

Examples of Inductive and Coinductive Definitions in HOL

Stefan Berghofer
Tobias Nipkow
Lawrence C Paulson
Markus Wenzel

December 12, 2021

Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

Contents

1	Common patterns of induction	2
1.1	Variations on statement structure	2
1.1.1	Local facts and parameters	2
1.1.2	Local definitions	2
1.1.3	Simple simultaneous goals	3
1.1.4	Compound simultaneous goals	4
1.2	Multiple rules	5
1.3	Inductive predicates	7
2	Nested datatypes	9
2.1	Terms and substitution	9
2.2	Alternative induction	10
3	Defining an Initial Algebra by Quotienting a Free Algebra	10
3.1	Defining the Free Algebra	10
3.2	Some Functions on the Free Algebra	11
3.2.1	The Set of Nonces	11
3.2.2	The Left Projection	11
3.2.3	The Right Projection	12
3.2.4	The Discriminator for Constructors	12
3.3	The Initial Algebra: A Quotiented Message Type	12
3.3.1	Characteristic Equations for the Abstract Constructors	13

3.4	The Abstract Function to Return the Set of Nonces	14
3.5	The Abstract Function to Return the Left Part	15
3.6	The Abstract Function to Return the Right Part	16
3.7	Injectivity Properties of Some Constructors	16
3.8	The Abstract Discriminator	18
4	Quotienting a Free Algebra Involving Nested Recursion	19
4.1	Defining the Free Algebra	19
4.2	Some Functions on the Free Algebra	20
4.2.1	The Set of Variables	20
4.2.2	Functions for Freeness	21
4.3	The Initial Algebra: A Quotiented Message Type	22
4.4	Every list of abstract expressions can be expressed in terms of a list of concrete expressions	23
4.4.1	Characteristic Equations for the Abstract Constructors	23
4.5	The Abstract Function to Return the Set of Variables	24
4.6	Injectivity Properties of Some Constructors	25
4.7	Injectivity of <i>FnCall</i>	26
4.8	The Abstract Discriminator	27
5	Terms over a given alphabet	28
6	Extended List Theory (old)	32
7	Arithmetic and boolean expressions	40
8	Infinitely branching trees	41
8.1	The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.	42
8.2	A WF Ordering for The Brouwer ordinals (Michael Compton)	43
9	Ordinals	43
10	Sigma algebras	45
11	Combinatory Logic example: the Church-Rosser Theorem	45
11.1	Definitions	46
11.2	Reflexive/Transitive closure preserves Church-Rosser property	47
11.3	Non-contraction results	47
11.4	Results about Parallel Contraction	48
11.5	Basic properties of parallel contraction	48
11.6	Equivalence of $p \rightarrow q$ and $p \Rightarrow q$	49

12 Meta-theory of propositional logic	49
12.1 The datatype of propositions	49
12.2 The proof system	50
12.3 The semantics	50
12.3.1 Semantics of propositional logic.	50
12.3.2 Logical consequence	50
12.4 Proof theory of propositional logic	50
12.4.1 Weakening, left and right	50
12.4.2 The deduction theorem	51
12.4.3 The cut rule	51
12.4.4 Soundness of the rules wrt truth-table semantics . . .	51
12.5 Completeness	51
12.5.1 Towards the completeness proof	51
12.6 Completeness – lemmas for reducing the set of assumptions .	52
12.6.1 Completeness theorem	53
13 Mutual Induction via Iterated Inductive Definitions	54
13.1 Commands	54
13.2 Expressions	56
13.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c	58
13.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)	58
13.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e	59
13.6 Equivalence of VALOF SKIP RESULTIS e and e	59
13.7 Equivalence of VALOF x:=e RESULTIS x and e	59

1 Common patterns of induction

```
theory Common-Patterns
imports Main
begin
```

The subsequent Isar proof schemes illustrate common proof patterns supported by the generic *induct* method.

To demonstrate variations on statement (goal) structure we refer to the induction rule of Peano natural numbers: $\llbracket P\ 0; \bigwedge nat. P\ nat \implies P\ (Suc\ nat) \rrbracket \implies P\ nat$, which is the simplest case of datatype induction. We shall also see more complex (mutual) datatype inductions involving several rules. Working with inductive predicates is similar, but involves explicit facts about membership, instead of implicit syntactic typing.

1.1 Variations on statement structure

1.1.1 Local facts and parameters

Augmenting a problem by additional facts and locally fixed variables is a bread-and-butter method in many applications. This is where unwieldy object-level $\forall$ and $\longrightarrow$ used to occur in the past. The *induct* method works with primary means of the proof language instead.

```
lemma
  fixes  $n :: nat$ 
    and  $x :: 'a$ 
  assumes  $A\ n\ x$ 
  shows  $P\ n\ x$  using  $\langle A\ n\ x \rangle$ 
proof (induct  $n$  arbitrary:  $x$ )
  case 0
  note  $prem = \langle A\ 0\ x \rangle$ 
  show  $P\ 0\ x$   $\langle proof \rangle$ 
next
  case ( $Suc\ n$ )
  note  $hyp = \langle \bigwedge x. A\ n\ x \implies P\ n\ x \rangle$ 
    and  $prem = \langle A\ (Suc\ n)\ x \rangle$ 
  show  $P\ (Suc\ n)\ x$   $\langle proof \rangle$ 
qed
```

1.1.2 Local definitions

Here the idea is to turn sub-expressions of the problem into a defined induction variable. This is often accompanied with fixing of auxiliary parameters in the original expression, otherwise the induction step would refer invariably to particular entities. This combination essentially expresses a partially abstracted representation of inductive expressions.

```
lemma
```

```

fixes  $a :: 'a \Rightarrow nat$ 
assumes  $A (a\ x)$ 
shows  $P (a\ x)$  using  $\langle A (a\ x) \rangle$ 
proof ( $induct\ n \equiv a\ x\ arbitrary: x$ )
  case 0
    note  $prem = \langle A (a\ x) \rangle$ 
    and  $defn = \langle 0 = a\ x \rangle$ 
    show  $P (a\ x)$   $\langle proof \rangle$ 
  next
    case ( $Suc\ n$ )
    note  $hyp = \langle \bigwedge x. n = a\ x \implies A (a\ x) \implies P (a\ x) \rangle$ 
    and  $prem = \langle A (a\ x) \rangle$ 
    and  $defn = \langle Suc\ n = a\ x \rangle$ 
    show  $P (a\ x)$   $\langle proof \rangle$ 
qed

```

Observe how the local definition $n = a\ x$ recurs in the inductive cases as $0 = a\ x$ and $Suc\ n = a\ x$, according to underlying induction rule.

1.1.3 Simple simultaneous goals

The most basic simultaneous induction operates on several goals one-by-one, where each case refers to induction hypotheses that are duplicated according to the number of conclusions.

```

lemma
  fixes  $n :: nat$ 
  shows  $P\ n$  and  $Q\ n$ 
proof ( $induct\ n$ )
  case 0 case 1
    show  $P\ 0$   $\langle proof \rangle$ 
  next
    case 0 case 2
    show  $Q\ 0$   $\langle proof \rangle$ 
  next
    case ( $Suc\ n$ ) case 1
    note  $hyps = \langle P\ n \rangle \langle Q\ n \rangle$ 
    show  $P (Suc\ n)$   $\langle proof \rangle$ 
  next
    case ( $Suc\ n$ ) case 2
    note  $hyps = \langle P\ n \rangle \langle Q\ n \rangle$ 
    show  $Q (Suc\ n)$   $\langle proof \rangle$ 
qed

```

The split into subcases may be deferred as follows – this is particularly relevant for goal statements with local premises.

```

lemma
  fixes  $n :: nat$ 
  shows  $A\ n \implies P\ n$ 

```

```

    and  $B\ n \implies Q\ n$ 
proof (induct n)
case 0
{
  case 1
  note  $\langle A\ 0 \rangle$ 
  show  $P\ 0$   $\langle proof \rangle$ 
next
case 2
  note  $\langle B\ 0 \rangle$ 
  show  $Q\ 0$   $\langle proof \rangle$ 
}
next
case (Suc n)
note  $\langle A\ n \implies P\ n \rangle$ 
and  $\langle B\ n \implies Q\ n \rangle$ 
{
  case 1
  note  $\langle A\ (Suc\ n) \rangle$ 
  show  $P\ (Suc\ n)$   $\langle proof \rangle$ 
next
case 2
  note  $\langle B\ (Suc\ n) \rangle$ 
  show  $Q\ (Suc\ n)$   $\langle proof \rangle$ 
}
qed

```

1.1.4 Compound simultaneous goals

The following pattern illustrates the slightly more complex situation of simultaneous goals with individual local assumptions. In compound simultaneous statements like this, local assumptions need to be included into each goal, using $\implies$ of the Pure framework. In contrast, local parameters do not require separate $\wedge$ prefixes here, but may be moved into the common context of the whole statement.

```

lemma
  fixes  $n :: nat$ 
  and  $x :: 'a$ 
  and  $y :: 'b$ 
  shows  $A\ n\ x \implies P\ n\ x$ 
  and  $B\ n\ y \implies Q\ n\ y$ 
proof (induct n arbitrary: x y)
case 0
{
  case 1
  note prem =  $\langle A\ 0\ x \rangle$ 
  show  $P\ 0\ x$   $\langle proof \rangle$ 
}

```

```

{
  case 2
  note prem = ⟨B 0 y⟩
  show Q 0 y ⟨proof⟩
}
next
case (Suc n)
note hyps = ⟨ $\bigwedge x. A\ n\ x \implies P\ n\ x$ ⟩ ⟨ $\bigwedge y. B\ n\ y \implies Q\ n\ y$ ⟩
then have some-intermediate-result ⟨proof⟩
{
  case 1
  note prem = ⟨A (Suc n) x⟩
  show P (Suc n) x ⟨proof⟩
}
{
  case 2
  note prem = ⟨B (Suc n) y⟩
  show Q (Suc n) y ⟨proof⟩
}
}
qed

```

Here *induct* provides again nested cases with numbered sub-cases, which allows to share common parts of the body context. In typical applications, there could be a long intermediate proof of general consequences of the induction hypotheses, before finishing each conclusion separately.

1.2 Multiple rules

Multiple induction rules emerge from mutual definitions of datatypes, inductive predicates, functions etc. The *induct* method accepts replicated arguments (with *and* separator), corresponding to each projection of the induction principle.

The goal statement essentially follows the same arrangement, although it might be subdivided into simultaneous sub-problems as before!

```

datatype foo = Foo1 nat | Foo2 bar
and bar = Bar1 bool | Bar2 bazar
and bazar = Bazar foo

```

The pack of induction rules for this datatype is:

```

[[ $\bigwedge x. P1\ (Foo1\ x); \bigwedge x. P2\ x \implies P1\ (Foo2\ x); \bigwedge x. P2\ (Bar1\ x);$ 
 $\bigwedge x. P3\ x \implies P2\ (Bar2\ x); \bigwedge x. P1\ x \implies P3\ (Bazar\ x)$ ]]
 $\implies P1\ foo$ 
[[ $\bigwedge x. P1\ (Foo1\ x); \bigwedge x. P2\ x \implies P1\ (Foo2\ x); \bigwedge x. P2\ (Bar1\ x);$ 
 $\bigwedge x. P3\ x \implies P2\ (Bar2\ x); \bigwedge x. P1\ x \implies P3\ (Bazar\ x)$ ]]
 $\implies P2\ bar$ 
[[ $\bigwedge x. P1\ (Foo1\ x); \bigwedge x. P2\ x \implies P1\ (Foo2\ x); \bigwedge x. P2\ (Bar1\ x);$ 
 $\bigwedge x. P3\ x \implies P2\ (Bar2\ x); \bigwedge x. P1\ x \implies P3\ (Bazar\ x)$ ]]

```

$\implies P\mathcal{J} \text{ bazar}$

This corresponds to the following basic proof pattern:

```
lemma
  fixes foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows P foo
    and Q bar
    and R bazar
proof (induct foo and bar and bazar)
  case (Foo1 n)
  show P (Foo1 n) <proof>
next
  case (Foo2 bar)
  note <Q bar>
  show P (Foo2 bar) <proof>
next
  case (Bar1 b)
  show Q (Bar1 b) <proof>
next
  case (Bar2 bazar)
  note <R bazar>
  show Q (Bar2 bazar) <proof>
next
  case (Bazar foo)
  note <P foo>
  show R (Bazar foo) <proof>
qed
```

This can be combined with the previous techniques for compound statements, e.g. like this.

```
lemma
  fixes x :: 'a and y :: 'b and z :: 'c
    and foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows
    A x foo  $\implies$  P x foo
  and
    B1 y bar  $\implies$  Q1 y bar
    B2 y bar  $\implies$  Q2 y bar
  and
    C1 z bazar  $\implies$  R1 z bazar
    C2 z bazar  $\implies$  R2 z bazar
    C3 z bazar  $\implies$  R3 z bazar
proof (induct foo and bar and bazar arbitrary: x and y and z)
oops
```

1.3 Inductive predicates

The most basic form of induction involving predicates (or sets) essentially eliminates a given membership fact.

```
inductive Even :: nat  $\Rightarrow$  bool where  
  zero: Even 0  
| double: Even (2 * n) if Even n for n
```

```
lemma  
  assumes Even n  
  shows P n  
  using assms  
proof induct  
  case zero  
  show P 0  $\langle$ proof $\rangle$   
next  
  case (double n)  
  note  $\langle$ Even n $\rangle$  and  $\langle$ P n $\rangle$   
  show P (2 * n)  $\langle$ proof $\rangle$   
qed
```

Alternatively, an initial rule statement may be proven as follows, performing “in-situ” elimination with explicit rule specification.

```
lemma Even n  $\implies$  P n  
proof (induct rule: Even.induct)  
  oops
```

Simultaneous goals do not introduce anything new.

```
lemma  
  assumes Even n  
  shows P1 n and P2 n  
  using assms  
proof induct  
  case zero  
  {  
    case 1  
    show P1 0  $\langle$ proof $\rangle$   
  next  
    case 2  
    show P2 0  $\langle$ proof $\rangle$   
  }  
next  
  case (double n)  
  note  $\langle$ Even n $\rangle$  and  $\langle$ P1 n $\rangle$  and  $\langle$ P2 n $\rangle$   
  {  
    case 1  
    show P1 (2 * n)  $\langle$ proof $\rangle$   
  next
```

```

    case 2
    show P2 (2 * n) ⟨proof⟩
  }
qed

```

Working with mutual rules requires special care in composing the statement as a two-level conjunction, using lists of propositions separated by *and*. For example:

```

inductive Evn :: nat ⇒ bool and Odd :: nat ⇒ bool
where
  zero: Evn 0
| succ-Evn: Odd (Suc n) if Evn n for n
| succ-Odd: Evn (Suc n) if Odd n for n

```

```

lemma
  Evn n ⇒ P1 n
  Evn n ⇒ P2 n
  Evn n ⇒ P3 n
and
  Odd n ⇒ Q1 n
  Odd n ⇒ Q2 n
proof (induct rule: Evn-Odd.inducts)
  case zero
  { case 1 show P1 0 ⟨proof⟩ }
  { case 2 show P2 0 ⟨proof⟩ }
  { case 3 show P3 0 ⟨proof⟩ }
next
  case (succ-Evn n)
  note ⟨Evn n⟩ and ⟨P1 n⟩ ⟨P2 n⟩ ⟨P3 n⟩
  { case 1 show Q1 (Suc n) ⟨proof⟩ }
  { case 2 show Q2 (Suc n) ⟨proof⟩ }
next
  case (succ-Odd n)
  note ⟨Odd n⟩ and ⟨Q1 n⟩ ⟨Q2 n⟩
  { case 1 show P1 (Suc n) ⟨proof⟩ }
  { case 2 show P2 (Suc n) ⟨proof⟩ }
  { case 3 show P3 (Suc n) ⟨proof⟩ }
qed

```

Cases and hypotheses in each case can be named explicitly.

```

inductive star :: ('a ⇒ 'a ⇒ bool) ⇒ 'a ⇒ 'a ⇒ bool for r
where
  refl: star r x x for x
| step: star r x z if r x y and star r y z for x y z

```

Underscores are replaced by the default name *hyp*s:

```

lemmas star-induct = star.induct [case-names base step[r - IH]]

```

```

lemma star r x y  $\implies$  star r y z  $\implies$  star r x z
proof (induct rule: star-induct) print-cases
  case base
  then show ?case .
next
  case (step a b c) print-facts
  from step.prems have star r b z by (rule step.IH)
  with step.r show ?case by (rule star.step)
qed

end

```

2 Nested datatypes

```

theory Nested-Datatype
imports Main
begin

```

2.1 Terms and substitution

```

datatype ('a, 'b) term =
  Var 'a
| App 'b ('a, 'b) term list

primrec subst-term :: ('a  $\Rightarrow$  ('a, 'b) term)  $\Rightarrow$  ('a, 'b) term  $\Rightarrow$  ('a, 'b) term
  and subst-term-list :: ('a  $\Rightarrow$  ('a, 'b) term)  $\Rightarrow$  ('a, 'b) term list  $\Rightarrow$  ('a, 'b) term
  list
where
  subst-term f (Var a) = f a
| subst-term f (App b ts) = App b (subst-term-list f ts)
| subst-term-list f [] = []
| subst-term-list f (t # ts) = subst-term f t # subst-term-list f ts

lemmas subst-simps = subst-term.simps subst-term-list.simps

```

A simple lemma about composition of substitutions.

```

lemma
  subst-term (subst-term f1  $\circ$  f2) t =
    subst-term f1 (subst-term f2 t)
  and
  subst-term-list (subst-term f1  $\circ$  f2) ts =
    subst-term-list f1 (subst-term-list f2 ts)
  by (induct t and ts rule: subst-term.induct subst-term-list.induct) simp-all

lemma subst-term (subst-term f1  $\circ$  f2) t = subst-term f1 (subst-term f2 t)
proof –
  let ?P t = ?thesis
  let ?Q =  $\lambda$ ts. subst-term-list (subst-term f1  $\circ$  f2) ts =

```

```

    subst-term-list f1 (subst-term-list f2 ts)
  show ?thesis
proof (induct t rule: subst-term.induct)
  show ?P (Var a) for a by simp
  show ?P (App b ts) if ?Q ts for b ts
    using that by (simp only: subst-simps)
  show ?Q [] by simp
  show ?Q (t # ts) if ?P t ?Q ts for t ts
    using that by (simp only: subst-simps)
qed
qed

```

2.2 Alternative induction

```

lemma subst-term (subst-term f1 ∘ f2) t = subst-term f1 (subst-term f2 t)
proof (induct t rule: term.induct)
  case (Var a)
  show ?case by (simp add: o-def)
next
  case (App b ts)
  then show ?case by (induct ts) simp-all
qed

end

```

3 Defining an Initial Algebra by Quotienting a Free Algebra

```

theory QuoDataType imports Main begin

```

3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```

datatype
  freemsg = NONCE nat
          | MPAIR freemsg freemsg
          | CRYPT nat freemsg
          | DECRYPT nat freemsg

```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```

inductive-set
  msgrel :: (freemsg * freemsg) set
  and msg-rel :: [freemsg, freemsg] => bool (infixl ~ 50)

```

where

$X \sim Y == (X, Y) \in \text{msgrel}$
 $| \text{CD: } \text{CRYPT } K (\text{DECRYPT } K X) \sim X$
 $| \text{DC: } \text{DECRYPT } K (\text{CRYPT } K X) \sim X$
 $| \text{NONCE: } \text{NONCE } N \sim \text{NONCE } N$
 $| \text{MPAIR: } \llbracket X \sim X'; Y \sim Y' \rrbracket \implies \text{MPAIR } X Y \sim \text{MPAIR } X' Y'$
 $| \text{CRYPT: } X \sim X' \implies \text{CRYPT } K X \sim \text{CRYPT } K X'$
 $| \text{DECRYPT: } X \sim X' \implies \text{DECRYPT } K X \sim \text{DECRYPT } K X'$
 $| \text{SYM: } X \sim Y \implies Y \sim X$
 $| \text{TRANS: } \llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$

Proving that it is an equivalence relation

lemma *msgrel-refl*: $X \sim X$

by (*induct* X) (*blast intro: msgrel.intros*)+

theorem *equiv-msgrel*: *equiv UNIV msgrel*

proof –

have *refl msgrel* **by** (*simp add: refl-on-def msgrel-refl*)

moreover have *sym msgrel* **by** (*simp add: sym-def, blast intro: msgrel.SYM*)

moreover have *trans msgrel* **by** (*simp add: trans-def, blast intro: msgrel.TRANS*)

ultimately show *?thesis* **by** (*simp add: equiv-def*)

qed

3.2 Some Functions on the Free Algebra

3.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

primrec *freenonces* :: *freemsg* $\Rightarrow$ *nat set* **where**

freenonces (*NONCE* N) = $\{N\}$

$| \text{freenonces } (\text{MPAIR } X Y) = \text{freenonces } X \cup \text{freenonces } Y$

$| \text{freenonces } (\text{CRYPT } K X) = \text{freenonces } X$

$| \text{freenonces } (\text{DECRYPT } K X) = \text{freenonces } X$

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

theorem *msgrel-imp-eq-freenonces*: $U \sim V \implies \text{freenonces } U = \text{freenonces } V$

by (*induct set: msgrel*) *auto*

3.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

primrec *freeleft* :: *freemsg* $\Rightarrow$ *freemsg* **where**

freeleft (*NONCE* N) = *NONCE* N

$| \text{freeleft } (\text{MPAIR } X \ Y) = X$
 $| \text{freeleft } (\text{CRYPT } K \ X) = \text{freeleft } X$
 $| \text{freeleft } (\text{DECRYPT } K \ X) = \text{freeleft } X$

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eqv-freeleft*:
 $U \sim V \implies \text{freeleft } U \sim \text{freeleft } V$
by (*induct set: msgrel*) (*auto intro: msgrel.intros*)

3.2.3 The Right Projection

A function to return the right part of the top pair in a message.

primrec *freeright* :: *freemsg* $\Rightarrow$ *freemsg* **where**
 $\text{freeright } (\text{NONCE } N) = \text{NONCE } N$
 $| \text{freeright } (\text{MPAIR } X \ Y) = Y$
 $| \text{freeright } (\text{CRYPT } K \ X) = \text{freeright } X$
 $| \text{freeright } (\text{DECRYPT } K \ X) = \text{freeright } X$

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

theorem *msgrel-imp-eqv-freeright*:
 $U \sim V \implies \text{freeright } U \sim \text{freeright } V$
by (*induct set: msgrel*) (*auto intro: msgrel.intros*)

3.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

primrec *freediscrim* :: *freemsg* $\Rightarrow$ *int* **where**
 $\text{freediscrim } (\text{NONCE } N) = 0$
 $| \text{freediscrim } (\text{MPAIR } X \ Y) = 1$
 $| \text{freediscrim } (\text{CRYPT } K \ X) = \text{freediscrim } X + 2$
 $| \text{freediscrim } (\text{DECRYPT } K \ X) = \text{freediscrim } X - 2$

This theorem helps us prove $\text{Nonce } N \neq \text{MPair } X \ Y$

theorem *msgrel-imp-eq-freediscrim*:
 $U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$
by (*induct set: msgrel*) *auto*

3.3 The Initial Algebra: A Quotiented Message Type

definition *Msg* = *UNIV* // *msgrel*

typedef *msg* = *Msg*
morphisms *Rep-Msg* *Abs-Msg*

unfolding *Msg-def* **by** (*auto simp add: quotient-def*)

The abstract message constructors

definition

Nonce :: *nat* $\Rightarrow$ *msg* **where**
Nonce *N* = *Abs-Msg*(*msgrel*“{*NONCE* *N*}”)

definition

MPair :: [*msg*, *msg*] $\Rightarrow$ *msg* **where**
MPair *X* *Y* =
Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel}“\{\text{MPAIR } U \ V\}$)

definition

Crypt :: [*nat*, *msg*] $\Rightarrow$ *msg* **where**
Crypt *K* *X* =
Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel}“\{\text{CRYPT } K \ U\}$)

definition

Decrypt :: [*nat*, *msg*] $\Rightarrow$ *msg* **where**
Decrypt *K* *X* =
Abs-Msg ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel}“\{\text{DECRYPT } K \ U\}$)

Reduces equality of equivalence classes to the *msgrel* relation: (*msgrel* “ {*x*}
= *msgrel* “ {*y*}) = (*x* $\sim$ *y*)

lemmas *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF equiv-msgrel UNIV-I UNIV-I*]

declare *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: *msgrel*“{*U*} $\in$ *Msg*
by (*auto simp add: Msg-def quotient-def intro: msgrel-refl*)

lemma *inj-on-Abs-Msg*: *inj-on* *Abs-Msg* *Msg*

apply (*rule inj-on-inverseI*)

apply (*erule Abs-Msg-inverse*)

done

Reduces equality on abstractions to equality on representatives

declare *inj-on-Abs-Msg* [*THEN inj-on-eq-iff, simp*]

declare *Abs-Msg-inverse* [*simp*]

3.3.1 Characteristic Equations for the Abstract Constructors

lemma *MPair*: *MPair* (*Abs-Msg*(*msgrel*“{*U*}”)) (*Abs-Msg*(*msgrel*“{*V*}”)) =
Abs-Msg (*msgrel*“{*MPAIR* *U* *V*}”)

proof –

have ($\lambda U \ V. \text{msgrel} “ \{\text{MPAIR } U \ V\}$) *respects2* *msgrel*

```

    by (auto simp add: congruent2-def msgrel.MPAIR)
  thus ?thesis
    by (simp add: MPair-def UN-equiv-class2 [OF equiv-msgrel equiv-msgrel])
qed

```

```

lemma Crypt: Crypt K (Abs-Msg(msgrel“{U}”)) = Abs-Msg (msgrel“{CRYPT K U}”)
proof –
  have (λU. msgrel “ {CRYPT K U}” respects msgrel
    by (auto simp add: congruent-def msgrel.CRYPT)
  thus ?thesis
    by (simp add: Crypt-def UN-equiv-class [OF equiv-msgrel])
qed

```

```

lemma Decrypt:
  Decrypt K (Abs-Msg(msgrel“{U}”)) = Abs-Msg (msgrel“{DECRYPT K U}”)
proof –
  have (λU. msgrel “ {DECRYPT K U}” respects msgrel
    by (auto simp add: congruent-def msgrel.DECRYPT)
  thus ?thesis
    by (simp add: Decrypt-def UN-equiv-class [OF equiv-msgrel])
qed

```

Case analysis on the representation of a msg as an equivalence class.

```

lemma eq-Abs-Msg [case-names Abs-Msg, cases type: msg]:
  (!!U. z = Abs-Msg(msgrel“{U}”) ==> P) ==> P
apply (rule Rep-Msg [of z, unfolded Msg-def, THEN quotientE])
apply (drule arg-cong [where f=Abs-Msg])
apply (auto simp add: Rep-Msg-inverse intro: msgrel-refl)
done

```

Establishing these two equations is the point of the whole exercise

```

theorem CD-eq [simp]: Crypt K (Decrypt K X) = X
by (cases X, simp add: Crypt Decrypt CD)

```

```

theorem DC-eq [simp]: Decrypt K (Crypt K X) = X
by (cases X, simp add: Crypt Decrypt DC)

```

3.4 The Abstract Function to Return the Set of Nonces

definition

```

nonces :: msg ⇒ nat set where
  nonces X = (⋃ U ∈ Rep-Msg X. frenonces U)

```

```

lemma nonces-congruent: frenonces respects msgrel
by (auto simp add: congruent-def msgrel-imp-eq-freonces)

```

Now prove the four equations for *nonces*

```

lemma nonces-Nonce [simp]: nonces (Nonce N) = {N}

```

```

by (simp add: nonces-def Nonce-def
      UN-equiv-class [OF equiv-msgrel nonces-congruent])

lemma nonces-MPair [simp]: nonces (MPair X Y) = nonces X  $\cup$  nonces Y
apply (cases X, cases Y)
apply (simp add: nonces-def MPair
      UN-equiv-class [OF equiv-msgrel nonces-congruent])
done

lemma nonces-Crypt [simp]: nonces (Crypt K X) = nonces X
apply (cases X)
apply (simp add: nonces-def Crypt
      UN-equiv-class [OF equiv-msgrel nonces-congruent])
done

lemma nonces-Decrypt [simp]: nonces (Decrypt K X) = nonces X
apply (cases X)
apply (simp add: nonces-def Decrypt
      UN-equiv-class [OF equiv-msgrel nonces-congruent])
done

```

3.5 The Abstract Function to Return the Left Part

definition

```

left :: msg  $\Rightarrow$  msg where
  left X = Abs-Msg ( $\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{ `` } \{\text{freeleft } U\}$ )

```

```

lemma left-congruent: ( $\lambda U. \text{msgrel} \text{ `` } \{\text{freeleft } U\}$ ) respects msgrel
by (auto simp add: congruent-def msgrel-imp-equiv-freeleft)

```

Now prove the four equations for *left*

```

lemma left-Nonce [simp]: left (Nonce N) = Nonce N
by (simp add: left-def Nonce-def
      UN-equiv-class [OF equiv-msgrel left-congruent])

```

```

lemma left-MPair [simp]: left (MPair X Y) = X
apply (cases X, cases Y)
apply (simp add: left-def MPair
      UN-equiv-class [OF equiv-msgrel left-congruent])
done

```

```

lemma left-Crypt [simp]: left (Crypt K X) = left X
apply (cases X)
apply (simp add: left-def Crypt
      UN-equiv-class [OF equiv-msgrel left-congruent])
done

```

```

lemma left-Decrypt [simp]: left (Decrypt K X) = left X
apply (cases X)

```

apply (*simp add: left-def Decrypt*
 UN-equiv-class [OF equiv-msgrel left-congruent])
done

3.6 The Abstract Function to Return the Right Part

definition

right :: *msg* $\Rightarrow$ *msg* **where**
right *X* = *Abs-Msg* ($\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \{ \text{freeright } U \}$)

lemma *right-congruent*: ($\lambda U. \text{msgrel} \{ \text{freeright } U \}$) respects *msgrel*
by (*auto simp add: congruent-def msgrel-imp-equiv-freeright*)

Now prove the four equations for *right*

lemma *right-Nonce* [*simp*]: *right* (*Nonce* *N*) = *Nonce* *N*
by (*simp add: right-def Nonce-def*
 UN-equiv-class [OF equiv-msgrel right-congruent])

lemma *right-MPair* [*simp*]: *right* (*MPair* *X* *Y*) = *Y*
apply (*cases* *X*, *cases* *Y*)
apply (*simp add: right-def MPair*
 UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Crypt* [*simp*]: *right* (*Crypt* *K* *X*) = *right* *X*
apply (*cases* *X*)
apply (*simp add: right-def Crypt*
 UN-equiv-class [OF equiv-msgrel right-congruent])
done

lemma *right-Decrypt* [*simp*]: *right* (*Decrypt* *K* *X*) = *right* *X*
apply (*cases* *X*)
apply (*simp add: right-def Decrypt*
 UN-equiv-class [OF equiv-msgrel right-congruent])
done

3.7 Injectivity Properties of Some Constructors

lemma *NONCE-imp-eq*: *NONCE* *m* $\sim$ *NONCE* *n* $\implies m = n$
by (*drule msgrel-imp-eq-freenonces, simp*)

Can also be proved using the function *nonces*

lemma *Nonce-Nonce-eq* [*iff*]: (*Nonce* *m* = *Nonce* *n*) = (*m* = *n*)
by (*auto simp add: Nonce-def msgrel-refl dest: NONCE-imp-eq*)

lemma *MPAIR-imp-equiv-left*: *MPAIR* *X* *Y* $\sim$ *MPAIR* *X'* *Y'* $\implies X \sim X'$
by (*drule msgrel-imp-equiv-freeleft, simp*)

lemma *MPair-imp-eq-left*:

assumes $eq: MPair\ X\ Y = MPair\ X'\ Y'$ **shows** $X = X'$
proof –
from eq
have $left\ (MPair\ X\ Y) = left\ (MPair\ X'\ Y')$ **by** $simp$
thus $?thesis$ **by** $simp$
qed

lemma $MPAIR-imp-eqv-right: MPAIR\ X\ Y \sim MPAIR\ X'\ Y' \implies Y \sim Y'$
by ($drule\ msgrel-imp-eqv-freeright, simp$)

lemma $MPair-imp-eq-right: MPair\ X\ Y = MPair\ X'\ Y' \implies Y = Y'$
apply ($cases\ X, cases\ X', cases\ Y, cases\ Y'$)
apply ($simp\ add: MPair$)
apply ($erule\ MPAIR-imp-eqv-right$)
done

theorem $MPair-MPair-eq\ [iff]: (MPair\ X\ Y = MPair\ X'\ Y') = (X=X' \ \&\ Y=Y')$
by ($blast\ dest: MPair-imp-eq-left\ MPair-imp-eq-right$)

lemma $NONCE-neqv-MPAIR: NONCE\ m \sim MPAIR\ X\ Y \implies False$
by ($drule\ msgrel-imp-eq-freediscrim, simp$)

theorem $Nonce-neq-MPair\ [iff]: Nonce\ N \neq MPair\ X\ Y$
apply ($cases\ X, cases\ Y$)
apply ($simp\ add: Nonce-def\ MPair$)
apply ($blast\ dest: NONCE-neqv-MPAIR$)
done

Example suggested by a referee

theorem $Crypt-Nonce-neq-Nonce: Crypt\ K\ (Nonce\ M) \neq Nonce\ N$
by ($auto\ simp\ add: Nonce-def\ Crypt\ dest: msgrel-imp-eq-freediscrim$)

...and many similar results

theorem $Crypt2-Nonce-neq-Nonce: Crypt\ K\ (Crypt\ K'\ (Nonce\ M)) \neq Nonce\ N$
by ($auto\ simp\ add: Nonce-def\ Crypt\ dest: msgrel-imp-eq-freediscrim$)

theorem $Crypt-Crypt-eq\ [iff]: (Crypt\ K\ X = Crypt\ K\ X') = (X=X')$
proof

assume $Crypt\ K\ X = Crypt\ K\ X'$
hence $Decrypt\ K\ (Crypt\ K\ X) = Decrypt\ K\ (Crypt\ K\ X')$ **by** $simp$
thus $X = X'$ **by** $simp$
next
assume $X = X'$
thus $Crypt\ K\ X = Crypt\ K\ X'$ **by** $simp$
qed

theorem $Decrypt-Decrypt-eq\ [iff]: (Decrypt\ K\ X = Decrypt\ K\ X') = (X=X')$
proof

```

    assume Decrypt K X = Decrypt K X'
    hence Crypt K (Decrypt K X) = Crypt K (Decrypt K X') by simp
    thus X = X' by simp
next
  assume X = X'
  thus Decrypt K X = Decrypt K X' by simp
qed

lemma msg-induct [case-names Nonce MPair Crypt Decrypt, cases type: msg]:
  assumes N:  $\bigwedge N. P \text{ (Nonce } N)$ 
    and M:  $\bigwedge X Y. \llbracket P X; P Y \rrbracket \implies P \text{ (MPair } X Y)$ 
    and C:  $\bigwedge K X. P X \implies P \text{ (Crypt } K X)$ 
    and D:  $\bigwedge K X. P X \implies P \text{ (Decrypt } K X)$ 
  shows P msg
proof (cases msg)
  case (Abs-Msg U)
  have P (Abs-Msg (msgrel “ {U}”))
  proof (induct U)
    case (NONCE N)
    with N show ?case by (simp add: Nonce-def)
  next
    case (MPAIR X Y)
    with M [of Abs-Msg (msgrel “ {X}”) Abs-Msg (msgrel “ {Y}”)]
    show ?case by (simp add: MPair)
  next
    case (CRYPT K X)
    with C [of Abs-Msg (msgrel “ {X}”)]
    show ?case by (simp add: Crypt)
  next
    case (DECRYPT K X)
    with D [of Abs-Msg (msgrel “ {X}”)]
    show ?case by (simp add: Decrypt)
  qed
  with Abs-Msg show ?thesis by (simp only:)
qed

```

3.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don’t need this function in order to prove discrimination theorems.

definition

discrim :: *msg* $\Rightarrow$ *int* **where**
discrim X = the-elem ($\bigcup U \in \text{Rep-Msg } X. \{\text{freediscrim } U\}$)

lemma *discrim-congruent*: $(\lambda U. \{\text{freediscrim } U\})$ respects msgrel
by (auto simp add: congruent-def msgrel-imp-eq-freediscrim)

Now prove the four equations for *discrim*

lemma *discrim-Nonce* [simp]: *discrim* (Nonce N) = 0

```

by (simp add: discrim-def Nonce-def
    UN-equiv-class [OF equiv-msgrel discrim-congruent])

lemma discrim-MPair [simp]: discrim (MPair X Y) = 1
apply (cases X, cases Y)
apply (simp add: discrim-def MPair
    UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma discrim-Crypt [simp]: discrim (Crypt K X) = discrim X + 2
apply (cases X)
apply (simp add: discrim-def Crypt
    UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

lemma discrim-Decrypt [simp]: discrim (Decrypt K X) = discrim X - 2
apply (cases X)
apply (simp add: discrim-def Decrypt
    UN-equiv-class [OF equiv-msgrel discrim-congruent])
done

end

```

4 Quotienting a Free Algebra Involving Nested Recursion

theory QuoNestedDataType imports Main begin

4.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```

datatype
  freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list

```

datatype-compats freeExp

The equivalence relation, which makes PLUS associative.

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```

inductive-set
  exprel :: (freeExp * freeExp) set
  and exp-rel :: [freeExp, freeExp] => bool (infixl ~ 50)

```

where
 $X \sim Y == (X, Y) \in \text{exprel}$
 $| \text{ASSOC: } PLUS\ X\ (PLUS\ Y\ Z) \sim PLUS\ (PLUS\ X\ Y)\ Z$
 $| \text{VAR: } VAR\ N \sim VAR\ N$
 $| \text{PLUS: } \llbracket X \sim X'; Y \sim Y' \rrbracket \implies PLUS\ X\ Y \sim PLUS\ X'\ Y'$
 $| \text{FNCALL: } (Xs, Xs') \in \text{listrel}\ \text{exprel} \implies \text{FNCALL}\ F\ Xs \sim \text{FNCALL}\ F\ Xs'$
 $| \text{SYM: } X \sim Y \implies Y \sim X$
 $| \text{TRANS: } \llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$
monos *listrel-mono*

Proving that it is an equivalence relation

lemma *exprel-refl*: $X \sim X$
and *list-exprel-refl*: $(Xs, Xs) \in \text{listrel}(\text{exprel})$
by (*induct* X **and** Xs *rule*: *compat-freeExp.induct* *compat-freeExp-list.induct*)
(blast intro: exprel.intros listrel.intros)+

theorem *equiv-exprel*: *equiv UNIV exprel*
proof –
have *refl exprel* **by** (*simp add: refl-on-def exprel-refl*)
moreover have *sym exprel* **by** (*simp add: sym-def, blast intro: exprel.SYM*)
moreover have *trans exprel* **by** (*simp add: trans-def, blast intro: exprel.TRANS*)
ultimately show *?thesis* **by** (*simp add: equiv-def*)
qed

theorem *equiv-list-exprel*: *equiv UNIV (listrel exprel)*
using *equiv-listrel [OF equiv-exprel]* **by** *simp*

lemma *FNCALL-Nil*: $\text{FNCALL}\ F\ [] \sim \text{FNCALL}\ F\ []$
apply (*rule exprel.intros*)
apply (*rule listrel.intros*)
done

lemma *FNCALL-Cons*:
 $\llbracket X \sim X'; (Xs, Xs') \in \text{listrel}(\text{exprel}) \rrbracket$
 $\implies \text{FNCALL}\ F\ (X \# Xs) \sim \text{FNCALL}\ F\ (X' \# Xs')$
by (*blast intro: exprel.intros listrel.intros*)

4.2 Some Functions on the Free Algebra

4.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

primrec *freevars* :: *freeExp* $\Rightarrow$ *nat set*
and *freevars-list* :: *freeExp list* $\Rightarrow$ *nat set* **where**

```

  freevars (VAR N) = {N}
| freevars (PLUS X Y) = freevars X ∪ freevars Y
| freevars (FNCALL F Xs) = freevars-list Xs

| freevars-list [] = {}
| freevars-list (X # Xs) = freevars X ∪ freevars-list Xs

```

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

```

theorem exprel-imp-eq-freevars:  $U \sim V \implies \text{freevars } U = \text{freevars } V$ 
apply (induct set: exprel)
apply (erule-tac [4] listrel.induct)
apply (simp-all add: Un-assoc)
done

```

4.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

```

primrec freediscrim :: freeExp  $\Rightarrow$  int where
  freediscrim (VAR N) = 0
| freediscrim (PLUS X Y) = 1
| freediscrim (FNCALL F Xs) = 2

```

```

theorem exprel-imp-eq-freediscrim:
   $U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$ 
by (induct set: exprel) auto

```

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

```

primrec freefun :: freeExp  $\Rightarrow$  nat where
  freefun (VAR N) = 0
| freefun (PLUS X Y) = 0
| freefun (FNCALL F Xs) = F

```

```

theorem exprel-imp-eq-freefun:
   $U \sim V \implies \text{freefun } U = \text{freefun } V$ 
by (induct set: exprel) (simp-all add: listrel.intros)

```

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

```

primrec freeargs :: freeExp  $\Rightarrow$  freeExp list where
  freeargs (VAR N) = []
| freeargs (PLUS X Y) = []
| freeargs (FNCALL F Xs) = Xs

```

```

theorem exprel-imp-eqv-freeargs:

```

```

assumes  $U \sim V$ 
shows  $(\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$ 
proof –
  from equiv-list-exprel have sym:  $\text{sym } (\text{listrel } \text{exprel})$  by (rule equivE)
  from equiv-list-exprel have trans:  $\text{trans } (\text{listrel } \text{exprel})$  by (rule equivE)
  from assms show ?thesis
  apply induct
  apply (erule-tac [4] listrel.induct)
  apply (simp-all add: listrel.intros)
  apply (blast intro: symD [OF sym])
  apply (blast intro: transD [OF trans])
  done
qed

```

4.3 The Initial Algebra: A Quotiented Message Type

definition $\text{Exp} = \text{UNIV} // \text{exprel}$

```

typedef exp = Exp
  morphisms Rep-Exp Abs-Exp
  unfolding Exp-def by (auto simp add: quotient-def)

```

The abstract message constructors

definition

```

 $\text{Var} :: \text{nat} \Rightarrow \text{exp}$  where
 $\text{Var } N = \text{Abs-Exp}(\text{exprel} \{ \text{VAR } N \})$ 

```

definition

```

 $\text{Plus} :: [\text{exp}, \text{exp}] \Rightarrow \text{exp}$  where
 $\text{Plus } X \ Y =$ 
 $\text{Abs-Exp } (\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel} \{ \text{PLUS } U \ V \})$ 

```

definition

```

 $\text{FnCall} :: [\text{nat}, \text{exp list}] \Rightarrow \text{exp}$  where
 $\text{FnCall } F \ Xs =$ 
 $\text{Abs-Exp } (\bigcup Us \in \text{listset } (\text{map } \text{Rep-Exp } Xs). \text{exprel} \{ \text{FNCALL } F \ Us \})$ 

```

Reduces equality of equivalence classes to the *exprel* relation: $(\text{exprel} \{x\} = \text{exprel} \{y\}) = (x \sim y)$

lemmas *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

declare *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

lemma [*simp*]: $\text{exprel} \{U\} \in \text{Exp}$
by (*auto simp add: Exp-def quotient-def intro: exprel-refl*)

lemma *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*
apply (*rule inj-on-inverseI*)

```

apply (erule Abs-Exp-inverse)
done

```

Reduces equality on abstractions to equality on representatives

```

declare inj-on-Abs-Exp [THEN inj-on-eq-iff, simp]

```

```

declare Abs-Exp-inverse [simp]

```

Case analysis on the representation of a exp as an equivalence class.

```

lemma eq-Abs-Exp [case-names Abs-Exp, cases type: exp]:
  ( $!!U. z = \text{Abs-Exp}(\text{exprel}\{\ U\}) \implies P$ )  $\implies P$ 
apply (rule Rep-Exp [of z, unfolded Exp-def, THEN quotientE])
apply (drule arg-cong [where  $f = \text{Abs-Exp}$ ])
apply (auto simp add: Rep-Exp-inverse intro: exprel-refl)
done

```

4.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

definition

```

Abs-ExpList :: freeExp list => exp list where
Abs-ExpList Xs = map ( $\%U. \text{Abs-Exp}(\text{exprel}\{\ U\})$ ) Xs

```

```

lemma Abs-ExpList-Nil [simp]: Abs-ExpList [] == []
by (simp add: Abs-ExpList-def)

```

```

lemma Abs-ExpList-Cons [simp]:
  Abs-ExpList (X # Xs) == Abs-Exp (exprel“{X}” # Abs-ExpList Xs)
by (simp add: Abs-ExpList-def)

```

```

lemma ExpList-rep:  $\exists Us. z = \text{Abs-ExpList } Us$ 
apply (induct z)
apply (rename-tac [2] a b)
apply (rule-tac [2]  $z = a$  in eq-Abs-Exp)
apply (auto simp add: Abs-ExpList-def Cons-eq-map-conv intro: exprel-refl)
done

```

```

lemma eq-Abs-ExpList [case-names Abs-ExpList]:
  ( $!!Us. z = \text{Abs-ExpList } Us \implies P$ )  $\implies P$ 
by (rule exE [OF ExpList-rep], blast)

```

4.4.1 Characteristic Equations for the Abstract Constructors

```

lemma Plus: Plus (Abs-Exp(exprel“{U}”) (Abs-Exp(exprel“{V}”)) =
  Abs-Exp (exprel“{PLUS U V}”)
proof –
  have ( $\lambda U V. \text{exprel}\{\ \{PLUS\ U\ V\}\}$ ) respects2 exprel
    by (auto simp add: congruent2-def exprel.PLUS)
  thus ?thesis

```

by (simp add: Plus-def UN-equiv-class2 [OF equiv-exprel equiv-exprel])
qed

It is not clear what to do with FnCall: it's argument is an abstraction of an *exp list*. Is it just Nil or Cons? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

lemma *FnCall-Nil*: $\text{FnCall } F \ [] = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ [] \})$
by (simp add: FnCall-def)

lemma *FnCall-respects*:
 $(\lambda Us. \text{exprel} \{ \text{FNCALL } F \ Us \}) \text{ respects } (\text{listrel exprel})$
by (auto simp add: congruent-def exprel.FNCALL)

lemma *FnCall-sing*:
 $\text{FnCall } F \ [\text{Abs-Exp}(\text{exprel} \{ U \})] = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ [U] \})$
proof –
have $(\lambda U. \text{exprel} \{ \text{FNCALL } F \ [U] \}) \text{ respects exprel}$
by (auto simp add: congruent-def FNCALL-Cons listrel.intros)
thus ?thesis
by (simp add: FnCall-def UN-equiv-class [OF equiv-exprel])
qed

lemma *listset-Rep-Exp-Abs-Exp*:
 $\text{listset } (\text{map Rep-Exp } (\text{Abs-ExpList } Us)) = \text{listrel exprel} \{ \{ Us \}$
by (induct Us) (simp-all add: listrel-Cons Abs-ExpList-def)

lemma *FnCall*:
 $\text{FnCall } F \ (\text{Abs-ExpList } Us) = \text{Abs-Exp } (\text{exprel} \{ \text{FNCALL } F \ Us \})$
proof –
have $(\lambda Us. \text{exprel} \{ \text{FNCALL } F \ Us \}) \text{ respects } (\text{listrel exprel})$
by (auto simp add: congruent-def exprel.FNCALL)
thus ?thesis
by (simp add: FnCall-def UN-equiv-class [OF equiv-list-exprel]
listset-Rep-Exp-Abs-Exp)
qed

Establishing this equation is the point of the whole exercise

theorem *Plus-assoc*: $\text{Plus } X \ (\text{Plus } Y \ Z) = \text{Plus } (\text{Plus } X \ Y) \ Z$
by (cases X, cases Y, cases Z, simp add: Plus exprel.ASSOC)

4.5 The Abstract Function to Return the Set of Variables

definition

$\text{vars} :: \text{exp} \Rightarrow \text{nat set}$ **where**
 $\text{vars } X = (\bigcup U \in \text{Rep-Exp } X. \text{freevars } U)$

lemma *vars-respects*: *freevars respects exprel*
by (*auto simp add: congruent-def exprel-imp-eq-freevars*)

The extension of the function *vars* to lists

primrec *vars-list* :: *exp list* $\Rightarrow$ *nat set* **where**
vars-list [] = {}
| *vars-list* (*E#Es*) = *vars E* $\cup$ *vars-list Es*

Now prove the three equations for *vars*

lemma *vars-Variable* [*simp*]: *vars (Var N)* = {*N*}
by (*simp add: vars-def Var-def*
UN-equiv-class [OF equiv-exprel vars-respects])

lemma *vars-Plus* [*simp*]: *vars (Plus X Y)* = *vars X* $\cup$ *vars Y*
apply (*cases X, cases Y*)
apply (*simp add: vars-def Plus*
UN-equiv-class [OF equiv-exprel vars-respects])
done

lemma *vars-FnCall* [*simp*]: *vars (FnCall F Xs)* = *vars-list Xs*
apply (*cases Xs rule: eq-Abs-ExpList*)
apply (*simp add: FnCall*)
apply (*induct-tac Us*)
apply (*simp-all add: vars-def UN-equiv-class [OF equiv-exprel vars-respects]*)
done

lemma *vars-FnCall-Nil*: *vars (FnCall F Nil)* = {}
by *simp*

lemma *vars-FnCall-Cons*: *vars (FnCall F (X#Xs))* = *vars X* $\cup$ *vars-list Xs*
by *simp*

4.6 Injectivity Properties of Some Constructors

lemma *VAR-imp-eq*: *VAR m* $\sim$ *VAR n* $\implies m = n$
by (*drule exprel-imp-eq-freevars, simp*)

Can also be proved using the function *vars*

lemma *Var-Var-eq* [*iff*]: (*Var m* = *Var n*) = (*m* = *n*)
by (*auto simp add: Var-def exprel-refl dest: VAR-imp-eq*)

lemma *VAR-neqv-PLUS*: *VAR m* $\sim$ *PLUS X Y* $\implies$ *False*
by (*drule exprel-imp-eq-freediscrim, simp*)

theorem *Var-neqv-Plus* [*iff*]: *Var N* $\neq$ *Plus X Y*
apply (*cases X, cases Y*)
apply (*simp add: Var-def Plus*)
apply (*blast dest: VAR-neqv-PLUS*)
done

```

theorem Var-neq-FnCall [iff]: Var  $N \neq \text{FnCall } F \text{ } Xs$ 
apply (cases  $Xs$  rule: eq-Abs-ExpList)
apply (auto simp add: FnCall Var-def)
apply (drule exprel-imp-eq-freediscrim, simp)
done

```

4.7 Injectivity of *FnCall*

definition

```

fun :: exp  $\Rightarrow$  nat where
fun  $X = \text{the-elem } (\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\})$ 

```

lemma *fun-respects*: $(\%U. \{\text{freefun } U\})$ *respects* *exprel*
by (*auto simp add*: *congruent-def exprel-imp-eq-freefun*)

lemma *fun-FnCall* [*simp*]: *fun* (*FnCall* $F \text{ } Xs$) = F
apply (*cases* Xs *rule*: *eq-Abs-ExpList*)
apply (*simp add*: *FnCall fun-def UN-equiv-class [OF equiv-exprel fun-respects]*)
done

definition

```

args :: exp  $\Rightarrow$  exp list where
args  $X = \text{the-elem } (\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\})$ 

```

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

lemma *Abs-ExpList-eq*:

```

 $(y, z) \in \text{listrel exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$ 
by (induct set: listrel) simp-all

```

lemma *args-respects*: $(\%U. \{\text{Abs-ExpList } (\text{freeargs } U)\})$ *respects* *exprel*
by (*auto simp add*: *congruent-def Abs-ExpList-eq exprel-imp-eqv-freeargs*)

lemma *args-FnCall* [*simp*]: *args* (*FnCall* $F \text{ } Xs$) = Xs
apply (*cases* Xs *rule*: *eq-Abs-ExpList*)
apply (*simp add*: *FnCall args-def UN-equiv-class [OF equiv-exprel args-respects]*)
done

lemma *FnCall-FnCall-eq* [iff]:

```

 $(\text{FnCall } F \text{ } Xs = \text{FnCall } F' \text{ } Xs') = (F=F' \ \& \ Xs=Xs')$ 

```

proof

```

assume  $\text{FnCall } F \text{ } Xs = \text{FnCall } F' \text{ } Xs'$ 
hence  $\text{fun } (\text{FnCall } F \text{ } Xs) = \text{fun } (\text{FnCall } F' \text{ } Xs')$ 
and  $\text{args } (\text{FnCall } F \text{ } Xs) = \text{args } (\text{FnCall } F' \text{ } Xs')$  by auto
thus  $F=F' \ \& \ Xs=Xs'$  by simp

```

next

```

assume  $F=F' \ \& \ Xs=Xs'$  thus  $\text{FnCall } F \text{ } Xs = \text{FnCall } F' \text{ } Xs'$  by simp

```

qed

4.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

definition

discrim :: *exp* $\Rightarrow$ *int* **where**
discrim *X* = *the-elem* ($\bigcup U \in \text{Rep-Exp } X. \{\text{freediscrim } U\}$)

lemma *discrim-respects*: ($\lambda U. \{\text{freediscrim } U\}$) *respects* *exprel*
by (*auto simp add: congruent-def exprel-imp-eq-freediscrim*)

Now prove the four equations for *discrim*

lemma *discrim-Var* [*simp*]: *discrim* (*Var* *N*) = 0
by (*simp add: discrim-def Var-def*
UN-equiv-class [OF equiv-exprel discrim-respects])

lemma *discrim-Plus* [*simp*]: *discrim* (*Plus* *X* *Y*) = 1
apply (*cases* *X*, *cases* *Y*)
apply (*simp add: discrim-def Plus*
UN-equiv-class [OF equiv-exprel discrim-respects])
done

lemma *discrim-FnCall* [*simp*]: *discrim* (*FnCall* *F* *Xs*) = 2
apply (*rule-tac* *z=Xs in eq-Abs-ExpList*)
apply (*simp add: discrim-def FnCall*
UN-equiv-class [OF equiv-exprel discrim-respects])
done

The structural induction rule for the abstract type

theorem *exp-inducts*:

assumes *V*: $\bigwedge \text{nat}. P1 \text{ (Var nat)}$
and *P*: $\bigwedge \text{exp1 exp2}. \llbracket P1 \text{ exp1}; P1 \text{ exp2} \rrbracket \Longrightarrow P1 \text{ (Plus exp1 exp2)}$
and *F*: $\bigwedge \text{nat list}. P2 \text{ list} \Longrightarrow P1 \text{ (FnCall nat list)}$
and *Nil*: $P2 []$
and *Cons*: $\bigwedge \text{exp list}. \llbracket P1 \text{ exp}; P2 \text{ list} \rrbracket \Longrightarrow P2 (\text{exp} \# \text{list})$
shows *P1* *exp* **and** *P2* *list*

proof –

obtain *U* **where** *exp*: *exp* = (*Abs-Exp* (*exprel* “{*U*}”)) **by** (*cases* *exp*)
obtain *Us* **where** *list*: *list* = *Abs-ExpList* *Us* **by** (*rule eq-Abs-ExpList*)
have *P1* (*Abs-Exp* (*exprel* “{*U*}”)) **and** *P2* (*Abs-ExpList* *Us*)
proof (*induct* *U* **and** *Us* *rule: compat-freeExp.induct compat-freeExp-list.induct*)
case (*VAR* *nat*)
with *V* **show** ?*case* **by** (*simp add: Var-def*)
next
case (*PLUS* *X* *Y*)
with *P* [*of Abs-Exp* (*exprel* “{*X*}”) *Abs-Exp* (*exprel* “{*Y*}”)]

```

    show ?case by (simp add: Plus)
next
  case (FNCALL nat list)
  with F [of Abs-ExpList list]
  show ?case by (simp add: FnCall)
next
  case Nil-freeExp
  with Nil show ?case by simp
next
  case Cons-freeExp
  with Cons show ?case by simp
qed
with exp and list show P1 exp and P2 list by (simp-all only:)
qed

end

```

5 Terms over a given alphabet

```

theory Term
imports Main
begin

```

```

datatype ('a, 'b) term =
  Var 'a
| App 'b ('a, 'b) term list

```

Substitution function on terms

```

primrec subst-term :: ('a  $\Rightarrow$  ('a, 'b) term)  $\Rightarrow$  ('a, 'b) term  $\Rightarrow$  ('a, 'b) term
and subst-term-list :: ('a  $\Rightarrow$  ('a, 'b) term)  $\Rightarrow$  ('a, 'b) term list  $\Rightarrow$  ('a, 'b) term
list
where
  subst-term f (Var a) = f a
| subst-term f (App b ts) = App b (subst-term-list f ts)
| subst-term-list f [] = []
| subst-term-list f (t # ts) = subst-term f t # subst-term-list f ts

```

A simple theorem about composition of substitutions

```

lemma subst-comp:
  subst-term (subst-term f1  $\circ$  f2) t =
    subst-term f1 (subst-term f2 t)
and subst-term-list (subst-term f1  $\circ$  f2) ts =
  subst-term-list f1 (subst-term-list f2 ts)
by (induct t and ts rule: subst-term.induct subst-term-list.induct) simp-all

```

Alternative induction rule

```

lemma

```

```

assumes var:  $\bigwedge v. P \ (Var \ v)$ 
and app:  $\bigwedge f \ ts. (\forall t \in set \ ts. P \ t) \implies P \ (App \ f \ ts)$ 
shows term-induct2:  $P \ t$ 
and  $\forall t \in set \ ts. P \ t$ 
apply (induct t and ts rule: subst-term.induct subst-term-list.induct)
apply (rule var)
apply (rule app)
apply assumption
apply simp-all
done

end

```

```

theory Sexp
imports HOL-Library.Old-Datatype
begin

```

```

type-synonym 'a item = 'a Old-Datatype.item
abbreviation Leaf == Old-Datatype.Leaf
abbreviation Numb == Old-Datatype.Numb

```

```

inductive-set
  sexp :: 'a item set
where
  LeafI: Leaf(a)  $\in$  sexp
  | NumbI: Numb(i)  $\in$  sexp
  | SconsI: [M  $\in$  sexp; N  $\in$  sexp]  $\implies$  Scons M N  $\in$  sexp

```

```

definition
  sexp-case :: [a  $\Rightarrow$  'b, nat  $\Rightarrow$  'b, [a item, 'a item]  $\Rightarrow$  'b,
    'a item]  $\Rightarrow$  'b where
  sexp-case c d e M = (THE z. ( $\exists x. M = Leaf(x) \ \& \ z = c(x)$ )
    | ( $\exists k. M = Numb(k) \ \& \ z = d(k)$ )
    | ( $\exists N1 \ N2. M = Scons \ N1 \ N2 \ \& \ z = e \ N1 \ N2$ ))

```

```

definition
  pred-sexp :: ('a item * 'a item)set where
  pred-sexp = ( $\bigcup M \in sexp. \bigcup N \in sexp. \{(M, Scons \ M \ N), (N, Scons \ M \ N)\}$ )

```

```

definition
  sexp-rec :: [a item, 'a  $\Rightarrow$  'b, nat  $\Rightarrow$  'b,
    [a item, 'a item, 'b, 'b]  $\Rightarrow$  'b]  $\Rightarrow$  'b where
  sexp-rec M c d e = wfrec pred-sexp
    (%g. sexp-case c d (%N1 N2. e N1 N2 (g N1) (g N2))) M

```

lemma *sexp-case-Leaf* [simp]: *sexp-case* *c d e* (*Leaf a*) = *c(a)*
by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Numb* [simp]: *sexp-case* *c d e* (*Numb k*) = *d(k)*
by (*simp add: sexp-case-def, blast*)

lemma *sexp-case-Scons* [simp]: *sexp-case* *c d e* (*Scons M N*) = *e M N*
by (*simp add: sexp-case-def*)

lemma *sexp-In0I*: $M \in \text{sexp} \implies \text{In0}(M) \in \text{sexp}$
apply (*simp add: In0-def*)
apply (*erule sexp.NumbI [THEN sexp.SconsI]*)
done

lemma *sexp-In1I*: $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$
apply (*simp add: In1-def*)
apply (*erule sexp.NumbI [THEN sexp.SconsI]*)
done

declare *sexp.intros* [*intro,simp*]

lemma *range-Leaf-subset-sexp*: $\text{range}(\text{Leaf}) \leq \text{sexp}$
by *blast*

lemma *Scons-D*: $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$
by (*induct S == Scons M N set: sexp*) *auto*

lemma *pred-sexp-subset-Sigma*: $\text{pred-sexp} \leq \text{sexp} \times \text{sexp}$
by (*simp add: pred-sexp-def*) *blast*

lemmas *trancl-pred-sexpD1* =
pred-sexp-subset-Sigma
[*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD1*]
and *trancl-pred-sexpD2* =
pred-sexp-subset-Sigma
[*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD2*]

lemma *pred-sexpI1*:
 $[M \in \text{sexp}; N \in \text{sexp}] \implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$
by (*simp add: pred-sexp-def, blast*)

lemma *pred-sexpI2*:

by ($\llbracket M \in \text{sexp}; N \in \text{sexp} \rrbracket \implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$
simp add: pred-sexp-def, blast)

lemmas *pred-sexp-t1* [*simp*] = *pred-sexpI1* [*THEN r-into-trancl*]
and *pred-sexp-t2* [*simp*] = *pred-sexpI2* [*THEN r-into-trancl*]

lemmas *pred-sexp-trans1* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t1*]
and *pred-sexp-trans2* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t2*]

declare *cut-apply* [*simp*]

lemma *pred-sexpE*:
 $\llbracket p \in \text{pred-sexp};$
 $\quad !!M \ N. \llbracket p = (M, \text{Scons } M \ N); M \in \text{sexp}; N \in \text{sexp} \rrbracket \implies R;$
 $\quad !!M \ N. \llbracket p = (N, \text{Scons } M \ N); M \in \text{sexp}; N \in \text{sexp} \rrbracket \implies R$
 $\rrbracket \implies R$
by (*simp add: pred-sexp-def, blast*)

lemma *wf-pred-sexp*: *wf(pred-sexp)*
apply (*rule pred-sexp-subset-Sigma* [*THEN wfI*])
apply (*erule sexp.induct*)
apply (*blast elim!: pred-sexpE*)
done

lemma *sexp-rec-unfold-lemma*:
 $(\%M. \text{sexp-rec } M \ c \ d \ e) ==$
 $\text{wfrec } \text{pred-sexp } (\%g. \text{sexp-case } c \ d \ (\%N1 \ N2. e \ N1 \ N2 \ (g \ N1) \ (g \ N2)))$
by (*simp add: sexp-rec-def*)

lemmas *sexp-rec-unfold* = *def-wfrec* [*OF sexp-rec-unfold-lemma wf-pred-sexp*]

lemma *sexp-rec-Leaf*: *sexp-rec (Leaf a) c d h = c(a)*
apply (*subst sexp-rec-unfold*)
apply (*rule sexp-case-Leaf*)
done

lemma *sexp-rec-Numb*: *sexp-rec (Numb k) c d h = d(k)*
apply (*subst sexp-rec-unfold*)
apply (*rule sexp-case-Numb*)
done

```

lemma sexp-rec-Scons: [|  $M \in \text{sexp}$ ;  $N \in \text{sexp}$  |] ==>
   $\text{sexp-rec } (\text{Scons } M \ N) \ c \ d \ h = h \ M \ N \ (\text{sexp-rec } M \ c \ d \ h) \ (\text{sexp-rec } N \ c \ d \ h)$ 
apply (rule sexp-rec-unfold [THEN trans])
apply (simp add: pred-sexpI1 pred-sexpI2)
done

end

```

6 Extended List Theory (old)

```

theory SList
imports Sexp
begin

```

```

definition
  NIL :: 'a item where
  NIL = In0(Numb(0))

```

```

definition
  CONS :: ['a item, 'a item] => 'a item where
  CONS M N = In1(Scons M N)

```

```

inductive-set
  list :: 'a item set => 'a item set
  for A :: 'a item set
  where
    NIL-I:  $\text{NIL} \in \text{list } A$ 
    | CONS-I: [|  $a \in A$ ;  $M \in \text{list } A$  |] ==>  $\text{CONS } a \ M \in \text{list } A$ 

```

```

definition List = list (range Leaf)

```

```

typedef 'a list = List :: 'a item set
  morphisms Rep-List Abs-List
  unfolding List-def by (blast intro: list.NIL-I)

```

```

abbreviation Case == Old-Datatype.Case
abbreviation Split == Old-Datatype.Split

```

definition

List-case :: [*'b*, [*'a item*, *'a item*]=>*'b*, *'a item*] => *'b* **where**
List-case *c d* = *Case*(%*x*. *c*)(*Split*(*d*))

definition

List-rec :: [*'a item*, *'b*, [*'a item*, *'a item*, *'b*]=>*'b*] => *'b* **where**
List-rec *M c d* = *wfrec* (*pred-sexp*⁺)
 (%*g*. *List-case* *c* (%*x y*. *d x y (g y)*)) *M*

no-translations

[*x*, *xs*] == *x*#[*xs*]
 [*x*] == *x*#[]

no-notation

Nil ([]) **and**
Cons (**infixr** # 65)

definition

Nil :: *'a list* ([]) **where**
Nil = *Abs-List*(*NIL*)

definition

Cons :: [*'a*, *'a list*] => *'a list* (**infixr** # 65) **where**
x#*xs* = *Abs-List*(*CONS* (*Leaf* *x*)(*Rep-List* *xs*))

definition

list-rec :: [*'a list*, *'b*, [*'a*, *'a list*, *'b*]=>*'b*] => *'b* **where**
list-rec *l c d* =
List-rec(*Rep-List* *l*) *c* (%*x y r*. *d*(*inv Leaf* *x*)(*Abs-List* *y*) *r*)

definition

list-case :: [*'b*, [*'a*, *'a list*]=>*'b*, *'a list*] => *'b* **where**
list-case *a f xs* = *list-rec* *xs a* (%*x xs r*. *f x xs*)

translations

[*x*, *xs*] == *x*#[*xs*]
 [*x*] == *x*#[]

case xs of [] => a | y#ys => b == CONST list-case(a, %y ys. b, xs)

definition

Rep-map :: ('b => 'a item) => ('b list => 'a item) **where**
Rep-map f xs = *list-rec* xs NIL(%x l r. CONS(f x) r)

definition

Abs-map :: ('a item => 'b) => 'a item => 'b list **where**
Abs-map g M = *List-rec* M Nil (%N L r. g(N)#r)

definition

map :: ('a=>'b) => ('a list => 'b list) **where**
map f xs = *list-rec* xs [] (%x l r. f(x)#r)

primrec *take* :: ['a list, nat] => 'a list **where**

take-0: *take* xs 0 = []
| *take-Suc*: *take* xs (Suc n) = *list-case* [] (%x l. x # *take* l n) xs

lemma *ListI*: $x \in \text{list } (\text{range Leaf}) \implies x \in \text{List}$
by (*simp add: List-def*)

lemma *ListD*: $x \in \text{List} \implies x \in \text{list } (\text{range Leaf})$
by (*simp add: List-def*)

lemma *list-unfold*: $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} (\text{uprod } A (\text{list}(A)))$
by (*fast intro!*: *list.intros* [*unfolded* NIL-def CONS-def]
elim: *list.cases* [*unfolded* NIL-def CONS-def])

lemma *list-mono*: $A \leq B \implies \text{list}(A) \leq \text{list}(B)$
apply (*rule subsetI*)
apply (*erule list.induct*)
apply (*auto intro!*: *list.intros*)
done

lemma *list-serp*: $\text{list}(\text{serp}) \leq \text{serp}$
apply (*rule subsetI*)

```

apply (erule list.induct)
apply (unfold NIL-def CONS-def)
apply (auto intro: sexp.intros sexp-In0I sexp-In1I)
done

```

```

lemmas list-subset-sexp = subset-trans [OF list-mono list-sexp]

```

```

lemma list-induct:
  [| P(Nil);
    !!x xs. P(xs) ==> P(x # xs) |] ==> P(l)
apply (unfold Nil-def Cons-def)
apply (rule Rep-List-inverse [THEN subst])

apply (rule Rep-List [unfolded List-def, THEN list.induct], simp)
apply (erule Abs-List-inverse [unfolded List-def, THEN subst], blast)
done

```

```

lemma inj-on-Abs-list: inj-on Abs-List (list(range Leaf))
apply (rule inj-on-inverseI)
apply (erule Abs-List-inverse [unfolded List-def])
done

```

```

lemma CONS-not-NIL [iff]: CONS M N ~ = NIL
by (simp add: NIL-def CONS-def)

```

```

lemmas NIL-not-CONS [iff] = CONS-not-NIL [THEN not-sym]
lemmas CONS-neq-NIL = CONS-not-NIL [THEN notE]
lemmas NIL-neq-CONS = sym [THEN CONS-neq-NIL]

```

```

lemma Cons-not-Nil [iff]: x # xs ~ = Nil
apply (unfold Nil-def Cons-def)
apply (rule CONS-not-NIL [THEN inj-on-Abs-list [THEN inj-on-contrad]])
apply (simp-all add: list.intros rangeI Rep-List [unfolded List-def])
done

```

```

lemmas Nil-not-Cons = Cons-not-Nil [THEN not-sym]
declare Nil-not-Cons [iff]
lemmas Cons-neq-Nil = Cons-not-Nil [THEN notE]
lemmas Nil-neq-Cons = sym [THEN Cons-neq-Nil]

```

lemma *CONS-CONS-eq* [*iff*]: $(CONS\ K\ M) = (CONS\ L\ N) = (K=L \ \&\ M=N)$
by (*simp add: CONS-def*)

declare *Rep-List* [*THEN ListD, intro*] *ListI* [*intro*]
declare *list.intros* [*intro, simp*]
declare *Leaf-inject* [*dest!*]

lemma *Cons-Cons-eq* [*iff*]: $(x\#xs=y\#ys) = (x=y \ \&\ xs=ys)$
apply (*simp add: Cons-def*)
apply (*subst Abs-List-inject*)
apply (*auto simp add: Rep-List-inject*)
done

lemmas *Cons-inject2* = *Cons-Cons-eq* [*THEN iffD1, THEN conjE*]

lemma *CONS-D*: $CONS\ M\ N \in list(A) \implies M \in A \ \&\ N \in list(A)$
by (*induct L == CONS M N rule: list.induct*) *auto*

lemma *sexp-CONS-D*: $CONS\ M\ N \in sexp \implies M \in sexp \wedge N \in sexp$
apply (*simp add: CONS-def In1-def*)
apply (*fast dest!: Scons-D*)
done

lemma *not-CONS-self*: $N \in list(A) \implies \forall M. N \neq CONS\ M\ N$
apply (*erule list.induct*) **apply** *simp-all* **done**

lemma *not-Cons-self2*: $\forall x. l \neq x\#l$
by (*induct l rule: list-induct*) *simp-all*

lemma *neq-Nil-conv2*: $(xs \neq []) = (\exists y\ ys. xs = y\#ys)$
by (*induct xs rule: list-induct*) *auto*

lemma *List-case-NIL* [*simp*]: $List\ case\ c\ h\ NIL = c$
by (*simp add: List-case-def NIL-def*)

lemma *List-case-CONS* [*simp*]: $List\ case\ c\ h\ (CONS\ M\ N) = h\ M\ N$
by (*simp add: List-case-def CONS-def*)

lemma *List-rec-unfold-lemma*:

($\lambda M. \text{List-rec } M \ c \ d \equiv$
 $\text{wfrec } (\text{pred-sexp}^+) (\lambda g. \text{List-case } c \ (\lambda x \ y. \ d \ x \ y \ (g \ y)))$)
by (*simp add: List-rec-def*)

lemmas *List-rec-unfold* =

def-wfrec [OF List-rec-unfold-lemma wf-pred-sexp [THEN wf-trancl]]

lemma *pred-sexp-CONS-I1*:

[$M \in \text{sexp}; \ N \in \text{sexp}$] $\implies (M, \text{CONS } M \ N) \in \text{pred-sexp}^+$
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-I2*:

[$M \in \text{sexp}; \ N \in \text{sexp}$] $\implies (N, \text{CONS } M \ N) \in \text{pred-sexp}^+$
by (*simp add: CONS-def In1-def*)

lemma *pred-sexp-CONS-D*:

($\text{CONS } M1 \ M2, \ N) \in \text{pred-sexp}^+ \implies$
 $(M1, N) \in \text{pred-sexp}^+ \wedge (M2, N) \in \text{pred-sexp}^+$
apply (*frule pred-sexp-subset-Sigma [THEN trancl-subset-Sigma, THEN subsetD]*)
apply (*blast dest!: sexp-CONS-D intro: pred-sexp-CONS-I1 pred-sexp-CONS-I2*
trans-trancl [THEN transD])
done

lemma *List-rec-NIL [simp]*: *List-rec NIL c h = c*

apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: List-case-NIL*)
done

lemma *List-rec-CONS [simp]*:

[$M \in \text{sexp}; \ N \in \text{sexp}$]
 $\implies \text{List-rec } (\text{CONS } M \ N) \ c \ h = h \ M \ N \ (\text{List-rec } N \ c \ h)$
apply (*rule List-rec-unfold [THEN trans]*)
apply (*simp add: pred-sexp-CONS-I2*)
done

lemmas *Rep-List-in-sexp* =

subsetD [*OF range-Leaf-subset-sexp* [*THEN list-subset-sexp*]
Rep-List [*THEN ListD*]]

lemma *list-rec-Nil* [*simp*]: *list-rec Nil c h = c*
by (*simp add: list-rec-def ListI* [*THEN Abs-List-inverse*] *Nil-def*)

lemma *list-rec-Cons* [*simp*]: *list-rec (a#l) c h = h a l (list-rec l c h)*
by (*simp add: list-rec-def ListI* [*THEN Abs-List-inverse*] *Cons-def*
Rep-List-inverse Rep-List [*THEN ListD*] *inj-Leaf Rep-List-in-sexp*)

lemma *List-rec-type*:
 $[[M \in \text{list}(A);$
 $A \leq \text{sexp};$
 $c \in C(\text{NIL});$
 $\bigwedge x y r. [[x \in A; y \in \text{list}(A); r \in C(y)]] \implies h x y r \in C(\text{CONS } x y)$
 $]] \implies \text{List-rec } M c h \in C(M :: 'a \text{ item})$
apply (*erule list.induct, simp*)
apply (*insert list-subset-sexp*)
apply (*subst List-rec-CONS, blast+*)
done

lemma *Rep-map-Nil* [*simp*]: *Rep-map f Nil = NIL*
by (*simp add: Rep-map-def*)

lemma *Rep-map-Cons* [*simp*]:
 $\text{Rep-map } f (x \# xs) = \text{CONS}(f x)(\text{Rep-map } f xs)$
by (*simp add: Rep-map-def*)

lemma *Rep-map-type*: $(\bigwedge x. f(x) \in A) \implies \text{Rep-map } f xs \in \text{list}(A)$
apply (*simp add: Rep-map-def*)
apply (*rule list-induct, auto*)
done

lemma *Abs-map-NIL* [*simp*]: *Abs-map g NIL = Nil*
by (*simp add: Abs-map-def*)

lemma *Abs-map-CONS* [*simp*]:
 $[[M \in \text{sexp}; N \in \text{sexp}]] \implies \text{Abs-map } g (\text{CONS } M N) = g(M) \# \text{Abs-map } g N$
by (*simp add: Abs-map-def*)

lemma *def-list-rec-NilCons*:

$\llbracket \bigwedge xs. f(xs) = \text{list-rec } xs \ c \ h \ \rrbracket$
 $\implies f \ \llbracket \ \rrbracket = c \wedge f(x\#xs) = h \ x \ xs \ (f \ xs)$
by *simp*

lemma *Abs-map-inverse*:

$\llbracket M \in \text{list}(A); \ A \leq \text{sexp}; \ \bigwedge z. z \in A \implies f(g(z)) = z \ \rrbracket$
 $\implies \text{Rep-map } f \ (\text{Abs-map } g \ M) = M$
apply (*erule list.induct, simp-all*)
apply (*insert list-subset-sexp*)
apply (*subst Abs-map-CONS, blast*)
apply *blast*
apply *simp*
done

Better to have a single theorem with a conjunctive conclusion.

declare *def-list-rec-NilCons* [*OF list-case-def, simp*]

lemma *expand-list-case*:

$P(\text{list-case } a \ f \ xs) = ((xs = \llbracket \ \rrbracket \longrightarrow P \ a) \wedge (\forall y \ ys. xs = y\#ys \longrightarrow P(f \ y \ ys)))$
by (*induct xs rule: list-induct*) *simp-all*

declare *def-list-rec-NilCons* [*OF map-def, simp*]

lemma *Abs-Rep-map*:

$(\bigwedge x. f(x) \in \text{sexp}) \implies$
 $\text{Abs-map } g \ (\text{Rep-map } f \ xs) = \text{map } (\lambda t. g(f(t))) \ xs$
apply (*induct xs rule: list-induct*)
apply (*simp-all add: Rep-map-type list-sexp [THEN subsetD]*)
done

lemma *map-ident* [*simp*]: $\text{map}(\%x. x)(xs) = xs$

by (*induct xs rule: list-induct*) *simp-all*

lemma *map-compose*: $\text{map}(f \ o \ g)(xs) = \text{map } f \ (\text{map } g \ xs)$

apply (*simp add: o-def*)
apply (*induct xs rule: list-induct*)
apply *simp-all*

done

lemma *take-Suc1* [*simp*]: $\text{take } [] \text{ (Suc } x) = []$
by *simp*

lemma *take-Suc2* [*simp*]: $\text{take}(a\#xs)(\text{Suc } x) = a\#\text{take } xs \ x$
by *simp*

lemma *take-Nil* [*simp*]: $\text{take } [] \ n = []$
by (*induct n*) *simp-all*

lemma *take-take-eq* [*simp*]: $\forall n. \text{take } (\text{take } xs \ n) \ n = \text{take } xs \ n$
apply (*induct xs rule: list-induct*)
apply *simp-all*
apply (*rule allI*)
apply (*induct-tac n*)
apply *auto*
done

end

7 Arithmetic and boolean expressions

theory *ABexp*
imports *Main*
begin

datatype *'a aexp* =
 IF *'a bexp* *'a aexp* *'a aexp*
 | *Sum* *'a aexp* *'a aexp*
 | *Diff* *'a aexp* *'a aexp*
 | *Var* *'a*
 | *Num* *nat*
and *'a bexp* =
 Less *'a aexp* *'a aexp*
 | *And* *'a bexp* *'a bexp*
 | *Neg* *'a bexp*

Evaluation of arithmetic and boolean expressions

primrec *evala* :: $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ aexp} \Rightarrow \text{nat}$
 and *evalb* :: $('a \Rightarrow \text{nat}) \Rightarrow 'a \text{ bexp} \Rightarrow \text{bool}$
where
 evala env (*IF* *b* *a1* *a2*) = (*if evalb env b then evala env a1 else evala env a2*)
 | *evala env* (*Sum* *a1* *a2*) = *evala env a1* + *evala env a2*
 | *evala env* (*Diff* *a1* *a2*) = *evala env a1* - *evala env a2*

```

| evala env (Var v) = env v
| evala env (Num n) = n

| evalb env (Less a1 a2) = (evala env a1 < evala env a2)
| evalb env (And b1 b2) = (evalb env b1 ∧ evalb env b2)
| evalb env (Neg b) = (¬ evalb env b)

```

Substitution on arithmetic and boolean expressions

```

primrec subst :: ('a ⇒ 'b aexp) ⇒ 'a aexp ⇒ 'b aexp
and subst :: ('a ⇒ 'b aexp) ⇒ 'a bexp ⇒ 'b bexp
where
  subst f (IF b a1 a2) = IF (subst f b) (subst f a1) (subst f a2)
| subst f (Sum a1 a2) = Sum (subst f a1) (subst f a2)
| subst f (Diff a1 a2) = Diff (subst f a1) (subst f a2)
| subst f (Var v) = f v
| subst f (Num n) = Num n

| subst f (Less a1 a2) = Less (subst f a1) (subst f a2)
| subst f (And b1 b2) = And (subst f b1) (subst f b2)
| subst f (Neg b) = Neg (subst f b)

```

```

lemma subst1-aexp:
  evala env (subst (Var (v := a')) a) = evala (env (v := evala env a')) a
and subst1-bexp:
  evalb env (subst (Var (v := a')) b) = evalb (env (v := evala env a')) b
  — one variable
by (induct a and b) simp-all

```

```

lemma subst-all-aexp:
  evala env (subst s a) = evala (λx. evala env (s x)) a
and subst-all-bexp:
  evalb env (subst s b) = evalb (λx. evala env (s x)) b
by (induct a and b) auto

```

end

8 Infinitely branching trees

```

theory Infinitely-Branching-Tree
imports Main
begin

```

```

datatype 'a tree =
  Atom 'a
| Branch nat ⇒ 'a tree

```

```

primrec map-tree :: ('a ⇒ 'b) ⇒ 'a tree ⇒ 'b tree
where

```

$\text{map-tree } f \text{ (Atom } a) = \text{Atom } (f \ a)$
 $\mid \text{map-tree } f \text{ (Branch } ts) = \text{Branch } (\lambda x. \text{map-tree } f \text{ (ts } x))$

lemma *tree-map-compose*: $\text{map-tree } g \text{ (map-tree } f \ t) = \text{map-tree } (g \circ f) \ t$
by (*induct* *t*) *simp-all*

primrec *exists-tree* :: $('a \Rightarrow \text{bool}) \Rightarrow 'a \text{ tree} \Rightarrow \text{bool}$
where
 $\text{exists-tree } P \text{ (Atom } a) = P \ a$
 $\mid \text{exists-tree } P \text{ (Branch } ts) = (\exists x. \text{exists-tree } P \text{ (ts } x))$

lemma *exists-map*:
 $(\bigwedge x. P \ x \Longrightarrow Q \ (f \ x)) \Longrightarrow$
 $\text{exists-tree } P \ ts \Longrightarrow \text{exists-tree } Q \ (\text{map-tree } f \ ts)$
by (*induct* *ts*) *auto*

8.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

datatype *brouwer* = *Zero* $\mid$ *Succ* *brouwer* $\mid$ *Lim* *nat* $\Rightarrow$ *brouwer*

Addition of ordinals

primrec *add* :: *brouwer* $\Rightarrow$ *brouwer* $\Rightarrow$ *brouwer*
where
 $\text{add } i \ \text{Zero} = i$
 $\mid \text{add } i \ (\text{Succ } j) = \text{Succ } (\text{add } i \ j)$
 $\mid \text{add } i \ (\text{Lim } f) = \text{Lim } (\lambda n. \text{add } i \ (f \ n))$

lemma *add-assoc*: $\text{add } (\text{add } i \ j) \ k = \text{add } i \ (\text{add } j \ k)$
by (*induct* *k*) *auto*

Multiplication of ordinals

primrec *mult* :: *brouwer* $\Rightarrow$ *brouwer* $\Rightarrow$ *brouwer*
where
 $\text{mult } i \ \text{Zero} = \text{Zero}$
 $\mid \text{mult } i \ (\text{Succ } j) = \text{add } (\text{mult } i \ j) \ i$
 $\mid \text{mult } i \ (\text{Lim } f) = \text{Lim } (\lambda n. \text{mult } i \ (f \ n))$

lemma *add-mult-distrib*: $\text{mult } i \ (\text{add } j \ k) = \text{add } (\text{mult } i \ j) \ (\text{mult } i \ k)$
by (*induct* *k*) (*auto simp add: add-assoc*)

lemma *mult-assoc*: $\text{mult } (\text{mult } i \ j) \ k = \text{mult } i \ (\text{mult } j \ k)$
by (*induct* *k*) (*auto simp add: add-mult-distrib*)

We could probably instantiate some axiomatic type classes and use the standard infix operators.

8.2 A WF Ordering for The Brouwer ordinals (Michael Comp-ton)

To use the function package we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

definition *brouwer-pred* :: (*brouwer* × *brouwer*) *set*
where *brouwer-pred* = ($\bigcup i. \{(m, n). n = \text{Succ } m \vee (\exists f. n = \text{Lim } f \wedge m = f\ i)\}$)

definition *brouwer-order* :: (*brouwer* × *brouwer*) *set*
where *brouwer-order* = *brouwer-pred*⁺

lemma *wf-brouwer-pred*: *wf brouwer-pred*
unfolding *wf-def brouwer-pred-def*
apply *clarify*
apply (*induct-tac x*)
apply *blast+*
done

lemma *wf-brouwer-order[simp]*: *wf brouwer-order*
unfolding *brouwer-order-def*
by (*rule wf-trancl[OF wf-brouwer-pred]*)

lemma [*simp*]: (*j*, *Succ j*) ∈ *brouwer-order*
by (*auto simp add: brouwer-order-def brouwer-pred-def*)

lemma [*simp*]: (*f n*, *Lim f*) ∈ *brouwer-order*
by (*auto simp add: brouwer-order-def brouwer-pred-def*)

Example of a general function

function *add2* :: *brouwer* ⇒ *brouwer* ⇒ *brouwer*
where
add2 i Zero = *i*
| *add2 i (Succ j)* = *Succ (add2 i j)*
| *add2 i (Lim f)* = *Lim (λn. add2 i (f n))*
by *pat-completeness auto*
termination
by (*relation inv-image brouwer-order snd*) *auto*

lemma *add2-assoc*: *add2 (add2 i j) k* = *add2 i (add2 j k)*
by (*induct k*) *auto*

end

9 Ordinals

theory *Ordinals*
imports *Main*

begin

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

datatype *ordinal* =
 Zero
 | *Succ ordinal*
 | *Limit nat ⇒ ordinal*

primrec *pred* :: *ordinal* ⇒ *nat* ⇒ *ordinal option*
where
 pred Zero n = *None*
 | *pred (Succ a) n* = *Some a*
 | *pred (Limit f) n* = *Some (f n)*

abbreviation (*input*) *iter* :: (*'a* ⇒ *'a*) ⇒ *nat* ⇒ (*'a* ⇒ *'a*)
 where *iter f n* ≡ *f* [~] *n*

definition *OpLim* :: (*nat* ⇒ (*ordinal* ⇒ *ordinal*)) ⇒ (*ordinal* ⇒ *ordinal*)
 where *OpLim F a* = *Limit (λn. F n a)*

definition *OpItw* :: (*ordinal* ⇒ *ordinal*) ⇒ (*ordinal* ⇒ *ordinal*) (⊔)
 where ⊔ *f* = *OpLim (iter f)*

primrec *cantor* :: *ordinal* ⇒ *ordinal* ⇒ *ordinal*
where
 cantor a Zero = *Succ a*
 | *cantor a (Succ b)* = ⊔ (λ*x*. *cantor x b*) *a*
 | *cantor a (Limit f)* = *Limit (λn. cantor a (f n))*

primrec *Nabla* :: (*ordinal* ⇒ *ordinal*) ⇒ (*ordinal* ⇒ *ordinal*) (∇)
where
 ∇ *f Zero* = *f Zero*
 | ∇ *f (Succ a)* = *f (Succ (∇ f a))*
 | ∇ *f (Limit h)* = *Limit (λn. ∇ f (h n))*

definition *deriv* :: (*ordinal* ⇒ *ordinal*) ⇒ (*ordinal* ⇒ *ordinal*)
 where *deriv f* = ∇ (⊔ *f*)

primrec *veblen* :: *ordinal* ⇒ *ordinal* ⇒ *ordinal*
where
 veblen Zero = ∇ (*OpLim (iter (cantor Zero))*)
 | *veblen (Succ a)* = ∇ (*OpLim (iter (veblen a))*)
 | *veblen (Limit f)* = ∇ (*OpLim (λn. veblen (f n))*)

definition *veb a* = *veblen a Zero*

definition ε_0 = *veb Zero*

definition Γ_0 = *Limit (λn. iter veb n Zero)*

end

10 Sigma algebras

```
theory Sigma-Algebra
imports Main
begin
```

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least σ -algebra over a given set of sets.

```
inductive-set  $\sigma$ -algebra :: 'a set set  $\Rightarrow$  'a set set for A :: 'a set set
where
  basic:  $a \in \sigma$ -algebra A if  $a \in A$  for a
| UNIV: UNIV  $\in \sigma$ -algebra A
| complement:  $- a \in \sigma$ -algebra A if  $a \in \sigma$ -algebra A for a
| Union:  $(\bigcup i. a\ i) \in \sigma$ -algebra A if  $\bigwedge i::nat. a\ i \in \sigma$ -algebra A for a
```

The following basic facts are consequences of the closure properties of any σ -algebra, merely using the introduction rules, but no induction nor cases.

```
theorem sigma-algebra-empty:  $\{\} \in \sigma$ -algebra A
proof -
  have UNIV  $\in \sigma$ -algebra A by (rule  $\sigma$ -algebra.UNIV)
  then have  $-UNIV \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
  also have  $-UNIV = \{\}$  by simp
  finally show ?thesis .
qed
```

```
theorem sigma-algebra-Inter:
   $(\bigwedge i::nat. a\ i \in \sigma$ -algebra A)  $\implies (\bigcap i. a\ i) \in \sigma$ -algebra A
proof -
  assume  $\bigwedge i::nat. a\ i \in \sigma$ -algebra A
  then have  $\bigwedge i::nat. -(a\ i) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
  then have  $(\bigcup i. -(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.Union)
  then have  $-(\bigcup i. -(a\ i)) \in \sigma$ -algebra A by (rule  $\sigma$ -algebra.complement)
  also have  $-(\bigcup i. -(a\ i)) = (\bigcap i. a\ i)$  by simp
  finally show ?thesis .
qed
```

end

11 Combinatory Logic example: the Church-Rosser Theorem

```
theory Comb
imports Main
begin
```

Combinator terms do not have free variables. Example taken from [?].

11.1 Definitions

Datatype definition of combinators S and K .

```
datatype comb = K
      | S
      | Ap comb comb (infixl  $\cdot$  90)
```

Inductive definition of contractions, $\rightarrow^1$ and (multi-step) reductions, $\rightarrow$.

```
inductive contract1 :: [comb, comb]  $\Rightarrow$  bool (infixl  $\rightarrow^1$  50)
where
  K:     $K \cdot x \cdot y \rightarrow^1 x$ 
| S:     $S \cdot x \cdot y \cdot z \rightarrow^1 (x \cdot z) \cdot (y \cdot z)$ 
| Ap1:  $x \rightarrow^1 y \Longrightarrow x \cdot z \rightarrow^1 y \cdot z$ 
| Ap2:  $x \rightarrow^1 y \Longrightarrow z \cdot x \rightarrow^1 z \cdot y$ 
```

abbreviation

```
contract :: [comb, comb]  $\Rightarrow$  bool (infixl  $\rightarrow$  50) where
contract  $\equiv$  contract1**
```

Inductive definition of parallel contractions, $\Rightarrow^1$ and (multi-step) parallel reductions, $\Rightarrow$.

```
inductive parcontract1 :: [comb, comb]  $\Rightarrow$  bool (infixl  $\Rightarrow^1$  50)
where
  refl:  $x \Rightarrow^1 x$ 
| K:     $K \cdot x \cdot y \Rightarrow^1 x$ 
| S:     $S \cdot x \cdot y \cdot z \Rightarrow^1 (x \cdot z) \cdot (y \cdot z)$ 
| Ap:    $\llbracket x \Rightarrow^1 y; z \Rightarrow^1 w \rrbracket \Longrightarrow x \cdot z \Rightarrow^1 y \cdot w$ 
```

abbreviation

```
parcontract :: [comb, comb]  $\Rightarrow$  bool (infixl  $\Rightarrow$  50) where
parcontract  $\equiv$  parcontract1**
```

Misc definitions.

definition

```
I :: comb where
I  $\equiv$   $S \cdot K \cdot K$ 
```

definition

```
diamond :: ([comb, comb]  $\Rightarrow$  bool)  $\Rightarrow$  bool where
  — confluence; Lambda/Commutation treats this more abstractly
diamond r  $\equiv$   $\forall x y. r x y \longrightarrow$ 
  ( $\forall y'. r x y' \longrightarrow$ 
  ( $\exists z. r y z \wedge r y' z$ ))
```

11.2 Reflexive/Transitive closure preserves Church-Rosser property

Remark: So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

```

lemma strip-lemma [rule-format]:
  assumes diamond r and r:  $r^{**} x y r x y'$ 
  shows  $\exists z. r^{**} y' z \wedge r y z$ 
  using r
proof (induction rule: rtranclp-induct)
  case base
  then show ?case
    by blast
next
  case (step y z)
  then show ?case
    using  $\langle \textit{diamond } r \rangle$  unfolding diamond-def
    by (metis rtranclp.rtrancl-into-rtrancl)
qed

```

```

proposition diamond-rtrancl:
  assumes diamond r
  shows diamond( $r^{**}$ )
  unfolding diamond-def
proof (intro strip)
  fix x y y'
  assume  $r^{**} x y r^{**} x y'$ 
  then show  $\exists z. r^{**} y z \wedge r^{**} y' z$ 
  proof (induction rule: rtranclp-induct)
    case base
    then show ?case
      by blast
    next
    case (step y z)
    then show ?case
      by (meson assms strip-lemma rtranclp.rtrancl-into-rtrancl)
  qed
qed

```

11.3 Non-contraction results

Derive a case for each combinator constructor.

```

inductive-cases
  K-contractE [elim!]:  $K \rightarrow^1 r$ 
  and S-contractE [elim!]:  $S \rightarrow^1 r$ 
  and Ap-contractE [elim!]:  $p \cdot q \rightarrow^1 r$ 

```

declare *contract1.K* [intro!] *contract1.S* [intro!]
declare *contract1.Ap1* [intro] *contract1.Ap2* [intro]

lemma *I-contract-E* [iff]: $\neg I \rightarrow^1 z$
unfolding *I-def* **by** *blast*

lemma *K1-contractD* [elim!]: $K \cdot x \rightarrow^1 z \implies (\exists x'. z = K \cdot x' \wedge x \rightarrow^1 x')$
by *blast*

lemma *Ap-reduce1* [intro]: $x \rightarrow y \implies x \cdot z \rightarrow y \cdot z$
by (*induction rule: rtrancpl-induct; blast intro: rtrancpl-trans*)

lemma *Ap-reduce2* [intro]: $x \rightarrow y \implies z \cdot x \rightarrow z \cdot y$
by (*induction rule: rtrancpl-induct; blast intro: rtrancpl-trans*)

Counterexample to the diamond property for $x \rightarrow^1 y$

lemma *not-diamond-contract*: $\neg \text{diamond}(\text{contract1})$
unfolding *diamond-def* **by** (*metis S-contractE contract1.K*)

11.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

inductive-cases

K-parcontractE [elim!]: $K \Rightarrow^1 r$
and *S-parcontractE* [elim!]: $S \Rightarrow^1 r$
and *Ap-parcontractE* [elim!]: $p \cdot q \Rightarrow^1 r$

declare *parcontract1.intros* [intro]

11.5 Basic properties of parallel contraction

The rules below are not essential but make proofs much faster

lemma *K1-parcontractD* [dest!]: $K \cdot x \Rightarrow^1 z \implies (\exists x'. z = K \cdot x' \wedge x \Rightarrow^1 x')$
by *blast*

lemma *S1-parcontractD* [dest!]: $S \cdot x \Rightarrow^1 z \implies (\exists x'. z = S \cdot x' \wedge x \Rightarrow^1 x')$
by *blast*

lemma *S2-parcontractD* [dest!]: $S \cdot x \cdot y \Rightarrow^1 z \implies (\exists x' y'. z = S \cdot x' \cdot y' \wedge x \Rightarrow^1 x' \wedge y \Rightarrow^1 y')$
by *blast*

Church-Rosser property for parallel contraction

proposition *diamond-parcontract*: *diamond parcontract1*

proof –

have $(\exists z. w \Rightarrow^1 z \wedge y' \Rightarrow^1 z)$ **if** $y \Rightarrow^1 w$ $y \Rightarrow^1 y'$ **for** $w y y'$
using *that* **by** (*induction arbitrary: y' rule: parcontract1.induct*) *fast+*

```

    then show ?thesis
      by (auto simp: diamond-def)
qed

```

11.6 Equivalence of $p \rightarrow q$ and $p \Rightarrow q$.

```

lemma contract-imp-parcontract:  $x \rightarrow^1 y \implies x \Rightarrow^1 y$ 
  by (induction rule: contract1.induct; blast)

```

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

```

proposition reduce-I:  $I \cdot x \rightarrow x$ 
  unfolding I-def
  by (meson contract1.K contract1.S r-into-rtrancpl rtrancpl.rtrancpl-into-rtrancpl)

```

```

lemma parcontract-imp-reduce:  $x \Rightarrow^1 y \implies x \rightarrow y$ 
proof (induction rule: parcontract1.induct)
  case (Ap x y z w)
  then show ?case
    by (meson Ap-reduce1 Ap-reduce2 rtrancpl-trans)
qed auto

```

```

lemma reduce-eq-parreduce:  $x \rightarrow y \longleftrightarrow x \Rightarrow y$ 
  by (metis contract-imp-parcontract parcontract-imp-reduce predicate2I rtrancpl-subset)

```

```

theorem diamond-reduce: diamond(contract)
  using diamond-parcontract diamond-rtrancpl reduce-eq-parreduce by presburger

```

end

12 Meta-theory of propositional logic

```

theory PropLog imports Main begin

```

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

12.1 The datatype of propositions

```

datatype 'a pl =
  false |
  var 'a (#- [1000]) |
  imp 'a pl 'a pl (infixr -> 90)

```

12.2 The proof system

inductive *thms* :: ['a pl set, 'a pl] => bool (**infixl** |- 50)
 for *H* :: 'a pl set
where
 $H: p \in H \implies H \vdash p$
 $| K: H \vdash p \rightarrow q \rightarrow p$
 $| S: H \vdash (p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$
 $| DN: H \vdash ((p \rightarrow \text{false}) \rightarrow \text{false}) \rightarrow p$
 $| MP: [| H \vdash p \rightarrow q; H \vdash p |] \implies H \vdash q$

12.3 The semantics

12.3.1 Semantics of propositional logic.

primrec *eval* :: ['a set, 'a pl] => bool (-[[-]] [100,0] 100)
where
 $tt[\text{false}] = \text{False}$
 $| tt[\#v] = (v \in tt)$
 $| eval\text{-}imp: tt[p \rightarrow q] = (tt[p] \longrightarrow tt[q])$

A finite set of hypotheses from *t* and the *Vars* in *p*.

primrec *hyps* :: ['a pl, 'a set] => 'a pl set
where
 $hyps\ false\ tt = \{\}$
 $| hyps(\#v)\ tt = \{if\ v \in tt\ then\ \#v\ else\ \#v \rightarrow false\}$
 $| hyps(p \rightarrow q)\ tt = hyps\ p\ tt\ \cup hyps\ q\ tt$

12.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

definition *sat* :: ['a pl set, 'a pl] => bool (**infixl** |= 50)
where $H \models p = (\forall tt. (\forall q \in H. tt[q]) \longrightarrow tt[p])$

12.4 Proof theory of propositional logic

lemma *thms-mono*: $G \leq H \implies thms(G) \leq thms(H)$
apply (*rule predicateII*)
apply (*erule thms.induct*)
apply (*auto intro: thms.intros*)
done

lemma *thms-I*: $H \vdash p \rightarrow p$
 — Called *I* for Identity Combinator, not for Introduction.
by (*best intro: thms.K thms.S thms.MP*)

12.4.1 Weakening, left and right

lemma *weaken-left*: $[| G \subseteq H; G \vdash p |] \implies H \vdash p$
 — Order of premises is convenient with *THEN*

by (erule thms-mono [THEN predicate1D])

lemma *weaken-left-insert*: $G \vdash p \implies \text{insert } a \ G \vdash p$
 by (rule weaken-left) (rule subset-insertI)

lemma *weaken-left-Un1*: $G \vdash p \implies G \cup B \vdash p$
 by (rule weaken-left) (rule Un-upper1)

lemma *weaken-left-Un2*: $G \vdash p \implies A \cup G \vdash p$
 by (rule weaken-left) (rule Un-upper2)

lemma *weaken-right*: $H \vdash q \implies H \vdash p \multimap q$
 by (fast intro: thms.K thms.MP)

12.4.2 The deduction theorem

theorem *deduction*: $\text{insert } p \ H \vdash q \implies H \vdash p \multimap q$
 apply (induct set: thms)
 apply (fast intro: thms-I thms.H thms.K thms.S thms.DN
 thms.S [THEN thms.MP, THEN thms.MP] weaken-right)+
 done

12.4.3 The cut rule

lemma *cut*: $\text{insert } p \ H \vdash q \implies H \vdash p \implies H \vdash q$
 by (rule thms.MP) (rule deduction)

lemma *thms-falseE*: $H \vdash \text{false} \implies H \vdash q$
 by (rule thms.MP, rule thms.DN) (rule weaken-right)

lemma *thms-notE*: $H \vdash p \multimap \text{false} \implies H \vdash p \implies H \vdash q$
 by (rule thms-falseE) (rule thms.MP)

12.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H \vdash p \implies H \models p$
 by (induct set: thms) (auto simp: sat-def)

12.5 Completeness

12.5.1 Towards the completeness proof

lemma *false-imp*: $H \vdash p \multimap \text{false} \implies H \vdash p \multimap q$
 apply (rule deduction)
 apply (metis H insert-iff weaken-left-insert thms-notE)
 done

lemma *imp-false*:
 $[[H \vdash p; H \vdash q \multimap \text{false}] \implies H \vdash (p \multimap q) \multimap \text{false}]$
 apply (rule deduction)

apply (*metis* *H MP insert-iff weaken-left-insert*)
done

lemma *hyps-thms-if*: *hyps p tt* $\vdash$ (*if* *tt*[*p*] *then p else p* $\rightarrow$ *false*)
— Typical example of strengthening the induction statement.

apply *simp*
apply (*induct p*)
apply (*simp-all add: thms-I thms.H*)
apply (*blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right*
imp-false false-imp)
done

lemma *sat-thms-p*: $\{\}$ $\models p \implies \text{hyps } p \text{ tt} \vdash p$
— Key lemma for completeness; yields a set of assumptions satisfying *p*
unfolding *sat-def*
by (*metis* (*full-types*) *empty-iff hyps-thms-if*)

For proving certain theorems in our new propositional logic.

declare *deduction* [*intro!*]
declare *thms.H* [*THEN thms.MP, intro*]

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*: *H* $\vdash$ (*p* $\rightarrow$ *q*) $\rightarrow$ ((*p* $\rightarrow$ *false*) $\rightarrow$ *q*) $\rightarrow$ *q*
apply (*rule deduction* [*THEN deduction*])
apply (*rule thms.DN* [*THEN thms.MP*], *best intro: H*)
done

lemma *thms-excluded-middle-rule*:
 $[[\text{insert } p \text{ } H \vdash q; \text{insert } (p \rightarrow \text{false}) \text{ } H \vdash q]] \implies H \vdash q$
— Hard to prove directly because it requires cuts
by (*rule thms-excluded-middle* [*THEN thms.MP, THEN thms.MP*], *auto*)

12.6 Completeness – lemmas for reducing the set of assumptions

For the case *hyps p t* $\vdash$ *insert* *#v Y* $\vdash$ *p* we also have *hyps p t* $\vdash$ $\{\#v\} \subseteq \text{hyps } p \text{ } (t - \{v\})$.

lemma *hyps-Diff*: *hyps p* (*t* $\{-v\}$) $\leq$ *insert* (*#v* $\rightarrow$ *false*) (*hyps p t* $\{-v\}$)
by (*induct p*) *auto*

For the case *hyps p t* $\vdash$ *insert* (*#v* $\rightarrow$ *Fls*) *Y* $\vdash$ *p* we also have *hyps p t* $\vdash$ $\{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \text{ } (\text{insert } v \text{ } t)$.

lemma *hyps-insert*: *hyps p* (*insert v t*) $\leq$ *insert* (*#v*) (*hyps p t* $\{-v \rightarrow \text{false}\}$)
by (*induct p*) *auto*

Two lemmas for use with *weaken-left*

lemma *insert-Diff-same*: *B* $\vdash$ *C* $\leq$ *insert a* (*B* $\vdash$ *insert a C*)

by *fast*

lemma *insert-Diff-subset2*: $\text{insert } a \ (B - \{c\}) - D \leq \text{insert } a \ (B - \text{insert } c \ D)$
by *fast*

The set *hyps* *p* *t* is finite, and elements have the form $\#v$ or $\#v \rightarrow \text{Fls}$.

lemma *hyps-finite*: $\text{finite}(\text{hyps } p \ t)$
by (*induct* *p*) *auto*

lemma *hyps-subset*: $\text{hyps } p \ t \leq (\text{UN } v. \{\#v, \#v \rightarrow \text{false}\})$
by (*induct* *p*) *auto*

lemma *Diff-weaken-left*: $A \subseteq C \implies A - B \mid - p \implies C - B \mid - p$
by (*rule* *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*])

12.6.1 Completeness theorem

Induction on the finite set of assumptions *hyps* *p* *t0*. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma*:
 $\{\} \models p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \mid - p$
apply (*rule* *hyps-subset* [*THEN* *hyps-finite* [*THEN* *finite-subset-induct*]])
apply (*simp* *add*: *sat-thms-p*, *safe*)

Case *hyps* *p* *t* - *insert*($\#v, Y$) $\mid - p$

apply (*iprover* *intro*: *thms-excluded-middle-rule*
 insert-Diff-same [*THEN* *weaken-left*]
 $\text{insert-Diff-subset2}$ [*THEN* *weaken-left*]
 hyps-Diff [*THEN* *Diff-weaken-left*])

Case *hyps* *p* *t* - *insert*($\#v \rightarrow \text{false}, Y$) $\mid - p$

apply (*iprover* *intro*: *thms-excluded-middle-rule*
 insert-Diff-same [*THEN* *weaken-left*]
 $\text{insert-Diff-subset2}$ [*THEN* *weaken-left*]
 hyps-insert [*THEN* *Diff-weaken-left*])

done

The base case for completeness

lemma *completeness-0*: $\{\} \models p \implies \{\} \mid - p$
by (*metis* *Diff-cancel* *completeness-0-lemma*)

A semantic analogue of the Deduction Theorem

lemma *sat-imp*: $\text{insert } p \ H \models q \implies H \models p \rightarrow q$
by (*auto* *simp*: *sat-def*)

theorem *completeness*: $\text{finite } H \implies H \models p \implies H \mid - p$
apply (*induct* *arbitrary*: *p* *rule*: *finite-induct*)
apply (*blast* *intro*: *completeness-0*)

apply (*iprover intro: sat-imp thms.H insertI1 weaken-left-insert [THEN thms.MP]*)
done

theorem *syntax-iff-semantics: finite H ==> (H |- p) = (H |= p)*
by (*blast intro: soundness completeness*)

end

13 Mutual Induction via Iterated Inductive Definitions

theory *Com* **imports** *Main* **begin**

typedecl *loc*

type-synonym *state* = *loc* ==> *nat*

datatype

exp = *N nat*
| *X loc*
| *Op nat ==> nat ==> nat exp exp*
| *valOf com exp* (*VALOF - RESULTIS - 60*)

and

com = *SKIP*
| *Assign loc exp* (**infixl** := 60)
| *Semi com com* (**;-** [60, 60] 60)
| *Cond exp com com* (*IF - THEN - ELSE - 60*)
| *While exp com* (*WHILE - DO - 60*)

13.1 Commands

Execution of commands

abbreviation (*input*)

generic-rel (-/ -|[-]-> - [50,0,50] 50) **where**
esig -|[-eval]-> *ns* == (*esig,ns*) ∈ *eval*

Command execution. Natural numbers represent Booleans: 0=True, 1=False

inductive-set

exec :: ((*exp*state*) * (*nat*state*)) *set* ==> ((*com*state*)**state*)*set*
and *exec-rel* :: *com* * *state* ==> ((*exp*state*) * (*nat*state*)) *set* ==> *state* ==> *bool*
(-/ -|[-]-> - [50,0,50] 50)

for *eval* :: ((*exp*state*) * (*nat*state*)) *set*

where

csig -|[-eval]-> *s* == (*csig,s*) ∈ *exec eval*

| *Skip*: (*SKIP,s*) -|[-eval]-> *s*

| *Assign*: (*e,s*) -|[-eval]-> (*v,s'*) ==> (*x := e, s*) -|[-eval]-> *s'*(*x:=v*)

| *Semi*: $[[(c0, s) -[eval] \rightarrow s2; (c1, s2) -[eval] \rightarrow s1]]$
 $\Rightarrow (c0 ;; c1, s) -[eval] \rightarrow s1$

| *IfTrue*: $[[(e, s) -[eval] \rightarrow (0, s'); (c0, s') -[eval] \rightarrow s1]]$
 $\Rightarrow (IF\ e\ THEN\ c0\ ELSE\ c1, s) -[eval] \rightarrow s1$

| *IfFalse*: $[[(e, s) -[eval] \rightarrow (Suc\ 0, s'); (c1, s') -[eval] \rightarrow s1]]$
 $\Rightarrow (IF\ e\ THEN\ c0\ ELSE\ c1, s) -[eval] \rightarrow s1$

| *WhileFalse*: $(e, s) -[eval] \rightarrow (Suc\ 0, s1)$
 $\Rightarrow (WHILE\ e\ DO\ c, s) -[eval] \rightarrow s1$

| *WhileTrue*: $[[(e, s) -[eval] \rightarrow (0, s1);$
 $(c, s1) -[eval] \rightarrow s2; (WHILE\ e\ DO\ c, s2) -[eval] \rightarrow s3]]$
 $\Rightarrow (WHILE\ e\ DO\ c, s) -[eval] \rightarrow s3$

declare *exec.intros* [intro]

inductive-cases

[*elim!*]: $(SKIP, s) -[eval] \rightarrow t$
and [*elim!*]: $(x:=a, s) -[eval] \rightarrow t$
and [*elim!*]: $(c1;;c2, s) -[eval] \rightarrow t$
and [*elim!*]: $(IF\ e\ THEN\ c1\ ELSE\ c2, s) -[eval] \rightarrow t$
and *exec-WHILE-case*: $(WHILE\ b\ DO\ c, s) -[eval] \rightarrow t$

Justifies using "exec" in the inductive definition of "eval"

lemma *exec-mono*: $A \leq B \Rightarrow exec(A) \leq exec(B)$
apply (*rule subsetI*)
apply (*simp add: split-paired-all*)
apply (*erule exec.induct*)
apply *blast+*
done

lemma [*pred-set-conv*]:
 $((\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in R) \leq (\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in S)) = (R \leq S)$
unfolding *subset-eq*
by (*auto simp add: le-fun-def*)

lemma [*pred-set-conv*]:
 $((\lambda x\ x'\ y. ((x, x'), y) \in R) \leq (\lambda x\ x'\ y. ((x, x'), y) \in S)) = (R \leq S)$
unfolding *subset-eq*
by (*auto simp add: le-fun-def*)

Command execution is functional (deterministic) provided evaluation is

theorem *single-valued-exec*: $single-valued\ ev \Rightarrow single-valued(exec\ ev)$
apply (*simp add: single-valued-def*)

```

apply (intro allI)
apply (rule impI)
apply (erule exec.induct)
apply (blast elim: exec-WHILE-case)+
done

```

13.2 Expressions

Evaluation of arithmetic expressions

inductive-set

```

eval    :: ((exp*state) * (nat*state)) set
and eval-rel :: [exp*state,nat*state] => bool (infixl  $-|->$  50)
where
  esig  $-|->$  ns == (esig, ns) ∈ eval

  | N [intro!]: (N(n),s)  $-|->$  (n,s)

  | X [intro!]: (X(x),s)  $-|->$  (s(x),s)

  | Op [intro]: [| (e0,s)  $-|->$  (n0,s0); (e1,s0)  $-|->$  (n1,s1) |]
    ==> (Op f e0 e1, s)  $-|->$  (f n0 n1, s1)

  | valOf [intro]: [| (c,s)  $-[eval]$ -> s0; (e,s0)  $-|->$  (n,s1) |]
    ==> (VALOF c RESULTIS e, s)  $-|->$  (n, s1)

monos exec-mono

```

inductive-cases

```

  [elim!]: (N(n),sigma)  $-|->$  (n',s')
and [elim!]: (X(x),sigma)  $-|->$  (n,s')
and [elim!]: (Op f a1 a2,sigma)  $-|->$  (n,s')
and [elim!]: (VALOF c RESULTIS e, s)  $-|->$  (n, s1)

```

```

lemma var-assign-eval [intro!]: (X x, s(x:=n))  $-|->$  (n, s(x:=n))
by (rule fun-upd-same [THEN subst]) fast

```

Make the induction rule look nicer – though *eta-contract* makes the new version look worse than it is...

```

lemma split-lemma: {((e,s),(n,s')). P e s n s'} = Collect (case-prod (%v. case-prod
(case-prod P v)))
by auto

```

New induction rule. Note the form of the VALOF induction hypothesis

lemma *eval-induct*

```

[case-names N X Op valOf, consumes 1, induct set: eval]:
  [| (e,s)  $-|->$  (n,s');

```

```

!!n s. P (N n) s n s;
!!s x. P (X x) s (s x) s;
!!e0 e1 f n0 n1 s s0 s1.
  [| (e0,s) -|-> (n0,s0); P e0 s n0 s0;
    (e1,s0) -|-> (n1,s1); P e1 s0 n1 s1
  |] ==> P (Op f e0 e1) s (f n0 n1) s1;
!!c e n s s0 s1.
  [| (c,s) -[eval Int {(e,s),(n,s')}. P e s n s']-> s0;
    (c,s) -[eval]-> s0;
    (e,s0) -|-> (n,s1); P e s0 n s1 |]
  ==> P (VALOF c RESULTIS e) s n s1
[|] ==> P e s n s'
apply (induct set: eval)
apply blast
apply blast
apply blast
apply (frule Int-lower1 [THEN exec-mono, THEN subsetD])
apply (auto simp add: split-lemma)
done

```

Lemma for *Function-eval*. The major premise is that (c,s) executes to $s1$ using eval restricted to its functional part. Note that the execution $(c,s) -[eval]-> s2$ can use unrestricted *eval*! The reason is that the execution $(c,s) -[eval Int \{...\}]-> s1$ assures us that execution is functional on the argument (c,s) .

lemma *com-Unique*:

```

(c,s) -[eval Int {(e,s),(n,t)}. ∀ nt'. (e,s) -|-> nt' --> (n,t)=nt']-> s1
==> ∀ s2. (c,s) -[eval]-> s2 --> s2=s1
apply (induct set: exec)
  apply simp-all
  apply blast
  apply force
  apply blast
  apply blast
  apply blast
apply (blast elim: exec-WHILE-case)
apply (erule-tac V = (c,s2) -[ev]-> s3 for c ev in thin-rl)
apply clarify
apply (erule exec-WHILE-case, blast+)
done

```

Expression evaluation is functional, or deterministic

```

theorem single-valued-eval: single-valued eval
apply (unfold single-valued-def)
apply (intro allI, rule impI)
apply (simp (no-asm-simp) only: split-tupled-all)
apply (erule eval-induct)
apply (drule-tac [4] com-Unique)
apply (simp-all (no-asm-use))

```

apply blast+
done

lemma *eval-N-E* [*dest!*]: $(N\ n, s) \dashv\!\rightarrow (v, s') \implies (v = n \ \& \ s' = s)$
by (*induct e == N n s v s' set: eval*) *simp-all*

This theorem says that "WHILE TRUE DO c" cannot terminate

lemma *while-true-E*:
 $(c', s) \dashv\!\text{[eval]}\rightarrow t \implies c' = \text{WHILE } (N\ 0) \text{ DO } c \implies \text{False}$
by (*induct set: exec*) *auto*

13.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c

lemma *while-if1*:
 $(c', s) \dashv\!\text{[eval]}\rightarrow t$
 $\implies c' = \text{WHILE } e \text{ DO } c \implies$
 $(\text{IF } e \text{ THEN } c;;c' \text{ ELSE SKIP}, s) \dashv\!\text{[eval]}\rightarrow t$
by (*induct set: exec*) *auto*

lemma *while-if2*:
 $(c', s) \dashv\!\text{[eval]}\rightarrow t$
 $\implies c' = \text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP} \implies$
 $(\text{WHILE } e \text{ DO } c, s) \dashv\!\text{[eval]}\rightarrow t$
by (*induct set: exec*) *auto*

theorem *while-if*:
 $((\text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP}, s) \dashv\!\text{[eval]}\rightarrow t) =$
 $((\text{WHILE } e \text{ DO } c, s) \dashv\!\text{[eval]}\rightarrow t)$
by (*blast intro: while-if1 while-if2*)

13.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)

lemma *if-semi1*:
 $(c', s) \dashv\!\text{[eval]}\rightarrow t$
 $\implies c' = (\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c \implies$
 $(\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\!\text{[eval]}\rightarrow t$
by (*induct set: exec*) *auto*

lemma *if-semi2*:
 $(c', s) \dashv\!\text{[eval]}\rightarrow t$
 $\implies c' = \text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c) \implies$
 $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\!\text{[eval]}\rightarrow t$
by (*induct set: exec*) *auto*

theorem *if-semi*: $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\!\text{[eval]}\rightarrow t =$
 $((\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\!\text{[eval]}\rightarrow t)$

by (blast intro: if-semi1 if-semi2)

13.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e

lemma *valof-valof1*:

$(e', s) \dashv\vdash (v, s')$
 $\implies e' = \text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e) \implies$
 $(\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v, s')$

by (induct set: eval) auto

lemma *valof-valof2*:

$(e', s) \dashv\vdash (v, s')$
 $\implies e' = \text{VALOF } c1;;c2 \text{ RESULTIS } e \implies$
 $(\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s')$

by (induct set: eval) auto

theorem *valof-valof*:

$((\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s')) =$
 $((\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v, s'))$

by (blast intro: valof-valof1 valof-valof2)

13.6 Equivalence of VALOF SKIP RESULTIS e and e

lemma *valof-skip1*:

$(e', s) \dashv\vdash (v, s')$
 $\implies e' = \text{VALOF SKIP RESULTIS } e \implies$
 $(e, s) \dashv\vdash (v, s')$

by (induct set: eval) auto

lemma *valof-skip2*:

$(e, s) \dashv\vdash (v, s') \implies (\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s')$

by blast

theorem *valof-skip*:

$((\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s')) = ((e, s) \dashv\vdash (v, s'))$

by (blast intro: valof-skip1 valof-skip2)

13.7 Equivalence of VALOF x:=e RESULTIS x and e

lemma *valof-assign1*:

$(e', s) \dashv\vdash (v, s')$
 $\implies e' = \text{VALOF } x:=e \text{ RESULTIS } X x \implies$
 $(\exists s'. (e, s) \dashv\vdash (v, s') \ \& \ (s' = s'(x:=v)))$

by (induct set: eval) (simp-all del: fun-upd-apply, clarify, auto)

lemma *valof-assign2*:

$(e, s) \dashv\vdash (v, s') \implies (\text{VALOF } x:=e \text{ RESULTIS } X x, s) \dashv\vdash (v, s'(x:=v))$

by blast

end