

# Experiments in Verification

SS 2011

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## Today's Topics

- Organization
- Formal Verification
- Isabelle/HOL Basics
- Functional Programming in HOL

# Organization

## Lecture

- LV-Nr. 703523
- VO 1
- <http://cl-informatik.uibk.ac.at/teaching/ss11/eve/>
- slides are also available online
- office hours: Tuesday 12:00 – 14:00 in 3N01
- online registration required before 23:59 on March 31
- grading: semester project

## Lecture

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## Schedule

The lecture is blocked to 4 sessions of 3 hours each. The sessions take place on:

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session 1	March	11
session 2	March	25
session 3	April	1
session 4	April	15

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## The Project

- after last session (on April 15) projects will be distributed
- work alone or in small groups
- projects have to be finished before August 1
- on delivery you will have to answer questions about your project

# Formal Verification



## What is Verification?

- part of software testing process
- part of V&V (verification and validation)
  - verification:** built right (software meets specifications)
  - validation:** built right thing (software fulfills intended purpose)

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## Formal Verification

*Proving or disproving the correctness of intended algorithms with respect to a certain formal specification.*

## Model-Theoretic (Model Checking)

systematically exhaustive exploration of the mathematical model

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## Proof-Theoretic (Logical Inference)

theorem proving software

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## Truth Table (Model-Theoretic)

$A$	$B$	$\neg A$	$B \rightarrow A$	$\Phi$
0	0	1	1	0
0	1	1	0	0
1	0	0	1	0
1	1	0	1	0

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## Natural Deduction Proof (Proof-Theoretic)

1	$\neg A$	premise
2	$B \longrightarrow A$	premise
3	$B$	premise
4	$\neg B$	MT 2, 1
5	$\perp$	$\neg$ e 3, 4



## Model-Theoretic (Model Checking)

systematically exhaustive exploration of the mathematical model

## Proof-Theoretic (Logical Inference)

theorem proving software

*We focus on logical inference using Isabelle/HOL*

## Isabelle/HOL Basics

## System Architecture

**Standard ML** implementation language

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**Isabelle/Pure** generic proof assistant

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**Isabelle/HOL** Higher-Order Logic

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# System Architecture

**Proof General** Emacs interface

**Isabelle/HOL** Higher-Order Logic

**Isabelle/Pure** generic proof assistant

**Standard ML** implementation language

## System Architecture

**Isabelle/jEdit** jEdit based interface

**Isabelle/Scala** connects ML to JVM

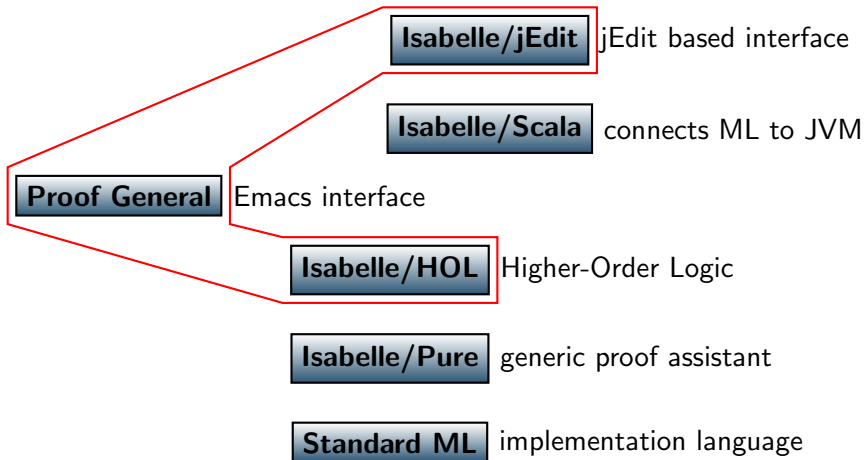
**Proof General** Emacs interface

**Isabelle/HOL** Higher-Order Logic

**Isabelle/Pure** generic proof assistant

**Standard ML** implementation language

## System Architecture





## Higher-Order Logic

- HOL = Functional Programming + Logic
- datatypes (**datatype**)
- recursive functions (**fun**)
- logical operators ( $\wedge$ ,  $\vee$ ,  $\longrightarrow$ ,  $\forall$ ,  $\exists$ , ...)

## Setup of the Isabelle System

- custom settings in  
file `~/.isabelle/Isabelle2011/etc/settings`
- you will need at least:  
`ISABELLE_DOC_FORMAT=pdf`  
`PDF_VIEWER=<program>`

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## Main Component

- `isabelle doc`: for documentation
- `isabelle emacs`: interactive proof development in ProofGeneral (i.e., `$ isabelle emacs <File>.thy`)
- `isabelle jedit`: interactive proof development in jEdit (i.e., `$ isabelle jedit <File>.thy`)

## Proof General – Useful Shortcuts

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<code>Ctrl + C, Ctrl + Backspace</code>	undo and delete last step
<code>Ctrl + C, Ctrl + B</code>	go to bottom
<code>Ctrl + C, Ctrl + C</code>	interrupt process
<code>Ctrl + C, Ctrl + F</code>	find (lemmas, theorems, definitions, ...)
<code>Ctrl + C, Ctrl + N</code>	next step
<code>Ctrl + C, Ctrl + Return</code>	go to cursor position
<code>Ctrl + C, Ctrl + U</code>	undo last step
<code>Ctrl + C, Ctrl + V</code>	evaluate Isabelle command
<code>Ctrl + C, Ctrl + W</code>	clear output window
<code>Ctrl + G</code>	abort current emacs-command

---

## Theory Files (\*.thy) – General Structure

```
theory Name imports  $T_1 \dots T_n$  begin  
...  
end
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### Explanation

- content of file `Name.thy`
- creates a new theory called *Name*
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- all proofs and definitions go between **begin** and **end**

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### Explanation

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- depending on theories  $T_1$  to  $T_n$
- all proofs and definitions go between `begin` and `end`

### Example – `Empty.thy`

```
theory Empty imports Main begin end
```

# Types

$\tau$	$\stackrel{\text{def}}{=} \text{bool} \mid \text{nat} \mid \dots$	base types
	'a   'b   ...	type variables
	$\tau \Rightarrow \tau$	total functions
	$\tau * \tau$	pairs
	$\tau \text{ list}$	lists
	...	user-defined types



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	$\mid \tau * \tau$	pairs
	$\mid \tau \text{ list}$	lists
	$\mid \dots$	user-defined types

Remark (Function Type is Right-Associative)

$$\tau_1 \Rightarrow \tau_2 \Rightarrow \tau_3 \quad \equiv \quad \tau_1 \Rightarrow (\tau_2 \Rightarrow \tau_3)$$

## Examples – Types

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a natural number, e.g., 0

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a polymorphic function on pairs,  
e.g., `fst`

## Examples – Types

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`nat => bool`

`nat => nat => nat`

`'a * 'b => 'a`

`('a => 'b) => 'a list => 'b list`

a natural number, e.g., 0

a predicate on nats, e.g., `even`

a binary function on nats, e.g., `+`

a polymorphic function on pairs,  
e.g., `fst`

a higher-order function on lists,  
e.g., `map`

## Terms

$t$	$\stackrel{\text{def}}{=} x$	constant or variable (identifier)
	$t t$	function application
	$\%x. t$	lambda abstraction
	<b>if</b> $t$ <b>then</b> $t$ <b>else</b> $t$	if-clauses
	<b>let</b> $x = t$ <b>in</b> $t$	let-bindings
	<b>case</b> $t$ <b>of</b> $p \Rightarrow t \mid \dots \mid p \Rightarrow t$	case – <i>expressions</i>
	$\dots$	lots of syntactic sugar

where  $p$  is a *pattern*



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where  $p$  is a *pattern*

## Remark

often necessary to put parentheses around lambda abstractions, if-clauses, let-bindings, and case-expressions; in order to get priorities right

## Terms – Examples

$f\ x$

function  $f$  applied to value  $x$

## Terms – Examples

$f\ x$   
 $(\%x. x + 1)$

function  $f$  applied to value  $x$   
the anonymous successor function

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```
f x  
(%x. x + 1)  
let s = (%x. x + 1) in s 0
```

function  $f$  applied to value  $x$   
the anonymous successor function  
application of successor to 0

## Terms – Examples

```
f x  
(%x. x + 1)  
let s = (%x. x + 1) in s 0  
(%p. case p of (x, y) => x)
```

function `f` applied to value `x`  
the anonymous successor function  
application of successor to 0  
possible implementation of `fst`

## Formulas (Terms of Type bool)

$\varphi$	$\stackrel{\text{def}}{=} \text{True} \mid \text{False}$	Boolean constants
	$\mid \sim \varphi$	negation
	$\mid \varphi = \varphi$	equality
	$\mid \varphi \& \varphi \mid \varphi \mid \varphi \mid \varphi \rightarrow \varphi$	binary operators
	$\mid \text{ALL } x. \varphi \mid \text{EX } x. \varphi$	quantifiers

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## Operator Precedence

$= \ \ \ \ \sim \ \ \ \ \& \ \ \ \ \mid \ \ \ \ \rightarrow \ \ \ \ \text{ALL, EX}$

## Formulas – Examples

$\sim A \mid A$

law of excluded middle



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transitivity of equality

## Formulas – Examples

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$(\text{ALL } x. P \ x) = (\sim(\text{EX } x. \sim(P \ x)))$

law of excluded middle

anything follows from False

transitivity of equality

variant of *De Morgan's Law*

## Remark – Type Constraints

- $(t :: \tau)$  states that term  $t$  is of type  $\tau$
- in presence of overloaded constants and functions (like  $0$  and  $+$ ), sometimes necessary to add constraints

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## Examples

- $(x :: \text{nat}) + y$ , since `+` has type `'a => 'a => 'a`
- $(0 :: \text{nat}) + y$ , since `0` has type `'a`
- `Suc 0`, no constraint necessary since `Suc` has type `nat => nat`



## Remark – 3 Kinds of Variables

- **free** variables (**blue** in jEdit/ProofGeneral)
- **bound** variables (**green** in jEdit/ProofGeneral)
- **schematic** variables (**dark blue** in jEdit/ProofGeneral; have leading ?); can be replaced by arbitrary values

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- in ' $x + y$ ',  $x$  and  $y$  are free
- in ' $\text{ALL } x. P x$ ',  $x$  is bound and  $P$  is free
- in ' $(\sim\sim?P) = ?P$ ',  $P$  is schematic

# Functional Programming in HOL

## An Introductory Theory – Session1.thy

```
theory Session1 imports Datatype begin
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### A Datatype for Lists

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datatype 'a list = "Nil"  
              | "Cons" "'a" "'a list"
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- terms and types are inner syntax
- inner syntax has to be put between double quotes (but: double quotes around single identifiers may be dropped)

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## Syntactic Sugar for Lists – notation

```
notation Nil ("[]")  
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notation Nil ("[]")  
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## Syntactic Sugar for Lists – inlined

```
datatype 'a list = Nil ("[]")  
                | Cons 'a "'a list" (infixr "#" 65)
```

## Example Lists

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<code>Nil</code>	corresponds to <code>[] :: 'a list</code>
<code>Cons (0::nat) Nil</code>	corresponds to <code>[0] :: nat list</code>
<code>Cons 0 (Cons 1 Nil)</code>	corresponds to <code>[0,1] :: 'a list</code>



## Datatypes – The General Format

**datatype**  $(\alpha_1, \dots, \alpha_n)t = C_1 \tau_{11} \dots \tau_{1k_1} \mid \dots \mid C_m \tau_{m1} \dots \tau_{mk_m}$

- $\alpha_i$  parameters
- $C_j$  constructor names

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## Every Datatype has ...

- many lemmas proved automatically (e.g.,  $\sim([\ ] = x\#xs)$  for lists)
- a size function `size :: t => nat`
- an induction scheme
- a case analysis scheme

## Functions on Datatypes – Primitive Recursion

- primitive recursion over datatype  $t$  uses equations of the form

$$f\ x_1 \ \dots\ (C\ y_1 \ \dots\ y_k) \ \dots\ x_n = b$$

- where  $C$  is constructor of  $t$
- all calls to  $f$  in  $b$  have form  $f\ \dots\ y_i \ \dots$  for some  $i$

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### Intuition

- every recursive call removes one constructor symbol
- hence  $f$  terminates

## Example – Concatenating two Lists

```
primrec
  append :: "'a list => 'a list => 'a list"
  (infixr "@" 65)
where
  "[] @ ys = ys"
| "(x # xs) @ ys = x # (xs @ ys)"
```

## Example – Reversing a List

```
primrec rev :: "'a list => 'a list" where
  "rev [] = []"
| "rev (x # xs) = rev xs @ (x # [])"
```

## An Introductory Proof

```
"rev (rev xs) = xs"
```

## An Introductory Proof

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```

Proof

Whiteboard





## Some Diagnostic Commands

<b>find_theorems</b> $\langle args \rangle$	print all theorems matching $\langle args \rangle$
<b>print_cases</b>	print currently available cases
<b>prop</b> $\langle formula \rangle$	print proposition $\langle formula \rangle$
<b>term</b> $\langle term \rangle$	print term $\langle term \rangle$ and its type
<b>thm</b> $\langle name \rangle$	print theorem called $\langle name \rangle$
<b>typ</b> $\langle type \rangle$	print type $\langle type \rangle$
<b>value</b> $\langle term \rangle$	evaluate and print $\langle term \rangle$

## General Structure of a Proof

*proof*  $\stackrel{\text{def}}{=} \mathbf{proof\ method}^? \mathit{statement}^* \mathbf{qed\ method}^?$   
|  $\mathbf{by\ method\ method}^?$

*statement*  $\stackrel{\text{def}}{=} \mathbf{fix\ variables}$   
|  $\mathbf{assume\ proposition}^+$   
|  $(\mathbf{from\ fact}^+)^? (\mathbf{show\ |}\ \mathbf{have}) \mathit{proposition\ proof}$

*proposition*  $\stackrel{\text{def}}{=} (\mathit{label}:)^? \mathit{term}$

*fact*  $\stackrel{\text{def}}{=} \mathit{label}$   
|  $\mathit{term}$

## An Introductory Proof (cont'd)

```
lemma append_Nil2[simp]: "xs @ [] = xs"  
  by (induct xs) simp_all
```

```
lemma append_assoc[simp]:  
  "(xs @ ys) @ zs = xs @ (ys @ zs)"  
  by (induct xs) simp_all
```

```
lemma rev_append[simp]:  
  "rev (xs @ ys) = rev ys @ rev xs"  
  by (induct xs) simp_all
```

```
theorem rev_rev_ident[simp]: "rev (rev xs) = xs"  
  by (induct xs) simp_all
```

## Basic Types – Natural Numbers

```
datatype nat = 0  
            | Suc nat
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## Predefined Operations

- addition, subtraction (+, -)
- multiplication, division (\*, div)
- modulo (mod)
- minimum, maximum (min, max)
- less than (or equal) (<, <=)

## Basic Types – Pairs

- `Pair :: 'a => 'b => 'a * 'b`
- `fst :: 'a * 'b => 'a`
- `snd :: 'a * 'b => 'b`
- `curry :: ('a * 'b => 'c) => 'a => 'b => 'c`
- `split :: ('a => 'b => 'c) => 'a * 'b => 'c`

## Basic Types – Option

```
datatype 'a option = None  
                  | Some 'a
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- `the :: 'a option => 'a`
- `Option.set :: 'a option => 'a set`



## Definitions – Type Synonyms

introducing new names for existing types

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introducing new names for existing types

### Examples

```
type_synonym number      = nat
type_synonym gate        = "bool => bool => bool"
type_synonym 'a plist    = "('a * 'a) list"
```

## Definitions – Constant Definitions

introducing new names for existing expressions

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### Examples

```
definition nand :: gate
where "nand A B == ~(A & B)"
```

```
definition xor :: gate
where "xor A B == (A & ~B) | (~A & B)"
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### Examples

```
definition nand :: gate
where "nand A B == ~(A & B)"

definition xor :: gate
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```

### Provided Lemmas

definition of constant  $\langle const \rangle$  automatically provides lemma  $\langle const \rangle\_def$ , stating equality between constant and its definition

## The Definitional Approach

- only total functions are allowed ...
- or else

```
axiomatization f :: "nat => nat" where
  f_def: "f x = f x + 1"
```

```
lemma everything: "P"
```

```
proof -
```

```
  fix x
```

```
  have "f x = f x + 1" by (rule f_def)
```

```
  from this show "P" by simp
```

```
qed
```

```
lemma wrong: "0 = 1" by (rule everything)
```

## Exercises

1. define a primitive recursive function `length` that computes the length of a list
2. prove `"length (xs @ ys) = length xs + length ys"`
3. define a primitive recursive function `snoc` that appends an element at the end of a list (do not use `@`)
4. prove `"snoc (rev xs) x = rev (x # xs)"`
5. define a primitive recursive function `replace` such that `replace x y zs` replaces all occurrences of `x` in the list `zs` by `y`
6. prove `"replace x y (rev zs) = rev (replace x y zs)"`