

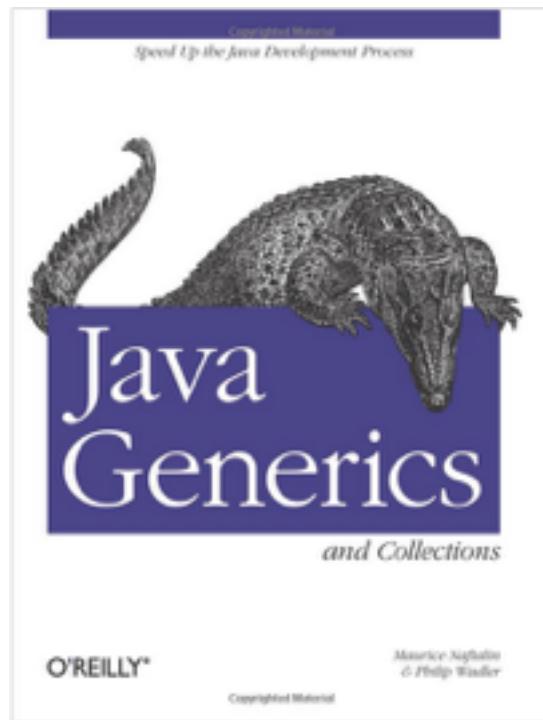
Journey's End

Collection and Reduction in the Stream API

JavaOne, October 2015

Maurice Naftalin

Developer, designer, architect, teacher, learner, writer



HOME | ABOUT THE LAMBDA FAQ | ASK THE FAQ | LAMBDA RESOURCES



Maurice Naftalin's Lambda FAQ
Your questions answered: all about Lambdas and friends

About the Lambda FAQ

The long debate about how to introduce lambda expressions (aka *closures*) and virtual extension methods is [planned to be feature-complete](#) by t
The biggest changes in the language since Java 5—at least—are not far aw
it Phil Wadler anc
ns, will need a lot
o this FAQ is inte

www.lambdafaq.org

Co-author

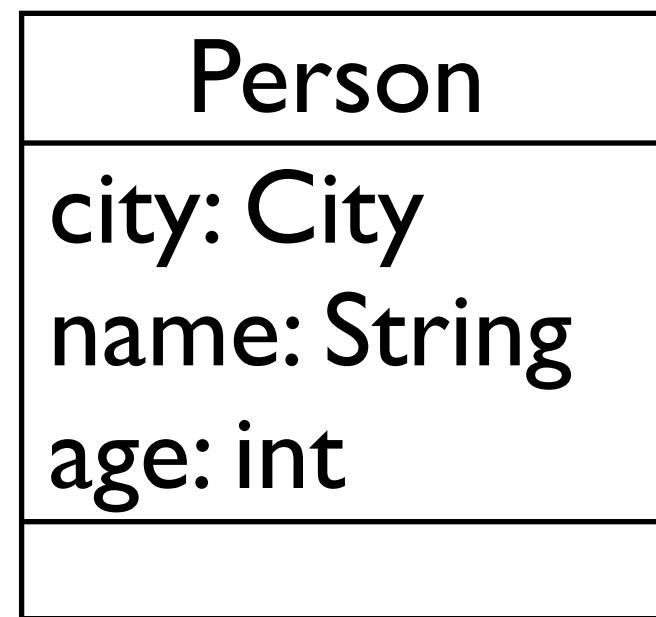
Current Projects



Journey's End – Agenda

- Why collectors?
- Using the predefined collectors
 - **Intermission!**
- Worked problems
- Writing your own

Example Domain



```
Person amy = new Person(Athens, "Amy", 21);
```

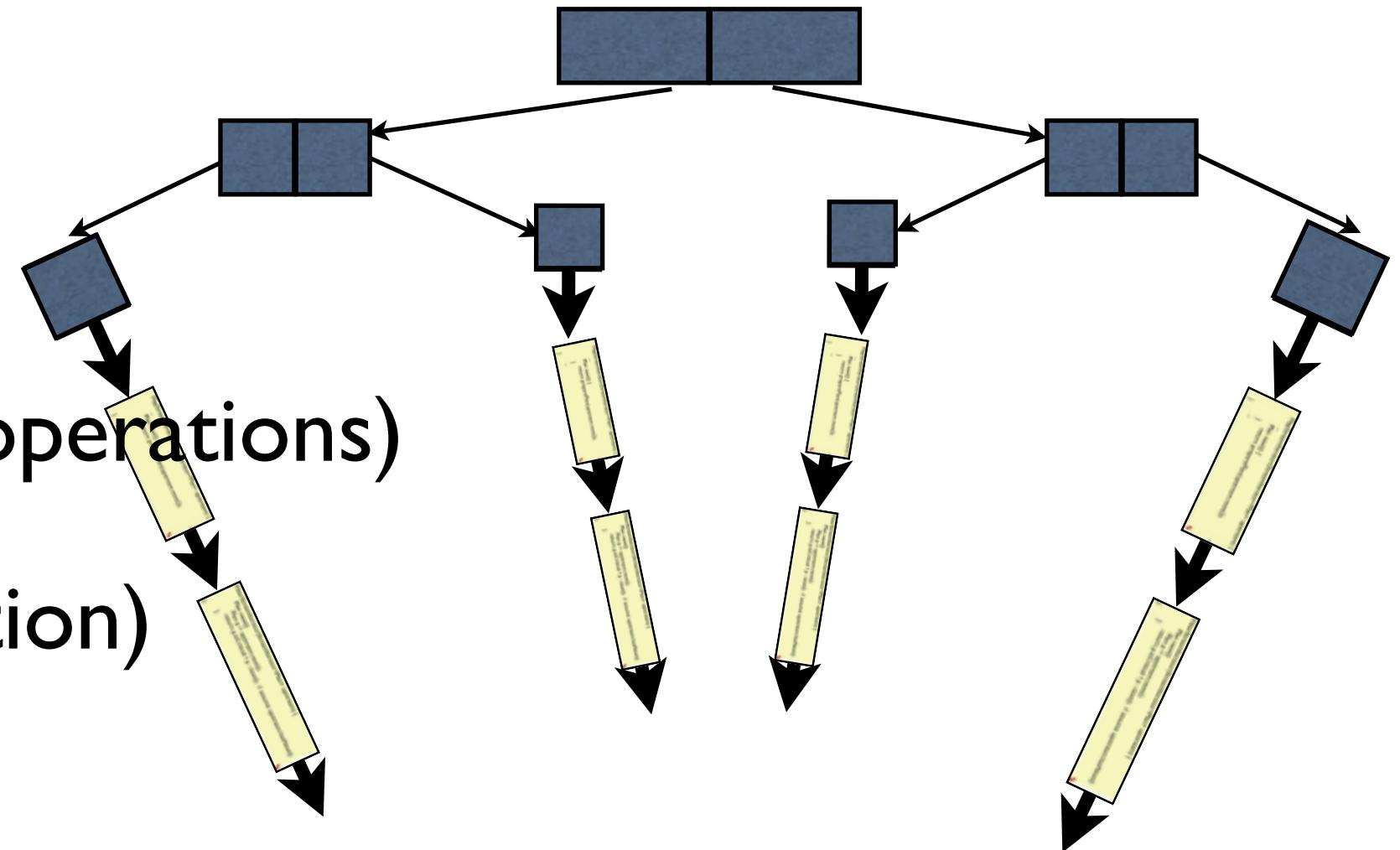
```
...
```

```
List<Person> people = Arrays.asList(jon, amy, bill);
```

Collectors: Journey's End for a Stream

The Life of a Stream Element

- Born (at a spliterator)
- Transformed (by intermediate operations)
- Collected (by a terminal operation)



Collectors: Journey's End for a Stream

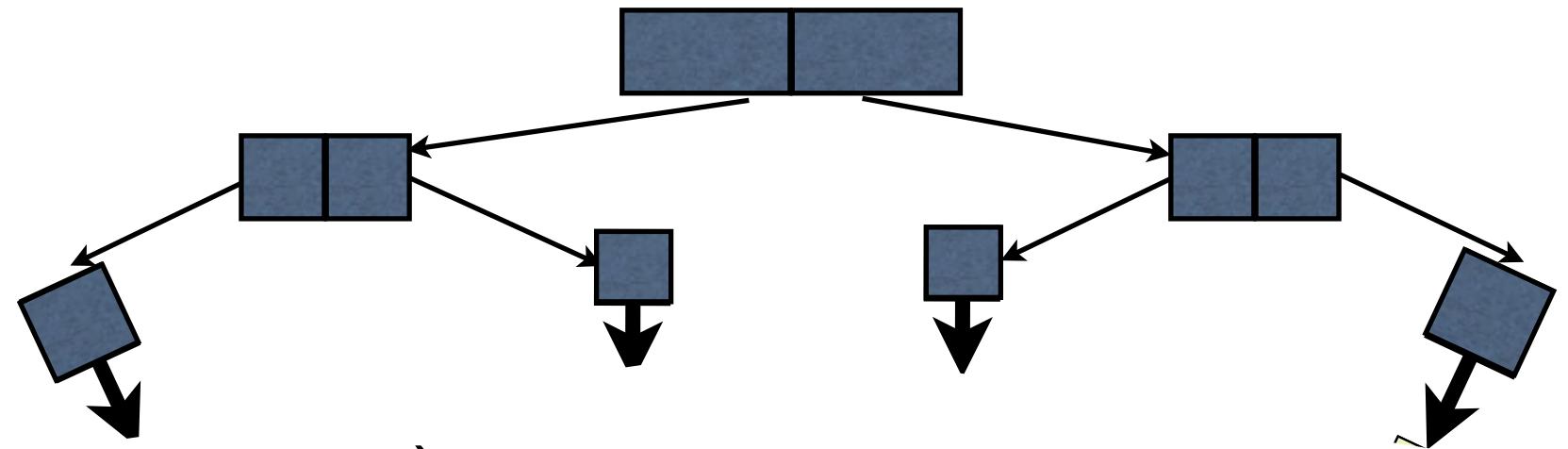
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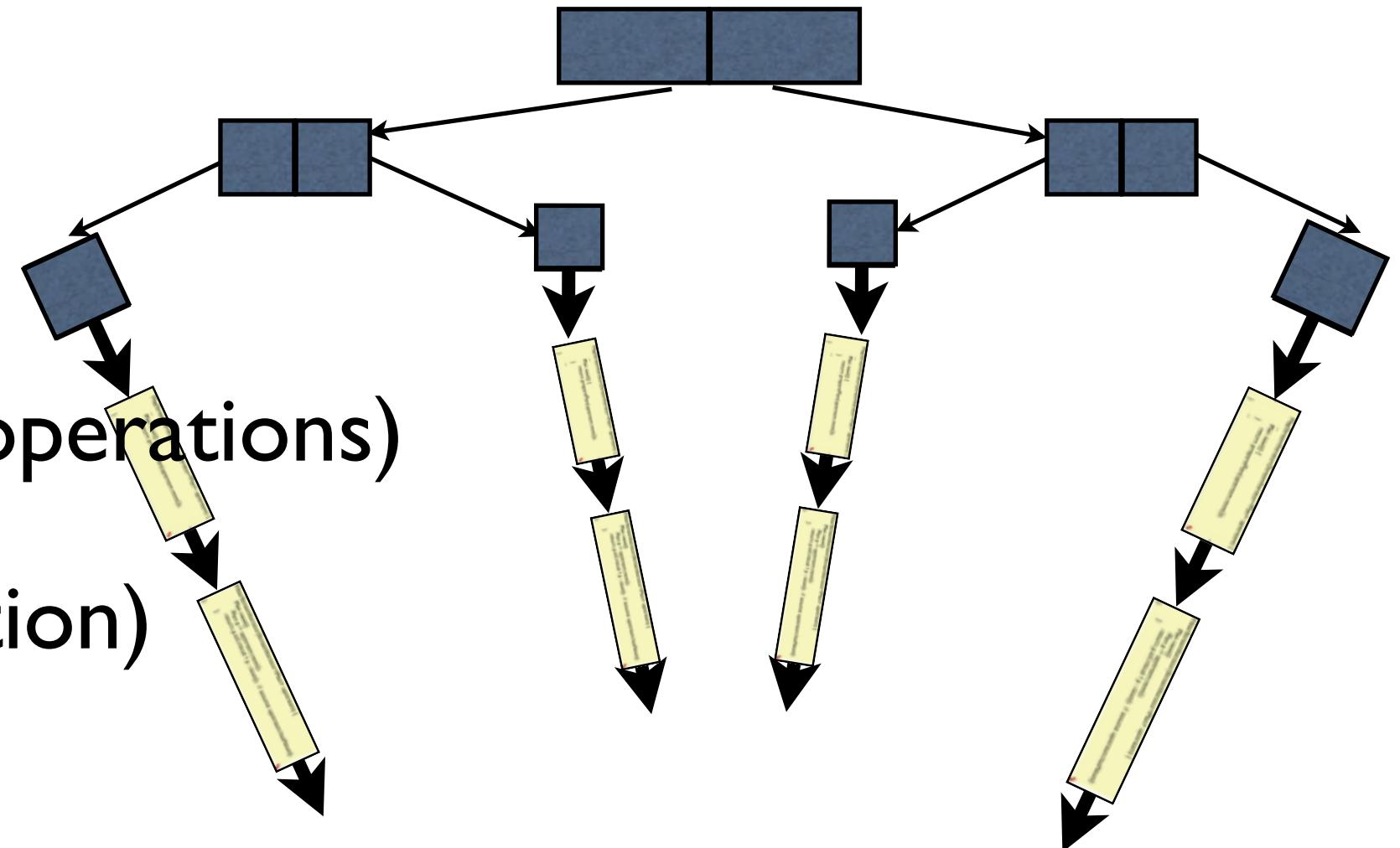
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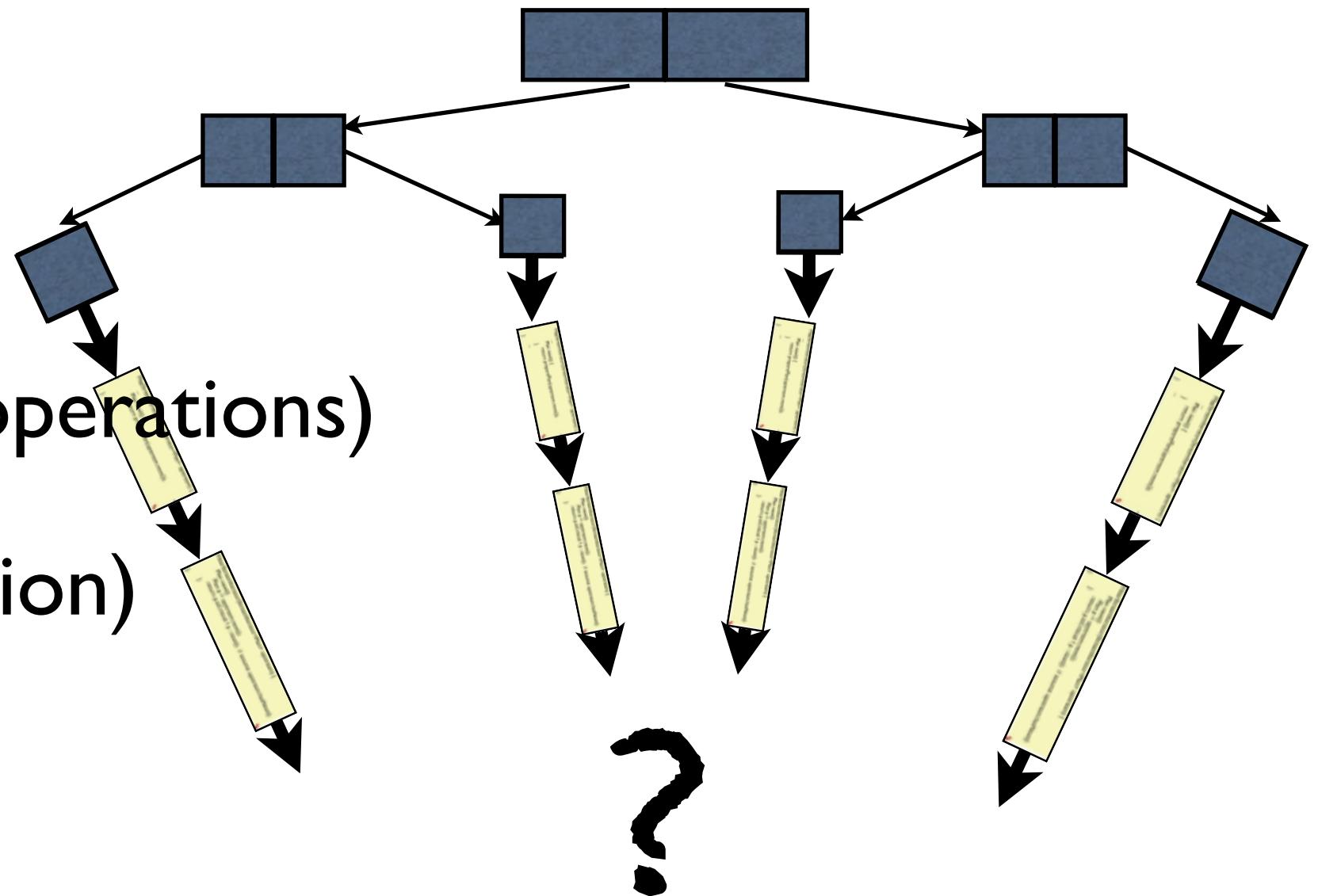
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Collectors: Journey's End for a Stream

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Terminal Operations

- Search operations
- Side-affecting operations
- Reductions

Search Operations

Search operations -

- allMatch, anyMatch
- findAny, findFirst

```
boolean allAdults =  
    people.stream()  
        .allMatch(p -> p.getAge() >= 21);
```

Side-effecting Operations

Side-effecting operations

- `forEach`, `forEachOrdered`

```
people.stream()
    .forEach(System.out::println);
```

- So could we calculate total ages like this?

```
int sum = 0;
people.stream()
    .mapToInt(Person::getAge)
    .forEach(a -> { sum += a; });
```

Side-effecting Operations

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int sum = 0;
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Don't do this!

Reduction – Why?

- Using an accumulator:

```
int[] vals = new int[100];
Arrays.setAll(vals, i -> i);

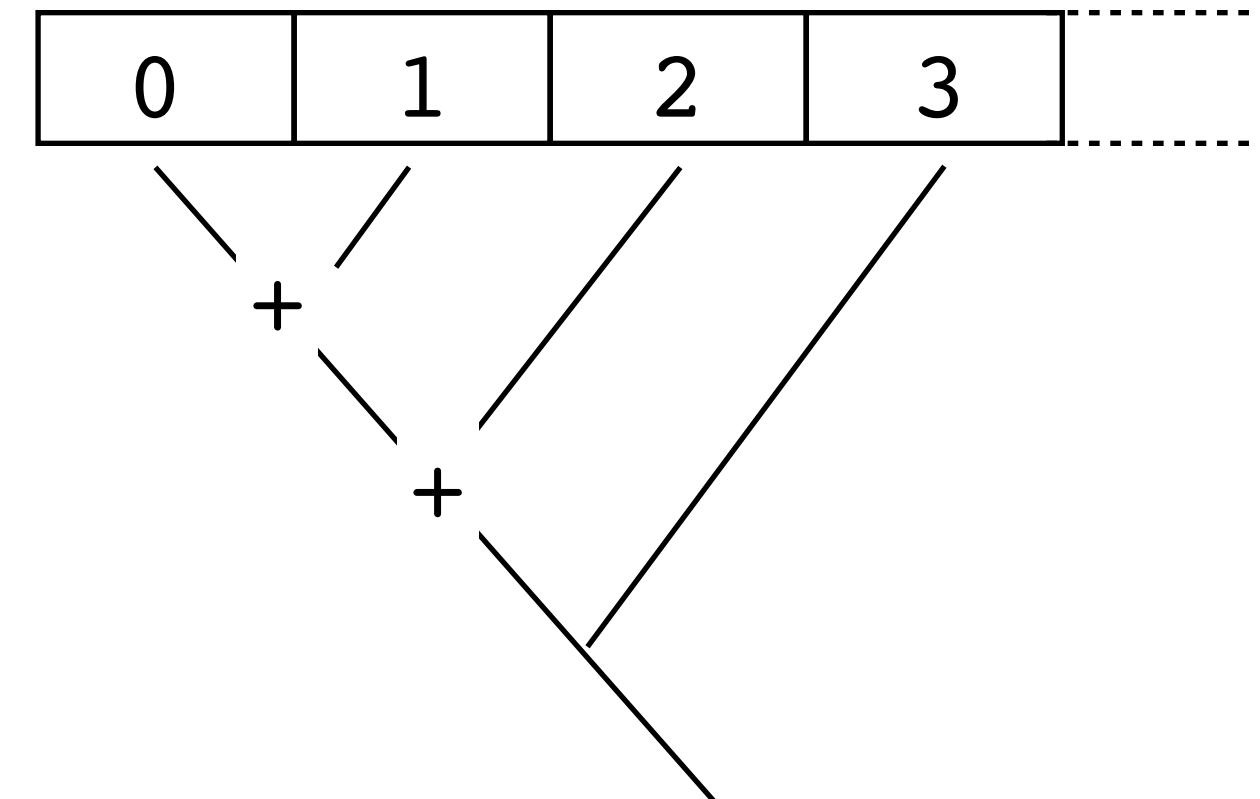
int sum = 0;
for (int i = 0 ; i < vals.length ; i++) {
    sum += vals[i];
}
```

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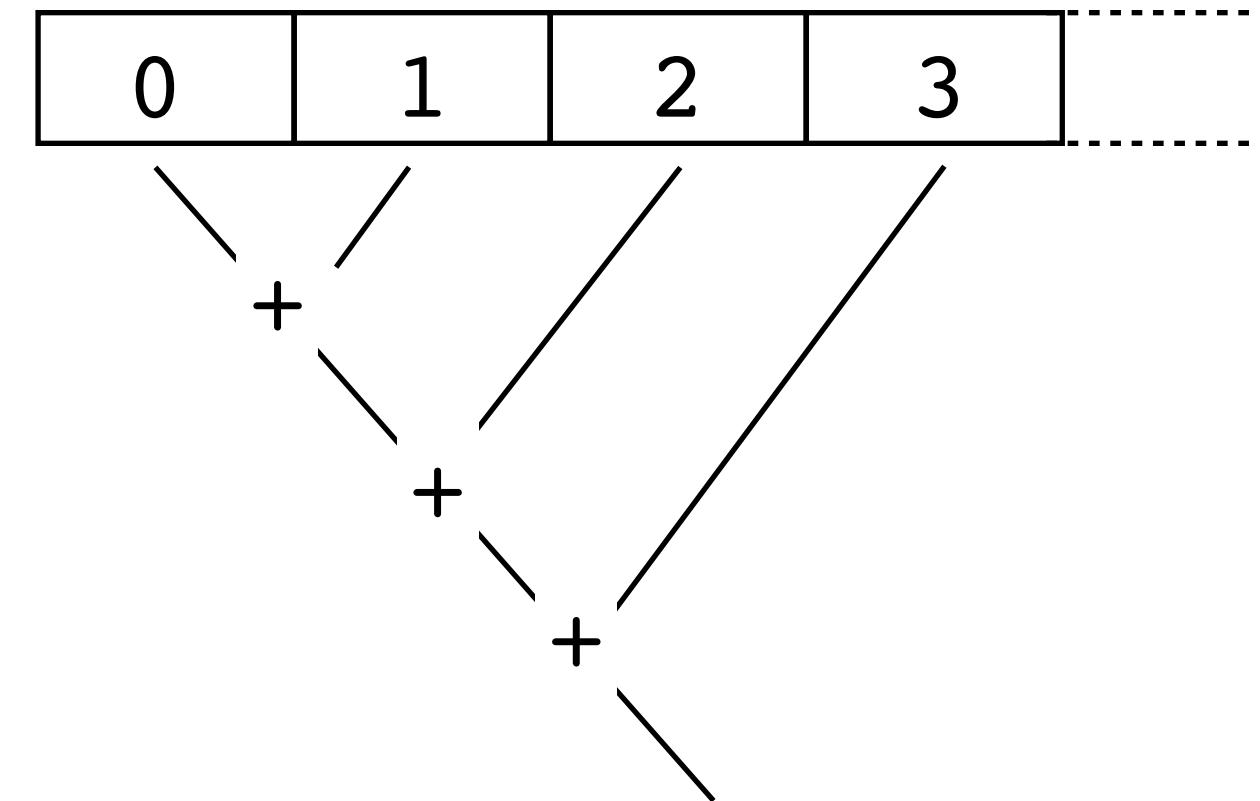


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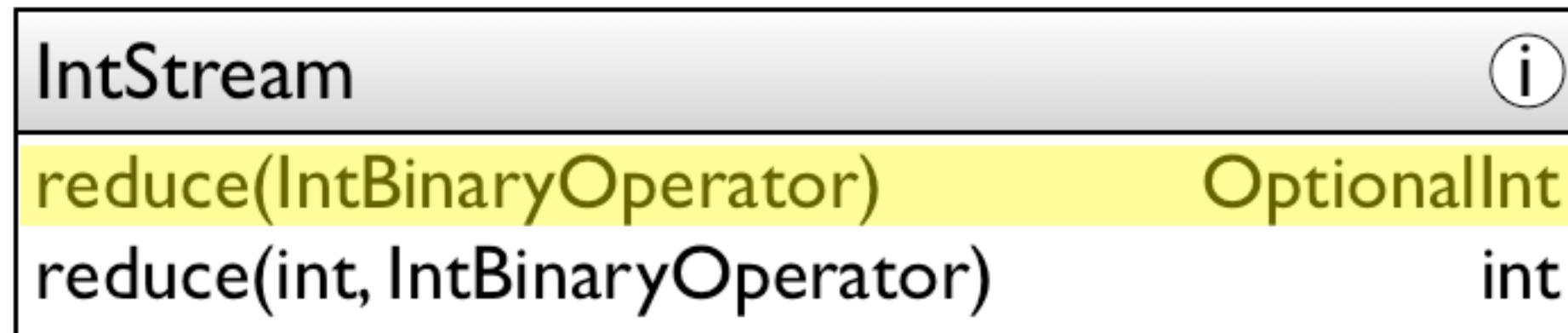
Reduction – Why?

- Avoiding an accumulator:

IntStream	i
reduce(IntBinaryOperator)	OptionalInt
reduce(int, IntBinaryOperator)	int

Reduction – Why?

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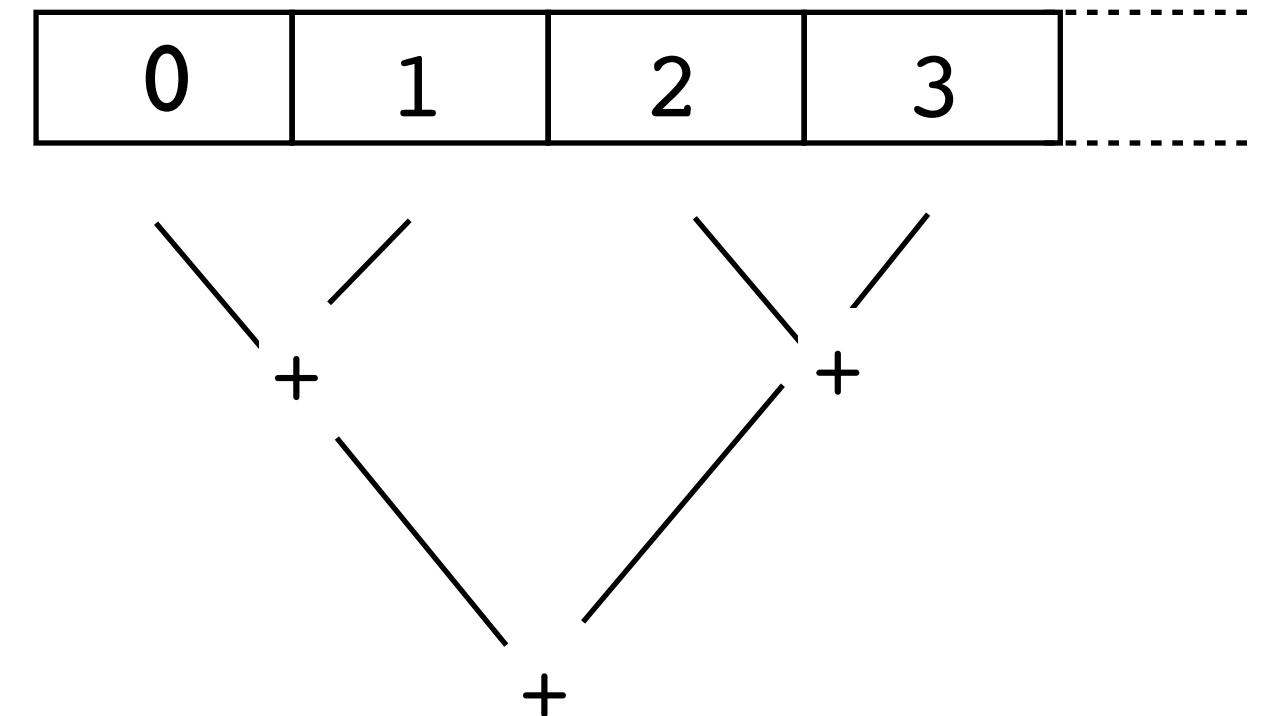


Reduction – Why?

- Avoiding an accumulator:

```
int[ ] vals = new int[100];
Arrays.setAll(vals, i -> i);

OptionalInt sum = Arrays.stream(vals)
    .reduce((a,b) -> a + b);
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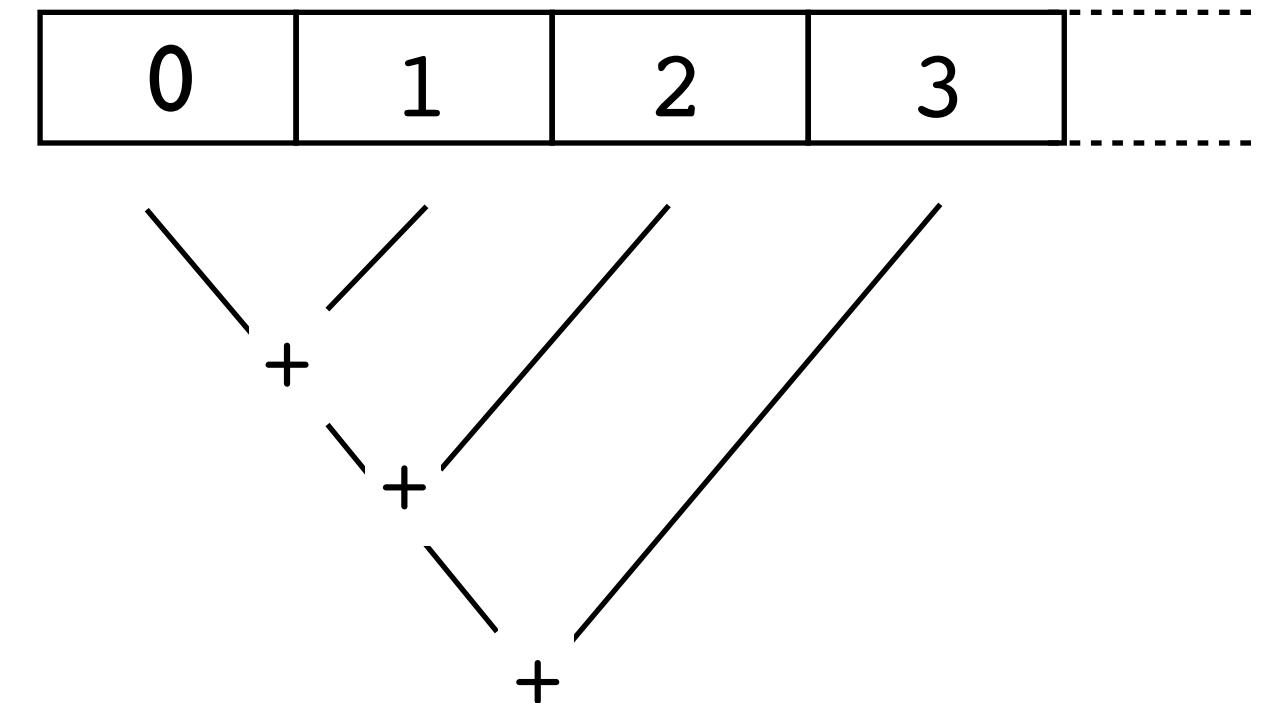


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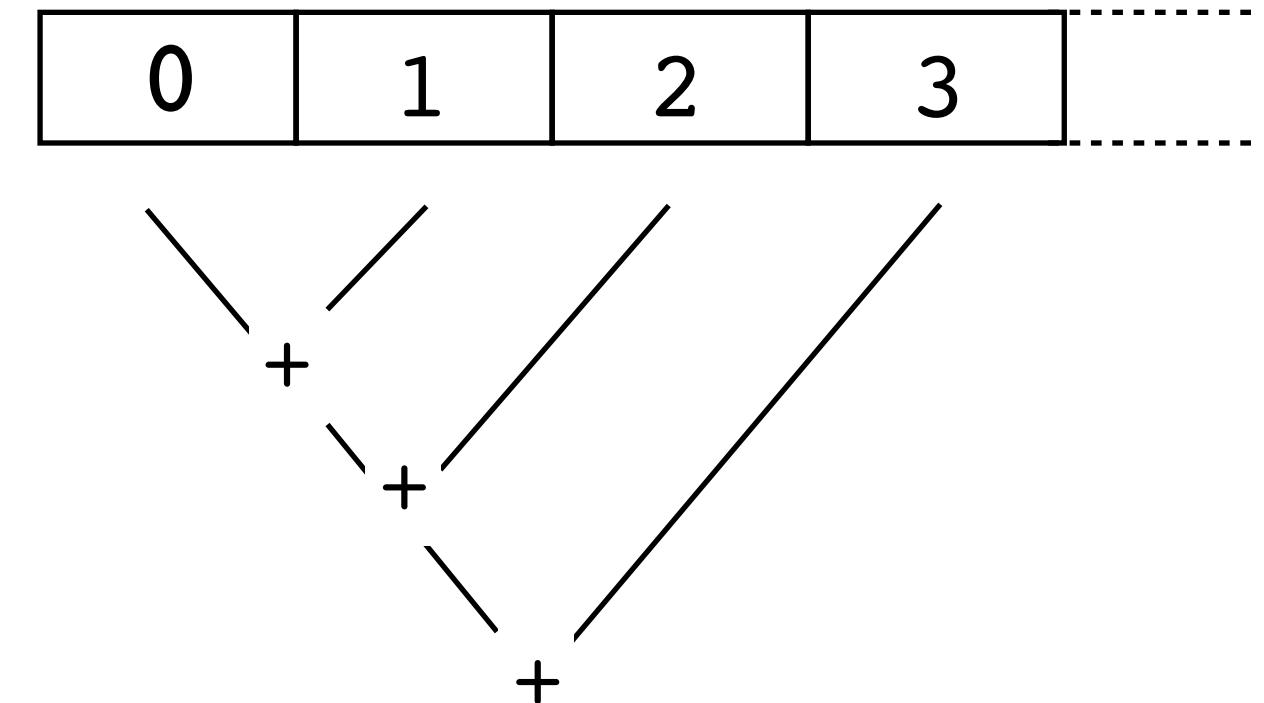
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BinaryOperator must be associative!



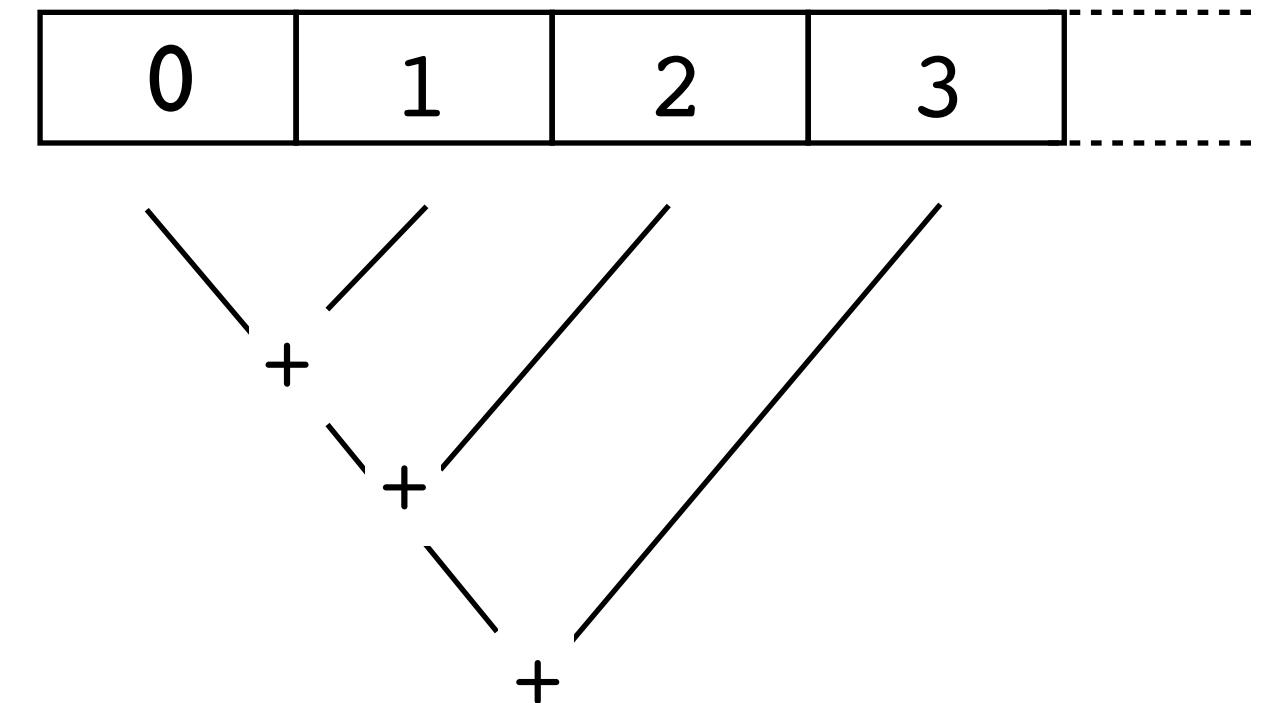
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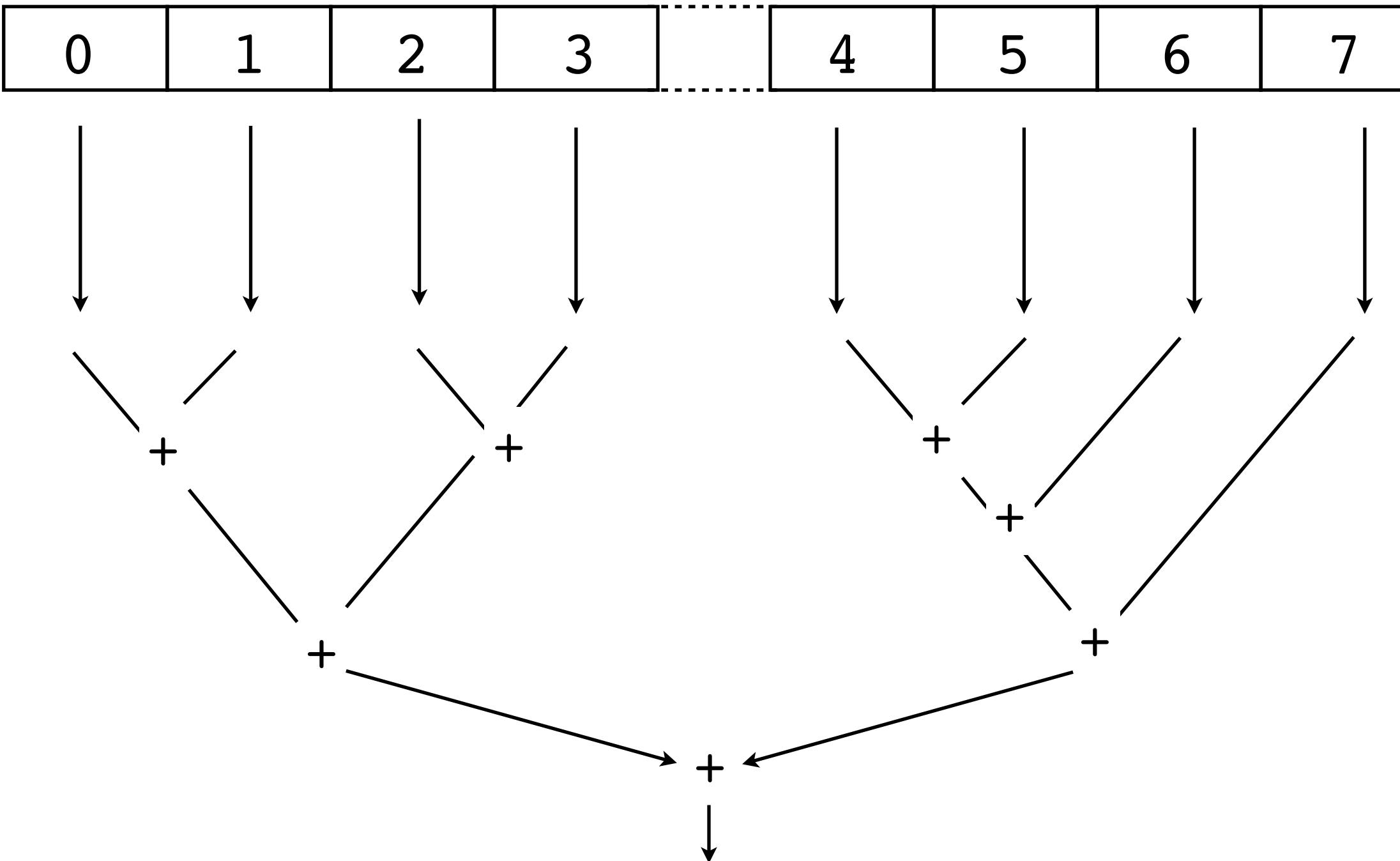
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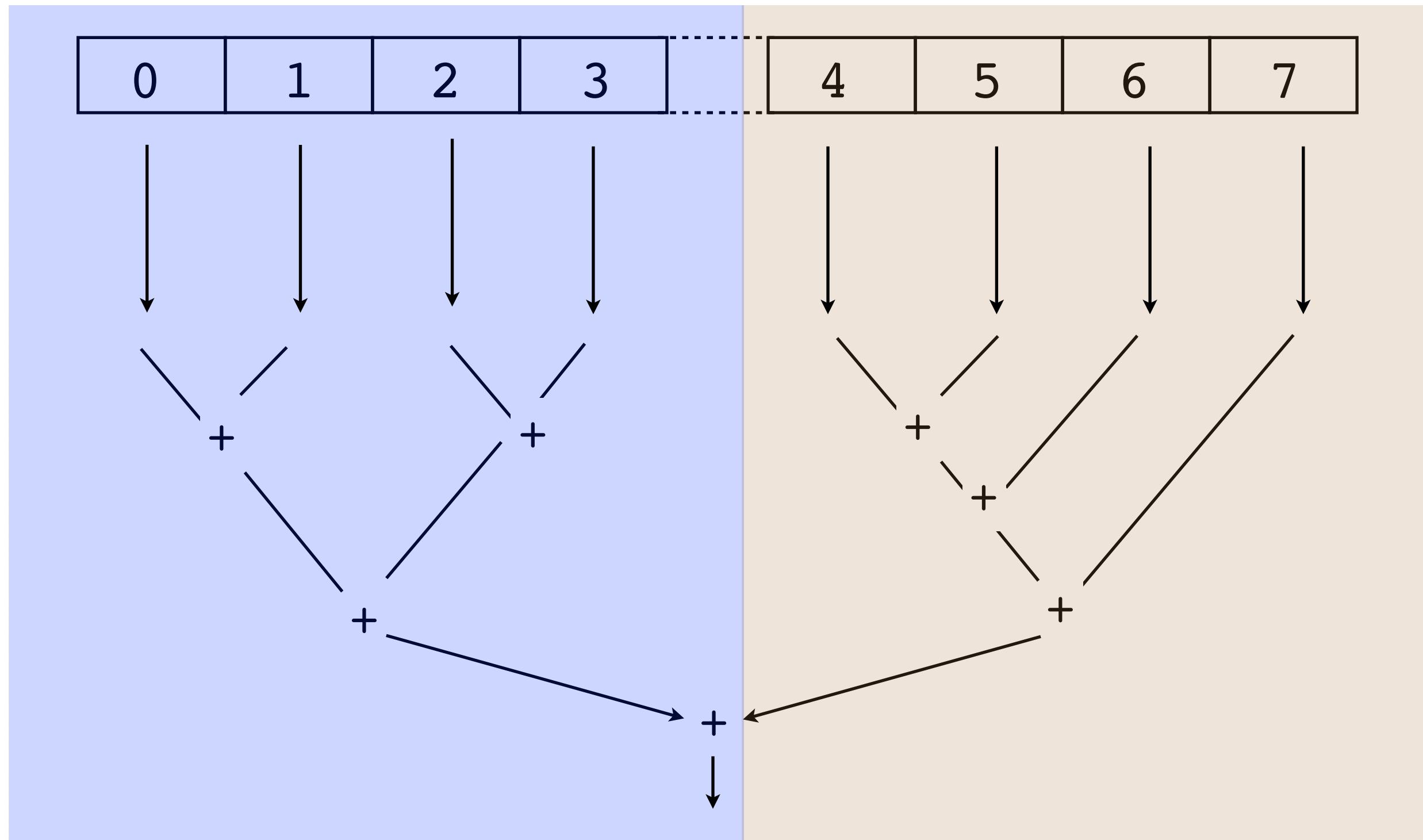
BinaryOperator must be associative!
$$a + (b + c) = (a + b) + c$$



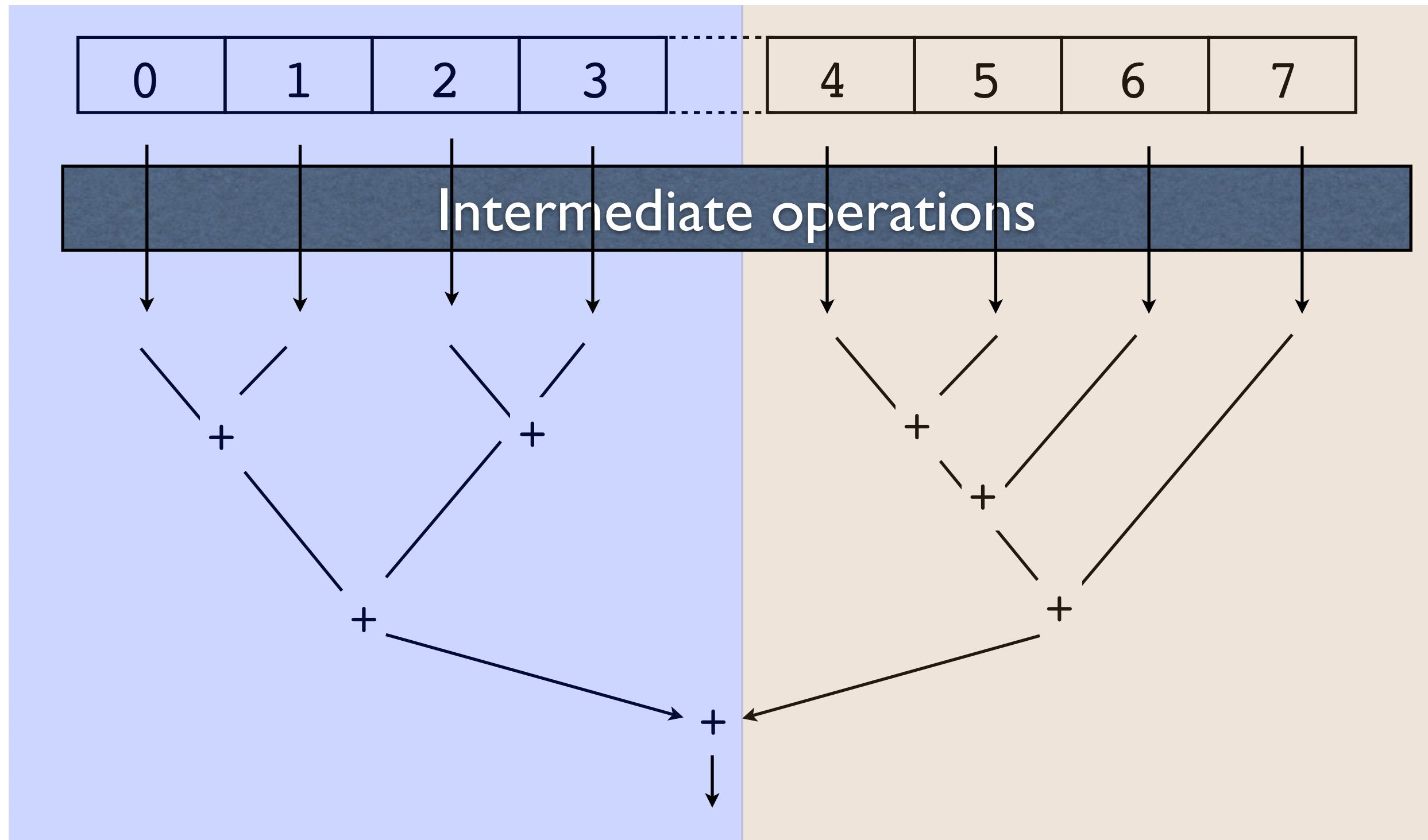
Why Reduction?



Why Reduction?



Why Reduction?



Reduction on Immutable Values

Reduction works on immutable values too

Stream<T>	(i)
reduce(BinaryOperator<T>)	Optional<T>
reduce(T, BinaryOperator<T>)	T
reduce(U, BiFunction<U,T,U>, BinaryOperator<U>)	U

```
BigDecimal[] vals = new BigDecimal[100];
Arrays.setAll(vals, i -> new BigDecimal(i));
```

```
Optional<BigDecimal> sum = Arrays.stream(vals)
    .reduce(BigDecimal::add);
```

Reduction on Immutable Values

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Reduction to Collections?

Reduction to Collections?

This also works with collections – sort of ...

- for each element, client code creates a new empty collection and adds the single element to it
- combines collections using addAll

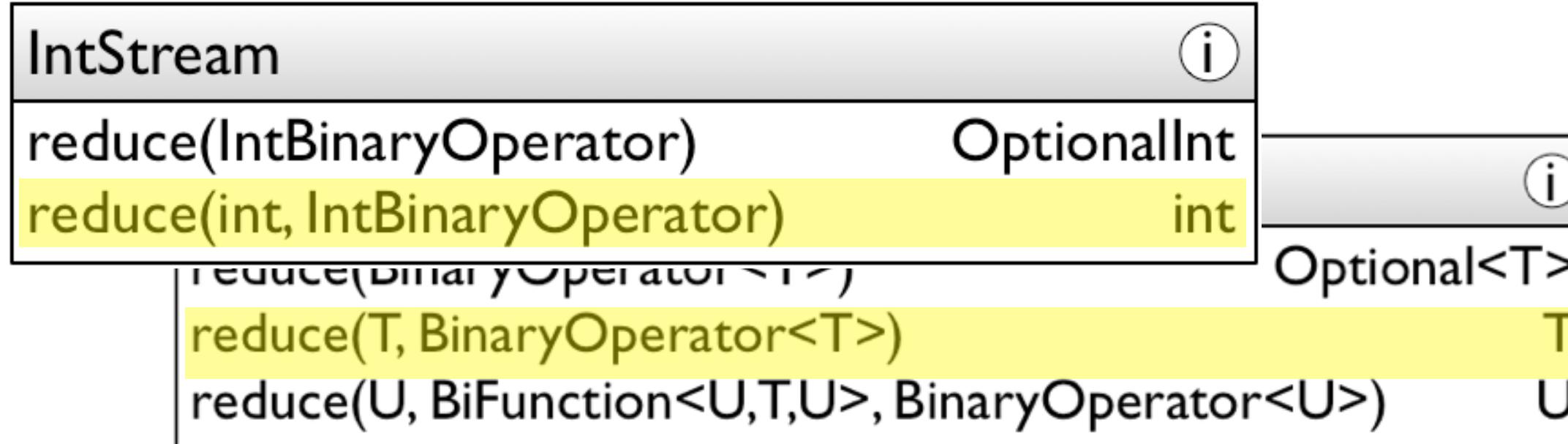
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We can do better!

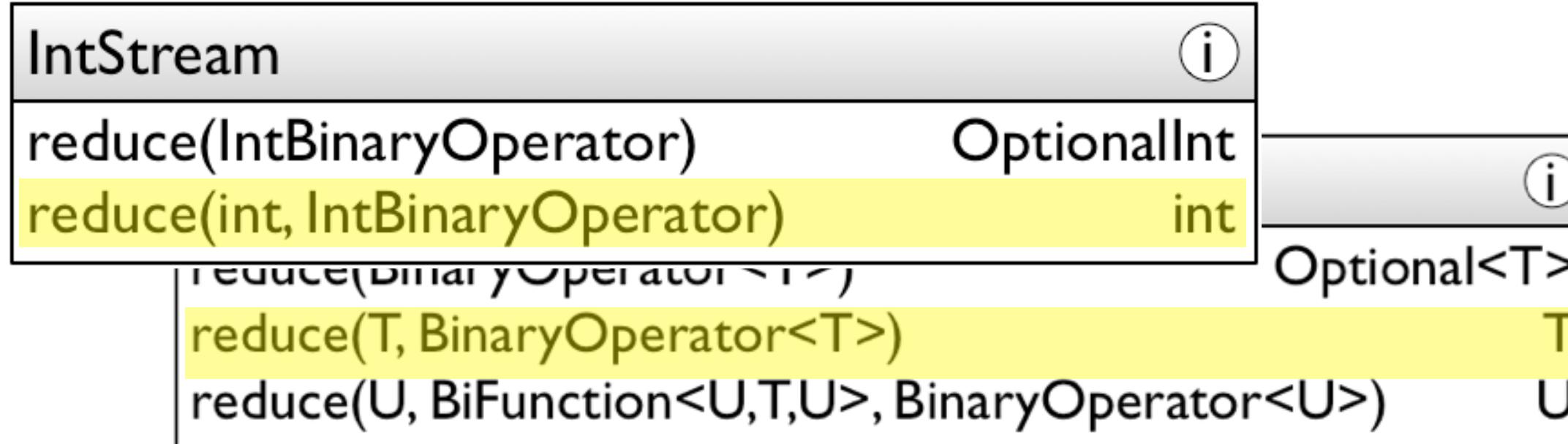
Reduction over an Identity



```
BigDecimal[] vals = new BigDecimal[100];
Arrays.setAll(vals, i -> new BigDecimal(i));
```

```
BigDecimal sum = Arrays.stream(vals)
    .reduce(BigDecimal.ZERO,BigDecimal::add);
```

Reduction over an Identity

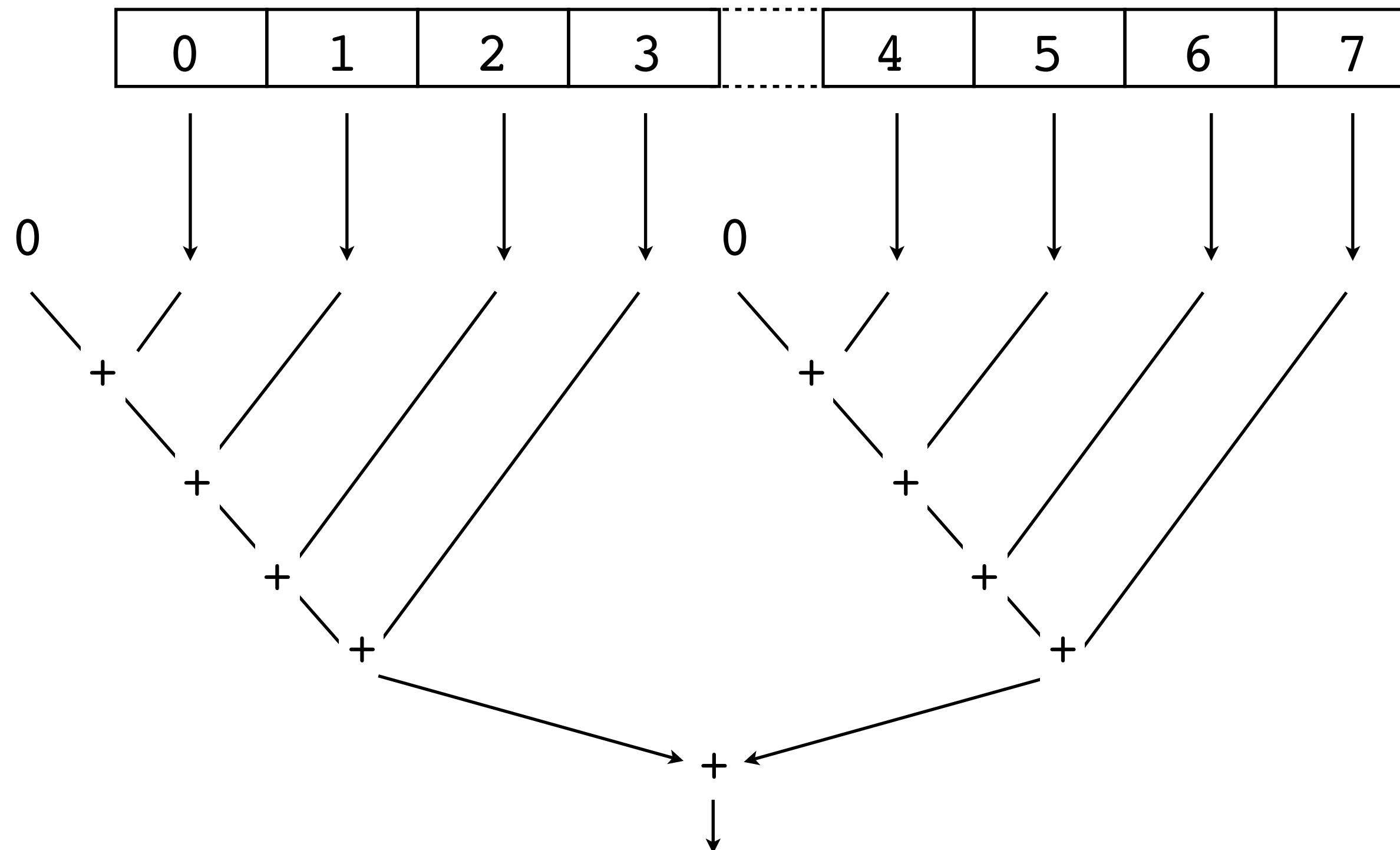


Works for immutable objects:

```
BigDecimal[] vals = new BigDecimal[100];
Arrays.setAll(vals, i -> new BigDecimal(i));
```

```
BigDecimal sum = Arrays.stream(vals)
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Reduction over an Identity



Reduction to Collections?

Reduction to Collections?

Reduction over an identity **doesn't work with**
collections *at all*

- reduction **reuses** the identity element

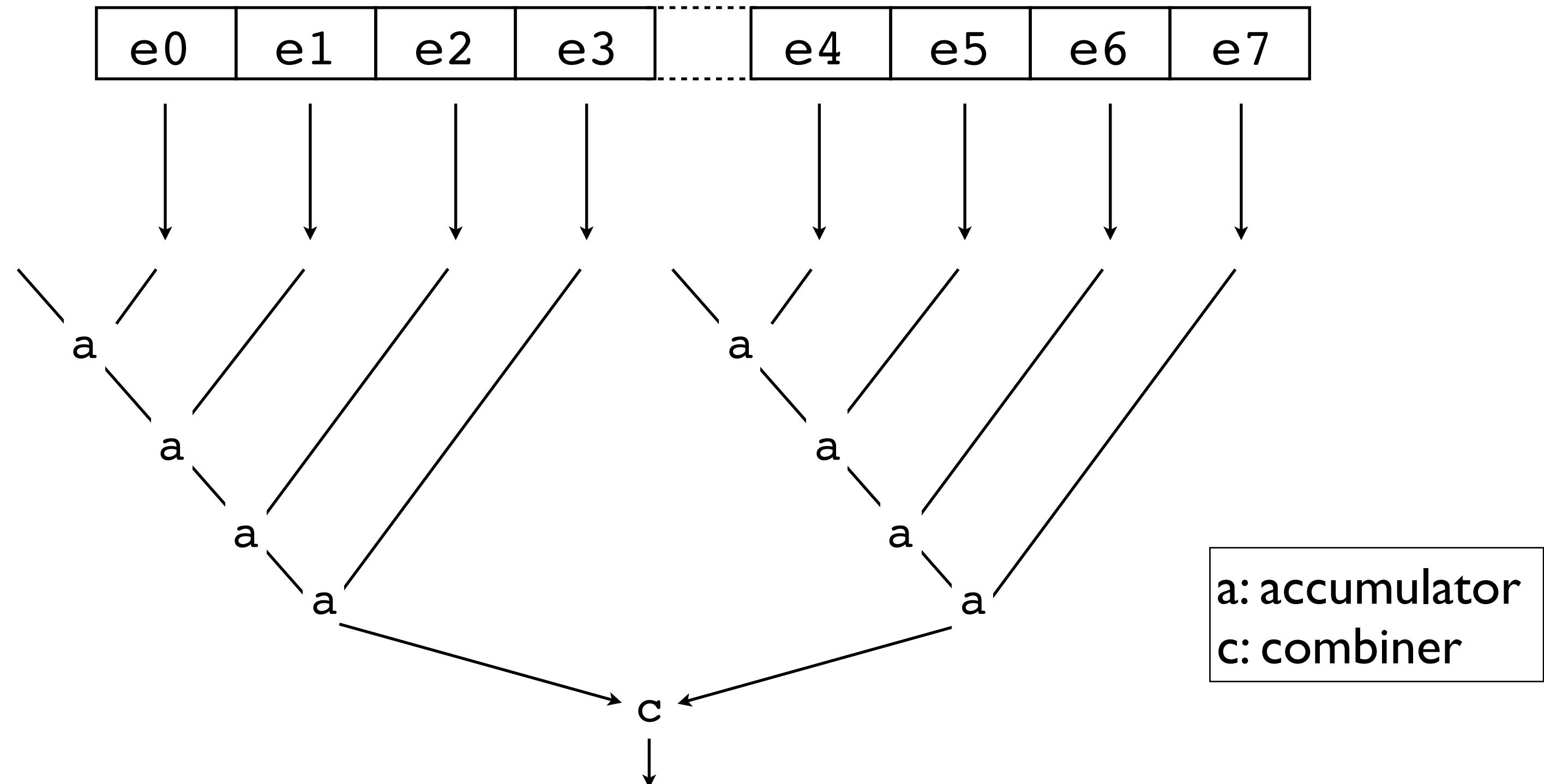
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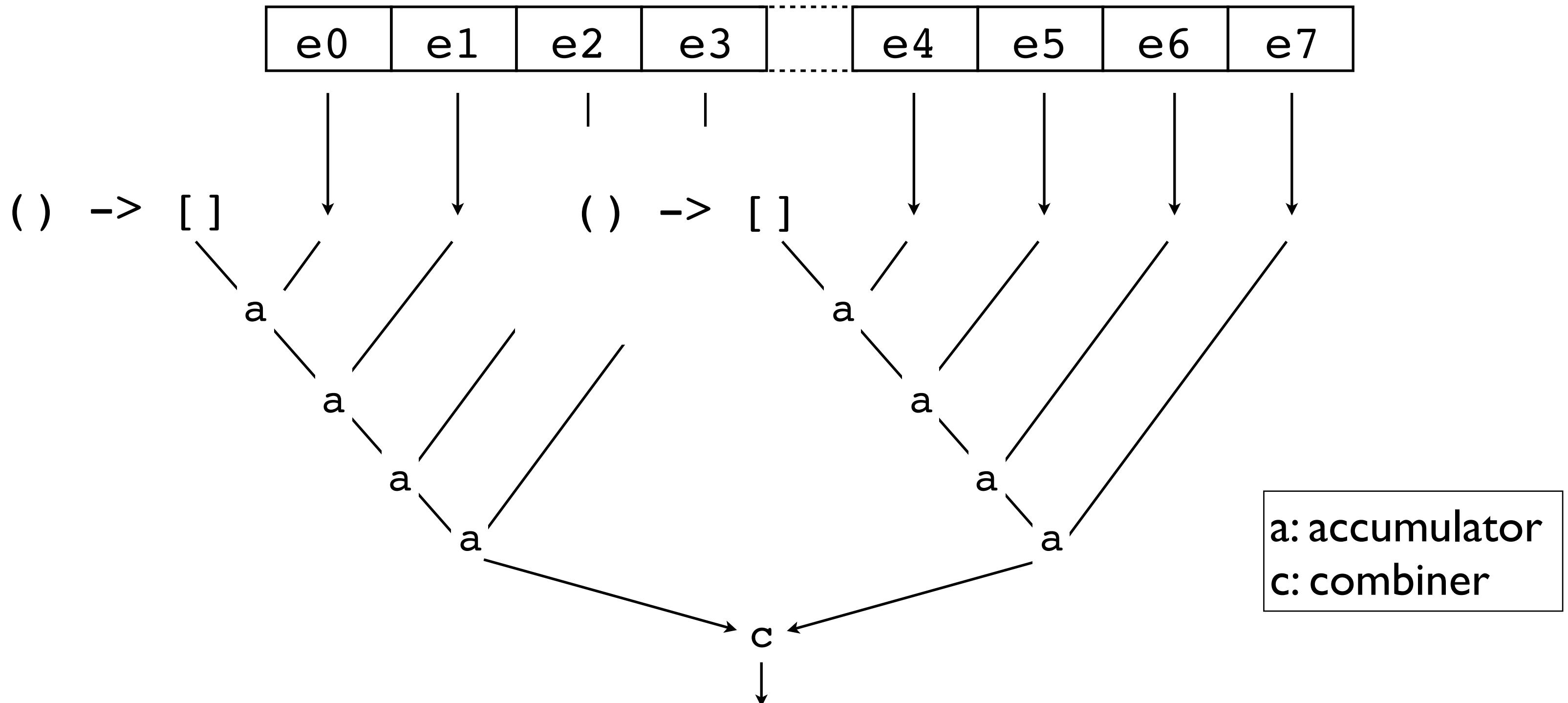
- reduction **reuses** the identity element

We've got to do better!

The Answer – Collectors



The Answer – Collectors



Collectors

So to define a collector, you need to provide

- Supplier
- Accumulator
- Combiner

That sounds really hard!

Good then that we don't have to do it

- – very often

Collectors API

Factory methods in the `Collectors` class. They produce standalone collectors, accumulating to:

- framework-supplied containers;
- custom collections;
- classification maps.

Journey's End – Agenda

- Why collectors?
- Using the predefined collectors
 - **Intermission!**
- Worked problems
- Writing your own

Using the Predefined Collectors

Predefined Standalone Collectors – from factory methods in Collections class

- `toList()`, `toSet()`, `toMap()`, `joining()`
- `toMap()`, `toCollection()`
`groupingBy()`, `partitioningBy()`,
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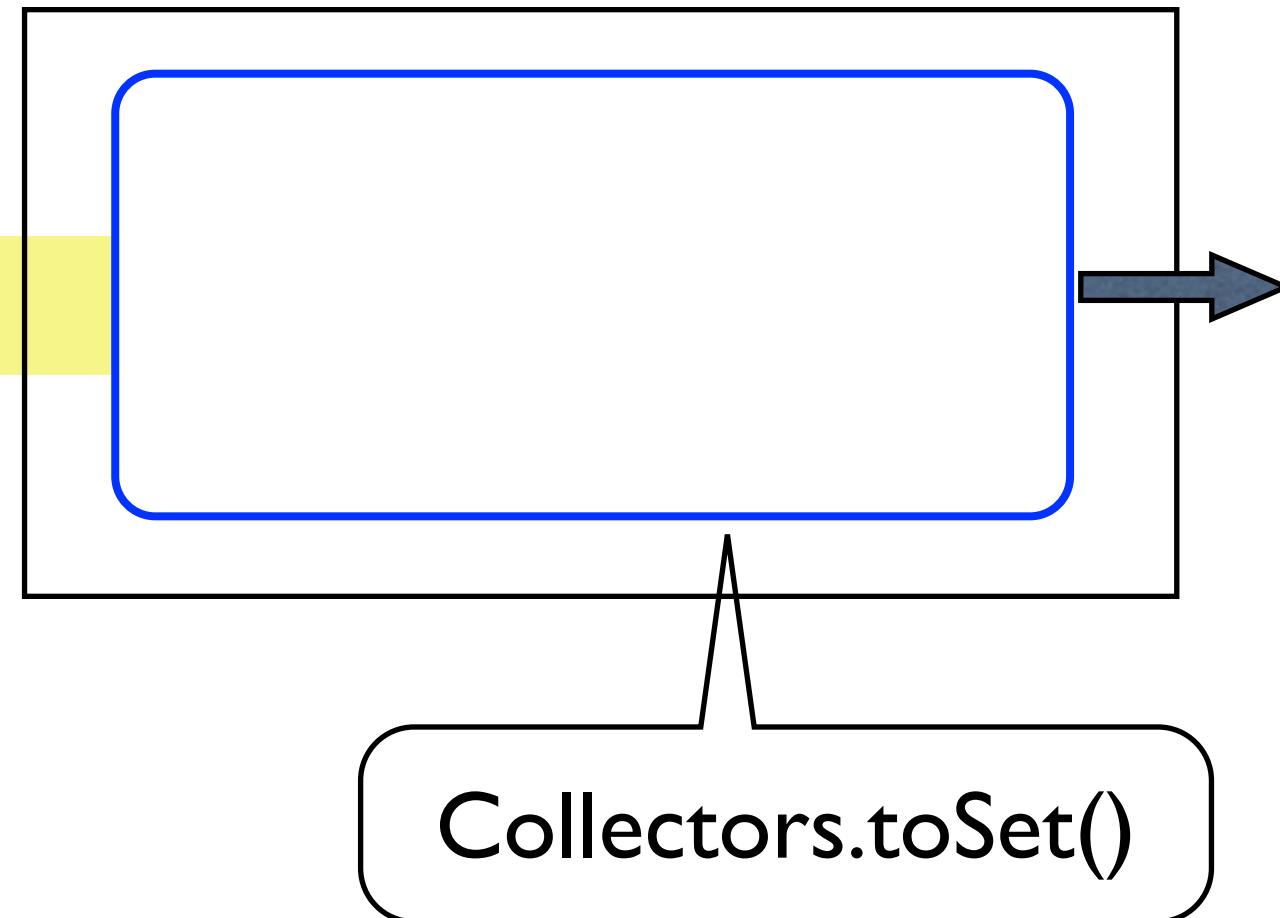
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`groupingBy()`, `partitioningBy()`,
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produce a
classification map

Simple Collector – toSet()

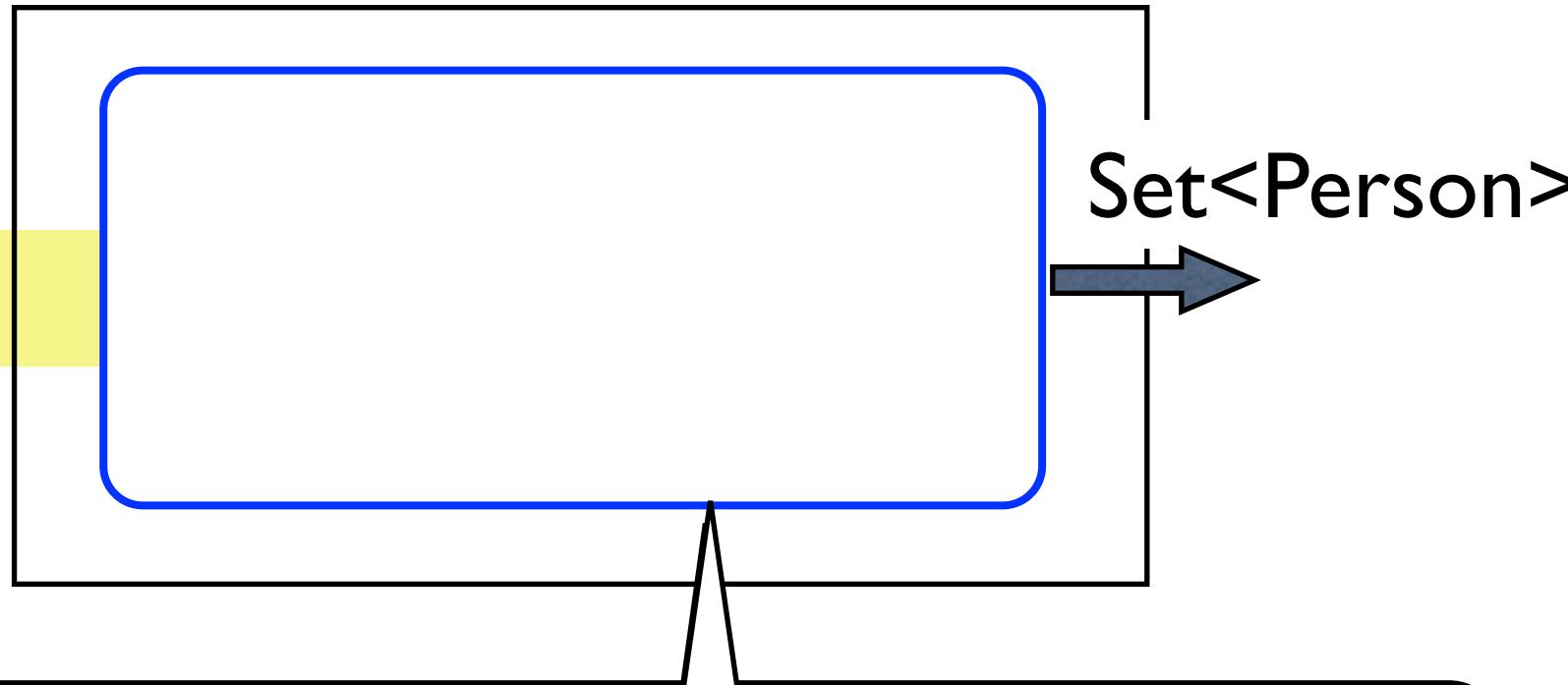
```
people.stream().collect(Collectors.toSet())
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Simple Collector – toSet()

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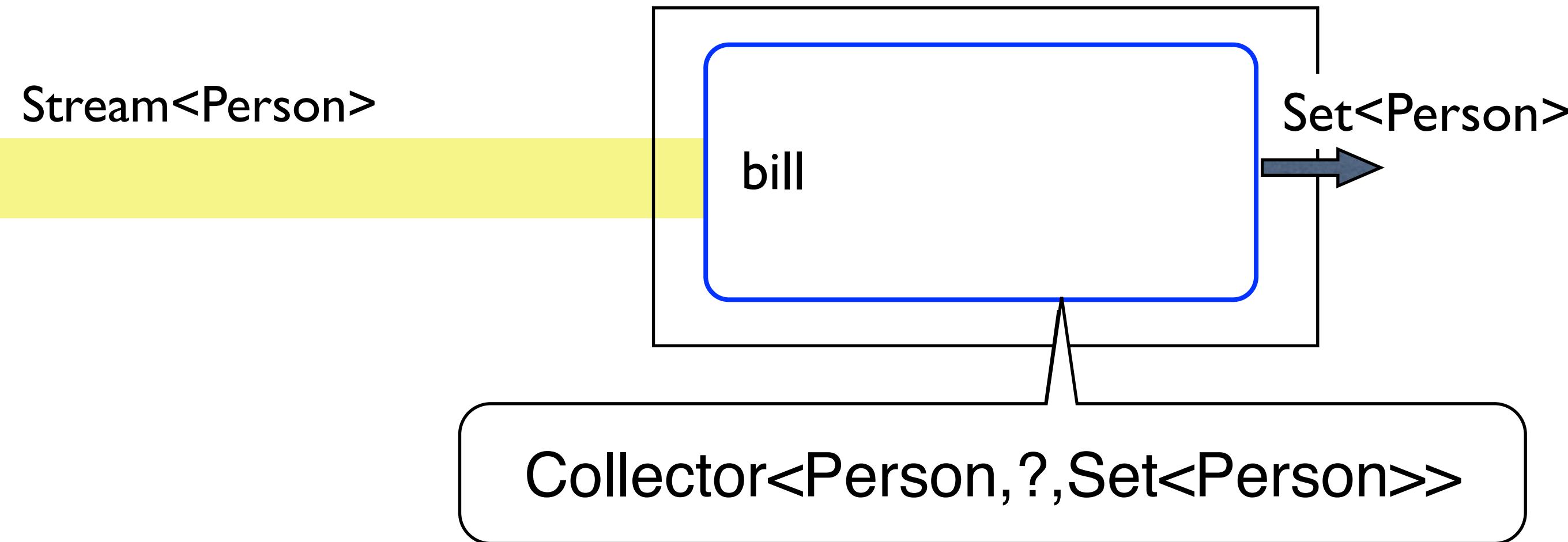
Stream<Person>



Collector<Person, ?, Set<Person>>

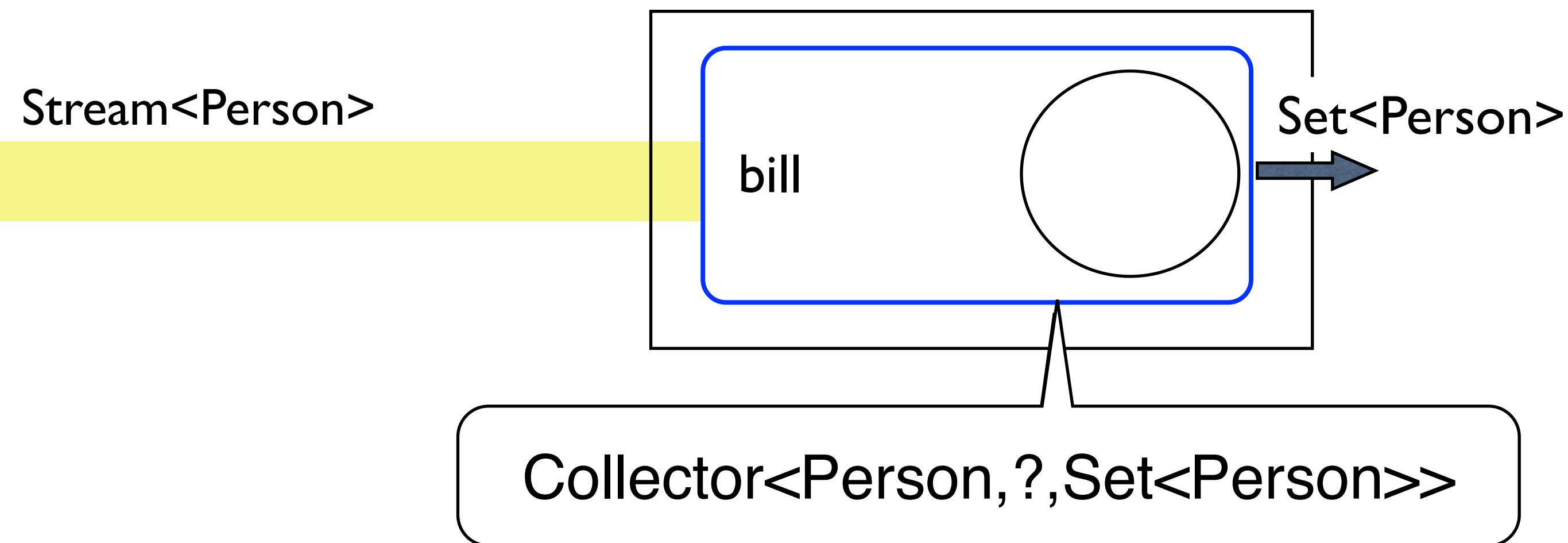
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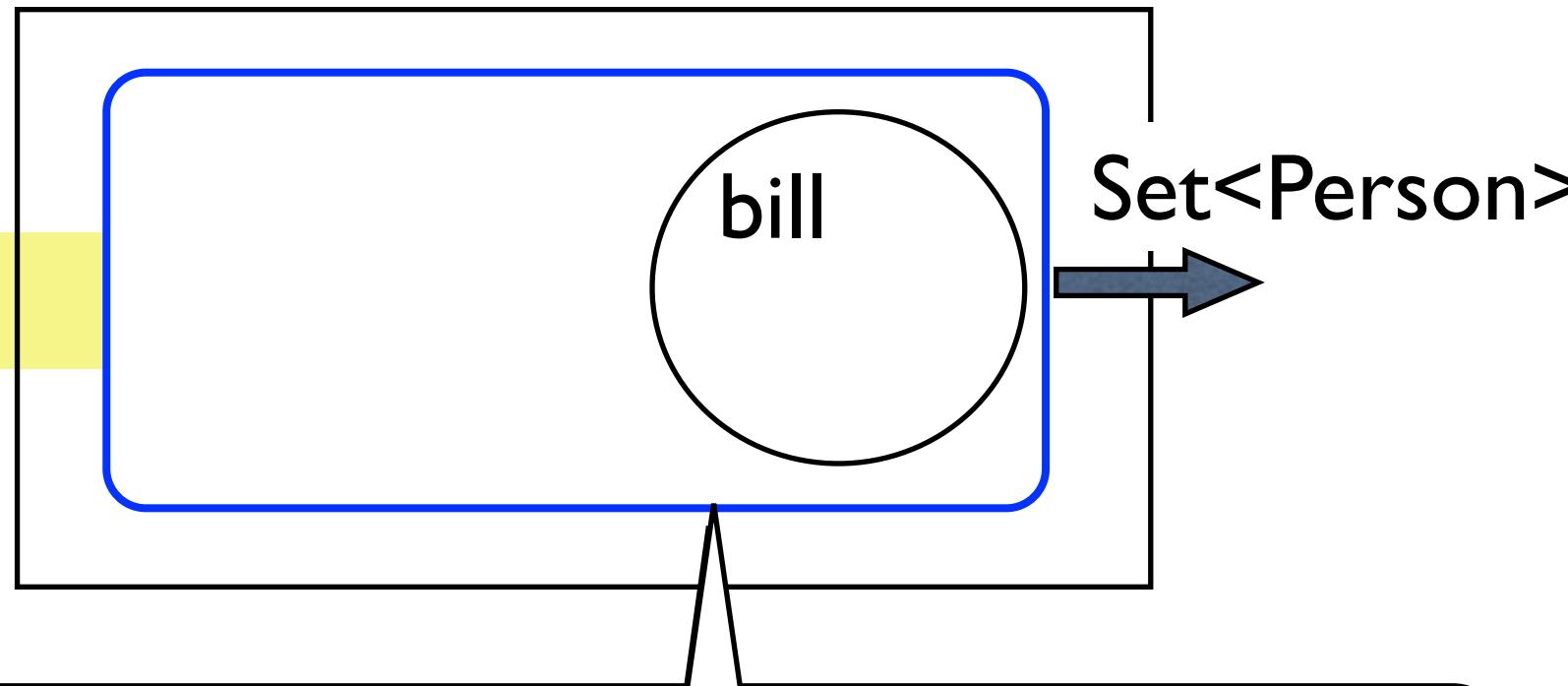
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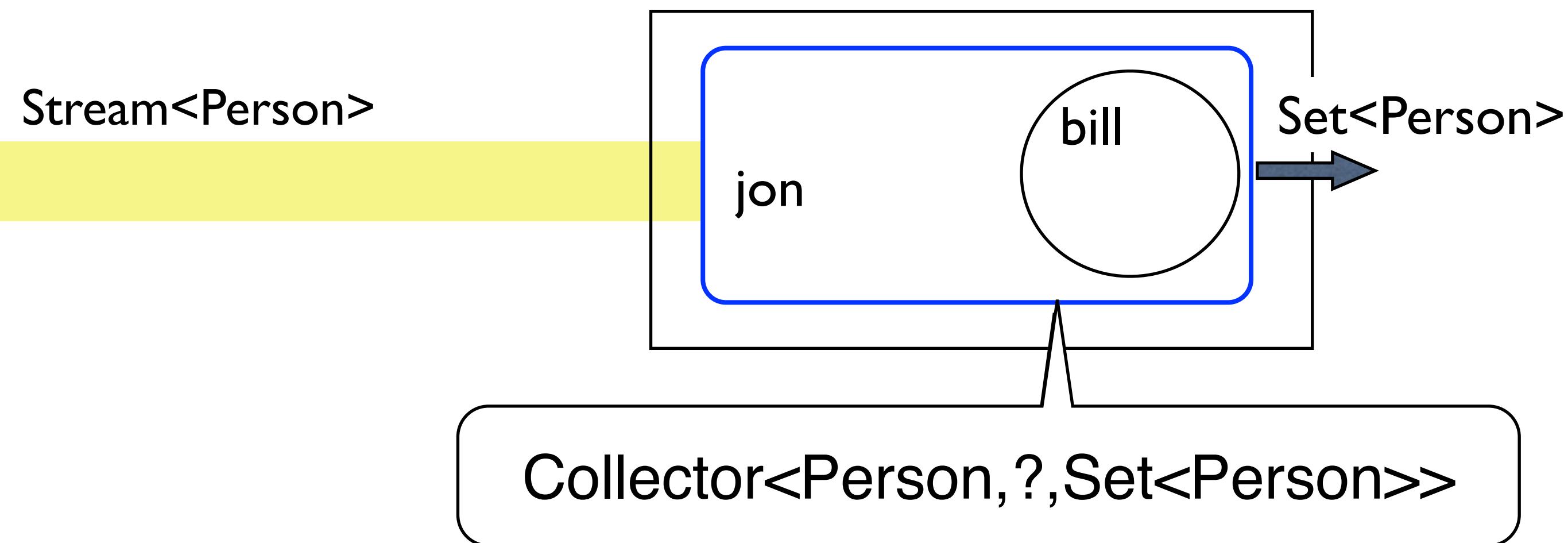
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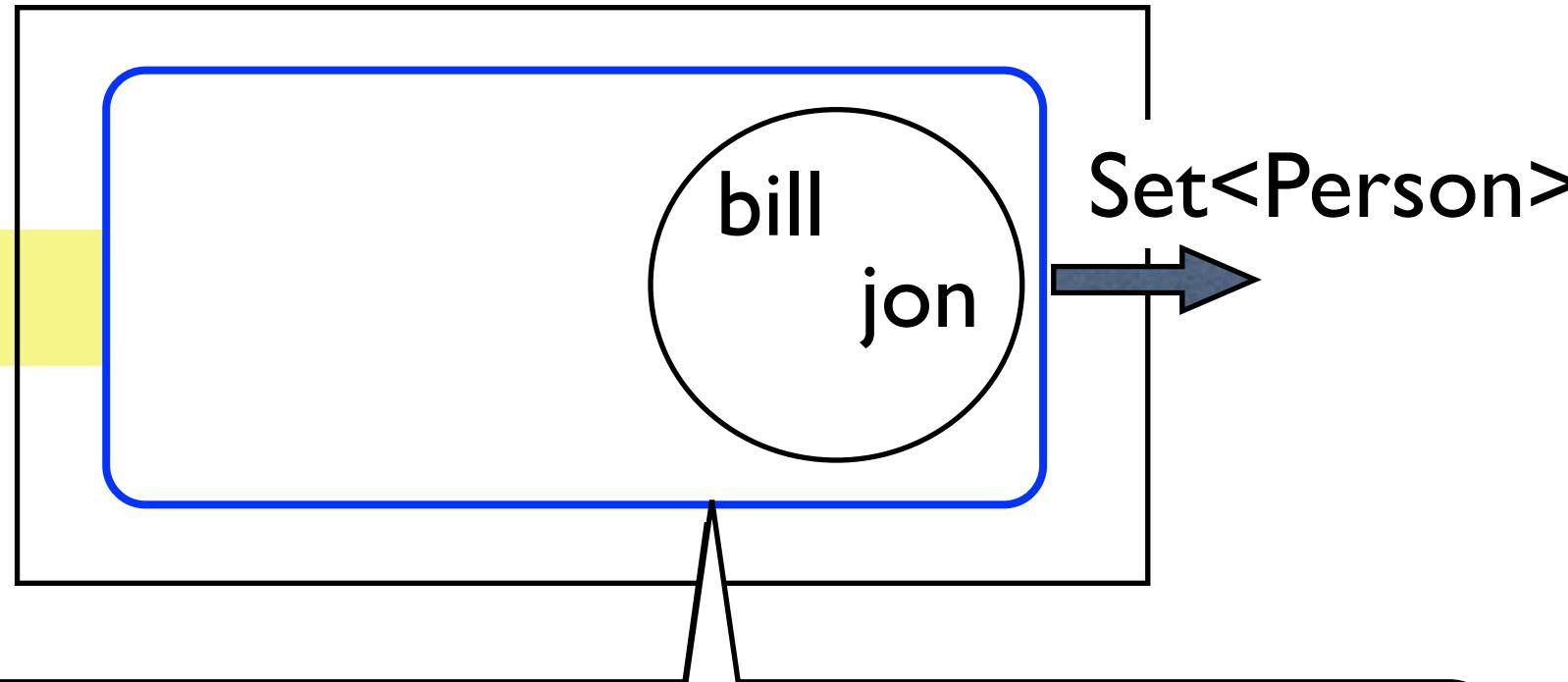
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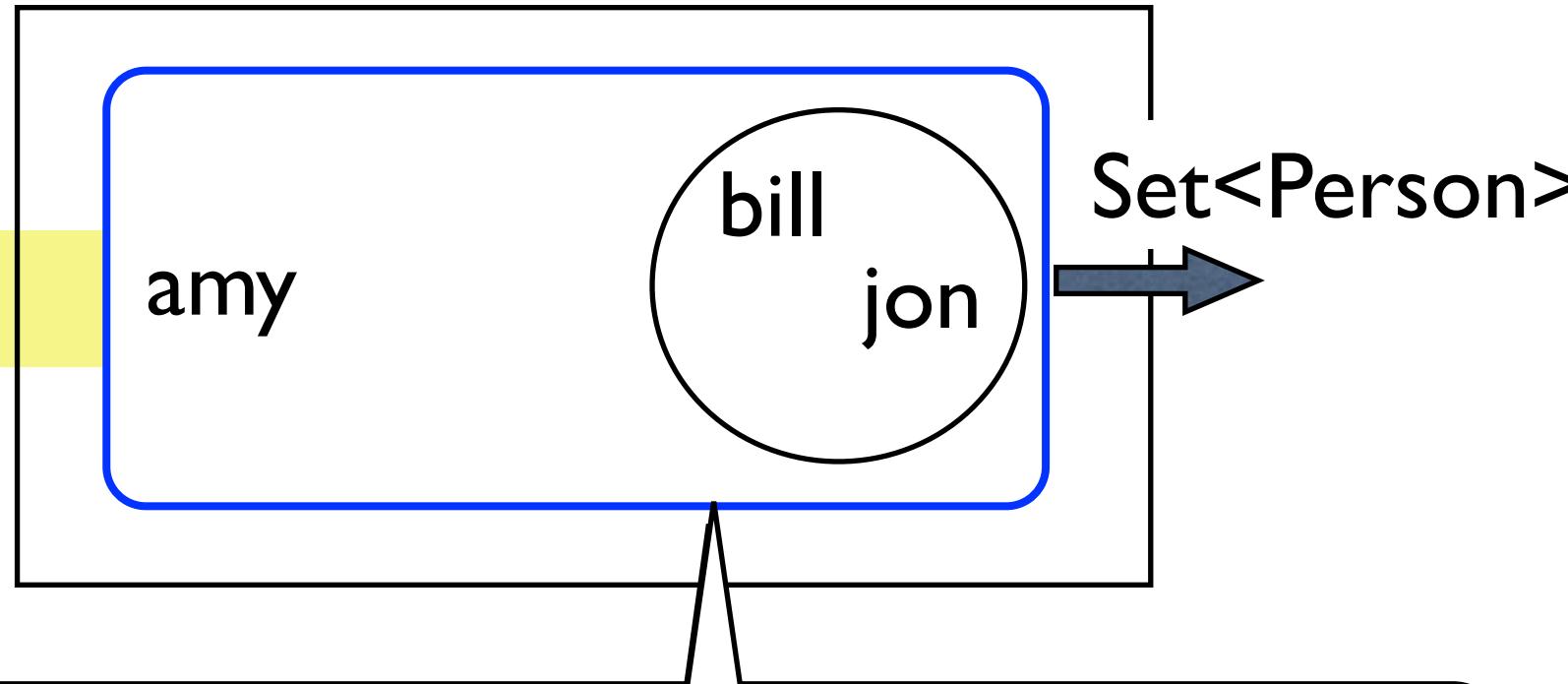


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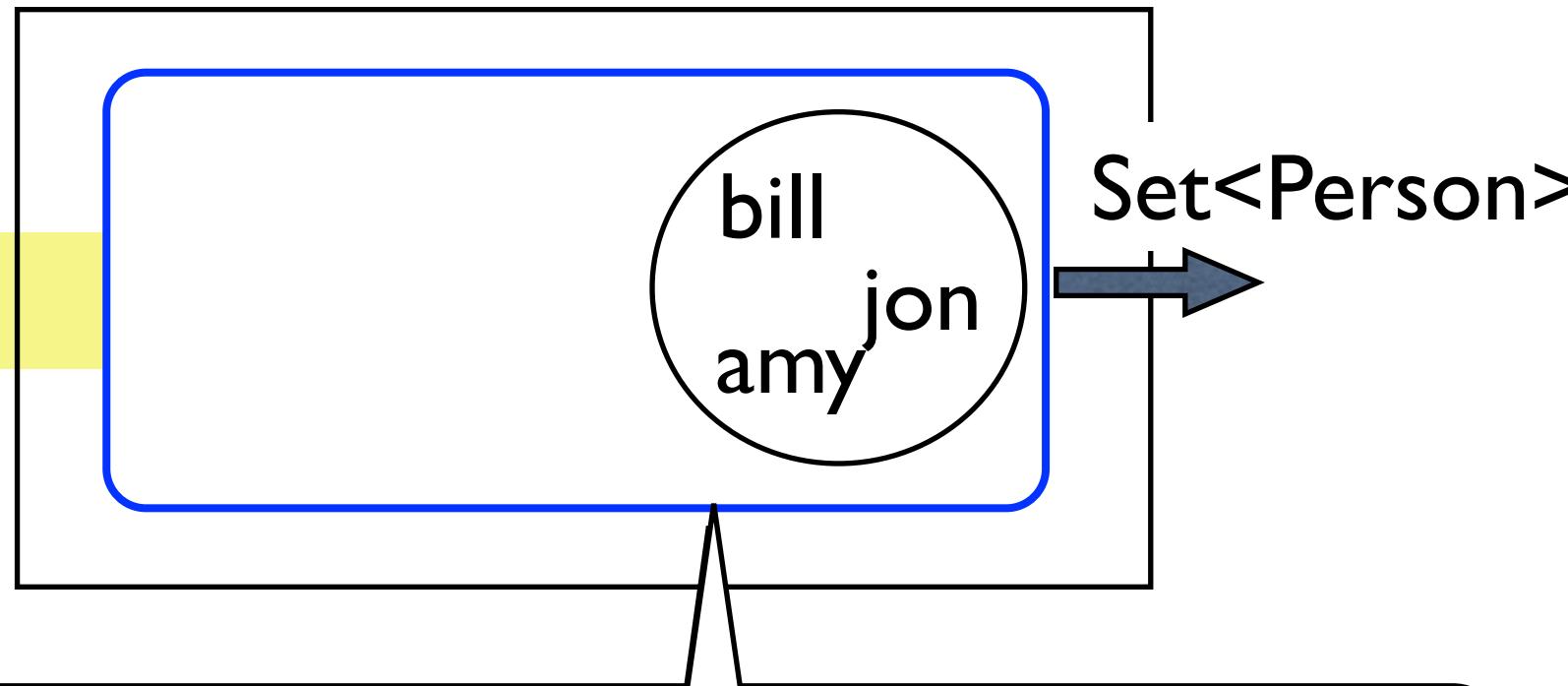


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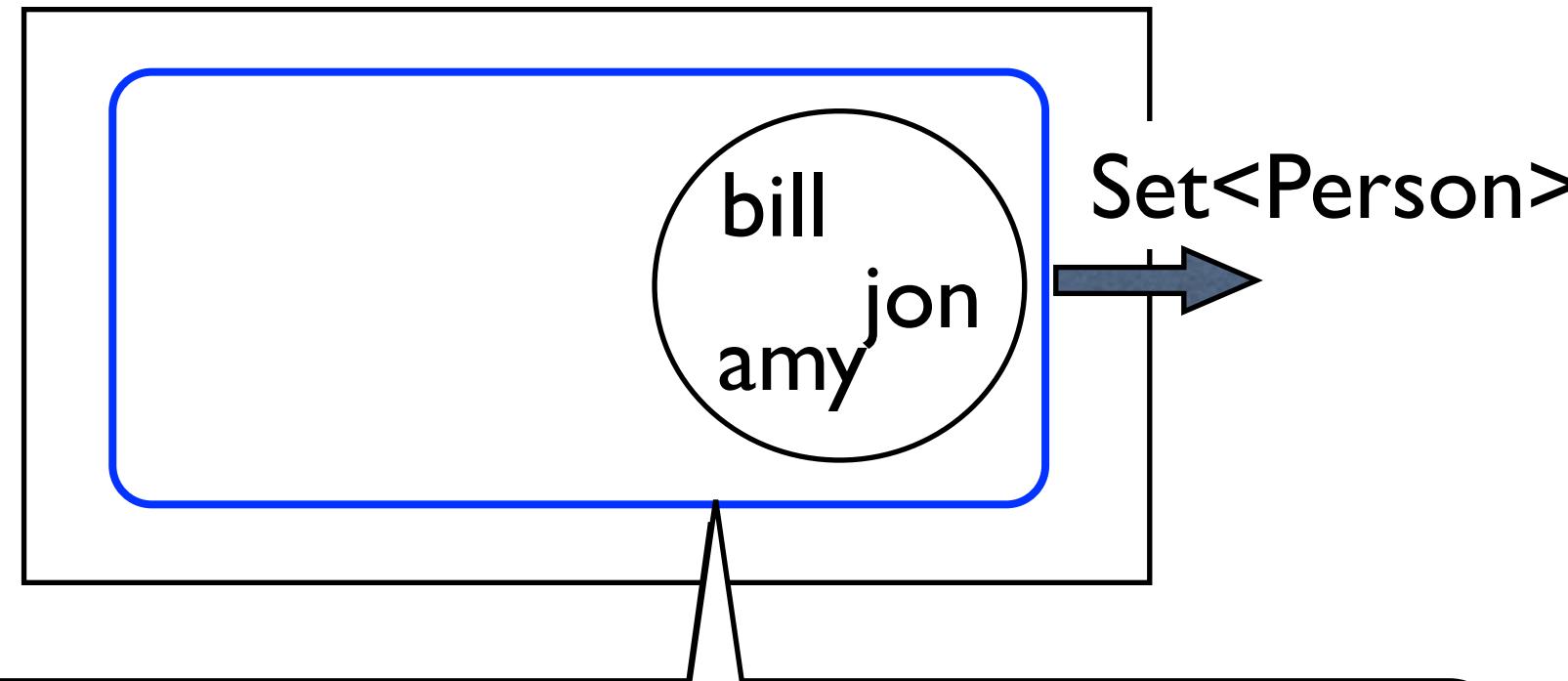
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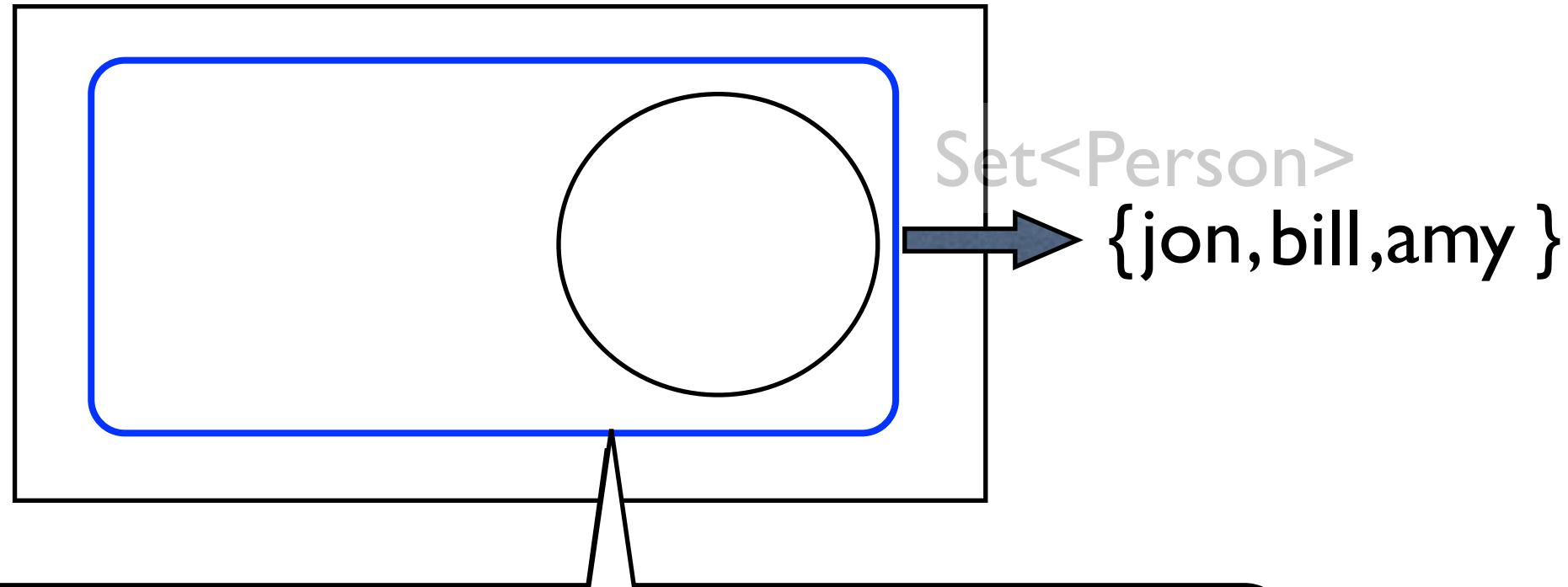
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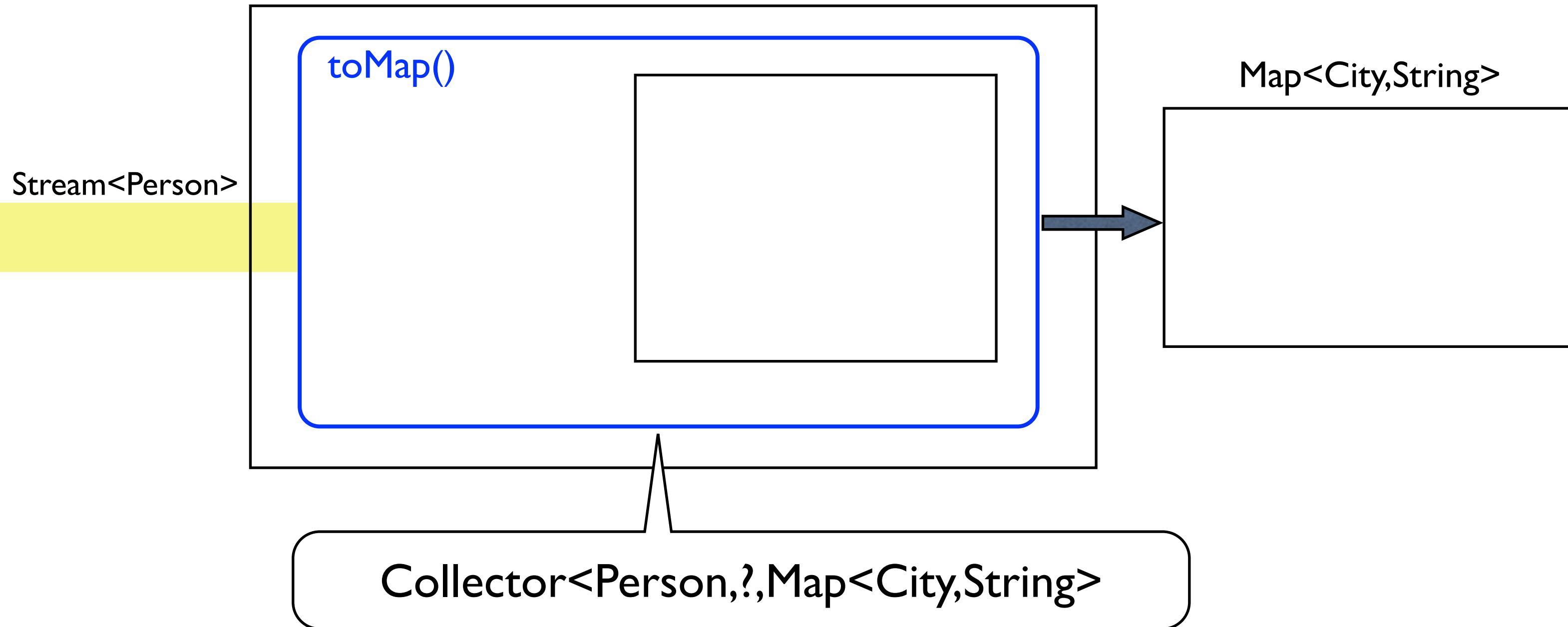
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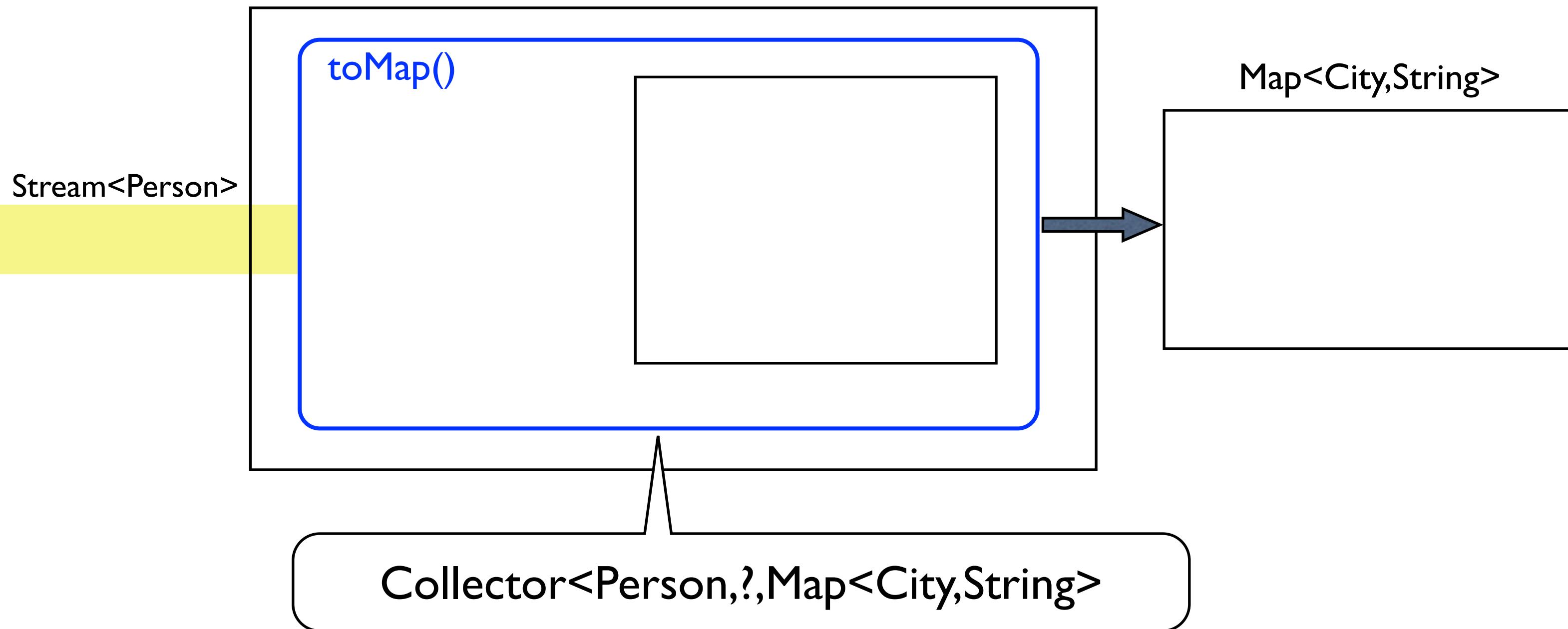
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people.stream().collect(  
    Collectors.toMap(  
        Person::getCity,  
        Person::getName))
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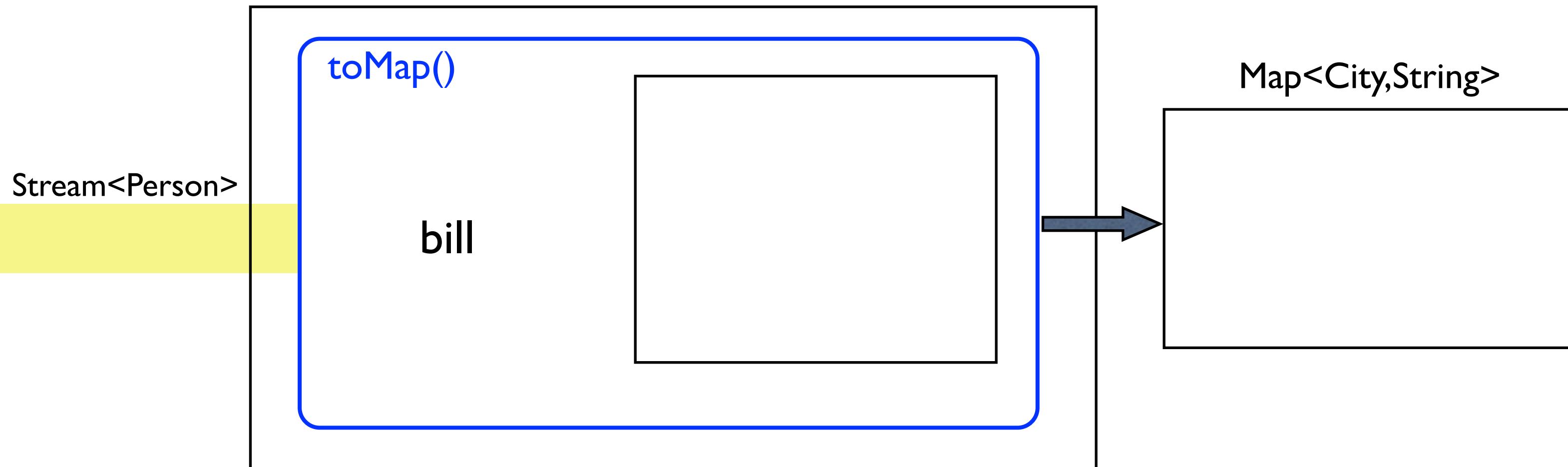
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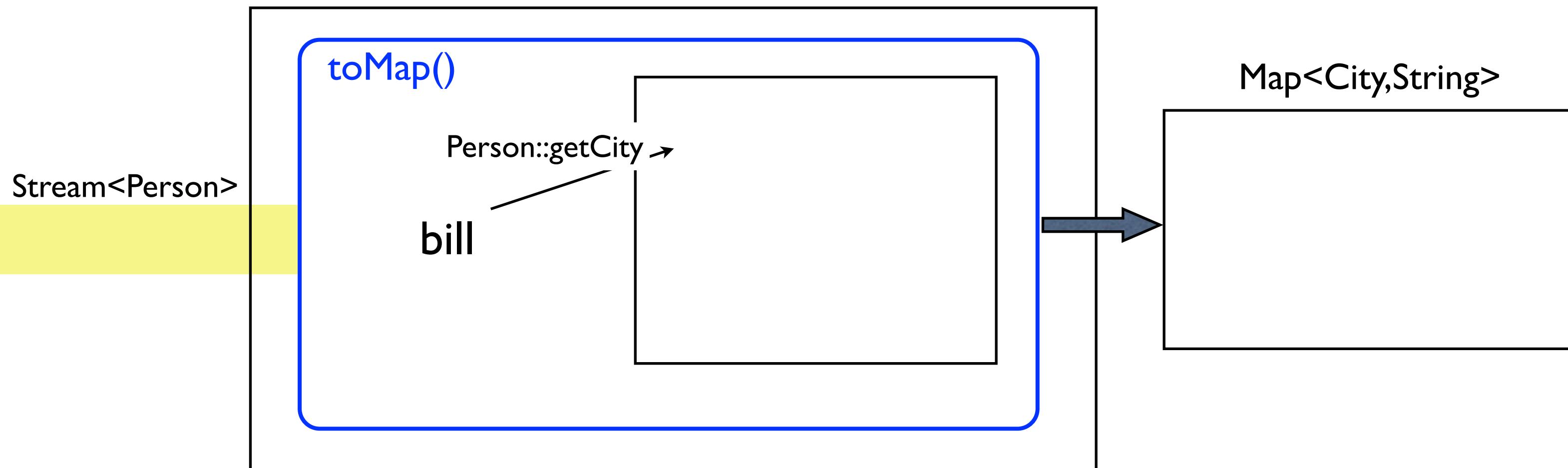
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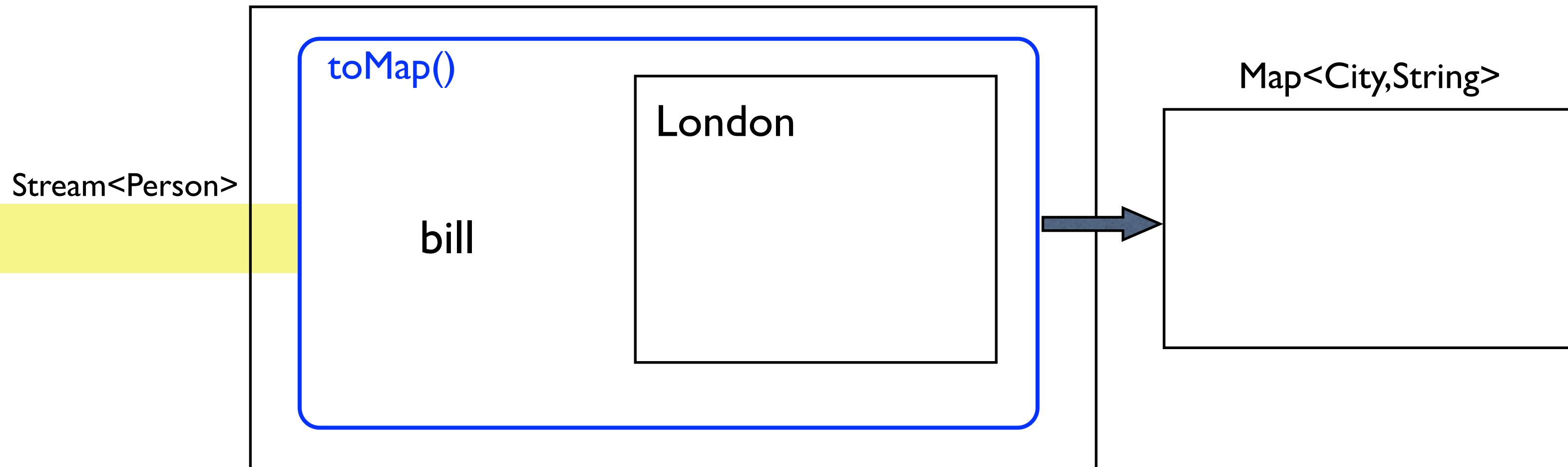
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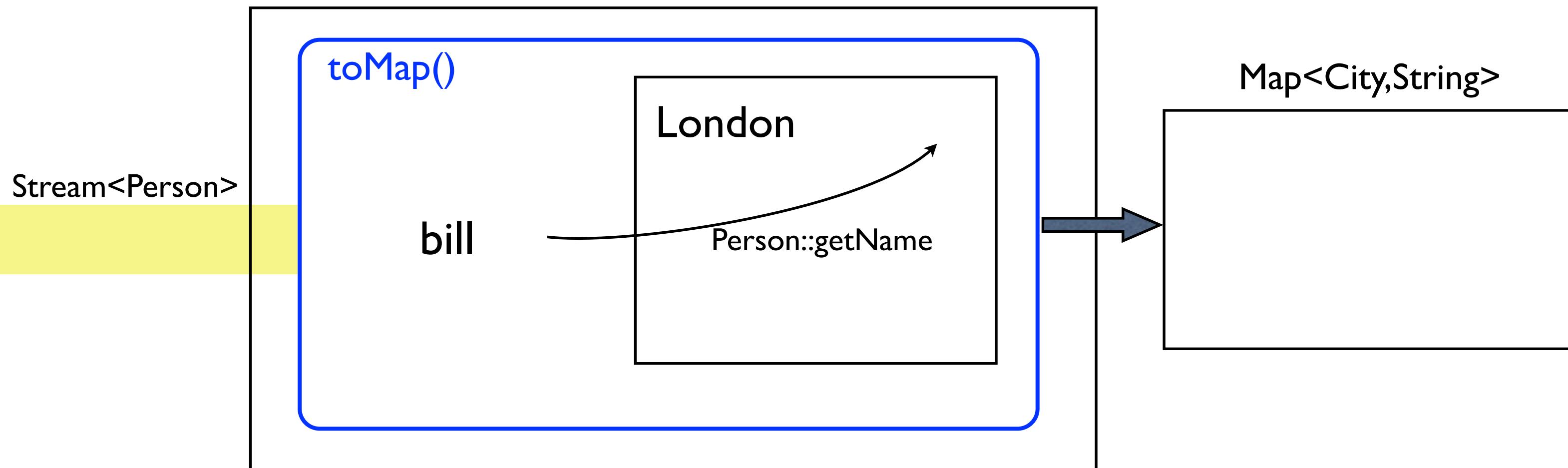
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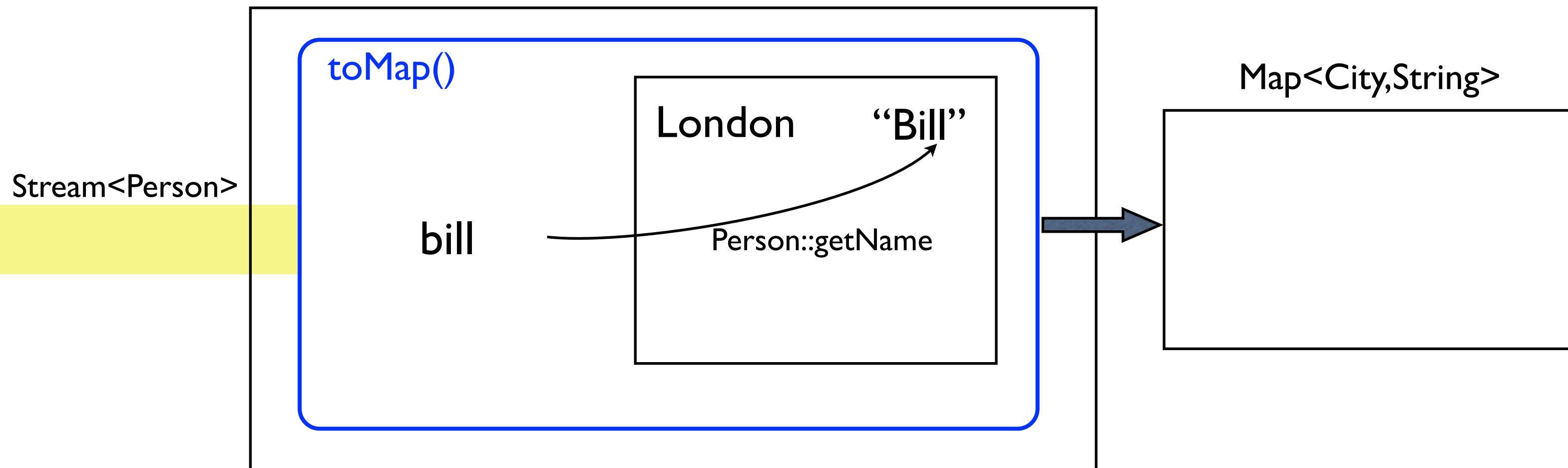
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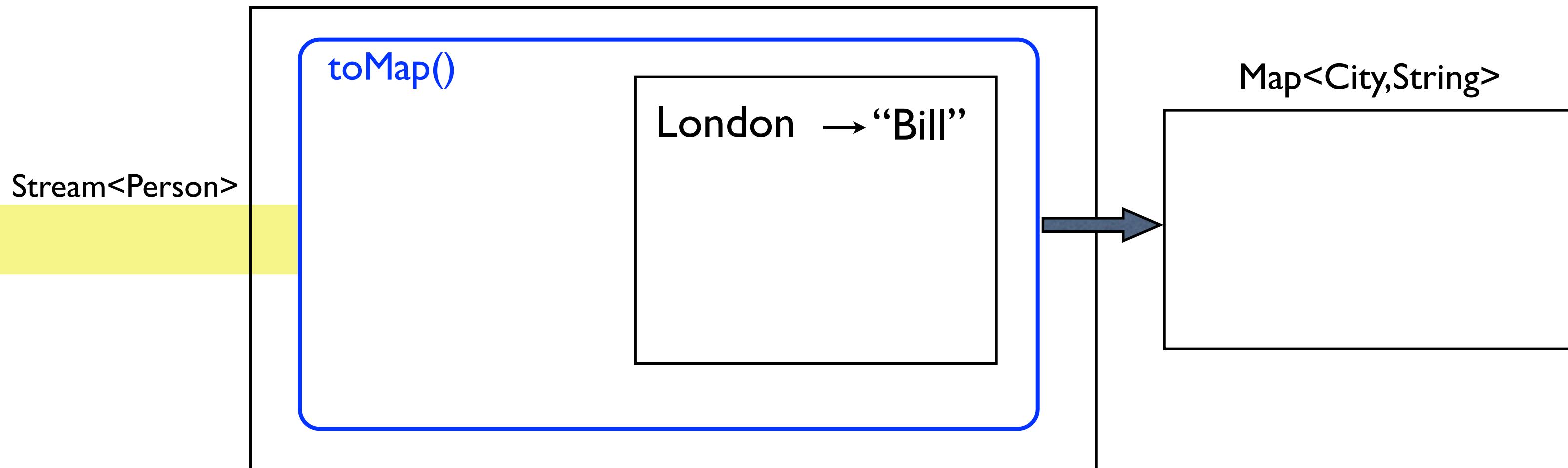
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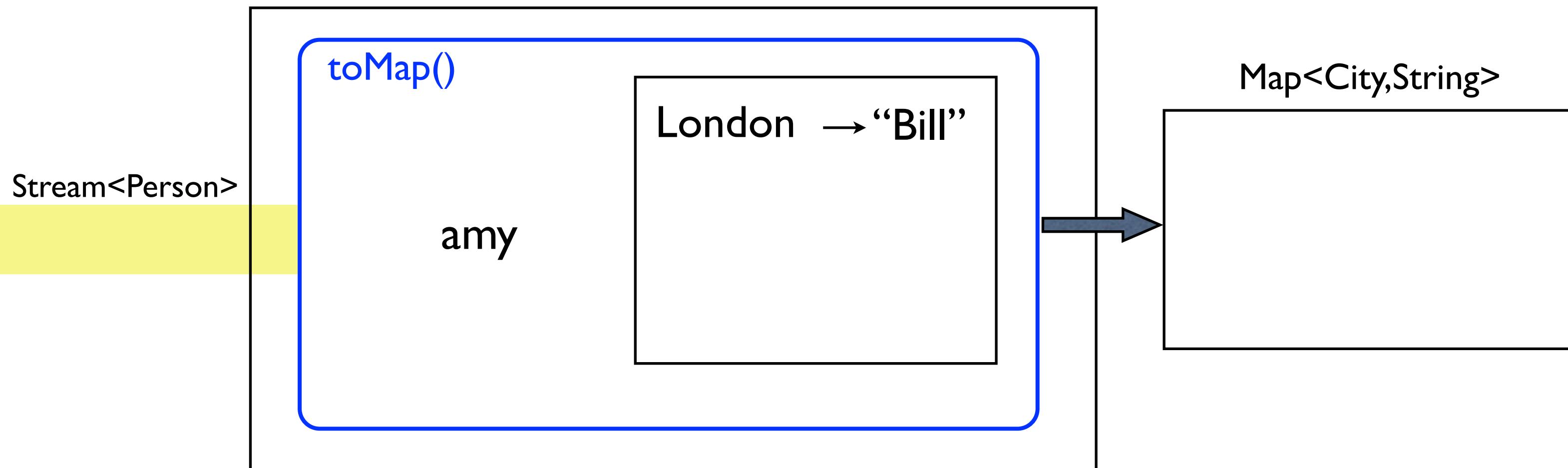
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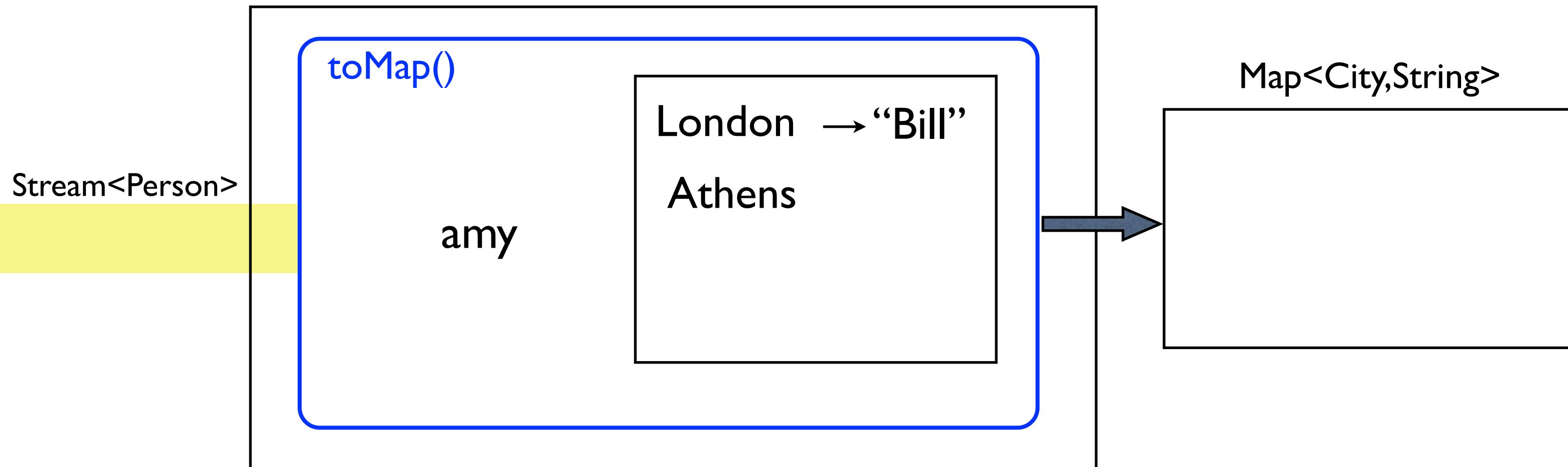
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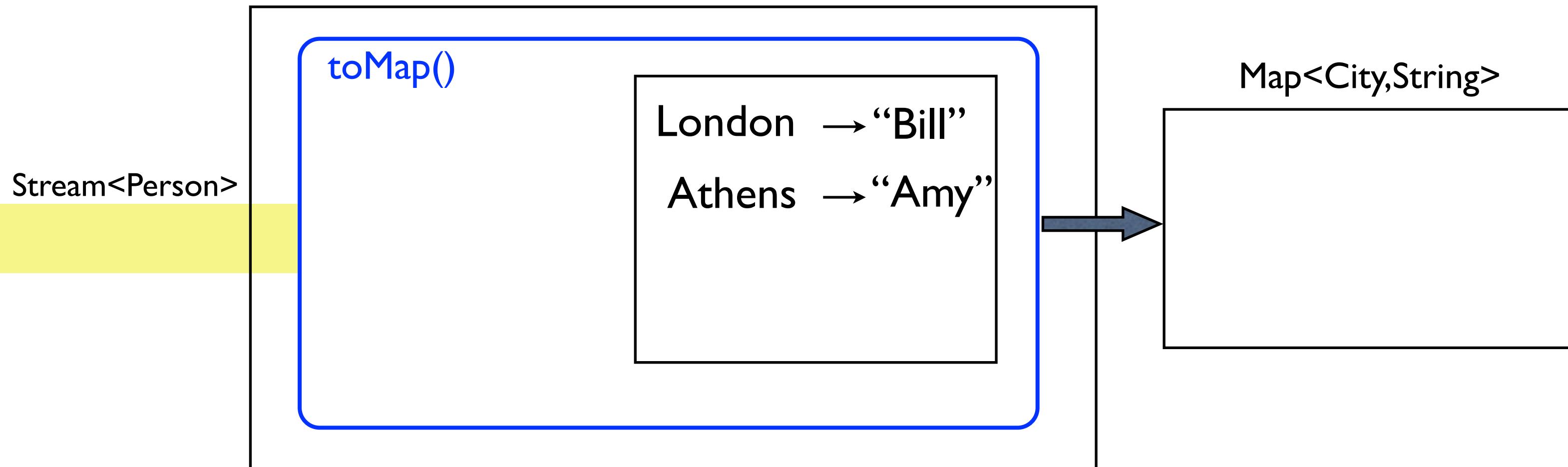
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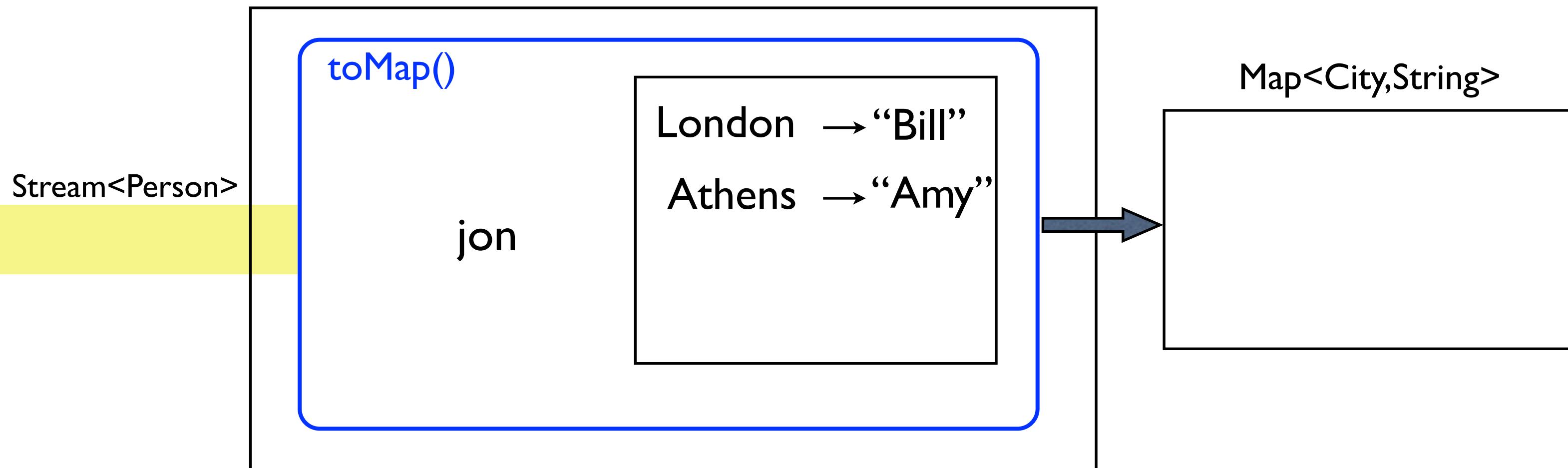
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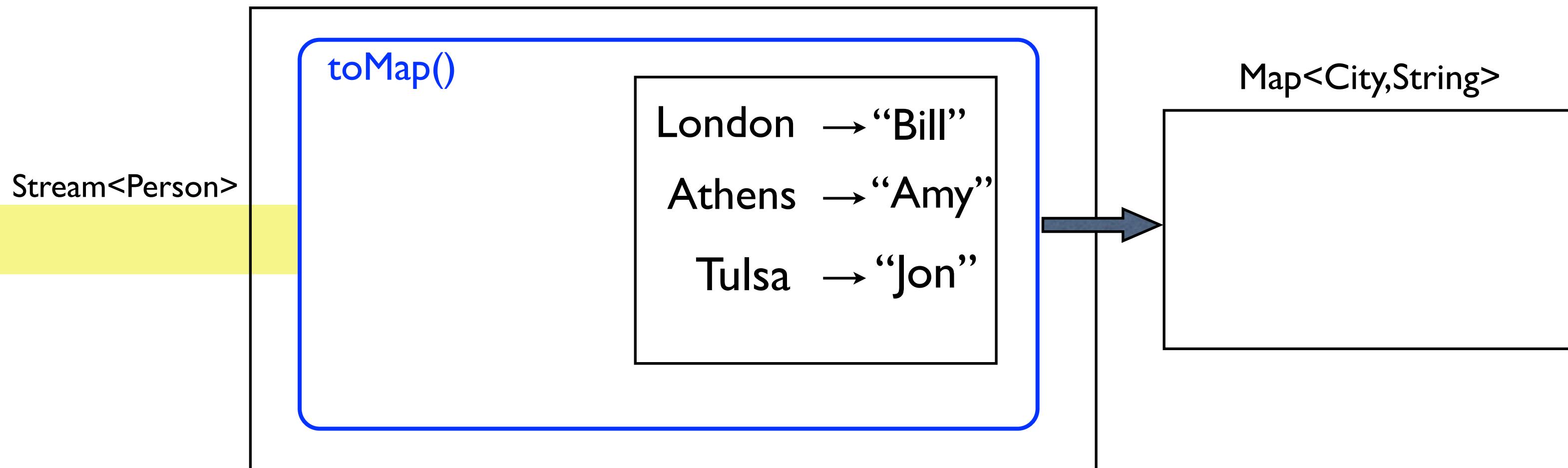
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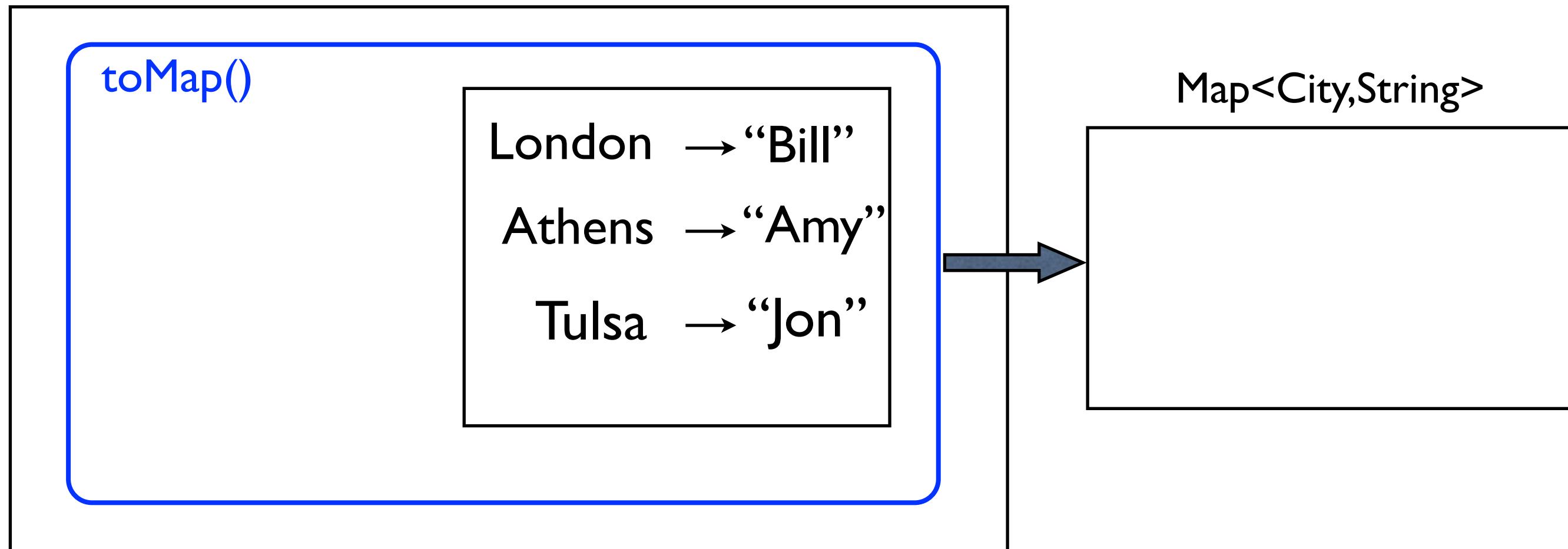
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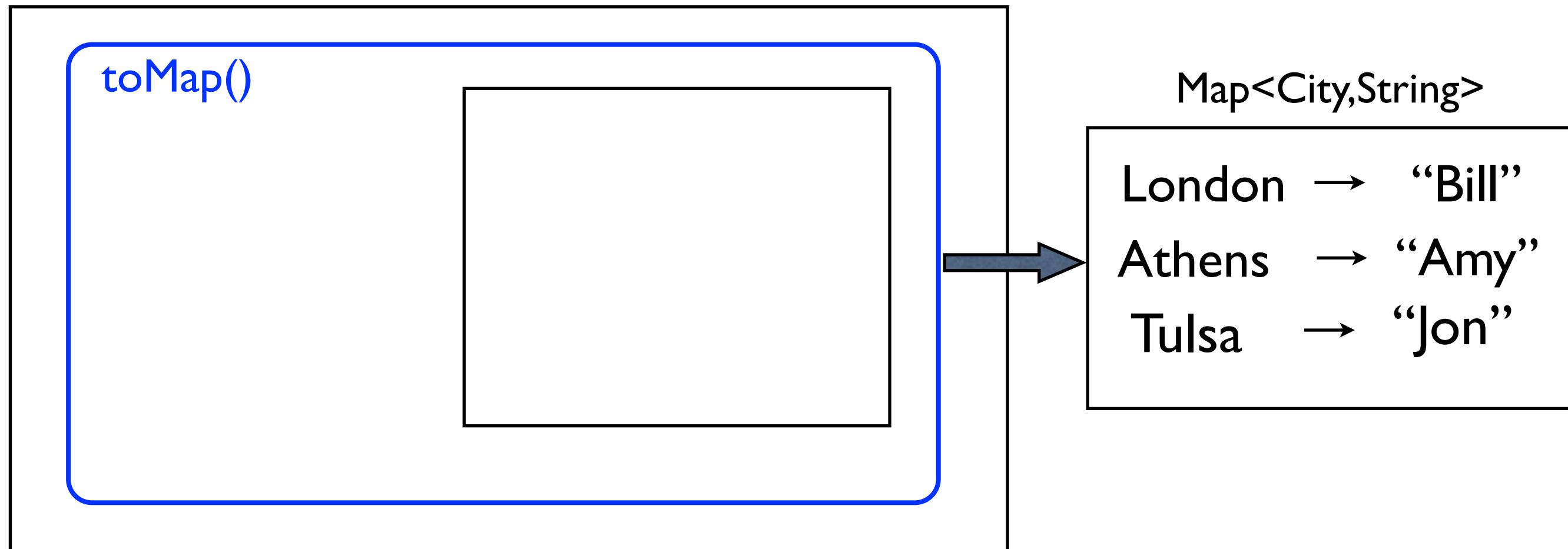
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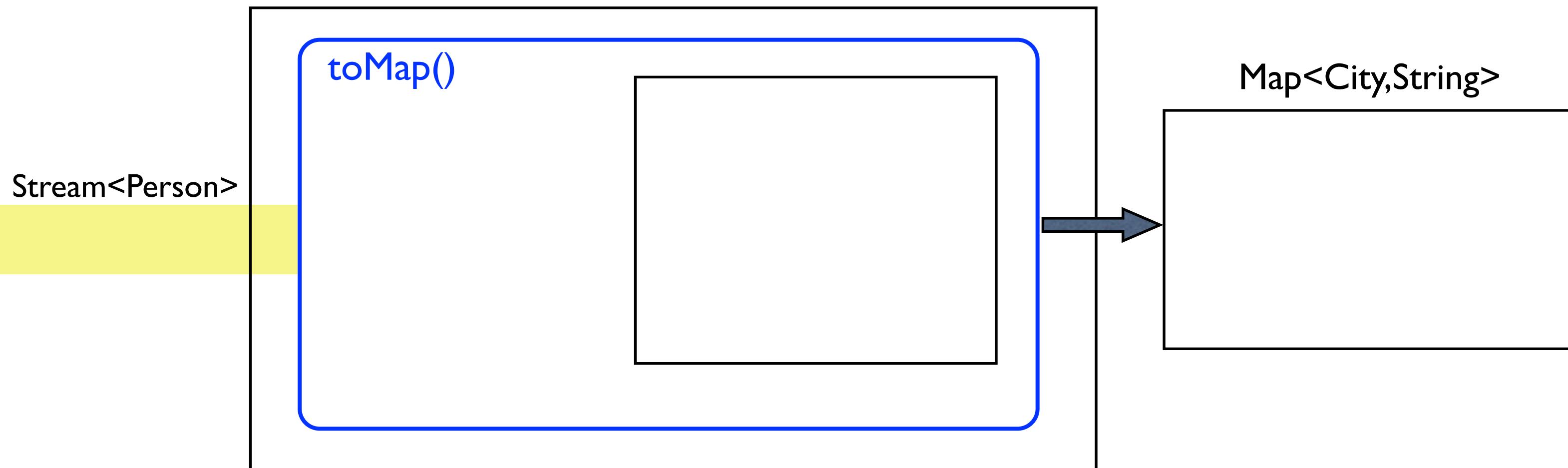
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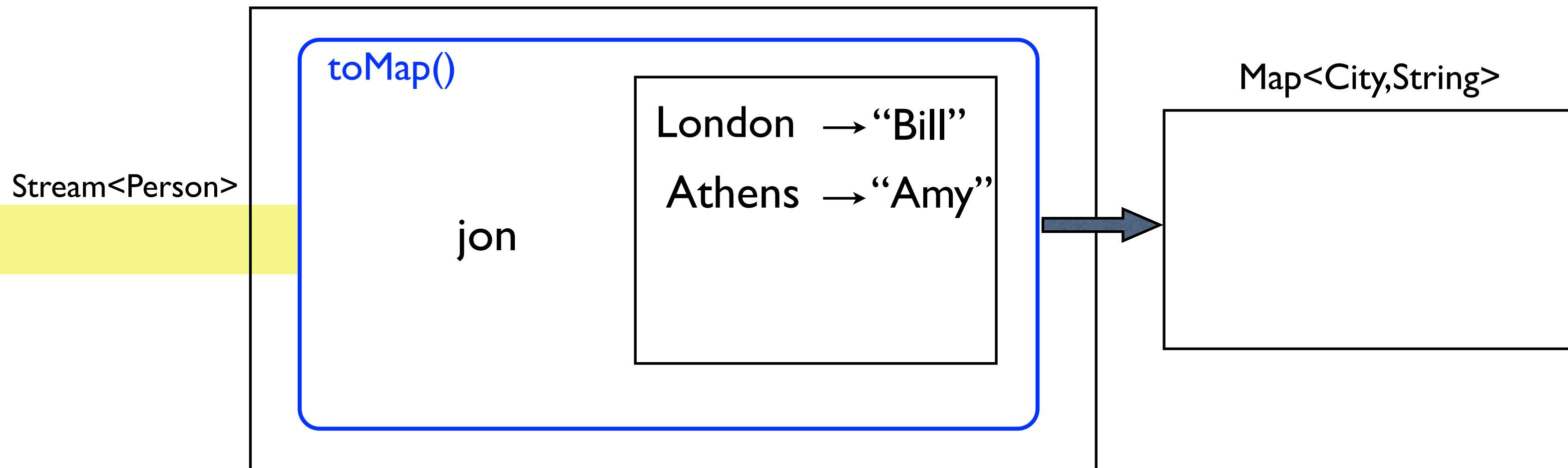
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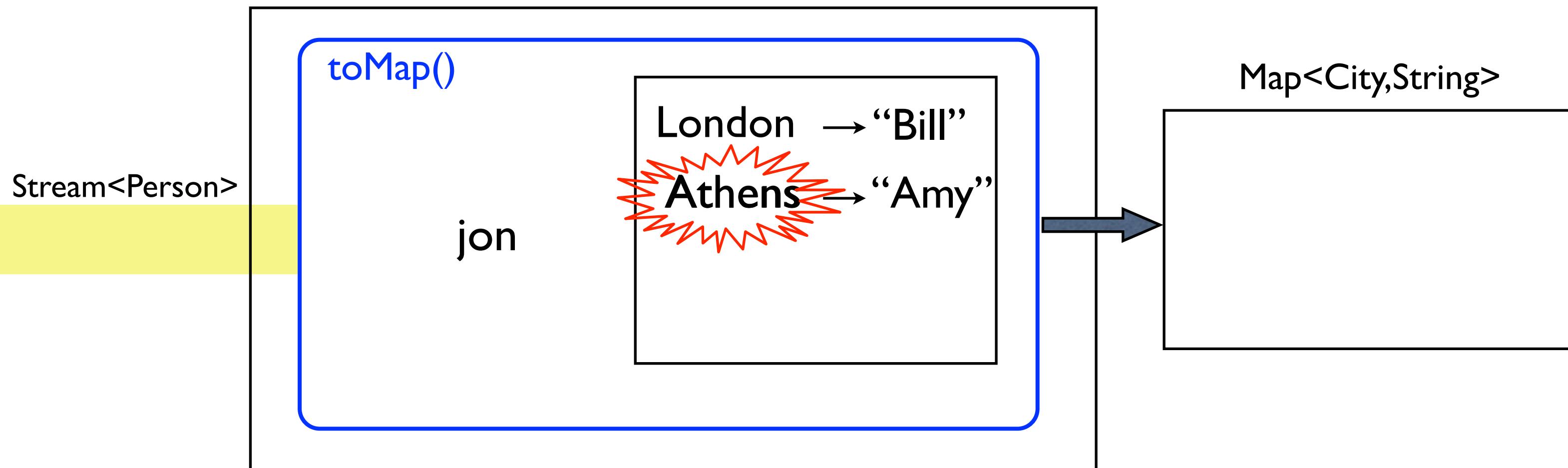
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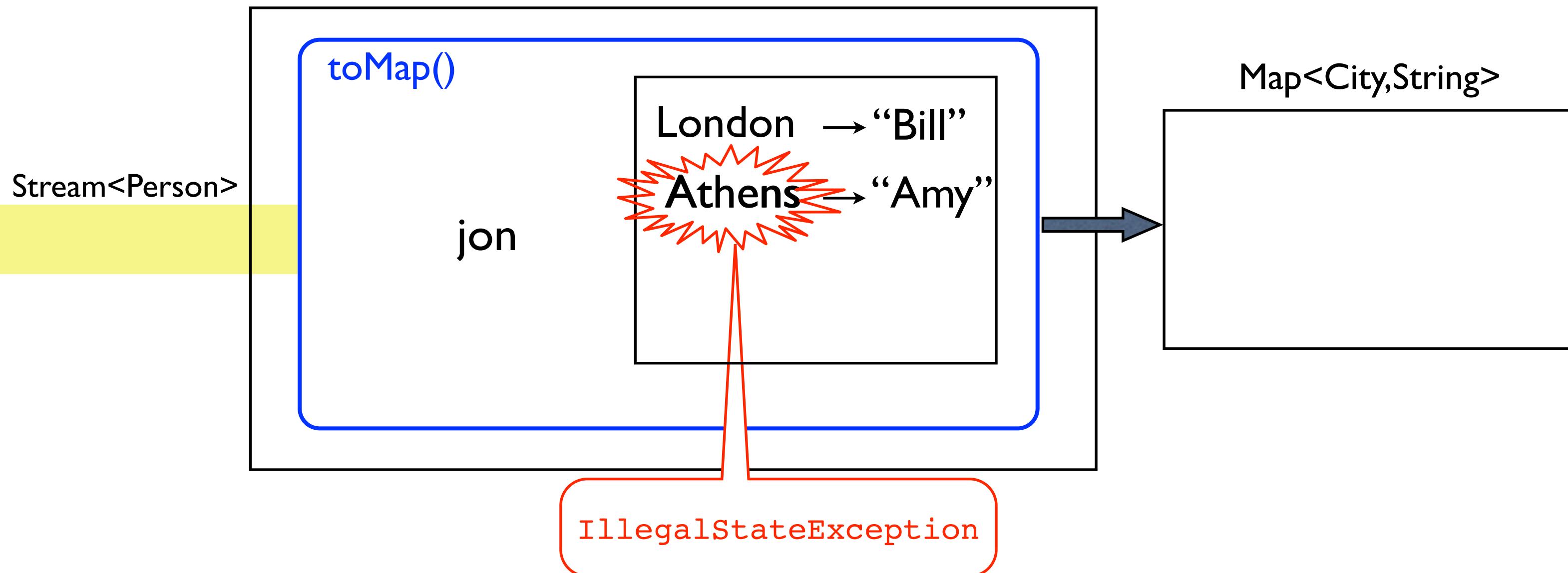
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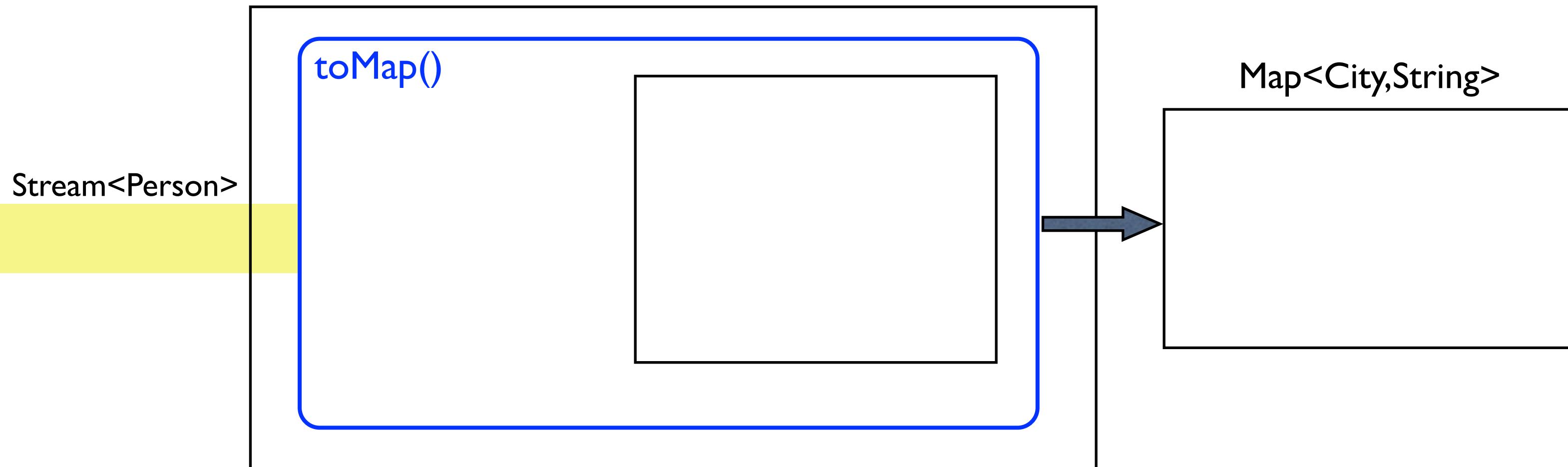
`toMap(Function<T,K> keyMapper, Function<T,U> valueMapper)`



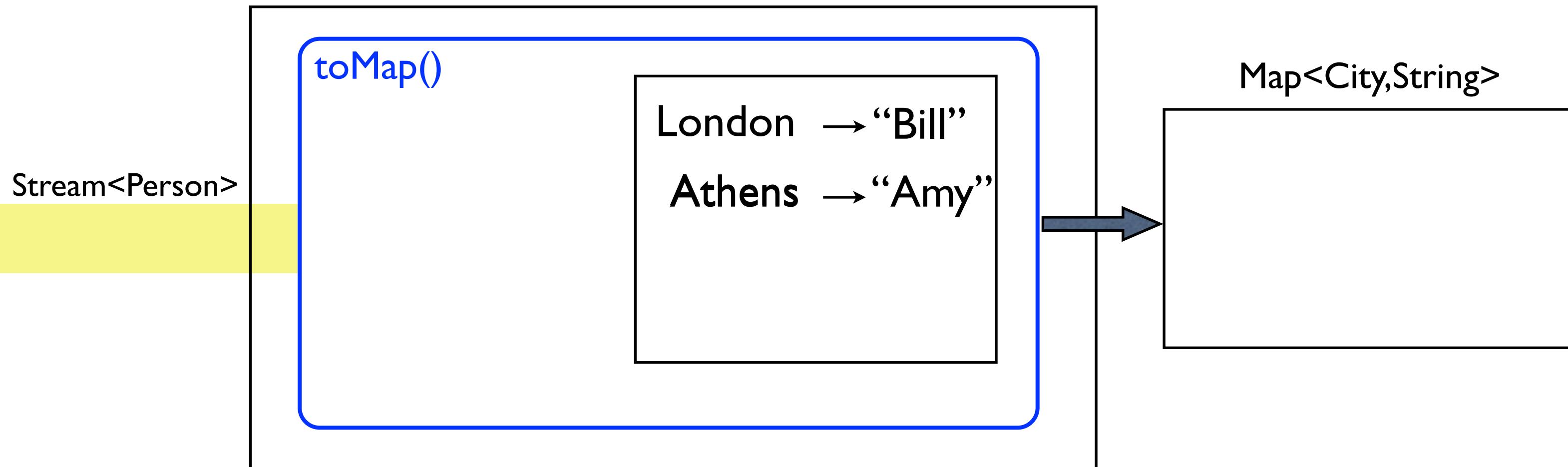
`toMap(Function<T,K> keyMapper,
Function<T,U> valueMapper
BinaryOperator<U> mergeFunction)`

```
people.stream().collect(  
    Collectors.toMap(  
        Person::getCity,  
        Person::getName,  
        (a,b) -> a.concat(b) ))
```

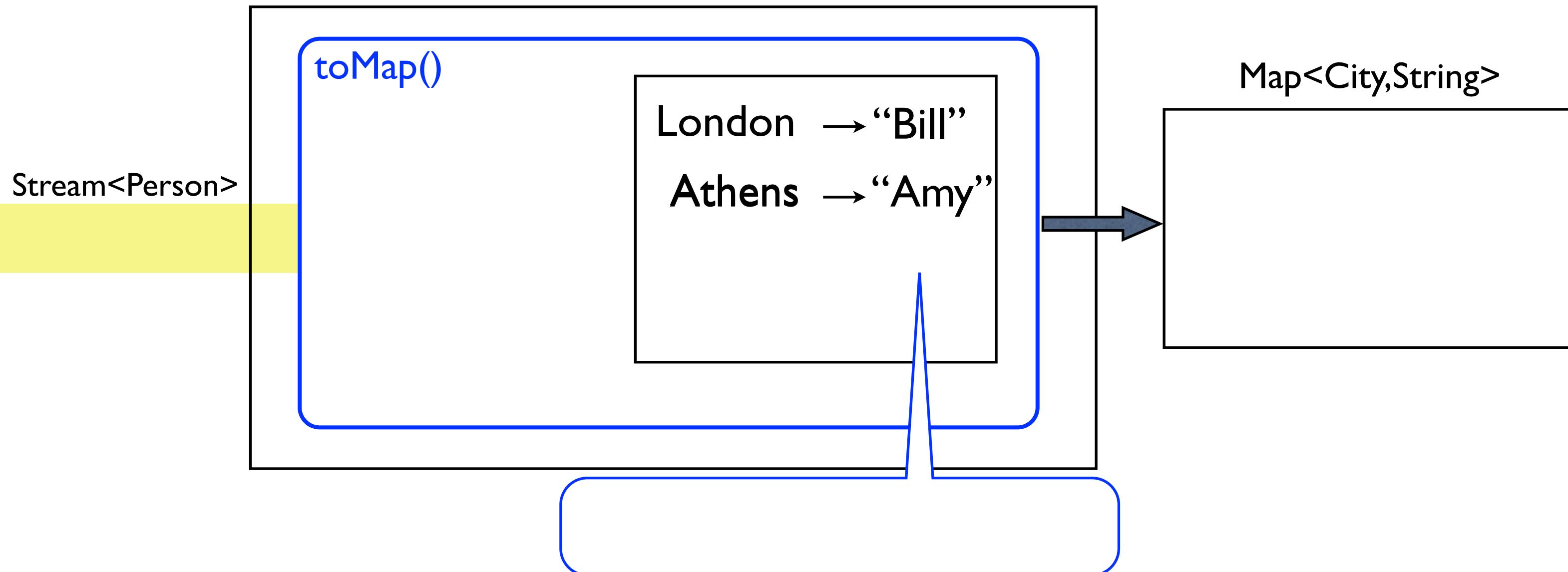
`toMap(Function<T,K> keyMapper,
Function<T,U> valueMapper
BinaryOperator<U> mergeFunction)`



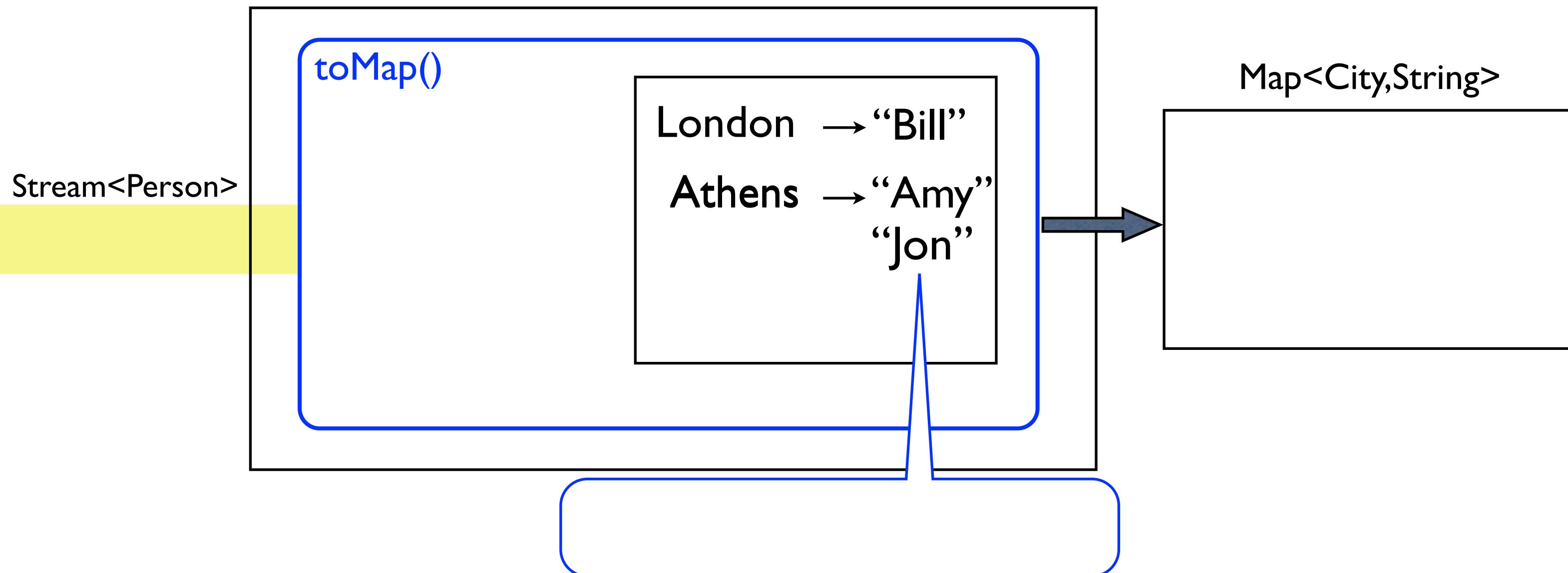
`toMap(Function<T,K> keyMapper,
Function<T,U> valueMapper
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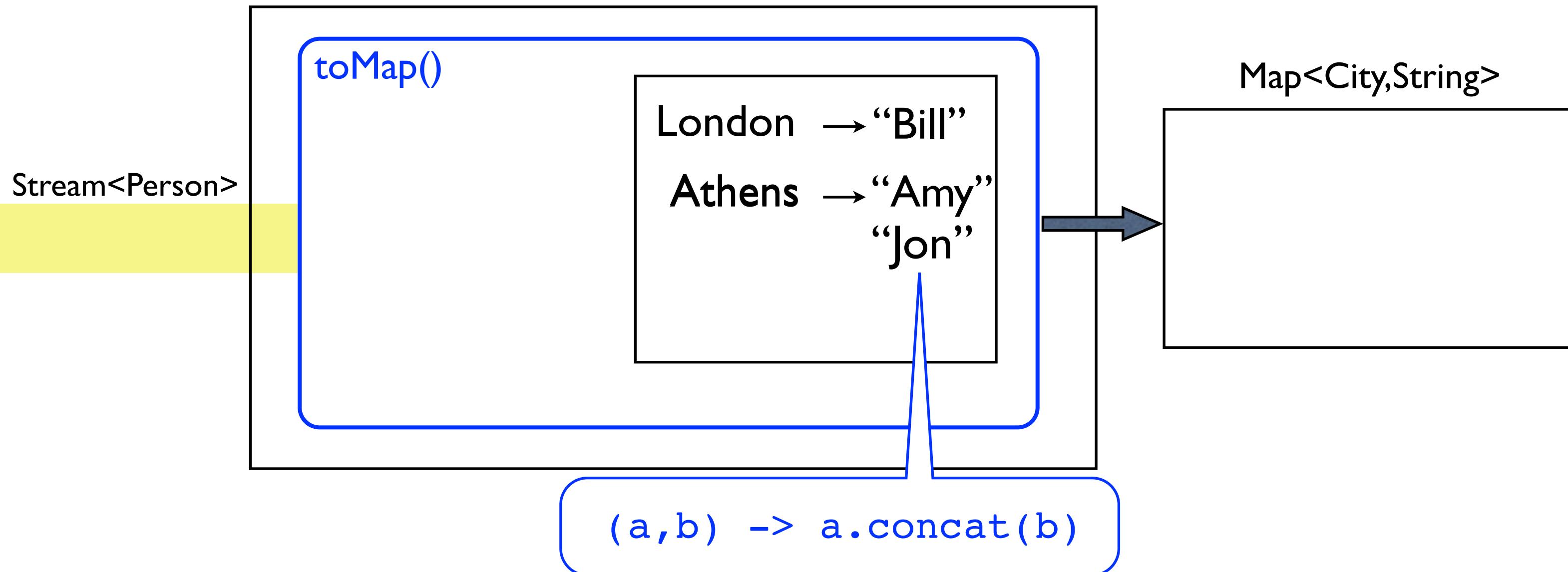
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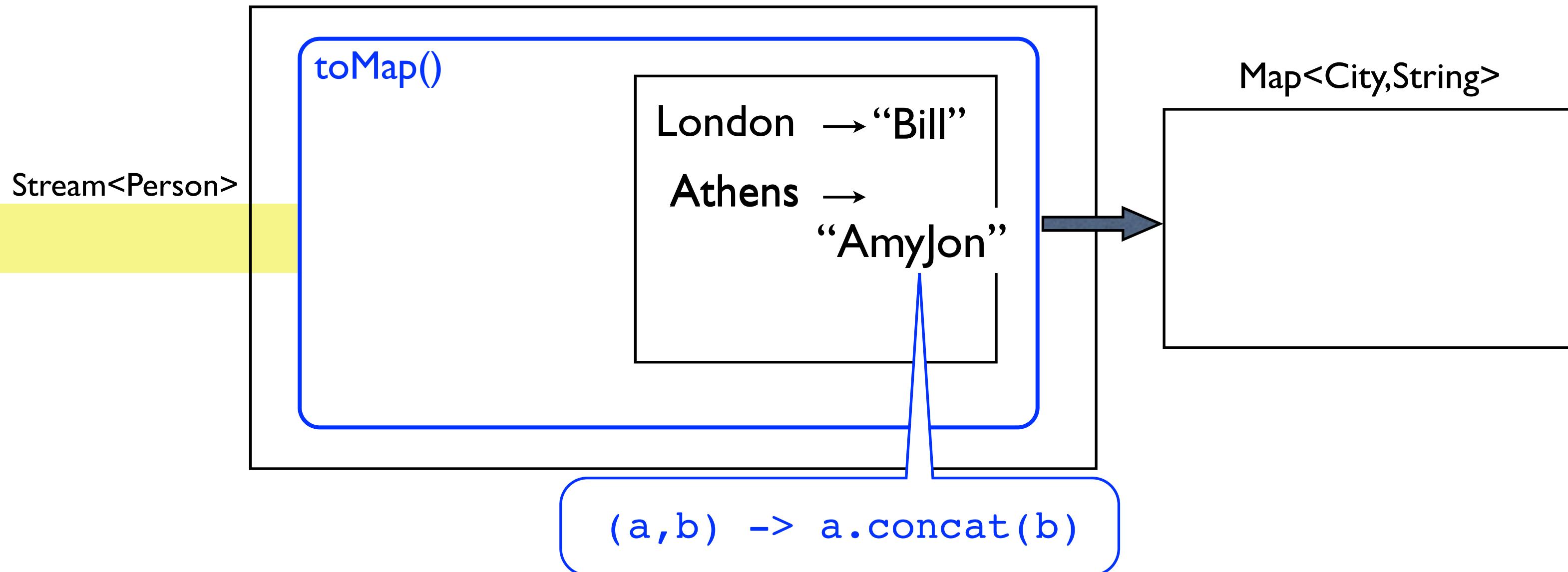
`toMap(Function<T,K> keyMapper,
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Function<T,U> valueMapper
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Collectors API

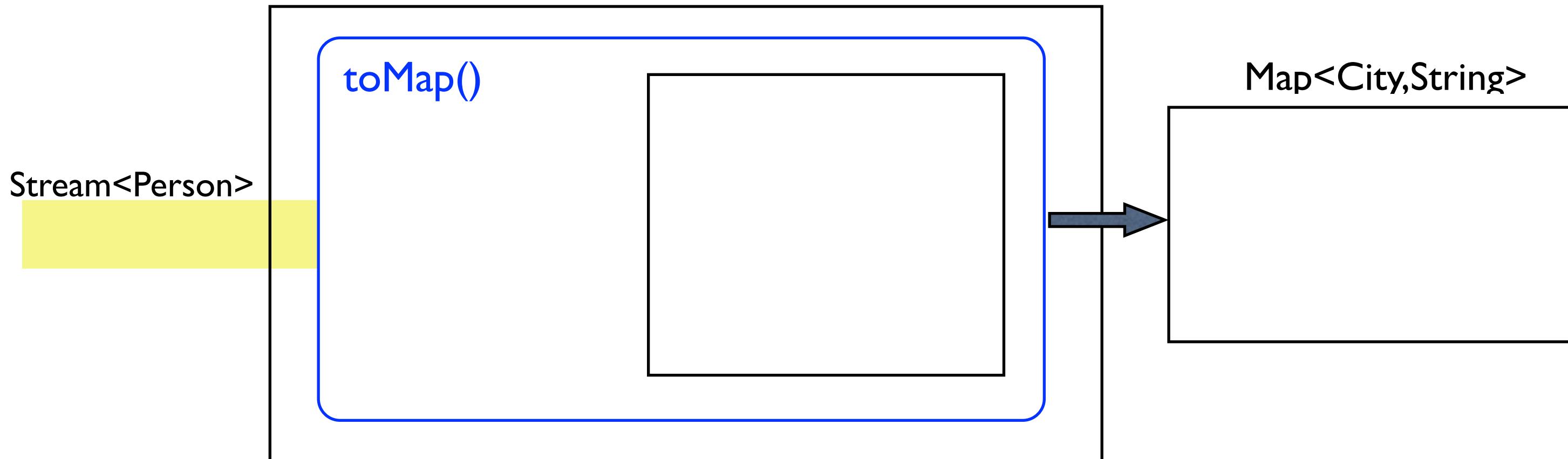
Factory methods in the `Collectors` class. They produce standalone collectors, accumulating to:

- framework-supplied containers;
- **custom collections;**
- classification maps.

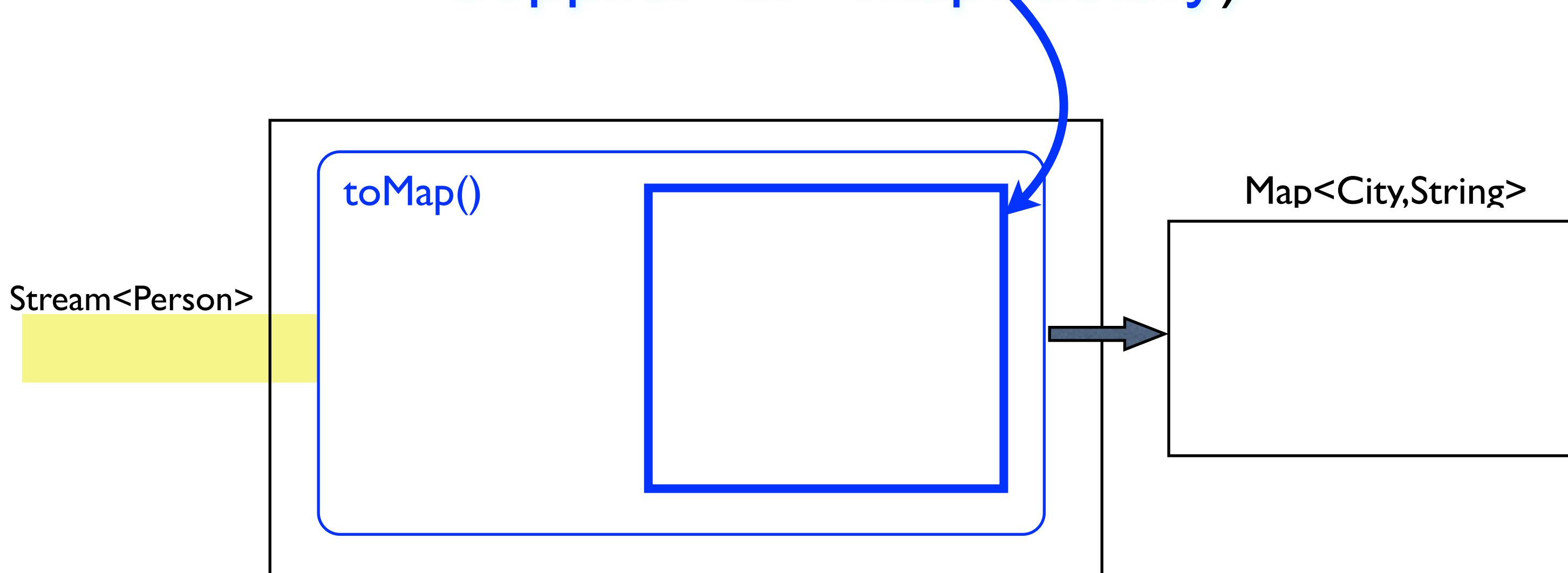
```
toMap(Function<T,K> keyMapper,  
      Function<T,U> valueMapper  
      BinaryOperator<U> mergeFunction,  
      Supplier<M> mapFactory)
```

```
people.stream().collect(  
    Collectors.toMap(  
        Person::getCity,  
        Person::getName,  
        (a,b) -> a.concat(b),  
        TreeMap::new))
```

`toMap(Function<T,K> keyMapper,
Function<T,U> valueMapper
BinaryOperator<U> mergeFunction,
Supplier<M> mapFactory)`



`toMap(Function<T,K> keyMapper,
Function<T,U> valueMapper
BinaryOperator<U> mergeFunction,
Supplier<M> mapFactory)`



Collecting to Custom Collections

<C extends Collection<T>>

```
NavigableSet<String> sortedNames = people.stream()
    .map(Person::getName)
    .collect(toCollection(TreeSet::new));
```

Collecting to Custom Collections

```
<C extends Collection<T>>  
toCollection(Supplier<C> collectionFactory)
```

```
NavigableSet<String> sortedNames = people.stream()  
.map(Person::getName)  
.collect(toCollection(TreeSet::new));
```

Collectors API

Factory methods in the `Collectors` class. They produce standalone collectors, accumulating to:

- framework-supplied containers;
- custom collections;
- classification maps.

Collecting to Classification Maps

groupingBy

- simple
- with downstream
- with downstream and map factory

partitioningBy

groupingBy(Function<T,K> classifier)

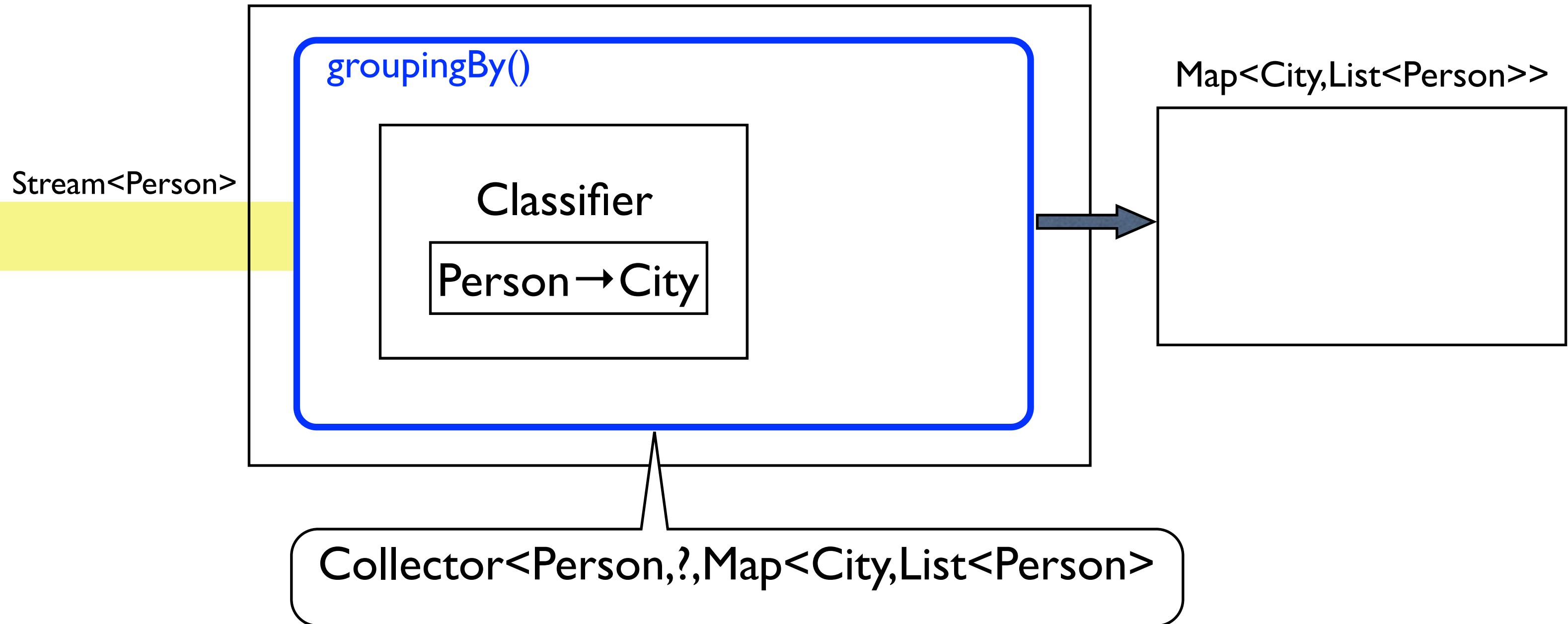
Uses the classifier function to make a *classification mapping*

Like toMap(), except that the values placed in the map are lists of the elements, one List corresponding to each classification key:

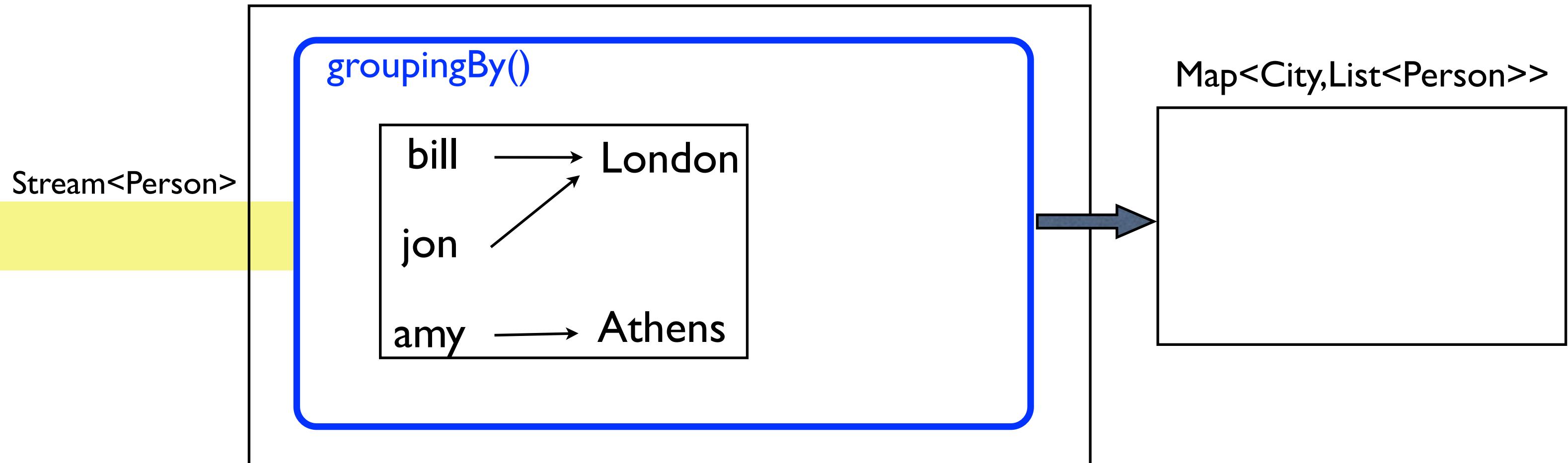
For example, use Person.getCity() to make a Map<City,List<Person>>

```
Map<City,List<Person>> peopleByCity = people.stream().  
    collect(Collectors.groupingBy(Person::getCity));
```

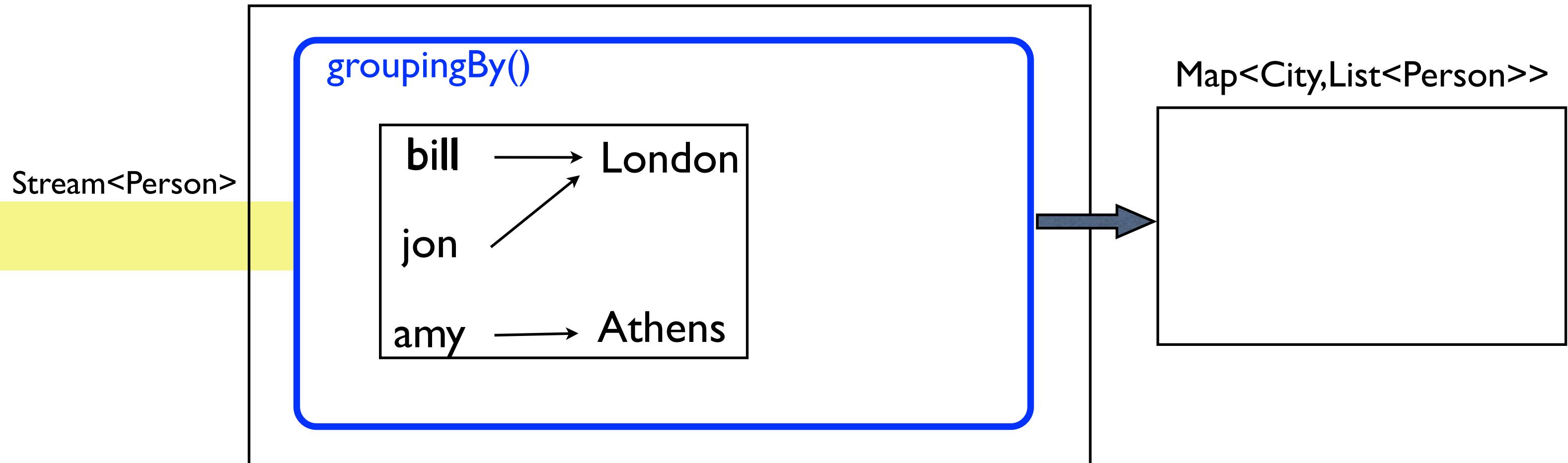
groupingBy(Function<Person,City>)



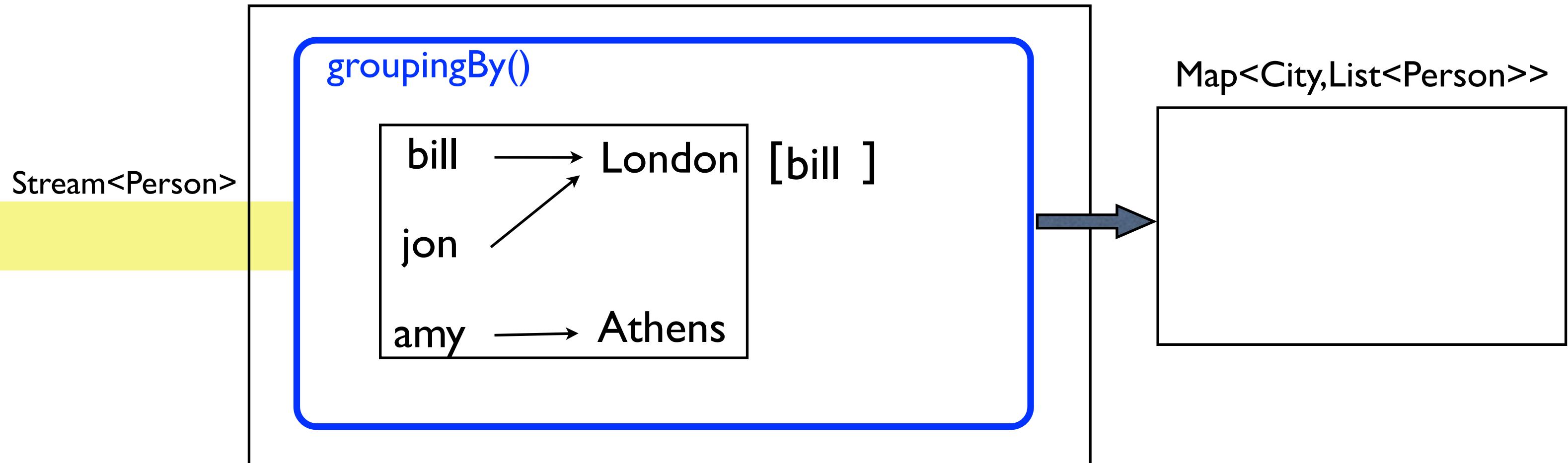
groupingBy(Function<Person,City>)



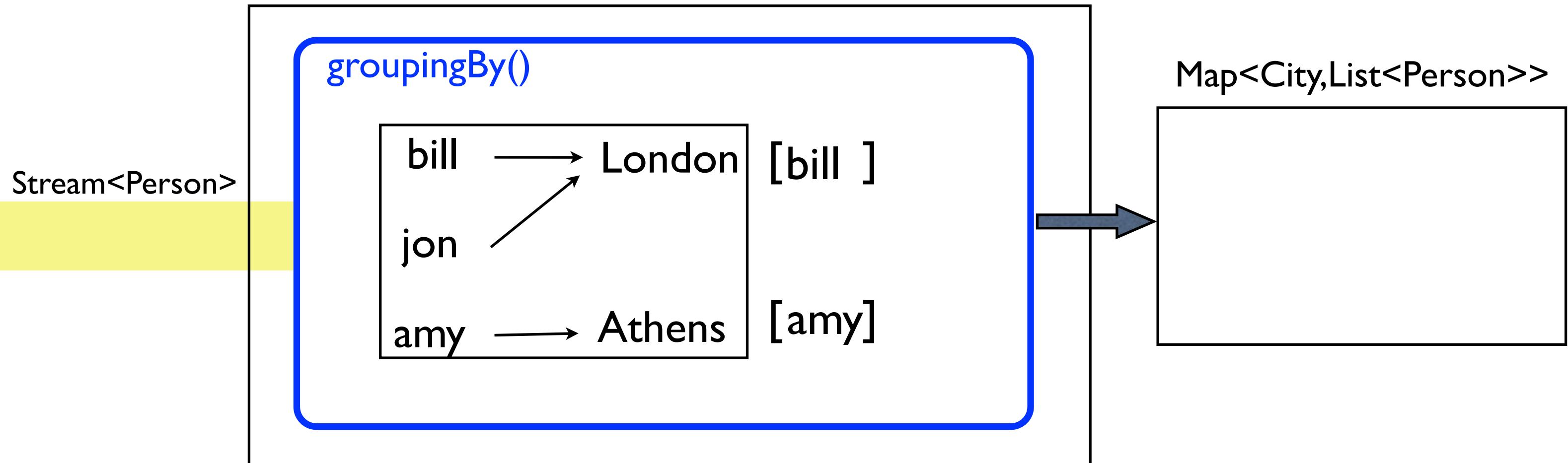
groupingBy(Function<Person,City>)



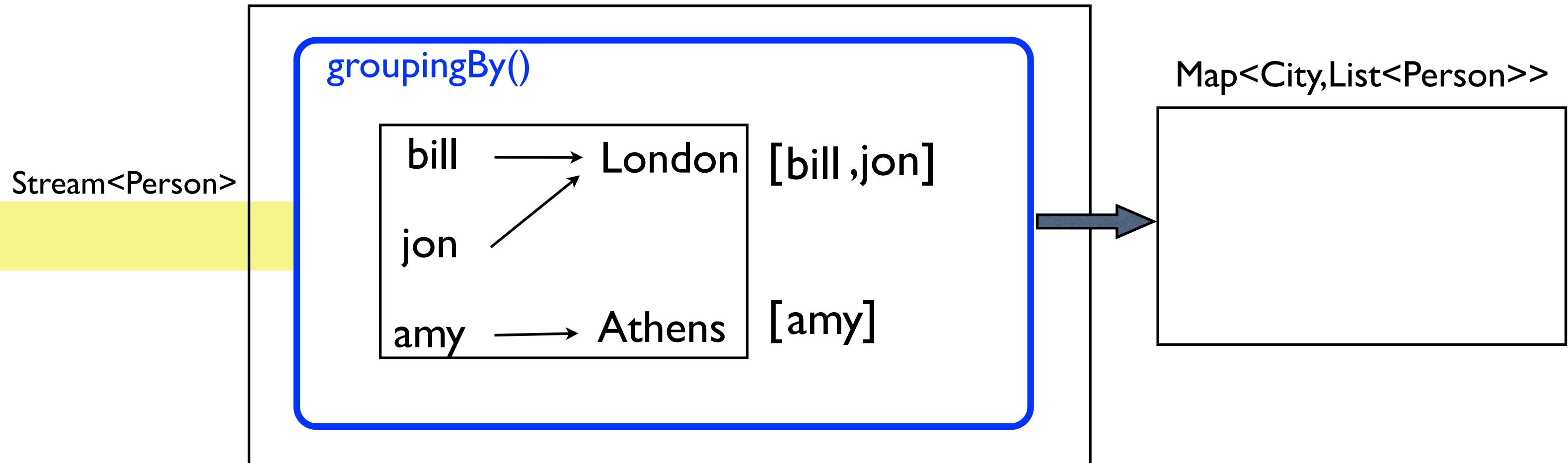
groupingBy(Function<Person,City>)



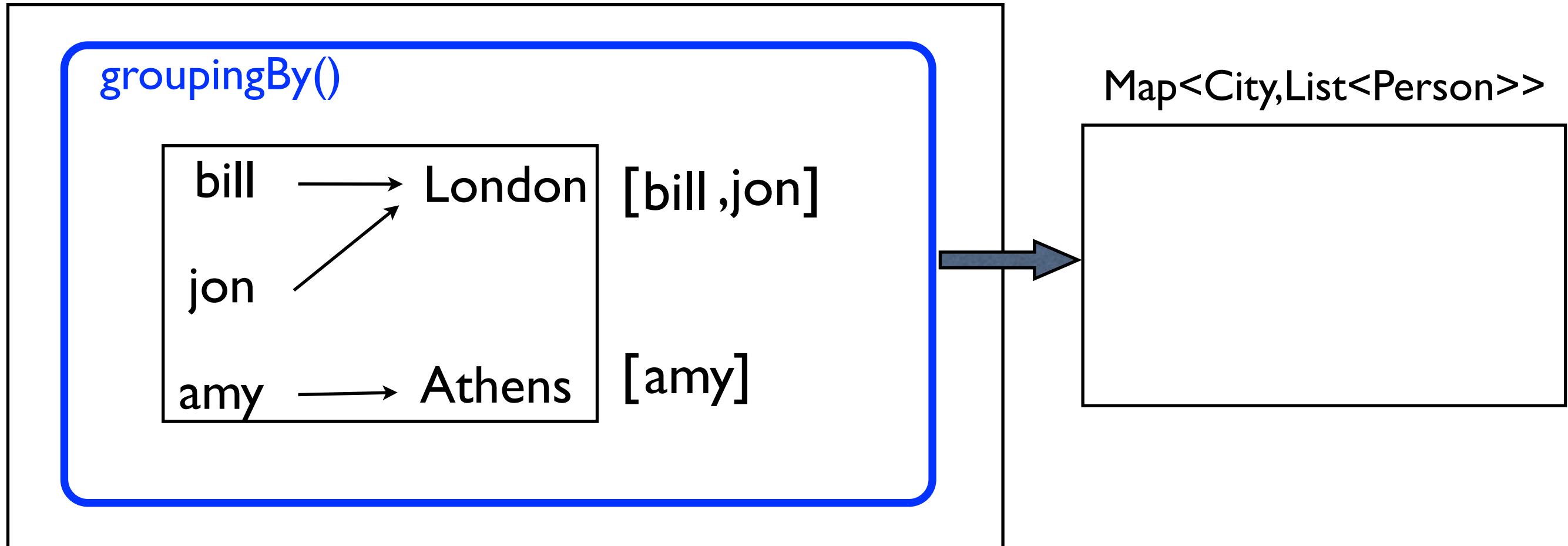
groupingBy(Function<Person,City>)



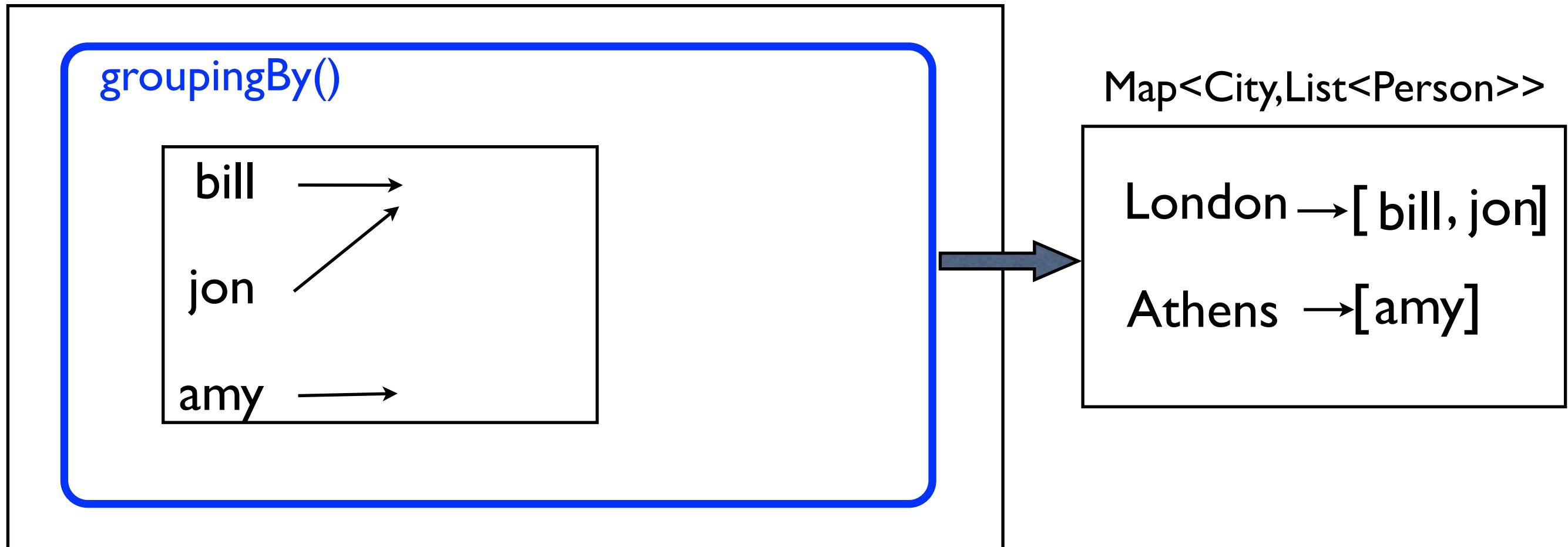
groupingBy(Function<Person,City>)



groupingBy(Function<Person,City>)



groupingBy(Function<Person,City>)



groupingBy(Function<T,K> classifier)

Uses the classifier function to make a *classification mapping*

Like toMap(), except that the values placed in the map are lists of the elements, one List corresponding to each classification key:

For example, use Person.getCity() to make a Map<City,List<Person>>

```
Map<City,List<Person>> peopleByCity = people.stream().  
    collect(Collectors.groupingBy(Person::getCity));
```

groupingBy(classifier, downstream)

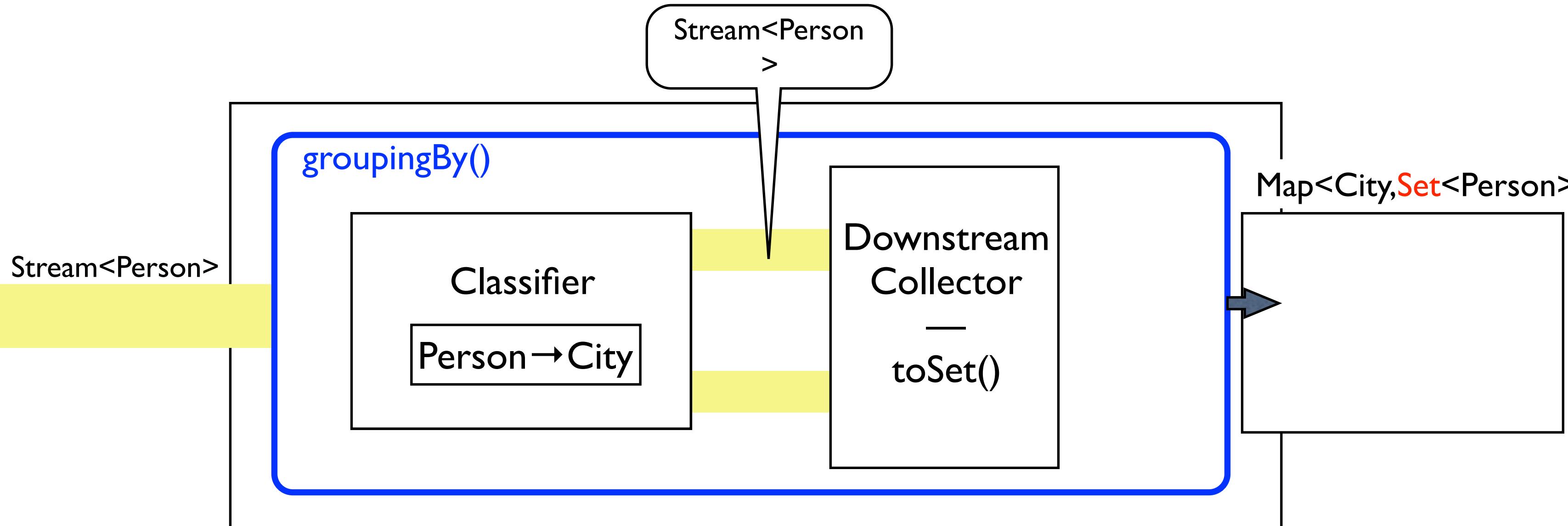
Uses the classifier function to make a *classification mapping* into a container defined by a downstream Collector

Like toMap(), except that the values placed in the map are **containers** of the elements, one **container** corresponding to each classification key:

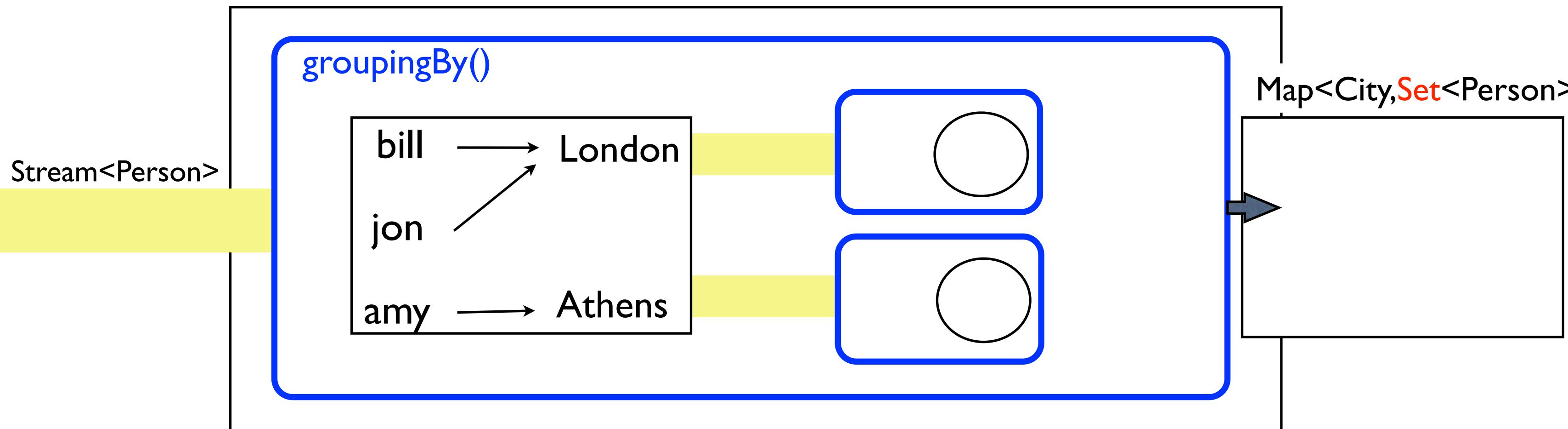
For example, use Person.getCity() to make a Map<City,Set<Person>>

```
Map<City, Set<Person>> peopleByCity = people.stream().  
    collect(Collectors.groupingBy(Person::getCity, toSet()));
```

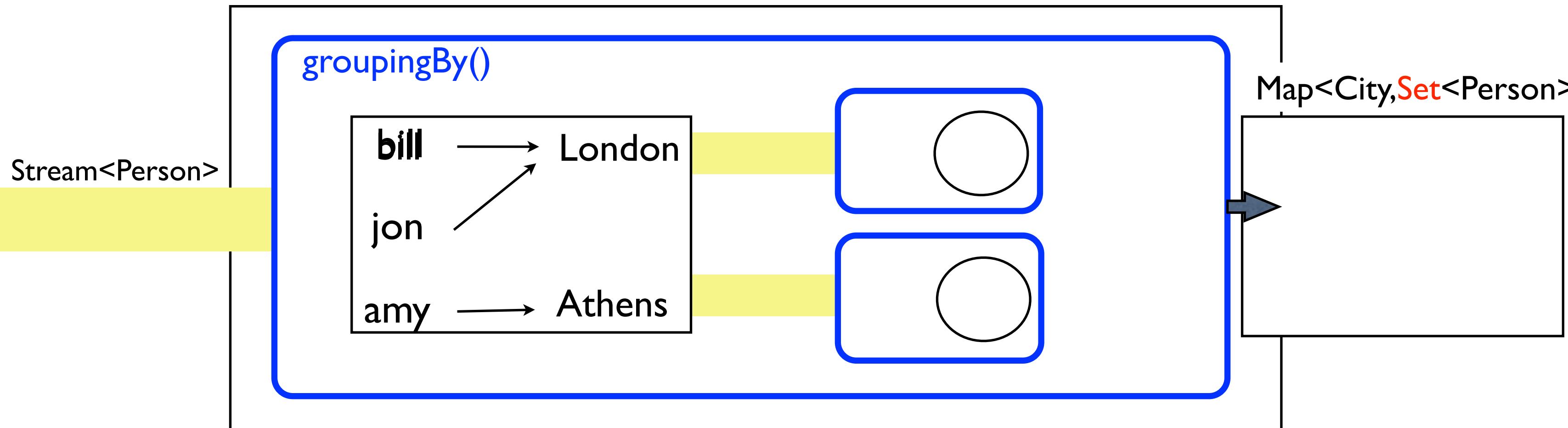
groupingBy(Function classifier,Collector downstream))



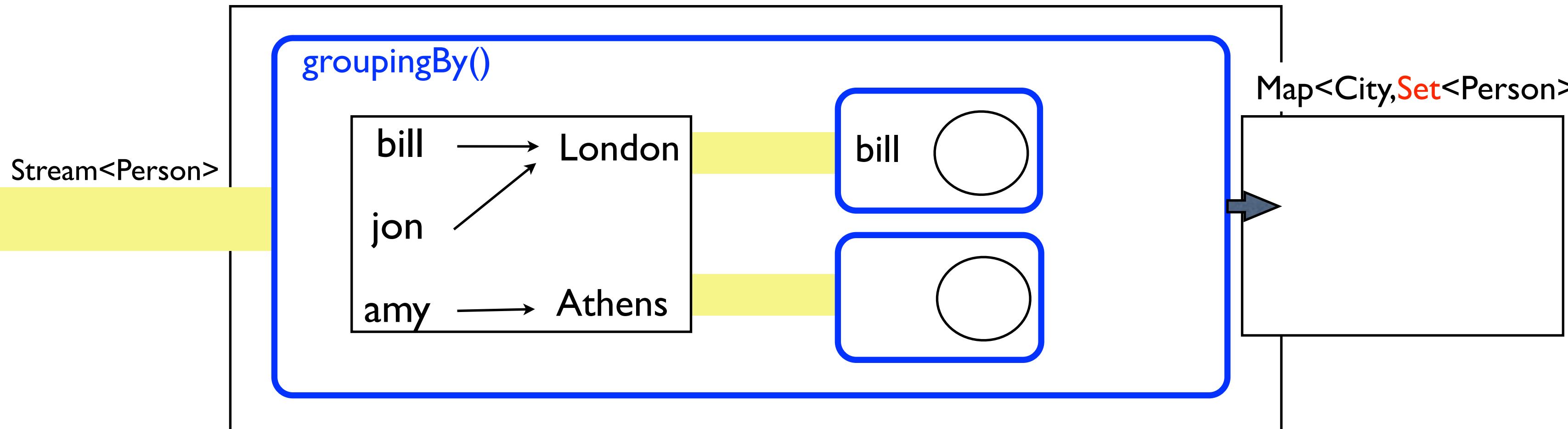
groupingBy(Function classifier,Collector downstream))



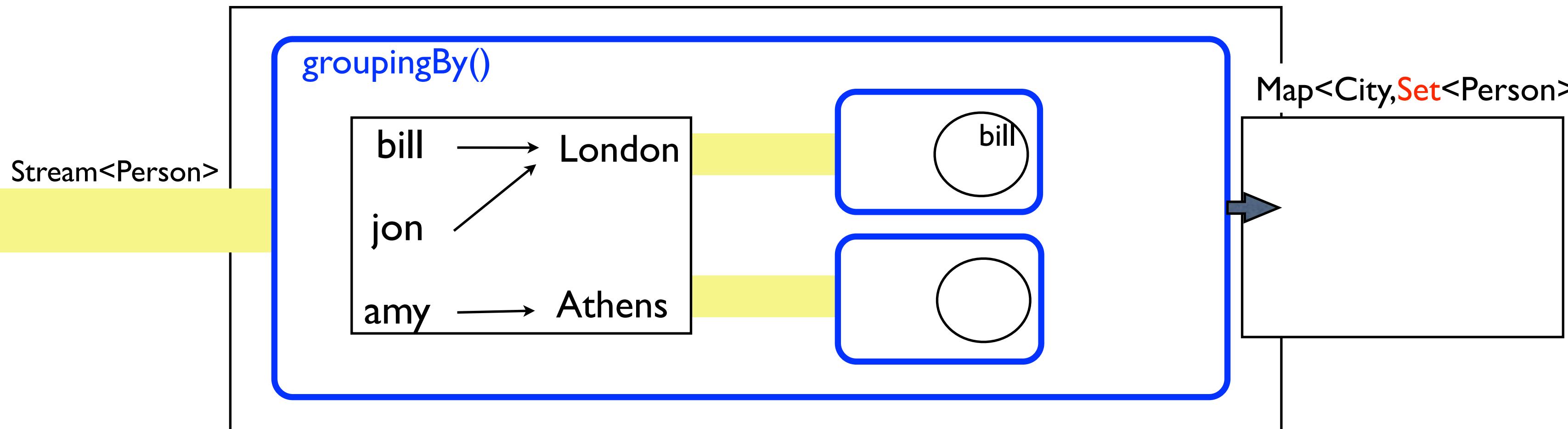
groupingBy(Function classifier,Collector downstream))



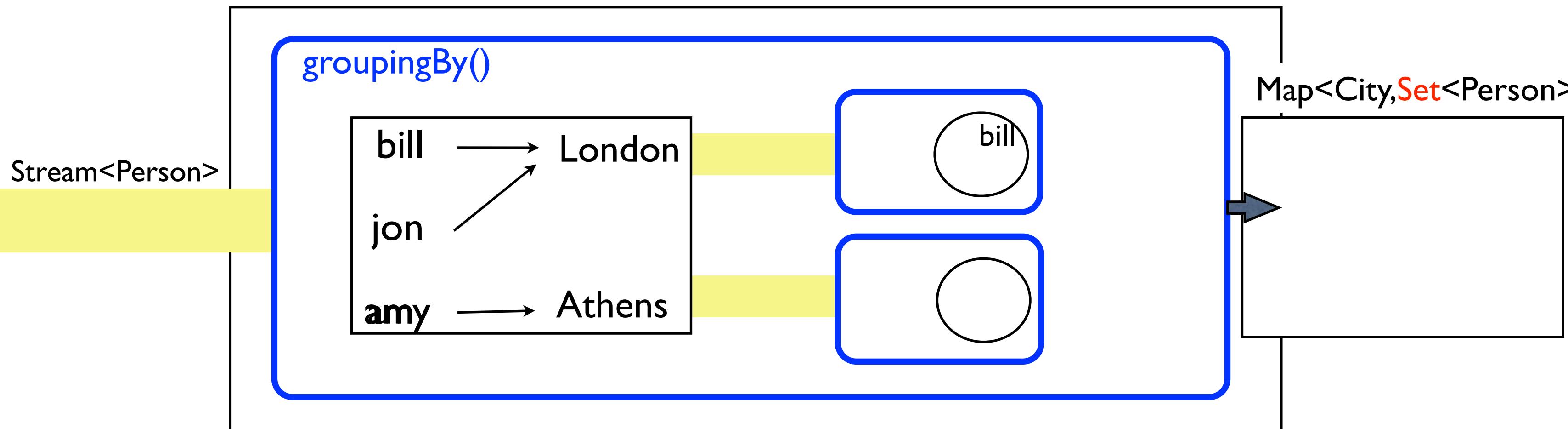
groupingBy(Function classifier,Collector downstream))



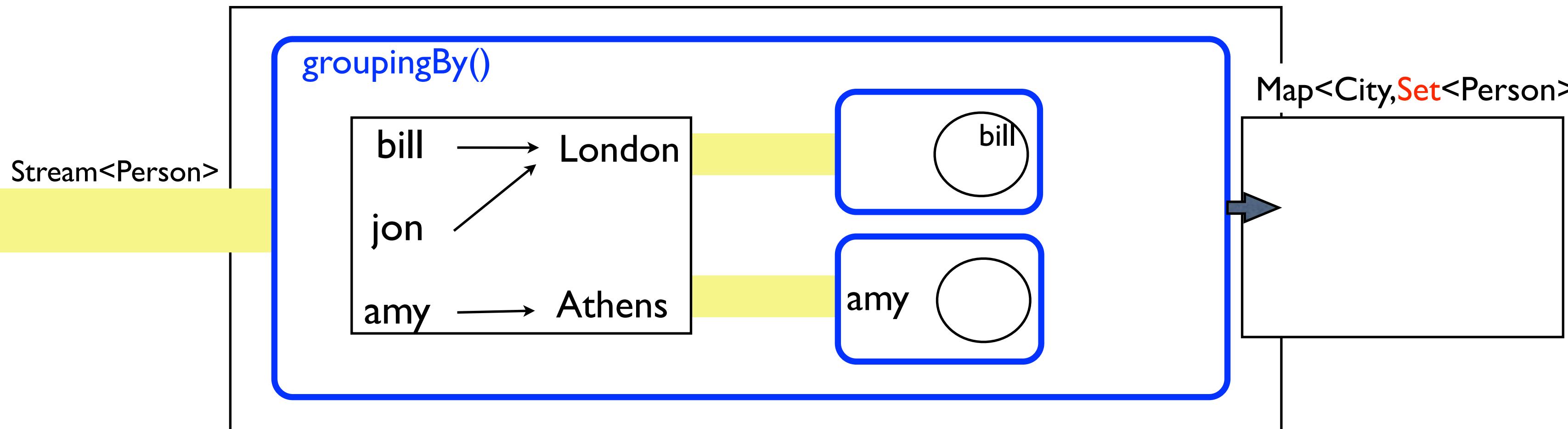
groupingBy(Function classifier,Collector downstream))



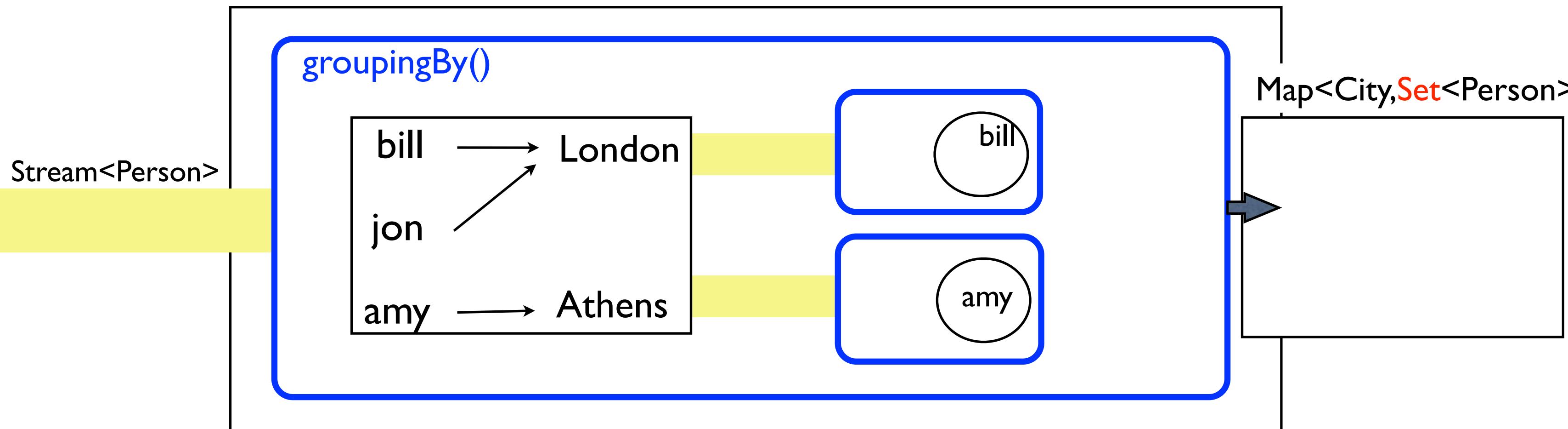
groupingBy(Function classifier,Collector downstream))



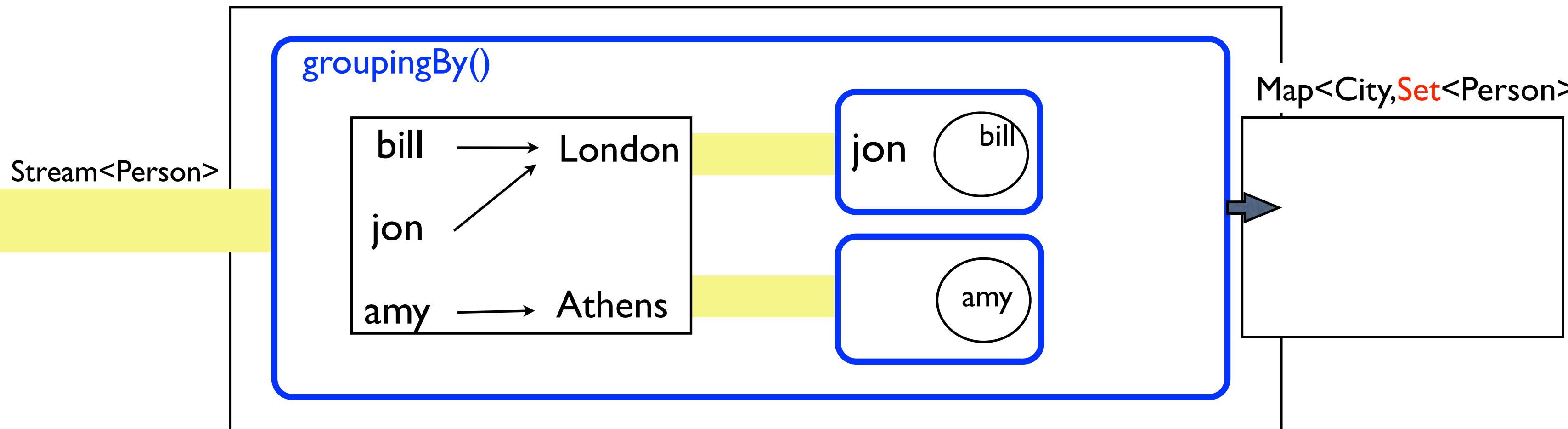
groupingBy(Function classifier,Collector downstream))



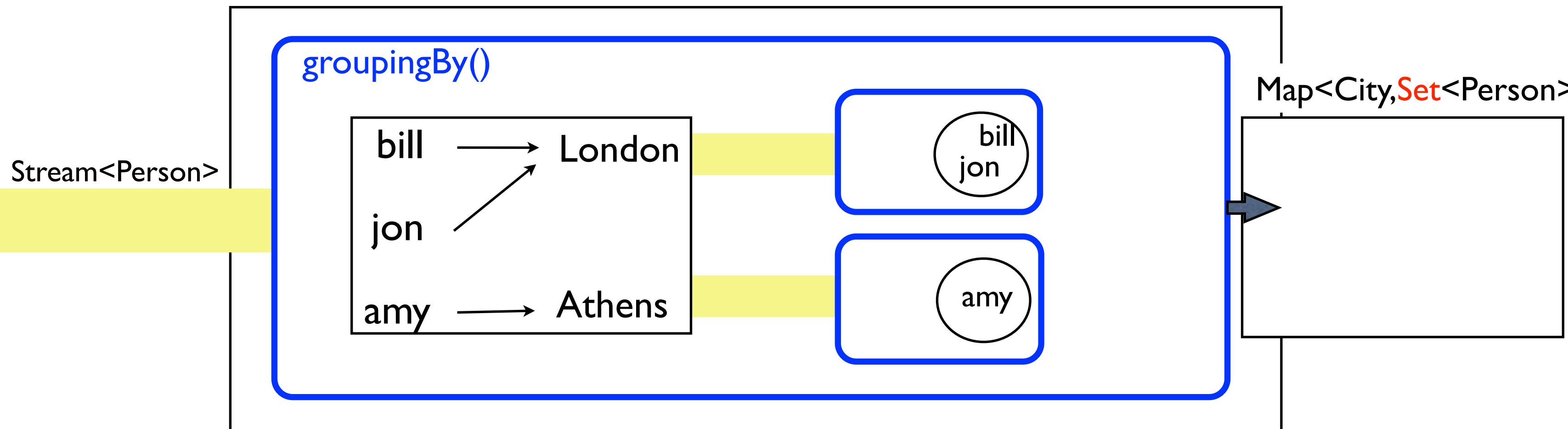
groupingBy(Function classifier,Collector downstream))



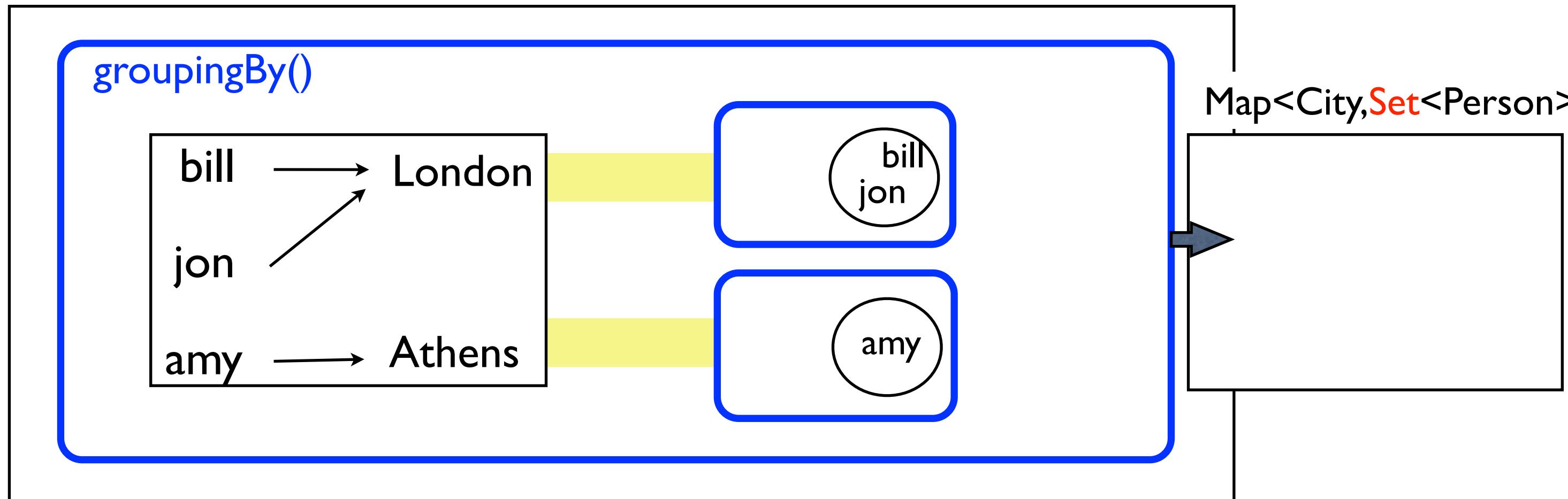
groupingBy(Function classifier,Collector downstream))



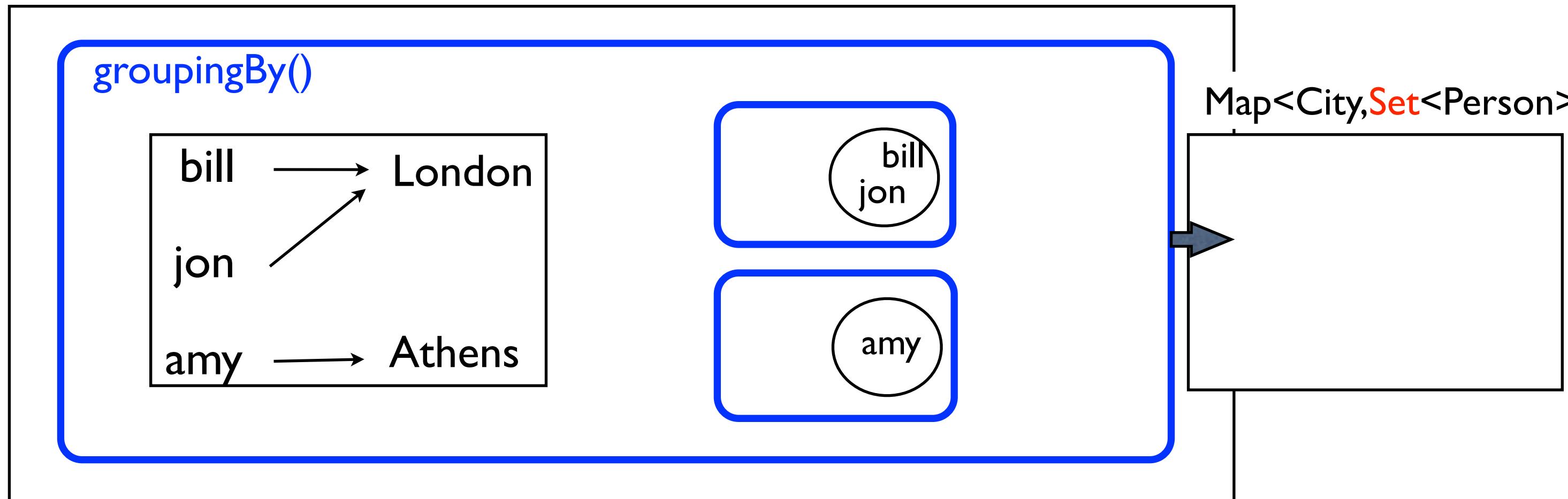
groupingBy(Function classifier,Collector downstream))



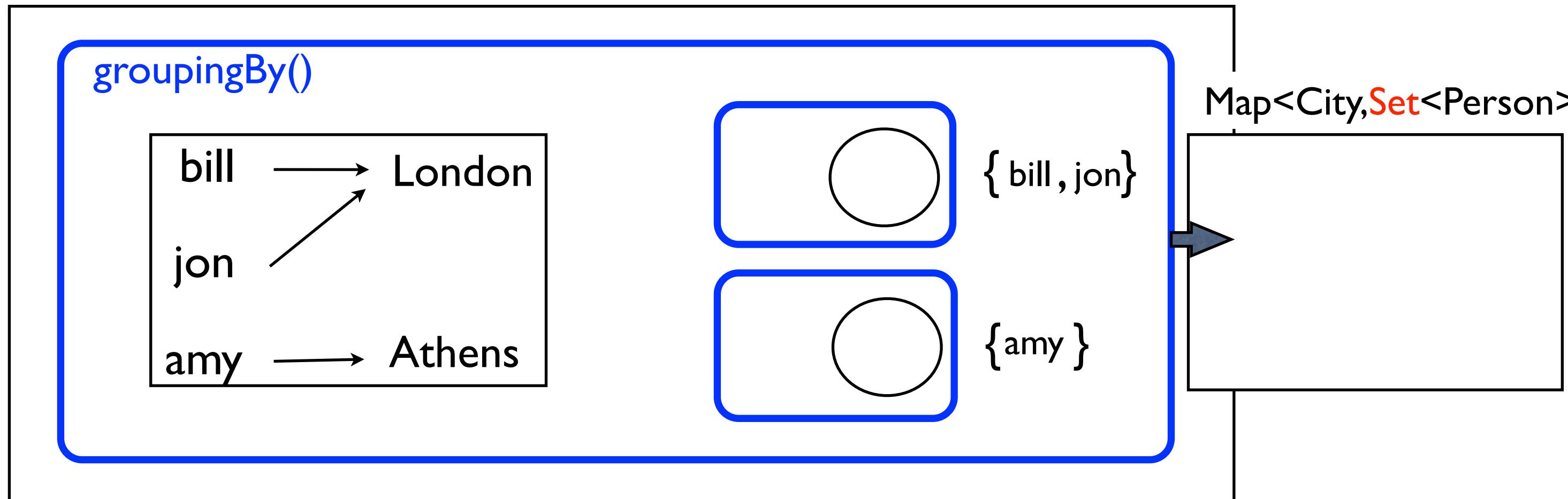
groupingBy(Function classifier,Collector downstream))



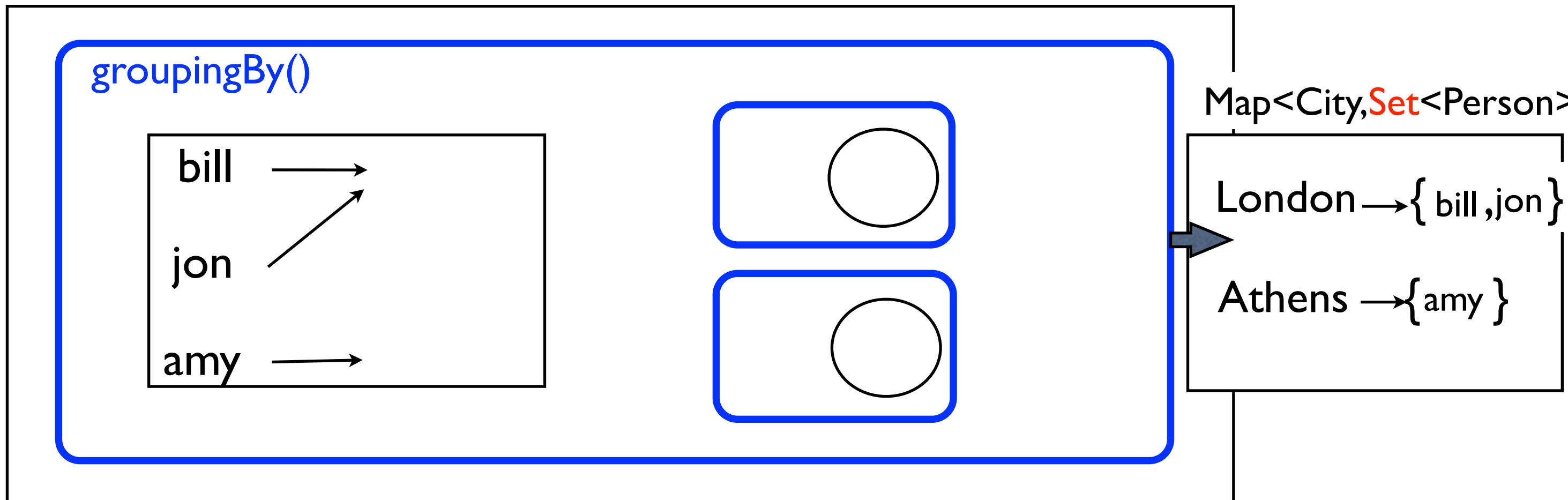
groupingBy(Function classifier,Collector downstream))



groupingBy(Function classifier,Collector downstream))



groupingBy(Function classifier,Collector downstream))



mapping(Function mapper,Collector downstream))

Applies a mapping function to each input element before passing it to the downstream collector.

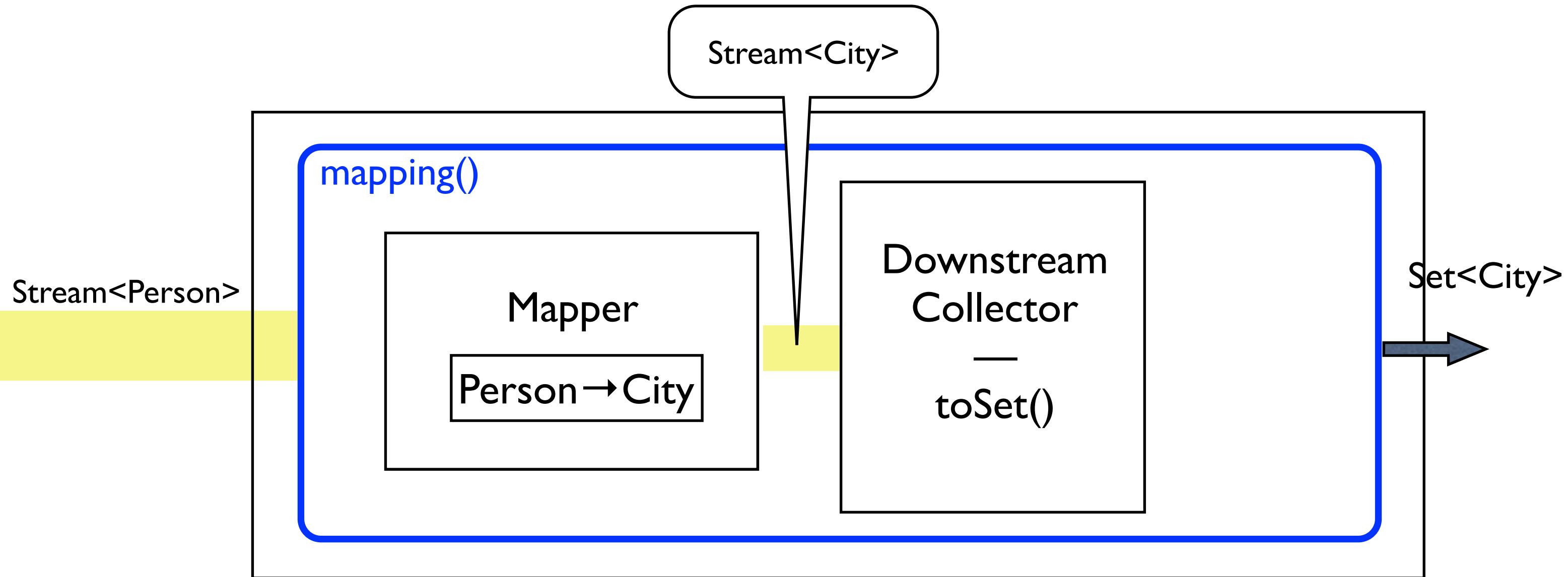
Animation example

```
Set<City> inhabited = people.stream()
    .collect(mapping(Person::getCity,toSet()));
```

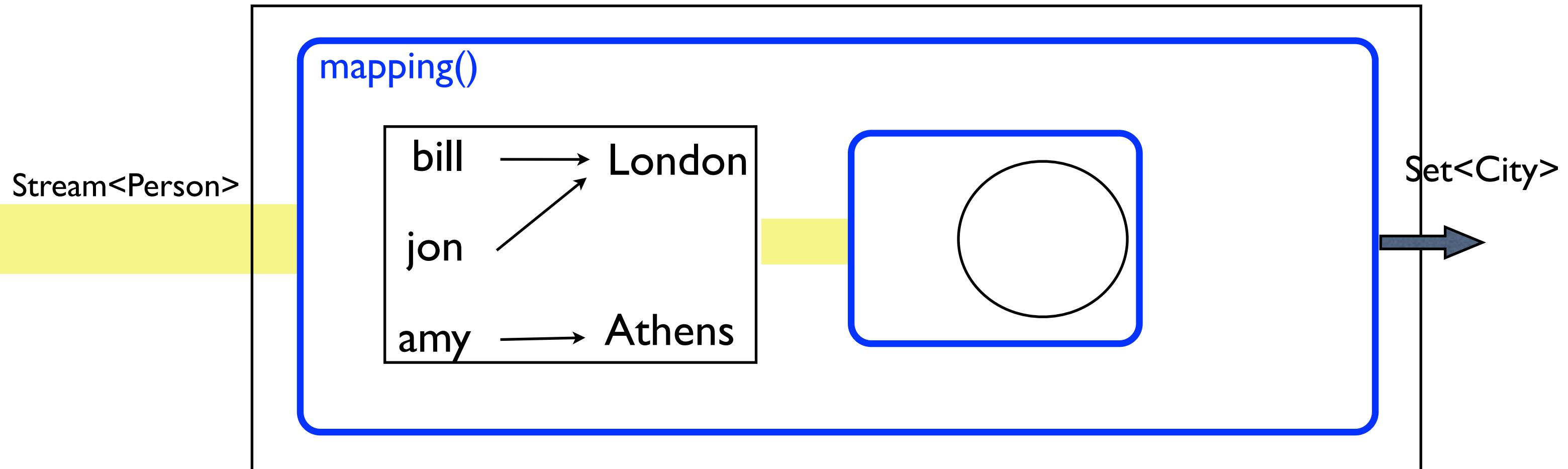
Sensible example

```
Map<City, String> namesByCity = people.stream()
    .collect(groupingBy(Person::getCity,
        mapping(Person::getName, joining())));
```

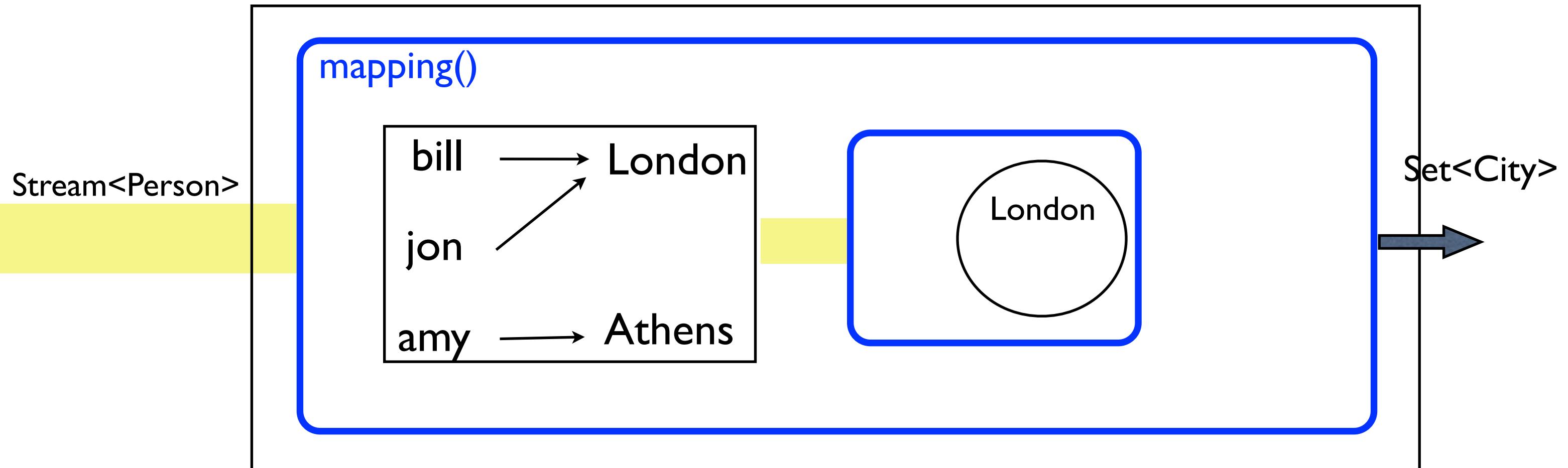
mapping(Function mapper,Collector downstream))



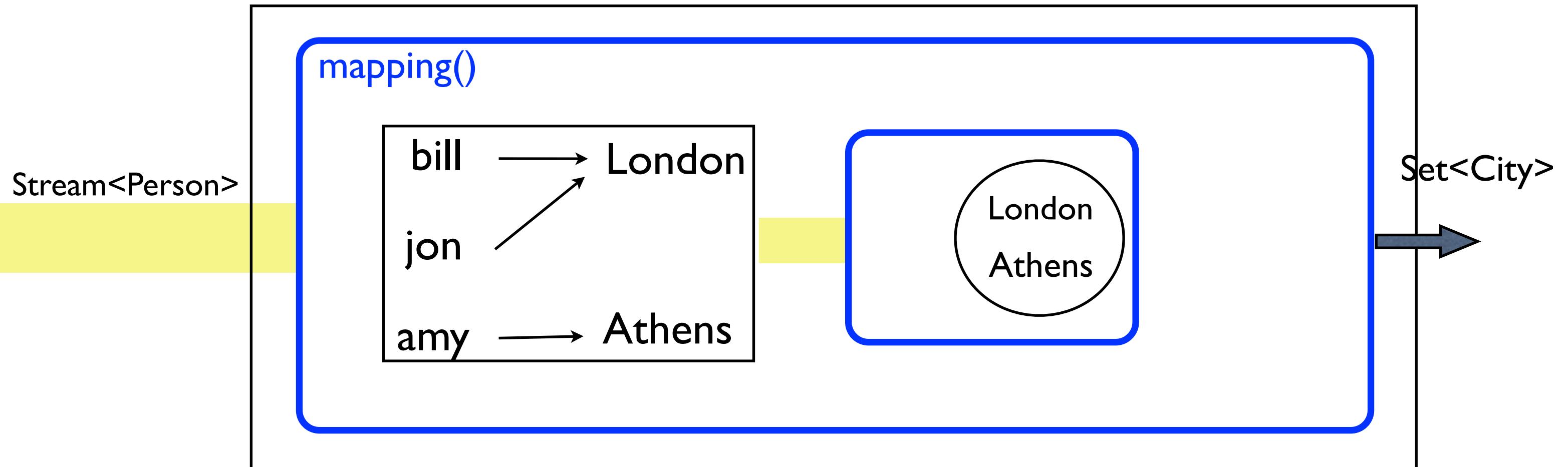
mapping(Function mapper,Collector downstream))



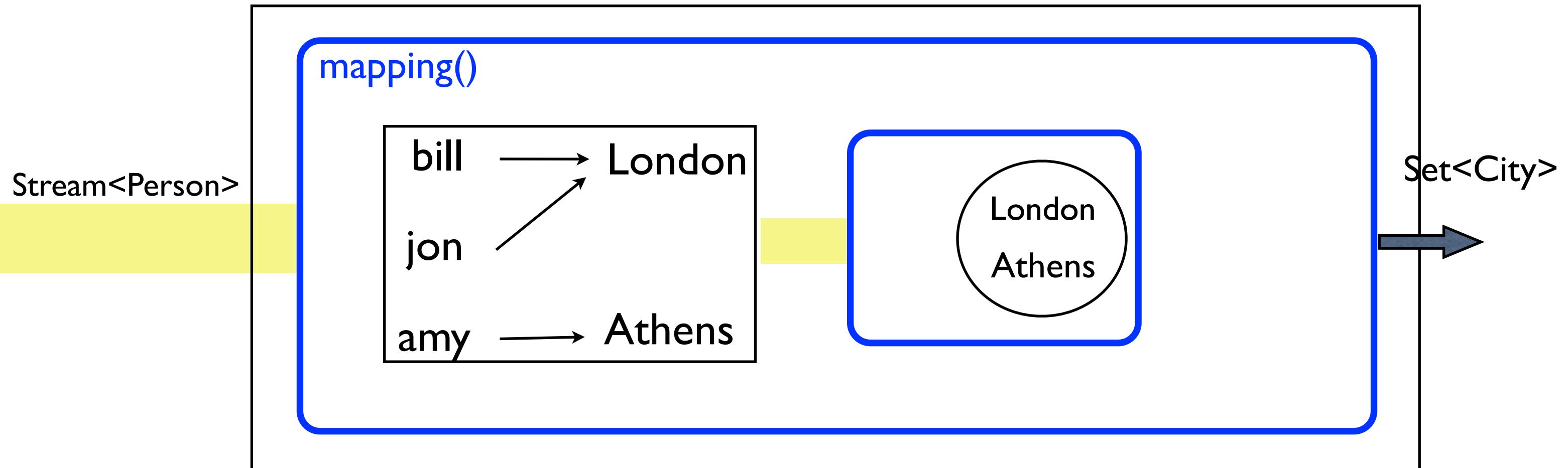
mapping(Function mapper,Collector downstream))



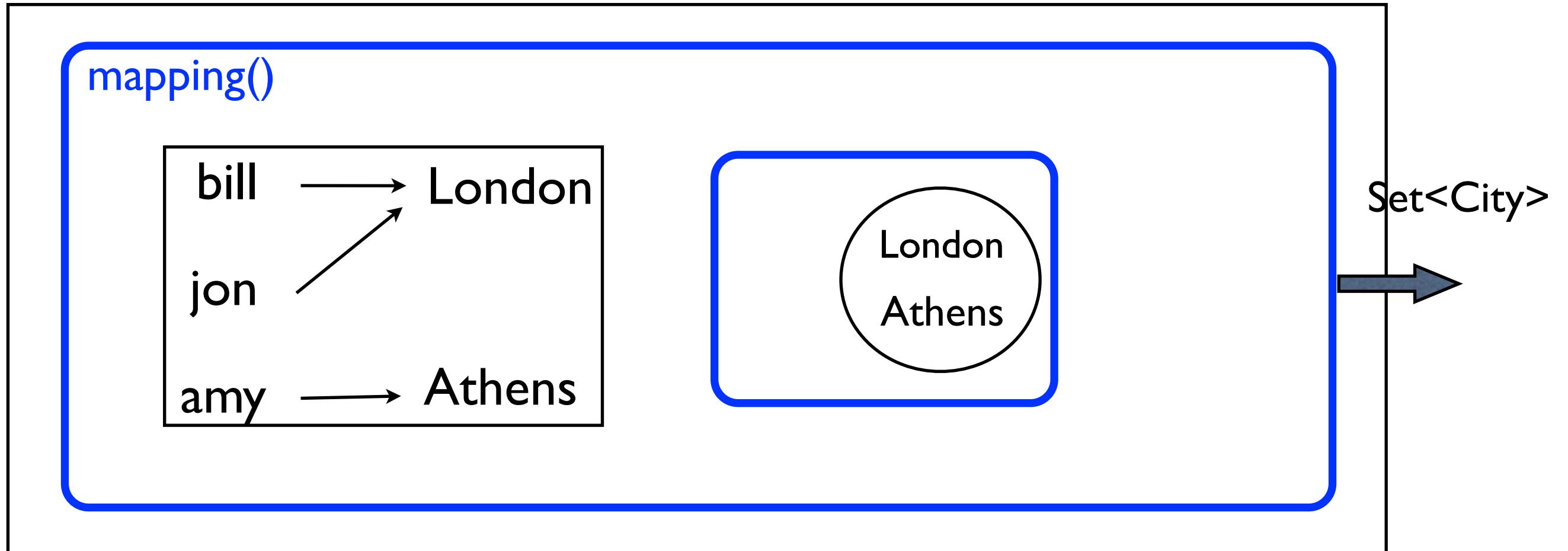
mapping(Function mapper,Collector downstream))



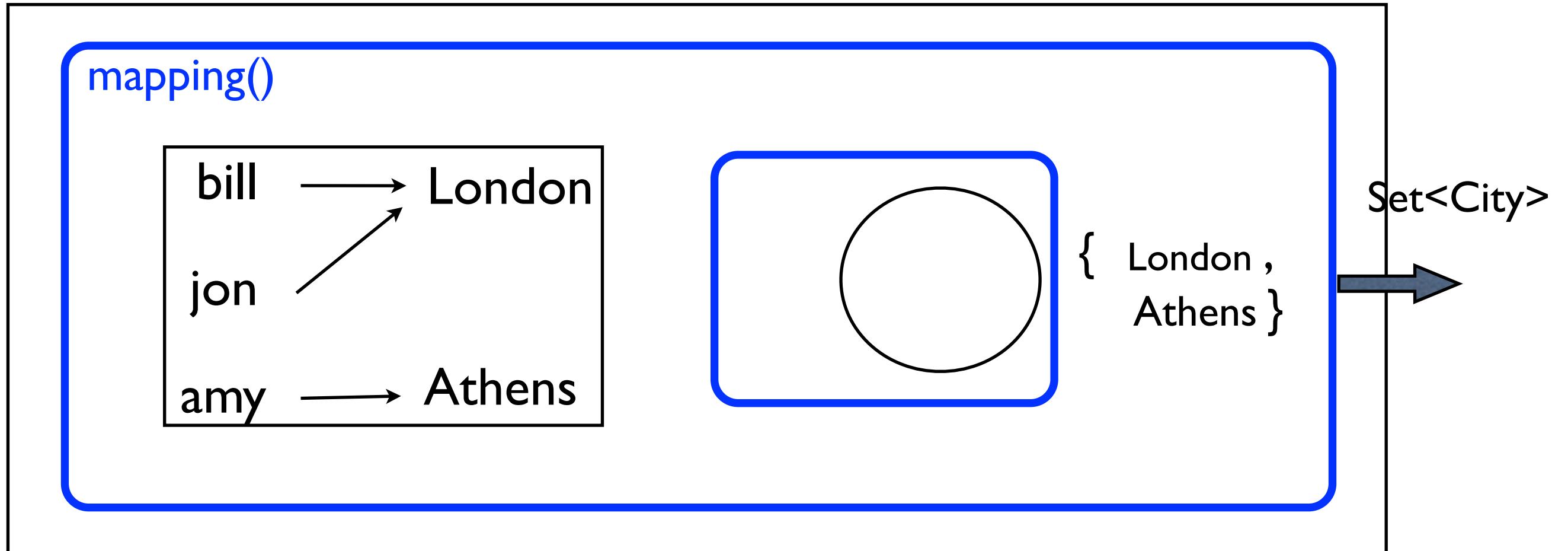
mapping(Function mapper,Collector downstream))



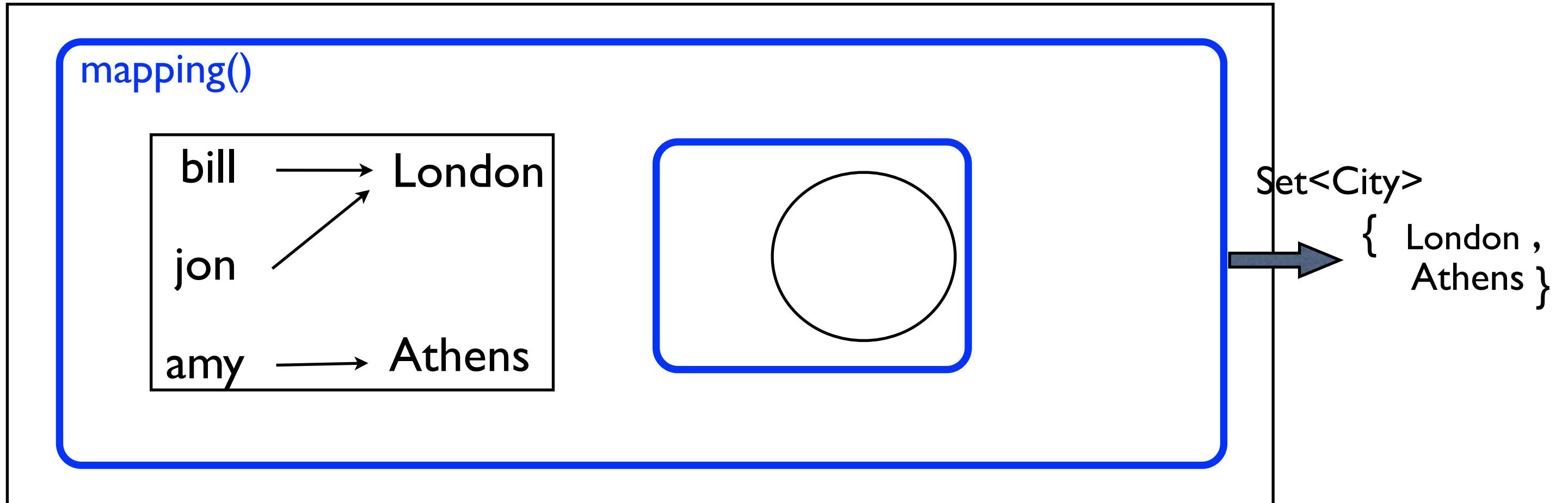
mapping(Function mapper,Collector downstream))



mapping(Function mapper,Collector downstream))



mapping(Function mapper,Collector downstream))



Dualling Convenience Reductions

- `Collectors.counting()`
 - `Collectors.maxBy`
 - `Collectors.minBy`
- `Collectors.summarizingXXX`

Concurrent Collection

Concurrent Collection

Thread safety is guaranteed by the framework

- Even for non-threadsafe containers!

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But at a price... So what if your container is *already* threadsafe?

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- A concurrentMap implementation, for example?

Concurrent Collection

Thread safety is guaranteed by the framework

- Even for non-threadsafe containers!

But at a price... So what if your container is *already* threadsafe?

- A concurrentMap implementation, for example?

Every overload of toMap() and groupingBy() has a dual

- toConcurrentMap(...)
- groupingByConcurrent(...)

Journey's End – Agenda

- Why collectors?
- Using the predefined collectors
 - **Intermission!**
- Worked problems
- Writing your own

Journey's End – Agenda

- Why collectors?
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Writing a Collector

Why would you want to?

Writing a Collector

Why would you want to?

- accumulate to a container that doesn't implement Collection

Writing a Collector

Why would you want to?

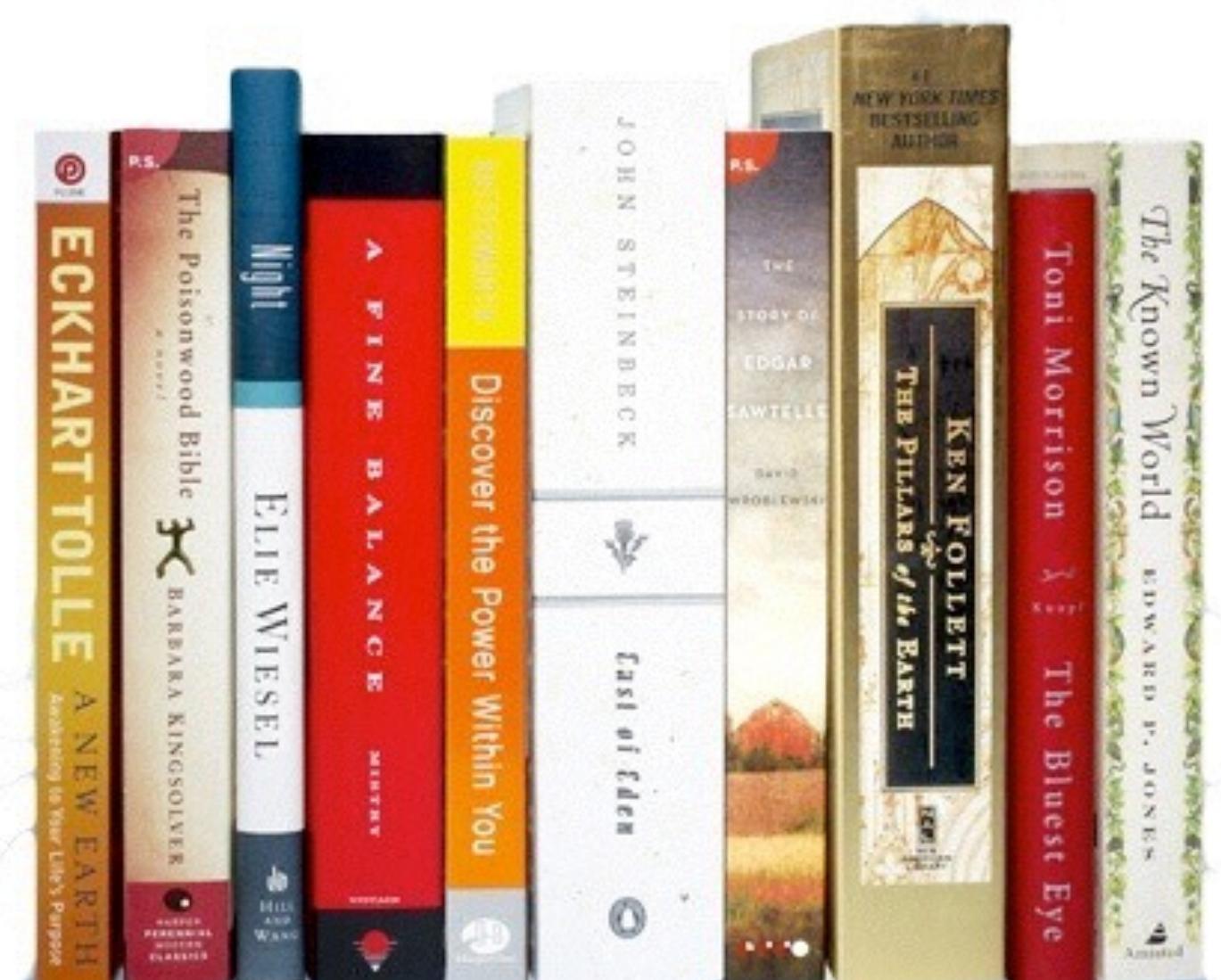
- accumulate to a container that doesn't implement Collection
- share state between values being collected

Oprah's Problem



Oprah's Problem

How to find a book?



Oprah's Problem

How to find a book?

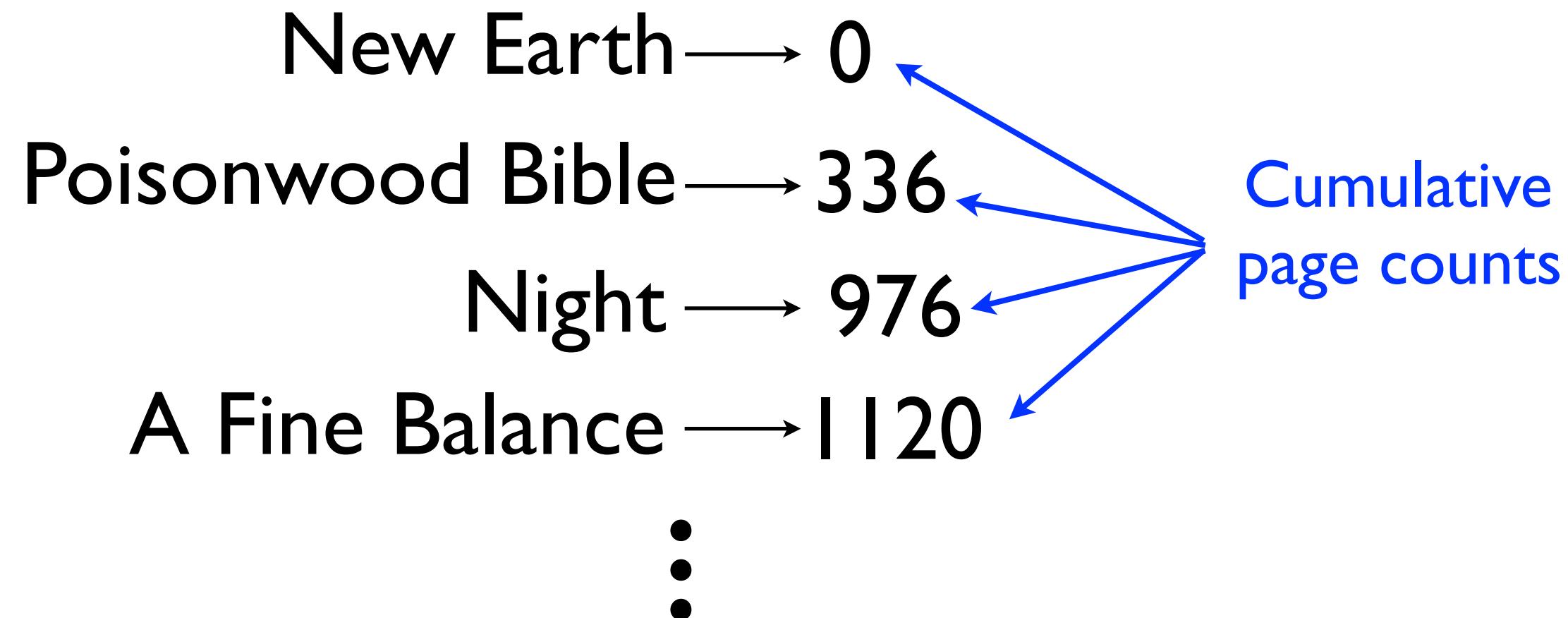


Page count →

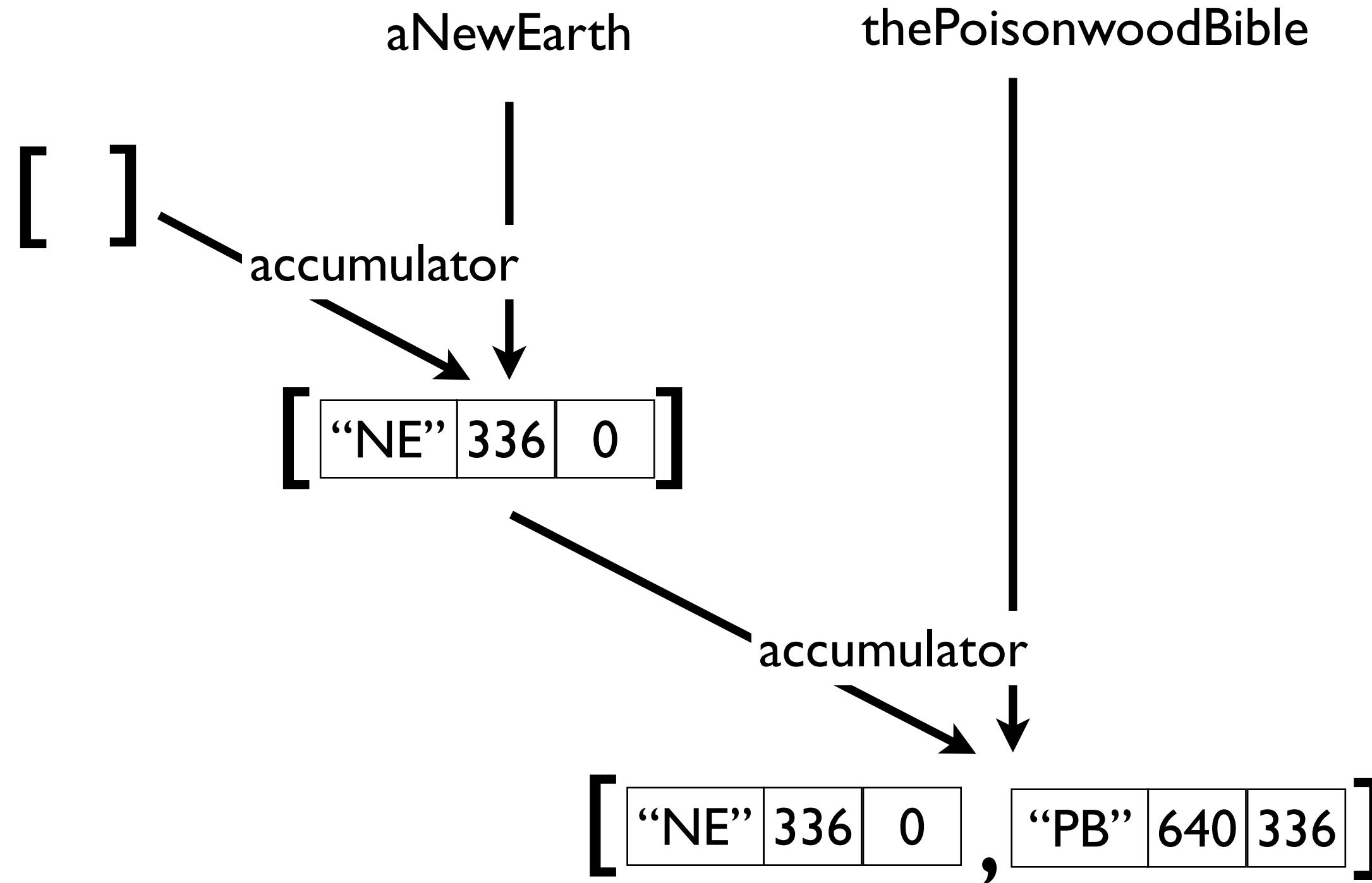
336 144 239
640 624 640

384 172
1104 400

What Oprah Needs



Supplier and Accumulator



Combiner

```
[["NE" | 336 | 0], ["PB" | 640 | 336], ["Night" | 144 | 976], ["AFB" | 624 | 1120]]
```

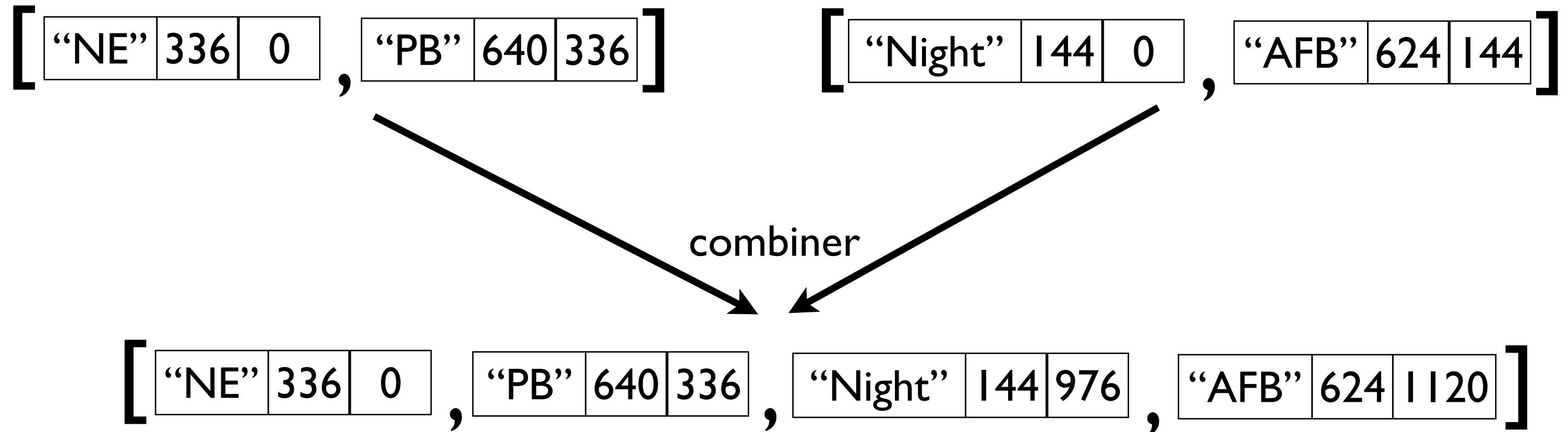
Combiner

[“NE” | 336 | 0 , “PB” | 640 | 336 **]**

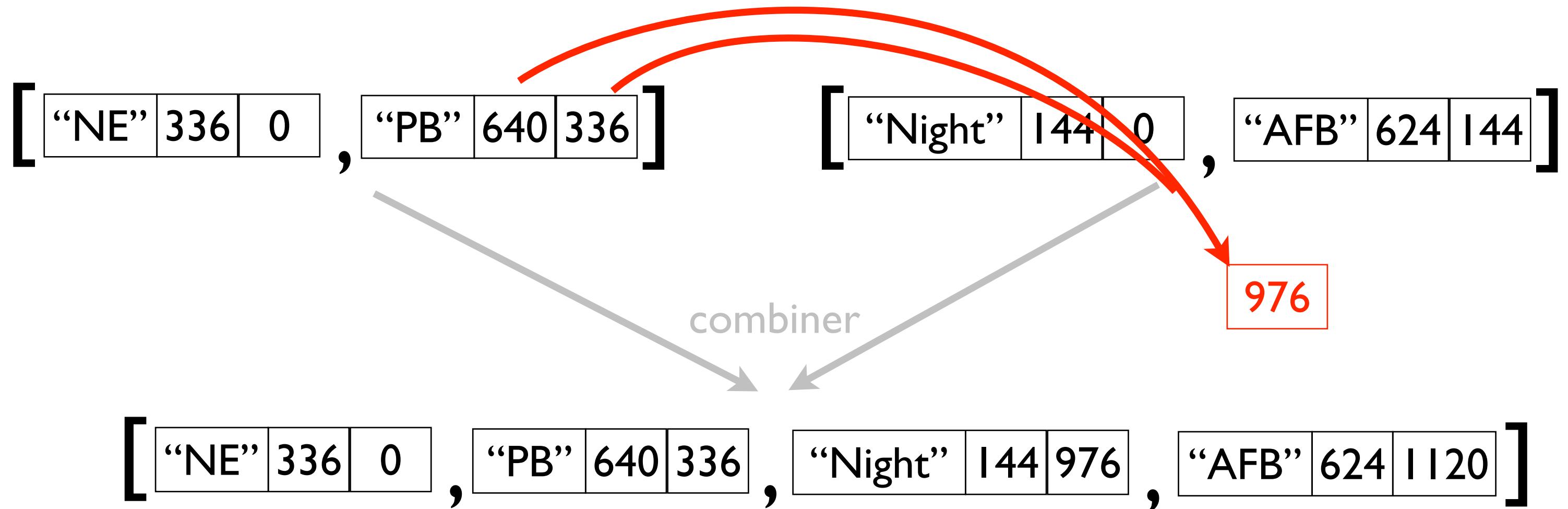
[“Night” | 144 | 0 , “AFB” | 624 | 144 **]**

[“NE” | 336 | 0 , “PB” | 640 | 336 , “Night” | 144 | 976 , “AFB” | 624 | 1120 **]**

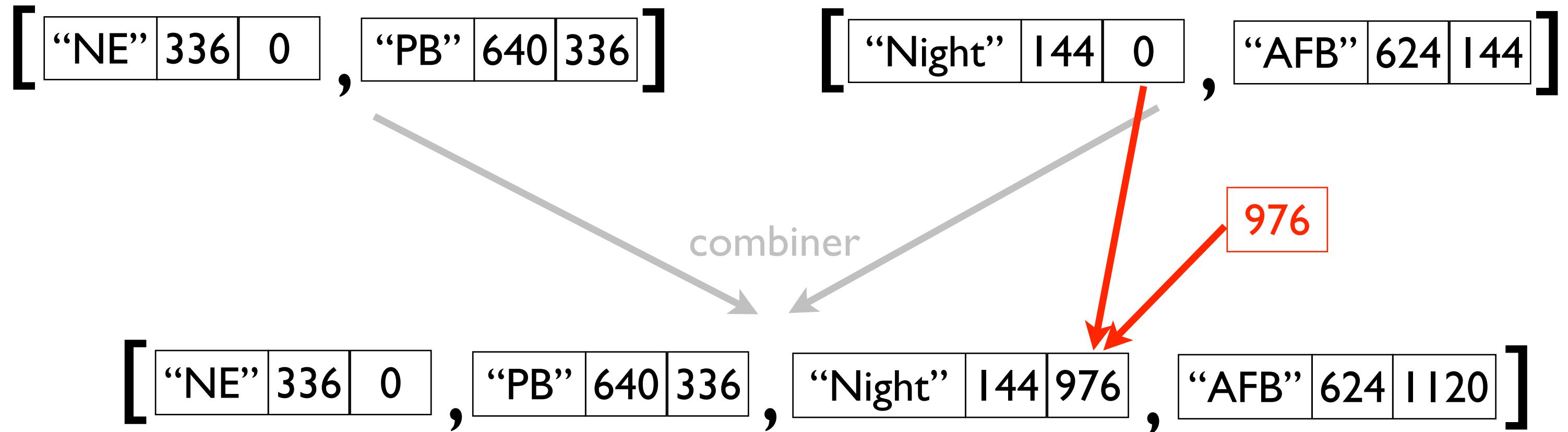
Combiner



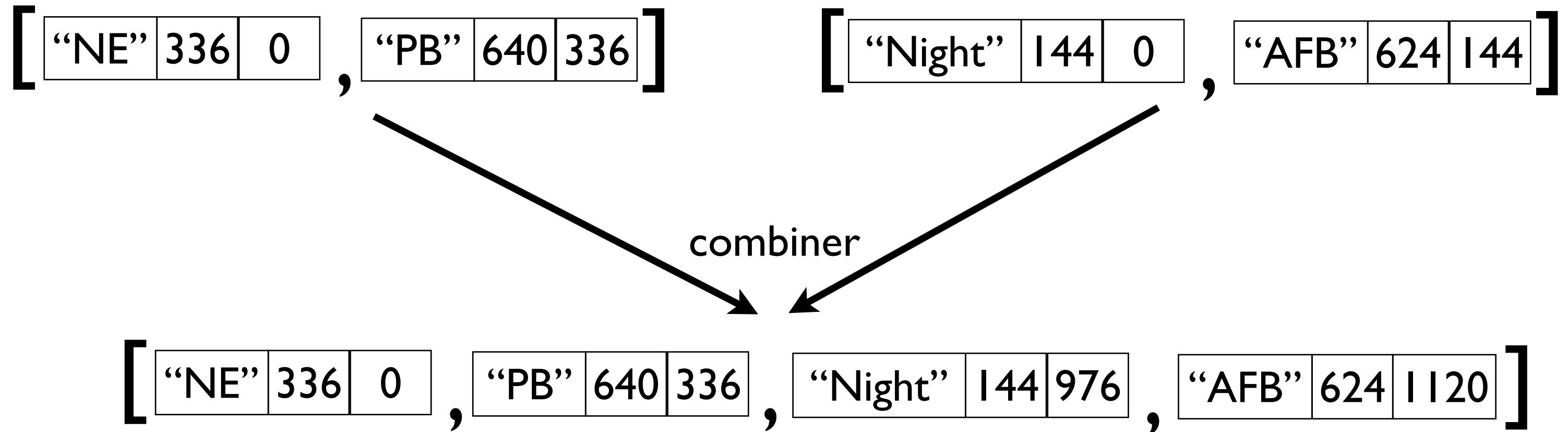
Combiner



Combiner



Combiner



Using Reduction Instead...

newEarth

poisonwood

night

[“New Earth” | 336 | 0 , “Poisonwood Bible” | 640 | 336 , “Night” | 144 | 976]

Using Reduction Instead...

newEarth	poisonwood	night
[“New Earth” 336 0 , “Poisonwood Bible” 640 336 , “Night” 144 976]		

Start displacement

Page count

Using Reduction Instead...

newEarth

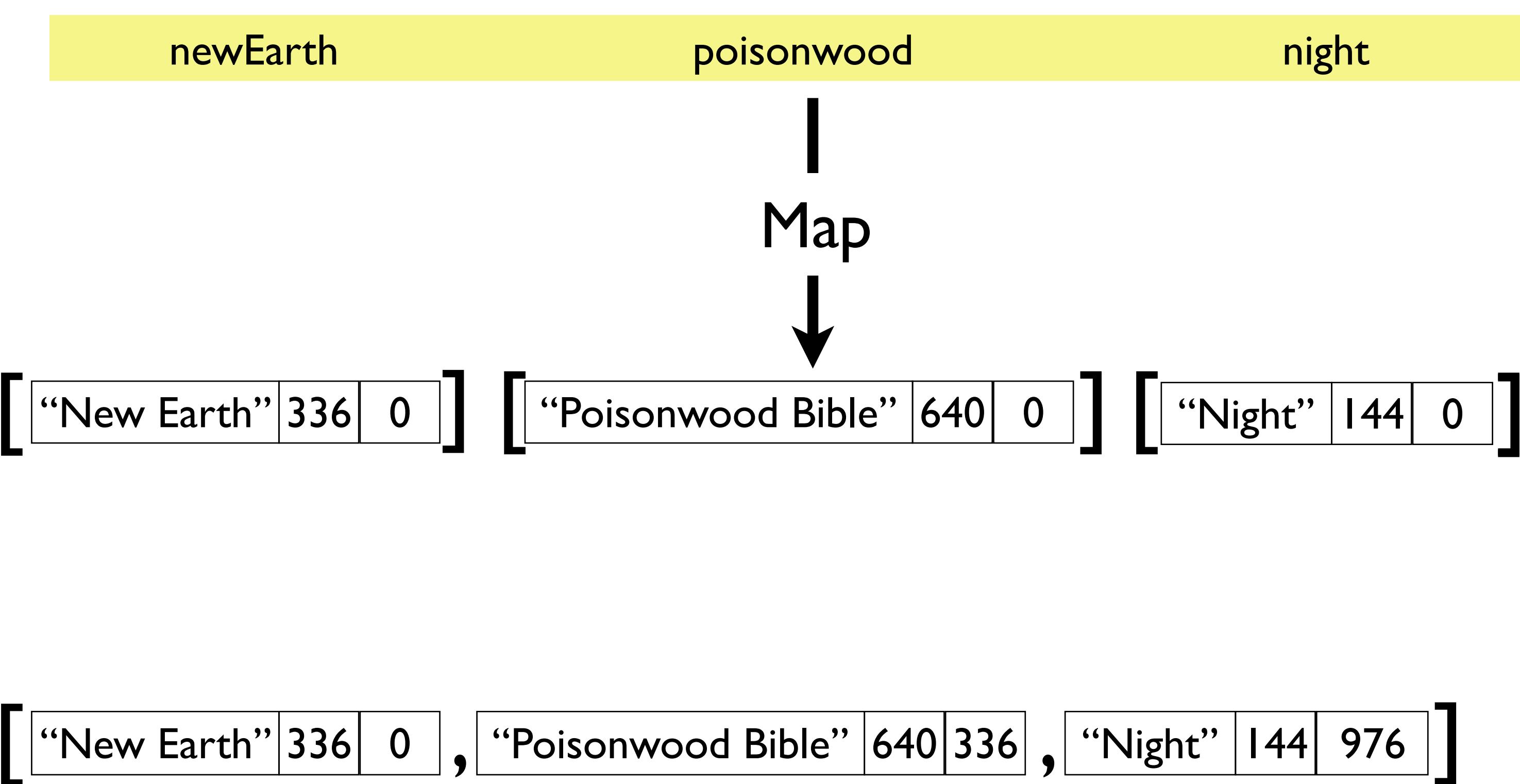
poisonwood

night

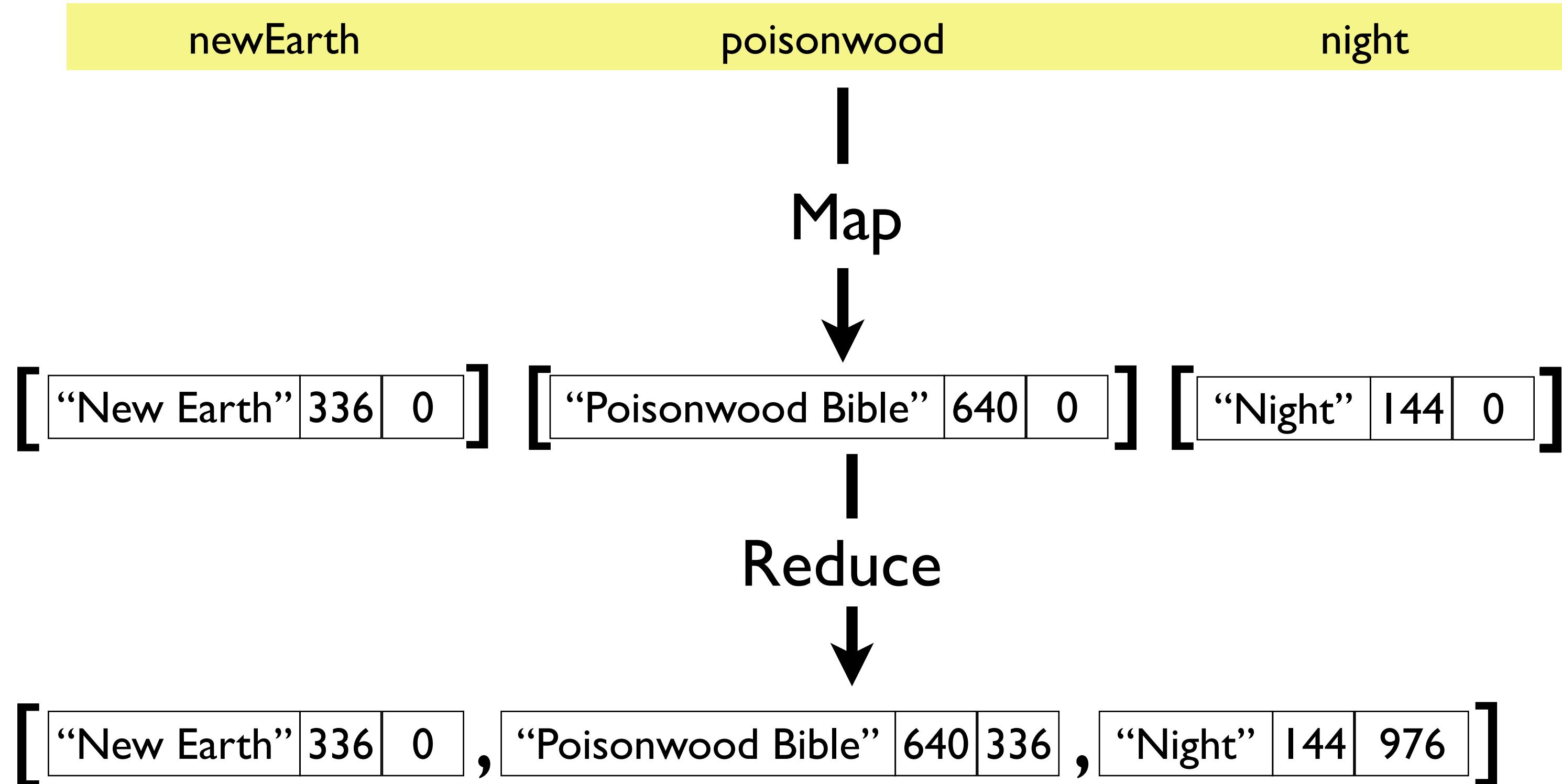
```
[["New Earth" | 336 | 0] , ["Poisonwood Bible" | 640 | 0] , ["Night" | 144 | 0]]
```

```
[["New Earth" | 336 | 0] , ["Poisonwood Bible" | 640 | 336] , ["Night" | 144 | 976]]
```

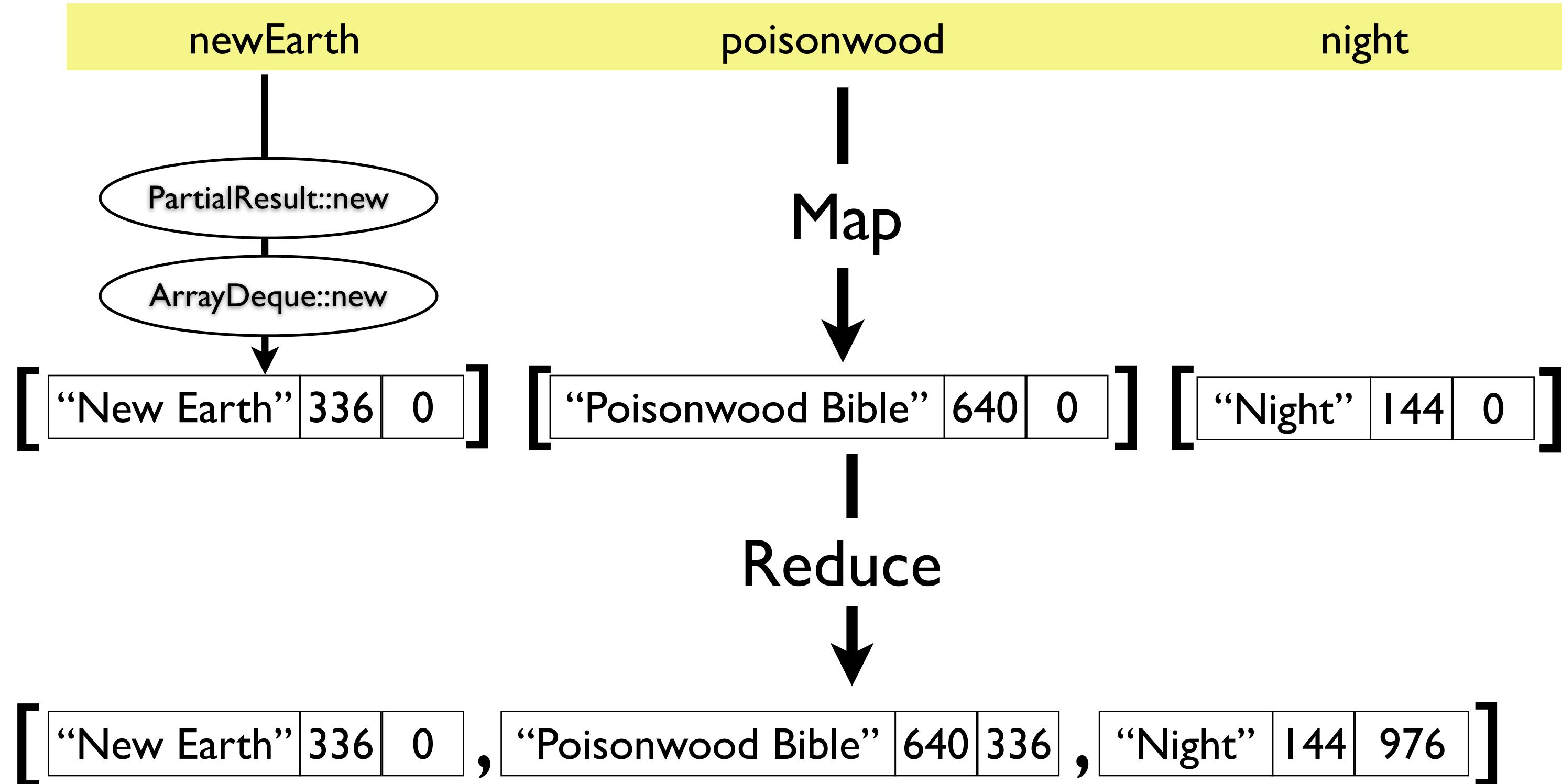
Using Reduction Instead...



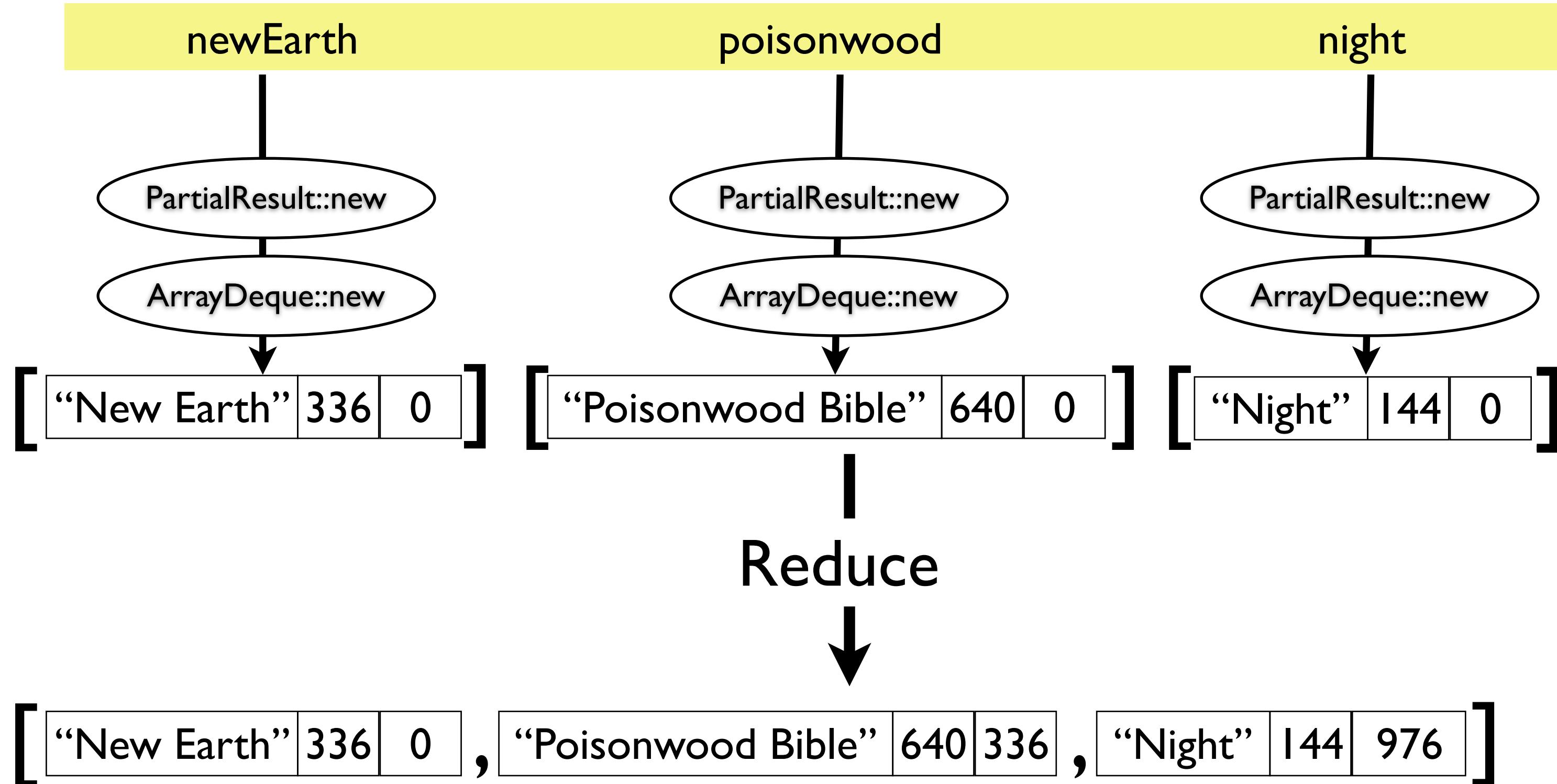
Using Reduction Instead...



Using Reduction Instead...



Using Reduction Instead...



Performance of Collectors

Depends on the performance of accumulator and combiner functions

- `toList()`, `toSet()`, `toCollection` – performance will probably be dominated by accumulator, but remember that the framework will need to manage multithread access to non-threadsafe containers for the combine operation

`toMap()`, `toConcurrentMap()`

- map merging is slow. Resizing maps, especially concurrent maps, is particularly expensive. Whenever possible, presize all data structures, maps in particular.

Conclusion

Collectors

- generalisation of reduction
- allow a functional style while continuing to work with a language based on mutation
- designed for composition
- flexible and powerful programming tool
- take a bit of getting used to! – but they're worth it!

Questions?

