring Example: the Agent

```
public class MonitorAgent {  
  
    public static void premain(String agentArgs,  
                               Instrumentation instrumentation) {  
        instrumentation.addTransformer(new MonitorTransformer());  
    }  
}
```

In MANIFEST.MF:

```
Premain-Class: de.pdbm.MonitorAgent
```

Monitoring Example: Instrumentation with Javassist

```
public class MonitorTransformer
    implements ClassFileTransformer {

    public byte[] transform(ClassLoader loader, String className,
        Class<?> classBeingRedefined, ProtectionDomain protectionDomain,
        byte[] classfileBuffer) throws IllegalClassFormatException {

        if (className.equals("de/pdbm/ClassToMonitor")) {
            ClassPool pool = ClassPool.getDefault();
            try {
                CtClass cc = pool.get("de.pdbm.ClassToMonitor");
                CtMethod method = cc.getDeclaredMethod("foo");
                method.insertBefore("de.pdbm.Monitor.counter++;");
                return cc.toBytecode();
            } catch (NotFoundException | CannotCompileException | IOException e) {
                ...
            }
        }
        return classfileBuffer; // other classes unchanged
    }
}
```

Attach API

or

How to talk with a VM ?

Recap from Instrumentation Package

„... Implementations may also support a mechanism to *start agents some time after the VM has started*. For example, an implementation may provide a mechanism that allows a tool to attach to a running application, and initiate the loading of the tool's agent into the running application. The details as to how the load is initiated, is *implementation dependent*.“

- ▶ Attention: implementation dependent
- ▶ But: available in HotSpot, JRockit, IBM SDK, SAP SDK
- ▶ Interface by abstract class `VirtualMachine` in package `com.sun.tools.attach` contained in `tools.jar`

Java Doc class `VirtualMachine`

„ A *VirtualMachine* represents a Java virtual machine to which this Java virtual machine has attached. The Java virtual machine to which it is attached is sometimes called the target virtual machine, or target VM. An application (typically a tool such as a management console or profiler) uses a *VirtualMachine* to load an agent into the target VM. For example, a profiler tool written in the Java Language might attach to a running application and load its profiler agent to profile the running application. “

- ▶ Factory method `attach(<pid>)` to get attached instance
- ▶ Method `loadAgent(<agent>, <args>)` to load agent and to start agent (method `agentmain()`)

Agent with agentmain()

- ▶ Agent

```
public static void agentmain(String agentArgs ,  
                             Instrumentation inst);  
public static void agentmain(String agentArgs);
```

- ▶ Manifest sets attribute Agent-Class
- ▶ Attribute Can-Redefine-Classes true if redefinition (new class definition)
- ▶ Attribute Can-Retransform-Classes true if retransformation (change existing byte code)

Example: Change Method and Reload

```
public class ClassToBeRedefined {  
  
    public void saySomething() {  
        System.out.println("foo");  
        //System.out.println("bar");  
    }  
  
}
```

Example: Change Method and Reload (cont'd)

```
public class Agent {
    private static Instrumentation instrumentation = null;

    public static void agentmain(String agentArgument,
                                  Instrumentation instrumentation) {
        Agent.instrumentation = instrumentation;
    }

    public static void redefineClasses(ClassDefinition... definitions) throws
        if (Agent.instrumentation == null) {
            throw new RuntimeException("Agent not started. Instrumentation not po
        }
        Agent.instrumentation.redefineClasses(definitions);
    }
}
```

Example: Change Method and Reload (cont'd)

```
public class Main {  
  
    public static void main(String[] args) throws Exception {  
        ClassToBeRedefined ctbr = new ClassToBeRedefined();  
        ctbr.saySomething();  
        InputStream is = ctbr.getClass().getClassLoader()  
            // class ClassToBeRedefined:  
            .getResourceAsStream("dummy");  
        byte[] classBytes = classInputStreamToByteArray(is);  
        ClassDefinition classDefinition =  
            new ClassDefinition(ctbr.getClass(), classBytes);  
  
        loadAgent();  
        Agent.redefineClasses(classDefinition);  
        ctbr.saySomething();  
    }  
}
```

Example: Change Method and Reload (cont'd)

```
private static void loadAgent() {
    String nameOfRunningVM = ManagementFactory
        .getRuntimeMXBean().getName();
    int p = nameOfRunningVM.indexOf('@');
    String pid = nameOfRunningVM.substring(0, p);

    try {
        VirtualMachine vm = VirtualMachine.attach(pid);
        vm.loadAgent(JAR_FILE_PATH, "");
        vm.detach();
    } catch (Exception e) {
        ...
    }
}
```

Seeing is believing ...

Demo

Java Doc `redefineClasses()` I

- ▶ This method is **used to replace the definition of a class without reference to the existing class file bytes**, as one might do when recompiling from source for fix-and-continue debugging. **Where the existing class file bytes are to be transformed** (for example in bytecode instrumentation) **`retransformClasses` should be used**.
- ▶ This method operates on a set in order to allow interdependent changes to more than one class at the same time (a redefinition of class A can require a redefinition of class B).

Java Doc `redefineClasses()` II

- ▶ If a redefined method has active stack frames, those active frames continue to run the bytecodes of the original method. The redefined method will be used on new invokes.
- ▶ This method does not cause any initialization except that which would occur under the customary JVM semantics. In other words, redefining a class does not cause its initializers to be run. The values of static variables will remain as they were prior to the call.
- ▶ Instances of the redefined class are not affected.

Java Doc `redefineClasses()` III

- ▶ The **redefinition may change method bodies, the constant pool and attributes.** The **redefinition must not add, remove or rename fields or methods, change the signatures of methods, or change inheritance.** These restrictions maybe be lifted in future versions. The class file bytes are not checked, verified and installed until after the transformations have been applied, if the resultant bytes are in error this method will throw an exception.

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- ▶ Yes — it's that simple !

Example: „Pass all Unit Tests“

```
public class ClassToTest {  
  
    public String getTheCanonicalClassName() {  
        return "Wrong name";  
        //return this.getClass().getCanonicalName();  
    }  
  
    public int add(int a, int b) {  
        return a * b;  
        //return a + b;  
    }  
}
```

The Tests

```
public class JunitTests {
    @Test
    public void testClassName() {
        ClassToTest ctt = new ClassToTest();
        Assert.assertEquals("Wrong class name",
            ClassToTest.class.getCanonicalName(),
            ctt.getTheCanonicalClassName());
    }

    @Test
    public void testAdd() {
        ClassToTest ctt = new ClassToTest();
        Assert.assertEquals("Wrong sum", (3 + 4), ctt.add(3, 4));
    }
}
```

The Transformer

```
public class JunitTransformer implements ClassFileTransformer {  
  
    @Override  
    public byte[] transform(ClassLoader loader, String className,  
        Class<?> classBeingRedefined, ProtectionDomain protectionDomain,  
        byte[] classfileBuffer) throws IllegalClassFormatException {  
        if (className.equals("org/junit/Assert")) {  
            return transformAssert(); // w/o Exception-Handling  
        }  
        // other classes unmodified  
        return classfileBuffer;  
    }  
  
    ...  
}
```


The Transformer (cont'd)

```
private byte[] transformAssert() throws Exception {  
    ClassPool pool = ClassPool.getDefault();  
    CtClass cc = pool.get("org.junit.Assert");  
    for (CtMethod ctMethod : cc.getMethods()) {  
        if (ctMethod.getName().startsWith("assert")) {  
            ctMethod.setBody("return;");  
        } else {  
            // the rest (equals(), clone(), wait(), ...)  
        }  
    }  
    return cc.toBytecode();  
}
```

Agent

```
public class TransformerAgent {
    public static void agentmain(String agentArgs, Instrumentation instrumenta
        instrumentation.addTransformer(new JunitTransformer(), true);
        Class<?>[] classes = instrumentation.getAllLoadedClasses();
        for (Class<?> c : classes) {
            if (c.getName().equals("org.junit.Assert")) {
                try {
                    instrumentation.retransformClasses(c);
                } catch (UnmodifiableClassException e) {
                    e.printStackTrace(); System.err.println(c + " not modifiable");
                }
            }
        }
    }
}
```

And how to activate ?

```
public class ClassToTest {  
  
    static {  
        AgentLoader.loadAgent();  
    }  
  
    public String getTheCanonicalClassName() {  
        return "Wrong name";  
        //return this.getClass().getCanonicalName();  
    }  
  
    public int add(int a, int b) {  
        return a * b;  
        //return a + b;  
    }  
}
```

Seeing is believing ...

Demo

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- ▶ Fourth: ...

Questions and Remarks

