

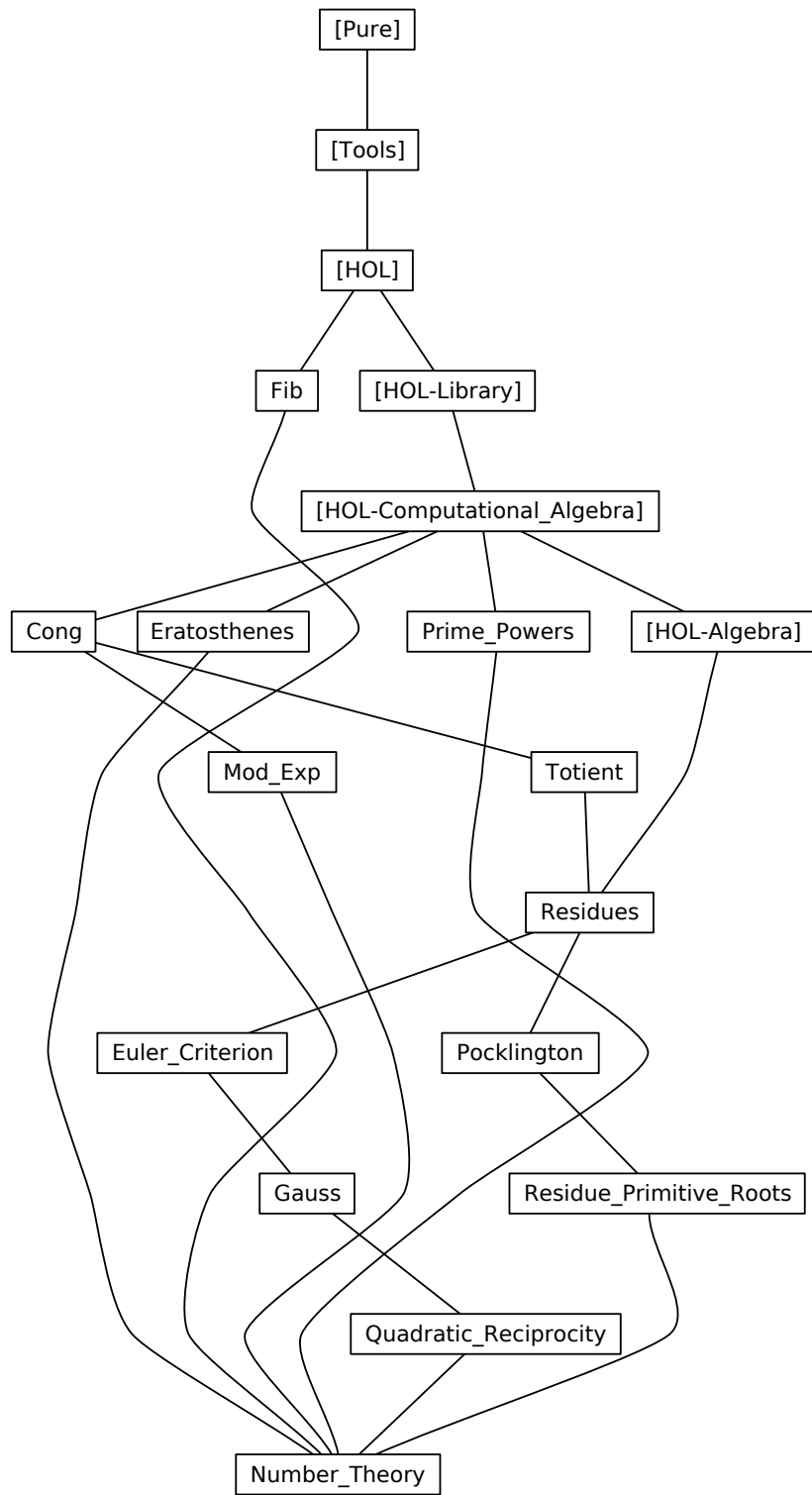
Various results of number theory

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Contents

1	The fibonacci function	3
1.1	Fibonacci numbers	3
1.2	Basic Properties	3
1.3	More efficient code	3
1.4	A Few Elementary Results	4
1.5	Law 6.111 of Concrete Mathematics	4
1.6	Closed form	5
1.7	Divide-and-Conquer recurrence	7
1.8	Fibonacci and Binomial Coefficients	8
2	Congruence	9
2.1	Generic congruences	9
2.2	Congruences on <i>nat</i> and <i>int</i>	14
3	Fundamental facts about Euler's totient function	25
4	Residue rings	37
4.1	A locale for residue rings	37
4.2	Prime residues	40
5	Test cases: Euler's theorem and Wilson's theorem	42
5.1	Euler's theorem	42
5.2	Wilson's theorem	43
5.3	Upper bound for the number of n -th roots	46
6	The sieve of Eratosthenes	47
6.1	Preliminary: strict divisibility	47
6.2	Main corpus	47
6.3	Application: smallest prime beyond a certain number	53
7	Fast modular exponentiation	55

8	Gauss' Lemma	62
8.1	Basic properties of p	62
8.2	Basic Properties of the Gauss Sets	63
8.3	Relationships Between Gauss Sets	66
8.4	Gauss' Lemma	68
9	Pocklington's Theorem for Primes	80
9.1	Lemmas about previously defined terms	80
9.2	Some basic theorems about solving congruences	81
9.3	Lucas's theorem	83
9.4	Definition of the order of a number mod n	86
9.5	Another trivial primality characterization	98
9.6	Pocklington theorem	101
9.7	Prime factorizations	104
10	Prime powers	107
11	Primitive roots in residue rings and Carmichael's function	118
11.1	Primitive roots in residue rings	118
11.2	Primitive roots modulo a prime	119
11.3	Primitive roots modulo powers of an odd prime	123
11.4	Carmichael's function	128
11.5	Existence of primitive roots for general moduli	136
12	Comprehensive number theory	142



1 The fibonacci function

```
theory Fib
  imports Complex-Main
begin
```

1.1 Fibonacci numbers

```
fun fib :: nat ⇒ nat
  where
    fib0: fib 0 = 0
  | fib1: fib (Suc 0) = 1
  | fib2: fib (Suc (Suc n)) = fib (Suc n) + fib n
```

1.2 Basic Properties

```
lemma fib-1 [simp]: fib 1 = 1
  by (metis One-nat-def fib1)
```

```
lemma fib-2 [simp]: fib 2 = 1
  using fib.simps(3) [of 0] by (simp add: numeral-2-eq-2)
```

```
lemma fib-plus-2: fib (n + 2) = fib (n + 1) + fib n
  by (metis Suc-eq-plus1 add-2-eq-Suc' fib.simps(3))
```

```
lemma fib-add: fib (Suc (n + k)) = fib (Suc k) * fib (Suc n) + fib k * fib n
  by (induct n rule: fib.induct) (auto simp add: field-simps)
```

```
lemma fib-neg-0-nat: n > 0 ⇒ fib n > 0
  by (induct n rule: fib.induct) auto
```

```
lemma fib-Suc-mono: fib m ≤ fib (Suc m)
  by(induction m) auto
```

```
lemma fib-mono: m ≤ n ⇒ fib m ≤ fib n
  by (simp add: fib-Suc-mono lift-Suc-mono-le)
```

1.3 More efficient code

The naive approach is very inefficient since the branching recursion leads to many values of *fib* being computed multiple times. We can avoid this by “remembering” the last two values in the sequence, yielding a tail-recursive version. This is far from optimal (it takes roughly $O(n \cdot M(n))$ time where $M(n)$ is the time required to multiply two n -bit integers), but much better than the naive version, which is exponential.

```
fun gen-fib :: nat ⇒ nat ⇒ nat ⇒ nat
  where
    gen-fib a b 0 = a
  | gen-fib a b (Suc 0) = b
```

```

| gen-fib a b (Suc (Suc n)) = gen-fib b (a + b) (Suc n)

lemma gen-fib-recurrence: gen-fib a b (Suc (Suc n)) = gen-fib a b n + gen-fib a b
(Suc n)
  by (induct a b n rule: gen-fib.induct) simp-all

lemma gen-fib-fib: gen-fib (fib n) (fib (Suc n)) m = fib (n + m)
  by (induct m rule: fib.induct) (simp-all del: gen-fib.simps(3) add: gen-fib-recurrence)

lemma fib-conv-gen-fib: fib n = gen-fib 0 1 n
  using gen-fib-fib[of 0 n] by simp

declare fib-conv-gen-fib [code]

```

1.4 A Few Elementary Results

Concrete Mathematics, page 278: Cassini's identity. The proof is much easier using integers, not natural numbers!

```

lemma fib-Cassini-int: int (fib (Suc (Suc n)) * fib n) - int((fib (Suc n))2) = -
((-1)n)
  by (induct n rule: fib.induct) (auto simp add: field-simps power2-eq-square power-add)

lemma fib-Cassini-nat:
  fib (Suc (Suc n)) * fib n =
    (if even n then (fib (Suc n))2 - 1 else (fib (Suc n))2 + 1)
  using fib-Cassini-int [of n] by (auto simp del: of-nat-mult of-nat-power)

```

1.5 Law 6.111 of Concrete Mathematics

```

lemma coprime-fib-Suc-nat: coprime (fib n) (fib (Suc n))
  apply (induct n rule: fib.induct)
  apply (simp-all add: coprime-iff-gcd-eq-1 algebra-simps)
  apply (simp add: add.assoc [symmetric])
  done

lemma gcd-fib-add:
  gcd (fib m) (fib (n + m)) = gcd (fib m) (fib n)
proof (cases m)
  case 0
  then show ?thesis
    by simp
next
  case (Suc q)
  from coprime-fib-Suc-nat [of q]
  have coprime (fib (Suc q)) (fib q)
    by (simp add: ac-simps)
  have gcd (fib q) (fib (Suc q)) = Suc 0
    using coprime-fib-Suc-nat [of q] by simp

```

then have *: $\text{gcd} (\text{fib } n * \text{fib } q) (\text{fib } n * \text{fib } (\text{Suc } q)) = \text{fib } n$
by (simp add: gcd-mult-distrib-nat [symmetric])
moreover have $\text{gcd} (\text{fib } (\text{Suc } q)) (\text{fib } n * \text{fib } q + \text{fib } (\text{Suc } n) * \text{fib } (\text{Suc } q)) =$
 $\text{gcd} (\text{fib } (\text{Suc } q)) (\text{fib } n * \text{fib } q)$
using gcd-add-mult [of fib (Suc q)] **by** (simp add: ac-simps)
moreover have $\text{gcd} (\text{fib } (\text{Suc } q)) (\text{fib } n * \text{fib } (\text{Suc } q)) = \text{fib } (\text{Suc } q)$
by simp
ultimately show ?thesis
using Suc <coprime (fib (Suc q)) (fib q)>
by (auto simp add: fib-add algebra-simps gcd-mult-right-right-cancel)
qed

lemma gcd-fib-diff: $m \leq n \implies \text{gcd} (\text{fib } m) (\text{fib } (n - m)) = \text{gcd} (\text{fib } m) (\text{fib } n)$
by (simp add: gcd-fib-add [symmetric, of - n-m])

lemma gcd-fib-mod: $0 < m \implies \text{gcd} (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd} (\text{fib } m) (\text{fib } n)$

proof (induct n rule: less-induct)

case (less n)

show $\text{gcd} (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd} (\text{fib } m) (\text{fib } n)$

proof (cases m < n)

case True

then have $m \leq n$ **by** auto

with <0 < m> **have** $0 < n$ **by** auto

with <0 < m> <m < n> **have** *: $n - m < n$ **by** auto

have $\text{gcd} (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd} (\text{fib } m) (\text{fib } ((n - m) \bmod m))$

by (simp add: mod-if [of n]) (use <m < n> **in** auto)

also have $\dots = \text{gcd} (\text{fib } m) (\text{fib } (n - m))$

by (simp add: less.hyps * <0 < m>)

also have $\dots = \text{gcd} (\text{fib } m) (\text{fib } n)$

by (simp add: gcd-fib-diff <m ≤ n>)

finally show $\text{gcd} (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd} (\text{fib } m) (\text{fib } n)$.

next

case False

then show $\text{gcd} (\text{fib } m) (\text{fib } (n \bmod m)) = \text{gcd} (\text{fib } m) (\text{fib } n)$

by (cases m = n) auto

qed

qed

lemma fib-gcd: $\text{fib} (\text{gcd } m \ n) = \text{gcd} (\text{fib } m) (\text{fib } n)$ — Law 6.111

by (induct m n rule: gcd-nat-induct) (simp-all add: gcd-non-0-nat gcd.commute gcd-fib-mod)

theorem fib-mult-eq-sum-nat: $\text{fib} (\text{Suc } n) * \text{fib } n = (\sum k \in \{..n\}. \text{fib } k * \text{fib } k)$

by (induct n rule: nat.induct) (auto simp add: field-simps)

1.6 Closed form

lemma fib-closed-form:

fixes $\varphi \ \psi :: \text{real}$

```

defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
shows  $\text{of-nat } (\text{fib } n) = (\varphi^n - \psi^n) / \text{sqrt } 5$ 
proof (induct n rule: fib.induct)
  fix  $n :: \text{nat}$ 
  assume IH1:  $\text{of-nat } (\text{fib } n) = (\varphi^n - \psi^n) / \text{sqrt } 5$ 
  assume IH2:  $\text{of-nat } (\text{fib } (\text{Suc } n)) = (\varphi^{\text{Suc } n} - \psi^{\text{Suc } n}) / \text{sqrt } 5$ 
  have  $\text{of-nat } (\text{fib } (\text{Suc } (\text{Suc } n))) = \text{of-nat } (\text{fib } (\text{Suc } n)) + \text{of-nat } (\text{fib } n)$  by simp
  also have  $\dots = (\varphi^n * (\varphi + 1) - \psi^n * (\psi + 1)) / \text{sqrt } 5$ 
    by (simp add: IH1 IH2 field-simps)
  also have  $\varphi + 1 = \varphi^2$  by (simp add:  $\varphi$ -def field-simps power2-eq-square)
  also have  $\psi + 1 = \psi^2$  by (simp add:  $\psi$ -def field-simps power2-eq-square)
  also have  $\varphi^n * \varphi^2 - \psi^n * \psi^2 = \varphi^{\text{Suc } n} - \psi^{\text{Suc } n}$ 
    by (simp add: power2-eq-square)
  finally show  $\text{of-nat } (\text{fib } (\text{Suc } (\text{Suc } n))) = (\varphi^{\text{Suc } n} - \psi^{\text{Suc } n}) / \text{sqrt } 5$  .
qed (simp-all add:  $\varphi$ -def  $\psi$ -def field-simps)

```

```

lemma fib-closed-form':
  fixes  $\varphi \psi :: \text{real}$ 
  defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
  and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
  assumes  $n > 0$ 
  shows  $\text{fib } n = \text{round } (\varphi^n / \text{sqrt } 5)$ 
proof (rule sym, rule round-unique')
  have  $|\varphi^n / \text{sqrt } 5 - \text{of-int } (\text{int } (\text{fib } n))| = |\psi|^n / \text{sqrt } 5$ 
    by (simp add: fib-closed-form[folded  $\varphi$ -def  $\psi$ -def] field-simps power-abs)
  also {
    from assms have  $|\psi|^n \leq |\psi|$ 
      by (intro power-decreasing) (simp-all add: algebra-simps real-le-lsqrt)
    also have  $\dots < \text{sqrt } 5 / 2$  by (simp add:  $\psi$ -def field-simps)
    finally have  $|\psi|^n / \text{sqrt } 5 < 1/2$  by (simp add: field-simps)
  }
  finally show  $|\varphi^n / \text{sqrt } 5 - \text{of-int } (\text{int } (\text{fib } n))| < 1/2$  .
qed

```

```

lemma fib-asymptotics:
  fixes  $\varphi :: \text{real}$ 
  defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
  shows  $(\lambda n. \text{real } (\text{fib } n) / (\varphi^n / \text{sqrt } 5)) \longrightarrow 1$ 
proof -
  define  $\psi :: \text{real}$  where  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
  have  $\varphi > 1$  by (simp add:  $\varphi$ -def)
  then have  $\varphi \neq 0$  by auto
  have  $(\lambda n. (\psi / \varphi)^n) \longrightarrow 0$ 
    by (rule LIMSEQ-power-zero) (simp-all add:  $\varphi$ -def  $\psi$ -def field-simps add-pos-pos)
  then have  $(\lambda n. 1 - (\psi / \varphi)^n) \longrightarrow 1 - 0$ 
    by (intro tendsto-diff tendsto-const)
  with  $*$  have  $(\lambda n. (\varphi^n - \psi^n) / \varphi^n) \longrightarrow 1$ 

```

```

    by (simp add: field-simps)
  then show ?thesis
    by (simp add: fib-closed-form  $\varphi$ -def  $\psi$ -def)
qed

```

1.7 Divide-and-Conquer recurrence

The following divide-and-conquer recurrence allows for a more efficient computation of Fibonacci numbers; however, it requires memoisation of values to be reasonably efficient, cutting the number of values to be computed to logarithmically many instead of linearly many. The vast majority of the computation time is then actually spent on the multiplication, since the output number is exponential in the input number.

lemma *fib-rec-odd*:

```

  fixes  $\varphi \ \psi :: \text{real}$ 
  defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
    and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
  shows  $\text{fib } (\text{Suc } (2 * n)) = \text{fib } n^2 + \text{fib } (\text{Suc } n)^2$ 
proof -
  have of-nat ( $\text{fib } n^2 + \text{fib } (\text{Suc } n)^2$ ) =  $((\varphi^n - \psi^n)^2 + (\varphi * \varphi^n - \psi * \psi^n)^2) / 5$ 
    by (simp add: fib-closed-form[folded  $\varphi$ -def  $\psi$ -def] field-simps power2-eq-square)
  also
    let ?A =  $\varphi^{2 * n} + \psi^{2 * n} - 2 * (\varphi * \psi)^n + \varphi^{2 * n + 2} + \psi^{2 * n + 2} - 2 * (\varphi * \psi)^{n + 1}$ 
    have  $(\varphi^n - \psi^n)^2 + (\varphi * \varphi^n - \psi * \psi^n)^2 = ?A$ 
      by (simp add: power2-eq-square algebra-simps power-mult power-mult-distrib)
    also have  $\varphi * \psi = -1$ 
      by (simp add:  $\varphi$ -def  $\psi$ -def field-simps)
    then have ?A =  $\varphi^{2 * n + 1} * (\varphi + \text{inverse } \varphi) + \psi^{2 * n + 1} * (\psi + \text{inverse } \psi)$ 
      by (auto simp: field-simps power2-eq-square)
    also have  $1 + \text{sqrt } 5 > 0$ 
      by (auto intro: add-pos-pos)
    then have  $\varphi + \text{inverse } \varphi = \text{sqrt } 5$ 
      by (simp add:  $\varphi$ -def field-simps)
    also have  $\psi + \text{inverse } \psi = -\text{sqrt } 5$ 
      by (simp add:  $\psi$ -def field-simps)
    also have  $(\varphi^{2 * n + 1} * \text{sqrt } 5 + \psi^{2 * n + 1} * -\text{sqrt } 5) / 5 =$ 
       $(\varphi^{2 * n + 1} - \psi^{2 * n + 1}) * (\text{sqrt } 5 / 5)$ 
      by (simp add: field-simps)
    also have  $\text{sqrt } 5 / 5 = \text{inverse } (\text{sqrt } 5)$ 
      by (simp add: field-simps)
    also have  $(\varphi^{2 * n + 1} - \psi^{2 * n + 1}) * \dots = \text{of-nat } (\text{fib } (\text{Suc } (2 * n)))$ 
      by (simp add: fib-closed-form[folded  $\varphi$ -def  $\psi$ -def] divide-inverse)
  finally show ?thesis
    by (simp only: of-nat-eq-iff)

```

qed

lemma *fib-rec-even*: $\text{fib } (2 * n) = (\text{fib } (n - 1) + \text{fib } (n + 1)) * \text{fib } n$
proof (*induct n*)
 case 0
 then show ?case by simp
next
 case (Suc n)
 let ?rfib = $\lambda x. \text{real } (\text{fib } x)$
 have $2 * (\text{Suc } n) = \text{Suc } (\text{Suc } (2 * n))$ by simp
 also have $\text{real } (\text{fib } \dots) = ?rfib \ n^2 + ?rfib \ (\text{Suc } n)^2 + (?rfib \ (n - 1) + ?rfib \ (n + 1)) * ?rfib \ n$
 by (*simp add: fib-rec-odd Suc*)
 also have $(?rfib \ (n - 1) + ?rfib \ (n + 1)) * ?rfib \ n = (2 * ?rfib \ (n + 1) - ?rfib \ n) * ?rfib \ n$
 by (*cases n simp-all*)
 also have $?rfib \ n^2 + ?rfib \ (\text{Suc } n)^2 + \dots = (?rfib \ (\text{Suc } n) + 2 * ?rfib \ n) * ?rfib \ (\text{Suc } n)$
 by (*simp add: algebra-simps power2-eq-square*)
 also have $\dots = \text{real } ((\text{fib } (\text{Suc } n - 1) + \text{fib } (\text{Suc } n + 1)) * \text{fib } (\text{Suc } n))$ by simp
 finally show ?case by (*simp only: of-nat-eq-iff*)
qed

lemma *fib-rec-even'*: $\text{fib } (2 * n) = (2 * \text{fib } (n - 1) + \text{fib } n) * \text{fib } n$
 by (*subst fib-rec-even, cases n simp-all*)

lemma *fib-rec*:

$\text{fib } n =$
 (*if* $n = 0$ *then* 0 *else if* $n = 1$ *then* 1
 else if even n *then* let $n' = n \text{ div } 2$; $fn = \text{fib } n'$ *in* $(2 * \text{fib } (n' - 1) + fn) * fn$
 else let $n' = n \text{ div } 2$ *in* $\text{fib } n'^2 + \text{fib } (\text{Suc } n')^2$)
 by (*auto elim: evenE oddE simp: fib-rec-odd fib-rec-even' Let-def*)

1.8 Fibonacci and Binomial Coefficients

lemma *sum-drop-zero*: $(\sum k = 0.. \text{Suc } n. \text{if } 0 < k \text{ then } (f \ (k - 1)) \text{ else } 0) = (\sum j = 0..n. f \ j)$
 by (*induct n auto*)

lemma *sum-choose-drop-zero*:

$(\sum k = 0.. \text{Suc } n. \text{if } k = 0 \text{ then } 0 \text{ else } (\text{Suc } n - k) \text{ choose } (k - 1)) =$
 $(\sum j = 0..n. (n - j) \text{ choose } j)$
 by (*rule trans [OF sum.cong sum-drop-zero] auto*)

lemma *ne-diagonal-fib*: $(\sum k = 0..n. (n - k) \text{ choose } k) = \text{fib } (\text{Suc } n)$

proof (*induct n rule: fib.induct*)

 case 1

 show ?case by simp

```

next
  case 2
  show ?case by simp
next
  case (3 n)
  have ( $\sum k = 0..Suc\ n. Suc\ (Suc\ n) - k\ choose\ k$ ) =
    ( $\sum k = 0..Suc\ n. (Suc\ n - k\ choose\ k) + (if\ k = 0\ then\ 0\ else\ (Suc\ n - k\ choose\ (k - 1)))$ )
  by (rule sum.cong) (simp-all add: choose-reduce-nat)
  also have ... =
    ( $\sum k = 0..Suc\ n. Suc\ n - k\ choose\ k$ ) +
    ( $\sum k = 0..Suc\ n. if\ k=0\ then\ 0\ else\ (Suc\ n - k\ choose\ (k - 1))$ )
  by (simp add: sum.distrib)
  also have ... = ( $\sum k = 0..Suc\ n. Suc\ n - k\ choose\ k$ ) + ( $\sum j = 0..n. n - j\ choose\ j$ )
  by (metis sum-choose-drop-zero)
  finally show ?case using 3
  by simp
qed

end

```

2 Congruence

```

theory Cong
  imports HOL-Computational-Algebra.Primes
begin

```

2.1 Generic congruences

```

context unique-euclidean-semiring
begin

```

```

definition cong :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool ( $\langle 1[- = -] '(\text{mod } -)\rangle$ )
  where cong b c a  $\longleftrightarrow b \text{ mod } a = c \text{ mod } a$ 

```

```

abbreviation notcong :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool ( $\langle 1[- \neq -] '(\text{mod } -)\rangle$ )
  where notcong b c a  $\equiv \neg \text{cong } b\ c\ a$ 

```

```

lemma cong-refl [simp]:
  [b = b] (mod a)
  by (simp add: cong-def)

```

```

lemma cong-sym:
  [b = c] (mod a)  $\implies$  [c = b] (mod a)
  by (simp add: cong-def)

```

```

lemma cong-sym-eq:
  [b = c] (mod a)  $\longleftrightarrow$  [c = b] (mod a)

```

by (*auto simp add: cong-def*)

lemma *cong-trans* [*trans*]:
 $[b = c] \text{ (mod } a) \implies [c = d] \text{ (mod } a) \implies [b = d] \text{ (mod } a)$
by (*simp add: cong-def*)

lemma *cong-mult-self-right*:
 $[b * a = 0] \text{ (mod } a)$
by (*simp add: cong-def*)

lemma *cong-mult-self-left*:
 $[a * b = 0] \text{ (mod } a)$
by (*simp add: cong-def*)

lemma *cong-mod-left* [*simp*]:
 $[b \text{ mod } a = c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$
by (*simp add: cong-def*)

lemma *cong-mod-right* [*simp*]:
 $[b = c \text{ mod } a] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$
by (*simp add: cong-def*)

lemma *cong-0* [*simp, presburger*]:
 $[b = c] \text{ (mod } 0) \longleftrightarrow b = c$
by (*simp add: cong-def*)

lemma *cong-1* [*simp, presburger*]:
 $[b = c] \text{ (mod } 1)$
by (*simp add: cong-def*)

lemma *cong-dvd-iff*:
 $a \text{ dvd } b \longleftrightarrow a \text{ dvd } c \text{ if } [b = c] \text{ (mod } a)$
using that by (*auto simp: cong-def dvd-eq-mod-eq-0*)

lemma *cong-0-iff*: $[b = 0] \text{ (mod } a) \longleftrightarrow a \text{ dvd } b$
by (*simp add: cong-def dvd-eq-mod-eq-0*)

lemma *cong-add*:
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b + d = c + e] \text{ (mod } a)$
by (*auto simp add: cong-def intro: mod-add-cong*)

lemma *cong-mult*:
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b * d = c * e] \text{ (mod } a)$
by (*auto simp add: cong-def intro: mod-mult-cong*)

lemma *cong-scalar-right*:
 $[b = c] \text{ (mod } a) \implies [b * d = c * d] \text{ (mod } a)$
by (*simp add: cong-mult*)

lemma *cong-scalar-left*:

$[b = c] \text{ (mod } a) \implies [d * b = d * c] \text{ (mod } a)$

by (*simp add: cong-mult*)

lemma *cong-pow*:

$[b = c] \text{ (mod } a) \implies [b \wedge n = c \wedge n] \text{ (mod } a)$

by (*simp add: cong-def power-mod [symmetric, of b n a] power-mod [symmetric, of c n a]*)

lemma *cong-sum*:

$[sum f A = sum g A] \text{ (mod } a) \text{ if } \bigwedge x. x \in A \implies [f x = g x] \text{ (mod } a)$

using that by (*induct A rule: infinite-finite-induct*) (*auto intro: cong-add*)

lemma *cong-prod*:

$[prod f A = prod g A] \text{ (mod } a) \text{ if } (\bigwedge x. x \in A \implies [f x = g x] \text{ (mod } a))$

using that by (*induct A rule: infinite-finite-induct*) (*auto intro: cong-mult*)

lemma *mod-mult-cong-right*:

$[c \text{ mod } (a * b) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$

by (*simp add: cong-def mod-mod-cancel mod-add-left-eq*)

lemma *mod-mult-cong-left*:

$[c \text{ mod } (b * a) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$

using *mod-mult-cong-right* [*of c a b d*] **by** (*simp add: ac-simps*)

end

context *unique-euclidean-ring*

begin

lemma *cong-diff*:

$[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b - d = c - e] \text{ (mod } a)$

by (*auto simp add: cong-def intro: mod-diff-cong*)

lemma *cong-diff-iff-cong-0*:

$[b - c = 0] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a) \text{ (is } ?P \longleftrightarrow ?Q)$

proof

assume *?P*

then have $[b - c + c = 0 + c] \text{ (mod } a)$

by (*rule cong-add*) *simp*

then show *?Q*

by *simp*

next

assume *?Q*

with *cong-diff* [*of b c a c c*] **show** *?P*

by *simp*

qed

lemma *cong-minus-minus-iff*:

$[-\ b = -\ c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$
using *cong-diff-iff-cong-0* [of *b c a*] *cong-diff-iff-cong-0* [of *- b - c a*]
by (*simp add: cong-0-iff dvd-diff-commute*)

lemma *cong-modulus-minus-iff* [iff]:
 $[b = c] \text{ (mod } -\ a) \longleftrightarrow [b = c] \text{ (mod } a)$
using *cong-diff-iff-cong-0* [of *b c a*] *cong-diff-iff-cong-0* [of *b c -a*]
by (*simp add: cong-0-iff*)

lemma *cong-iff-dvd-diff*:
 $[a = b] \text{ (mod } m) \longleftrightarrow m \text{ dvd } (a - b)$
by (*simp add: cong-0-iff* [symmetric] *cong-diff-iff-cong-0*)

lemma *cong-iff-lin*:
 $[a = b] \text{ (mod } m) \longleftrightarrow (\exists k. b = a + m * k) \text{ (is } ?P \longleftrightarrow ?Q)$
proof -
have $?P \longleftrightarrow m \text{ dvd } b - a$
by (*simp add: cong-iff-dvd-diff dvd-diff-commute*)
also have $\dots \longleftrightarrow ?Q$
by (*auto simp add: algebra-simps elim!: dvdE*)
finally show *?thesis*
by *simp*
qed

lemma *cong-add-lcancel*:
 $[a + x = a + y] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$
by (*simp add: cong-iff-lin algebra-simps*)

lemma *cong-add-rcancel*:
 $[x + a = y + a] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$
by (*simp add: cong-iff-lin algebra-simps*)

lemma *cong-add-lcancel-0*:
 $[a + x = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$
using *cong-add-lcancel* [of *a x 0 n*] **by** *simp*

lemma *cong-add-rcancel-0*:
 $[x + a = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$
using *cong-add-rcancel* [of *x a 0 n*] **by** *simp*

lemma *cong-dvd-modulus*:
 $[x = y] \text{ (mod } n) \text{ if } [x = y] \text{ (mod } m) \text{ and } n \text{ dvd } m$
using *that* **by** (*auto intro: dvd-trans simp add: cong-iff-dvd-diff*)

lemma *cong-modulus-mult*:
 $[x = y] \text{ (mod } m) \text{ if } [x = y] \text{ (mod } m * n)$
using *that* **by** (*simp add: cong-iff-dvd-diff*) (*rule dvd-mult-left*)

end

lemma *cong-abs* [*simp*]:
 $[x = y] \text{ (mod } |m|) \longleftrightarrow [x = y] \text{ (mod } m)$
for $x\ y :: 'a :: \{\text{unique-euclidean-ring, linordered-idom}\}$
by (*simp add: cong-iff-dvd-diff*)

lemma *cong-square*:
 $\text{prime } p \implies 0 < a \implies [a * a = 1] \text{ (mod } p) \implies [a = 1] \text{ (mod } p) \vee [a = -1] \text{ (mod } p)$
for $a\ p :: 'a :: \{\text{normalization-semidom, linordered-idom, unique-euclidean-ring}\}$
by (*auto simp add: cong-iff-dvd-diff square-diff-one-factored dest: prime-dvd-multD*)

lemma *cong-mult-rcancel*:
 $[a * k = b * k] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$
if *coprime* $k\ m$ **for** $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
using *that* **by** (*auto simp add: cong-iff-dvd-diff left-diff-distrib [symmetric] ac-simps coprime-dvd-mult-right-iff*)

lemma *cong-mult-lcancel*:
 $[k * a = k * b] \text{ (mod } m) = [a = b] \text{ (mod } m)$
if *coprime* $k\ m$ **for** $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$
using *that* *cong-mult-rcancel* [*of* $k\ m\ a\ b$] **by** (*simp add: ac-simps*)

lemma *coprime-cong-mult*:
 $[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m\ n \implies [a = b] \text{ (mod } m * n)$
for $a\ b :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$
by (*simp add: cong-iff-dvd-diff divides-mult*)

lemma *cong-gcd-eq*:
 $\text{gcd } a\ m = \text{gcd } b\ m \text{ if } [a = b] \text{ (mod } m)$
for $a\ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
proof (*cases* $m = 0$)
case *True*
with *that* **show** *?thesis*
by *simp*
next
case *False*
moreover **have** $\text{gcd } (a \text{ mod } m)\ m = \text{gcd } (b \text{ mod } m)\ m$
using *that* **by** (*simp add: cong-def*)
ultimately **show** *?thesis*
by *simp*
qed

lemma *cong-imp-coprime*:
 $[a = b] \text{ (mod } m) \implies \text{coprime } a\ m \implies \text{coprime } b\ m$
for $a\ b :: 'a :: \{\text{unique-euclidean-semiring, euclidean-semiring-gcd}\}$
by (*auto simp add: coprime-iff-gcd-eq-1 dest: cong-gcd-eq*)

lemma *cong-cong-prod-coprime*:

```

[x = y] (mod (∏ i ∈ A. m i)) if
  (∀ i ∈ A. [x = y] (mod m i))
  (∀ i ∈ A. (∀ j ∈ A. i ≠ j → coprime (m i) (m j)))
for x y :: 'a :: {unique-euclidean-ring, semiring-gcd}
using that by (induct A rule: infinite-finite-induct)
  (auto intro!: coprime-cong-mult prod-coprime-right)

```

2.2 Congruences on *nat* and *int*

lemma *cong-int-iff*:

```

[int m = int q] (mod int n) ↔ [m = q] (mod n)
by (simp add: cong-def of-nat-mod [symmetric])

```

lemma *cong-Suc-0* [simp, presburger]:

```

[m = n] (mod Suc 0)
using cong-1 [of m n] by simp

```

lemma *cong-diff-nat*:

```

[a - c = b - d] (mod m) if [a = b] (mod m) [c = d] (mod m)
  and a ≥ c b ≥ d for a b c d m :: nat

```

proof –

```

have [c + (a - c) = d + (b - d)] (mod m)
  using that by simp
with ⟨[c = d] (mod m)⟩ have [c + (a - c) = c + (b - d)] (mod m)
  using mod-add-cong by (auto simp add: cong-def) fastforce
then show ?thesis
  by (simp add: cong-def nat-mod-eq-iff)

```

qed

lemma *cong-diff-iff-cong-0-nat*:

```

[a - b = 0] (mod m) ↔ [a = b] (mod m) if a ≥ b for a b :: nat
using that by (auto simp add: cong-def le-imp-diff-is-add dest: nat-mod-eq-lemma)

```

lemma *cong-diff-iff-cong-0-nat'*:

```

[nat | int a - int b | = 0] (mod m) ↔ [a = b] (mod m)

```

proof (cases b ≤ a)

case True

then show ?thesis

```

  by (simp add: nat-diff-distrib' cong-diff-iff-cong-0-nat [of b a m])

```

next

case False

then have a ≤ b

by simp

then show ?thesis

```

  by (simp add: nat-diff-distrib' cong-diff-iff-cong-0-nat [of a b m])
  (auto simp add: cong-def)

```

qed

lemma *cong-altdef-nat*:

```

a ≥ b ⇒ [a = b] (mod m) ⇔ m dvd (a - b)
for a b :: nat
by (simp add: cong-0-iff [symmetric] cong-diff-iff-cong-0-nat)

lemma cong-altdef-nat':
  [a = b] (mod m) ⇔ m dvd nat |int a - int b|
  using cong-diff-iff-cong-0-nat' [of a b m]
  by (simp only: cong-0-iff [symmetric])

lemma cong-mult-rcancel-nat:
  [a * k = b * k] (mod m) ⇔ [a = b] (mod m)
  if coprime k m for a k m :: nat
proof -
  have [a * k = b * k] (mod m) ⇔ m dvd nat |int (a * k) - int (b * k)|
    by (simp add: cong-altdef-nat')
  also have ... ⇔ m dvd nat |(int a - int b) * int k|
    by (simp add: algebra-simps)
  also have ... ⇔ m dvd nat |int a - int b| * k
    by (simp add: abs-mult nat-times-as-int)
  also have ... ⇔ m dvd nat |int a - int b|
    by (rule coprime-dvd-mult-left-iff) (use ⟨coprime k m⟩ in ⟨simp add: ac-simps⟩)
  also have ... ⇔ [a = b] (mod m)
    by (simp add: cong-altdef-nat')
  finally show ?thesis .
qed

lemma cong-mult-lcancel-nat:
  [k * a = k * b] (mod m) = [a = b] (mod m)
  if coprime k m for a k m :: nat
  using that by (simp add: cong-mult-rcancel-nat ac-simps)

lemma coprime-cong-mult-nat:
  [a = b] (mod m) ⇒ [a = b] (mod n) ⇒ coprime m n ⇒ [a = b] (mod m * n)
  for a b :: nat
  by (simp add: cong-altdef-nat' divides-mult)

lemma cong-less-imp-eq-nat: 0 ≤ a ⇒ a < m ⇒ 0 ≤ b ⇒ b < m ⇒ [a =
b] (mod m) ⇒ a = b
  for a b :: nat
  by (auto simp add: cong-def)

lemma cong-less-imp-eq-int: 0 ≤ a ⇒ a < m ⇒ 0 ≤ b ⇒ b < m ⇒ [a = b]
(mod m) ⇒ a = b
  for a b :: int
  by (auto simp add: cong-def)

lemma cong-less-unique-nat: 0 < m ⇒ (∃!b. 0 ≤ b ∧ b < m ∧ [a = b] (mod
m))
  for a m :: nat

```

```

    by (auto simp: cong-def) (metis mod-less-divisor mod-mod-trivial)

lemma cong-less-unique-int:  $0 < m \implies (\exists! b. 0 \leq b \wedge b < m \wedge [a = b] \pmod m)$ 
  for  $a\ m :: \text{int}$ 
  by (auto simp: cong-def) (metis mod-mod-trivial pos-mod-conj)

lemma cong-iff-lin-nat:  $([a = b] \pmod m) \longleftrightarrow (\exists k1\ k2. b + k1 * m = a + k2 * m)$ 
  (is ?lhs = ?rhs)
  for  $a\ b :: \text{nat}$ 
proof
  assume ?lhs
  show ?rhs
  proof (cases  $b \leq a$ )
    case True
    with ‹?lhs› show ?rhs
    by (metis cong-altdef-nat dvd-def le-add-diff-inverse add-0-right mult-0 mult.commute)
  next
    case False
    with ‹?lhs› show ?rhs
    by (metis cong-def mult.commute nat-le-linear nat-mod-eq-lemma)
  qed
next
  assume ?rhs
  then show ?lhs
  by (metis cong-def mult.commute nat-mod-eq-iff)
qed

lemma cong-cong-mod-nat:  $[a = b] \pmod m \longleftrightarrow [a \bmod m = b \bmod m] \pmod m$ 
  for  $a\ b :: \text{nat}$ 
  by simp

lemma cong-cong-mod-int:  $[a = b] \pmod m \longleftrightarrow [a \bmod m = b \bmod m] \pmod m$ 
  for  $a\ b :: \text{int}$ 
  by simp

lemma cong-add-lcancel-nat:  $[a + x = a + y] \pmod n \longleftrightarrow [x = y] \pmod n$ 
  for  $a\ x\ y :: \text{nat}$ 
  by (simp add: cong-iff-lin-nat)

lemma cong-add-rcancel-nat:  $[x + a = y + a] \pmod n \longleftrightarrow [x = y] \pmod n$ 
  for  $a\ x\ y :: \text{nat}$ 
  by (simp add: cong-iff-lin-nat)

lemma cong-add-lcancel-0-nat:  $[a + x = a] \pmod n \longleftrightarrow [x = 0] \pmod n$ 
  for  $a\ x :: \text{nat}$ 
  using cong-add-lcancel-nat [of  $a\ x\ 0\ n$ ] by simp

lemma cong-add-rcancel-0-nat:  $[x + a = a] \pmod n \longleftrightarrow [x = 0] \pmod n$ 

```

```

for  $a\ x :: \text{nat}$ 
using cong-add-rcancel-nat [of x a 0 n] by simp

lemma cong-dvd-modulus-nat:  $[x = y] \pmod{m} \implies n \text{ dvd } m \implies [x = y] \pmod{n}$ 
for  $x\ y :: \text{nat}$ 
unfolding cong-iff-lin-nat dvd-def
by (metis mult.commute mult.left-commute)

lemma cong-to-1-nat:
  fixes  $a :: \text{nat}$ 
  assumes  $[a = 1] \pmod{n}$ 
  shows  $n \text{ dvd } (a - 1)$ 
proof (cases a = 0)
  case True
  then show ?thesis by force
next
  case False
  with assms show ?thesis by (metis cong-altdef-nat leI less-one)
qed

lemma cong-0-1-nat':  $[0 = \text{Suc } 0] \pmod{n} \longleftrightarrow n = \text{Suc } 0$ 
by (auto simp: cong-def)

lemma cong-0-1-nat:  $[0 = 1] \pmod{n} \longleftrightarrow n = 1$ 
for  $n :: \text{nat}$ 
by (auto simp: cong-def)

lemma cong-0-1-int:  $[0 = 1] \pmod{n} \longleftrightarrow n = 1 \vee n = -1$ 
for  $n :: \text{int}$ 
by (auto simp: cong-def zmult-eq-1-iff)

lemma cong-to-1'-nat:  $[a = 1] \pmod{n} \longleftrightarrow a = 0 \wedge n = 1 \vee (\exists m. a = 1 + m * n)$ 
for  $a :: \text{nat}$ 
by (metis add.right-neutral cong-0-1-nat cong-iff-lin-nat cong-to-1-nat
  dvd-div-mult-self leI le-add-diff-inverse less-one mult-eq-if)

lemma cong-le-nat:  $y \leq x \implies [x = y] \pmod{n} \longleftrightarrow (\exists q. x = q * n + y)$ 
for  $x\ y :: \text{nat}$ 
by (auto simp add: cong-altdef-nat le-imp-diff-is-add elim!: dvdE)

lemma cong-solve-nat:
  fixes  $a :: \text{nat}$ 
  shows  $\exists x. [a * x = \text{gcd } a\ n] \pmod{n}$ 
proof (cases a = 0  $\vee$  n = 0)
  case True
  then show ?thesis
  by (force simp add: cong-0-iff cong-sym)

```

```

next
  case False
  then show ?thesis
    using bezout-nat [of a n]
    by auto (metis cong-add-rcancel-0-nat cong-mult-self-left)
qed

lemma cong-solve-int:
  fixes a :: int
  shows  $\exists x. [a * x = \gcd a n] \pmod n$ 
  by (metis bezout-int cong-iff-lin mult.commute)

lemma cong-solve-dvd-nat:
  fixes a :: nat
  assumes gcd a n dvd d
  shows  $\exists x. [a * x = d] \pmod n$ 
proof -
  from cong-solve-nat [of a] obtain x where  $[a * x = \gcd a n] \pmod n$ 
  by auto
  then have  $[(d \operatorname{div} \gcd a n) * (a * x) = (d \operatorname{div} \gcd a n) * \gcd a n] \pmod n$ 
  using cong-scalar-left by blast
  also from assms have  $(d \operatorname{div} \gcd a n) * \gcd a n = d$ 
  by (rule dvd-div-mult-self)
  also have  $(d \operatorname{div} \gcd a n) * (a * x) = a * (d \operatorname{div} \gcd a n * x)$ 
  by auto
  finally show ?thesis
  by auto
qed

lemma cong-solve-dvd-int:
  fixes a::int
  assumes b: gcd a n dvd d
  shows  $\exists x. [a * x = d] \pmod n$ 
proof -
  from cong-solve-int [of a] obtain x where  $[a * x = \gcd a n] \pmod n$ 
  by auto
  then have  $[(d \operatorname{div} \gcd a n) * (a * x) = (d \operatorname{div} \gcd a n) * \gcd a n] \pmod n$ 
  using cong-scalar-left by blast
  also from b have  $(d \operatorname{div} \gcd a n) * \gcd a n = d$ 
  by (rule dvd-div-mult-self)
  also have  $(d \operatorname{div} \gcd a n) * (a * x) = a * (d \operatorname{div} \gcd a n * x)$ 
  by auto
  finally show ?thesis
  by auto
qed

lemma cong-solve-coprime-nat:
   $\exists x. [a * x = \operatorname{Suc} 0] \pmod n$  if coprime a n
  using that cong-solve-nat [of a n] by auto

```

lemma *cong-solve-coprime-int*:

$\exists x. [a * x = 1] \text{ (mod } n) \text{ if coprime } a \text{ n for } a \text{ n } x :: \text{int}$

using *that cong-solve-int [of a n] by (auto simp add: zabs-def split: if-splits)*

lemma *coprime-iff-invertible-nat*:

$\text{coprime } a \text{ m} \longleftrightarrow (\exists x. [a * x = \text{Suc } 0] \text{ (mod } m)) \text{ (is } ?P \longleftrightarrow ?Q)$

proof

assume $?P$ **then show** $?Q$

by *(auto dest!: cong-solve-coprime-nat)*

next

assume $?Q$

then obtain b **where** $[a * b = \text{Suc } 0] \text{ (mod } m)$

by *blast*

with *coprime-mod-left-iff [of m a * b]* **show** $?P$

by *(cases m = 0 $\vee$ m = 1)*

(unfold cong-def, auto simp add: cong-def)

qed

lemma *coprime-iff-invertible-int*:

$\text{coprime } a \text{ m} \longleftrightarrow (\exists x. [a * x = 1] \text{ (mod } m)) \text{ (is } ?P \longleftrightarrow ?Q) \text{ for } m :: \text{int}$

proof

assume $?P$ **then show** $?Q$

by *(auto dest: cong-solve-coprime-int)*

next

assume $?Q$

then obtain b **where** $[a * b = 1] \text{ (mod } m)$

by *blast*

with *coprime-mod-left-iff [of m a * b]* **show** $?P$

by *(cases m = 0 $\vee$ m = 1)*

(unfold cong-def, auto simp add: zmult-eq-1-iff)

qed

lemma *coprime-iff-invertible'-nat*:

assumes $m > 0$

shows $\text{coprime } a \text{ m} \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = \text{Suc } 0] \text{ (mod } m))$

proof –

have $\bigwedge b. [0 < m; [a * b = \text{Suc } 0] \text{ (mod } m)] \implies \exists b' < m. [a * b' = \text{Suc } 0] \text{ (mod } m)$

by *(metis cong-def mod-less-divisor [OF assms] mod-mult-right-eq)*

then show $?thesis$

using *assms coprime-iff-invertible-nat* **by** *auto*

qed

lemma *coprime-iff-invertible'-int*:

fixes $m :: \text{int}$

assumes $m > 0$

shows $\text{coprime } a \text{ m} \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = 1] \text{ (mod } m))$

proof –

have $\bigwedge b. [0 < m; [a * b = 1] \text{ (mod } m)] \implies \exists b' < m. [a * b' = 1] \text{ (mod } m)$
by (*meson cong-less-unique-int cong-scalar-left cong-sym cong-trans*)
then show *?thesis*
by (*metis assms coprime-iff-invertible-int cong-def cong-mult-lcancel mod-pos-pos-trivial pos-mod-conj*)
qed

lemma *cong-cong-lcm-nat*: $[x = y] \text{ (mod } a) \implies [x = y] \text{ (mod } b) \implies [x = y] \text{ (mod lcm } a \text{ } b)$
for $x \ y :: \text{nat}$
by (*meson cong-altdef-nat' lcm-least*)

lemma *cong-cong-lcm-int*: $[x = y] \text{ (mod } a) \implies [x = y] \text{ (mod } b) \implies [x = y] \text{ (mod lcm } a \text{ } b)$
for $x \ y :: \text{int}$
by (*auto simp add: cong-iff-dvd-diff lcm-least*)

lemma *cong-cong-prod-coprime-nat*:
 $[x = y] \text{ (mod } (\prod_{i \in A. m \ i})) \text{ if } (\forall i \in A. [x = y] \text{ (mod } m \ i))$
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) (m \ j)))$
for $x \ y :: \text{nat}$
using *that* **by** (*induct A rule: infinite-finite-induct*)
(auto intro!: coprime-cong-mult-nat prod-coprime-right)

lemma *binary-chinese-remainder-nat*:
fixes $m1 \ m2 :: \text{nat}$
assumes $a: \text{coprime } m1 \ m2$
shows $\exists x. [x = u1] \text{ (mod } m1) \wedge [x = u2] \text{ (mod } m2)$
proof –
have $\exists b1 \ b2. [b1 = 1] \text{ (mod } m1) \wedge [b1 = 0] \text{ (mod } m2) \wedge [b2 = 0] \text{ (mod } m1) \wedge [b2 = 1] \text{ (mod } m2)$
proof –
from *cong-solve-coprime-nat* $[OF \ a]$ **obtain** $x1$ **where** $1: [m1 * x1 = 1] \text{ (mod } m2)$
by *auto*
from a **have** $b: \text{coprime } m2 \ m1$
by (*simp add: ac-simps*)
from *cong-solve-coprime-nat* $[OF \ b]$ **obtain** $x2$ **where** $2: [m2 * x2 = 1] \text{ (mod } m1)$
by *auto*
have $[m1 * x1 = 0] \text{ (mod } m1)$
by (*simp add: cong-mult-self-left*)
moreover **have** $[m2 * x2 = 0] \text{ (mod } m2)$
by (*simp add: cong-mult-self-left*)
ultimately show *?thesis*
using $1 \ 2$ **by** *blast*
qed
then obtain $b1 \ b2$

```

    where  $[b1 = 1] \text{ (mod } m1)$  and  $[b1 = 0] \text{ (mod } m2)$ 
      and  $[b2 = 0] \text{ (mod } m1)$  and  $[b2 = 1] \text{ (mod } m2)$ 
    by blast
  let  $?x = u1 * b1 + u2 * b2$ 
  have  $[?x = u1 * 1 + u2 * 0] \text{ (mod } m1)$ 
    using  $\langle [b1 = 1] \text{ (mod } m1) \rangle \langle [b2 = 0] \text{ (mod } m1) \rangle$  cong-add cong-scalar-left by
blast
  then have  $[?x = u1] \text{ (mod } m1)$  by simp
  have  $[?x = u1 * 0 + u2 * 1] \text{ (mod } m2)$ 
    using  $\langle [b1 = 0] \text{ (mod } m2) \rangle \langle [b2 = 1] \text{ (mod } m2) \rangle$  cong-add cong-scalar-left by
blast
  then have  $[?x = u2] \text{ (mod } m2)$ 
    by simp
  with  $\langle [?x = u1] \text{ (mod } m1) \rangle$  show ?thesis
    by blast
qed

lemma binary-chinese-remainder-int:
  fixes  $m1\ m2 :: int$ 
  assumes  $a$ : coprime  $m1\ m2$ 
  shows  $\exists x. [x = u1] \text{ (mod } m1) \wedge [x = u2] \text{ (mod } m2)$ 
proof -
  have  $\exists b1\ b2. [b1 = 1] \text{ (mod } m1) \wedge [b1 = 0] \text{ (mod } m2) \wedge [b2 = 0] \text{ (mod } m1)$ 
 $\wedge [b2 = 1] \text{ (mod } m2)$ 
  proof -
    from cong-solve-coprime-int  $[OF\ a]$  obtain  $x1$  where  $1$ :  $[m1 * x1 = 1] \text{ (mod } m2)$ 
    by auto
    from  $a$  have  $b$ : coprime  $m2\ m1$ 
    by (simp add: ac-simps)
    from cong-solve-coprime-int  $[OF\ b]$  obtain  $x2$  where  $2$ :  $[m2 * x2 = 1] \text{ (mod } m1)$ 
    by auto
    have  $[m1 * x1 = 0] \text{ (mod } m1)$ 
    by (simp add: cong-mult-self-left)
    moreover have  $[m2 * x2 = 0] \text{ (mod } m2)$ 
    by (simp add: cong-mult-self-left)
    ultimately show ?thesis
    using  $1\ 2$  by blast
  qed
  then obtain  $b1\ b2$ 
  where  $[b1 = 1] \text{ (mod } m1)$  and  $[b1 = 0] \text{ (mod } m2)$ 
    and  $[b2 = 0] \text{ (mod } m1)$  and  $[b2 = 1] \text{ (mod } m2)$ 
  by blast
  let  $?x = u1 * b1 + u2 * b2$ 
  have  $[?x = u1 * 1 + u2 * 0] \text{ (mod } m1)$ 
    using  $\langle [b1 = 1] \text{ (mod } m1) \rangle \langle [b2 = 0] \text{ (mod } m1) \rangle$  cong-add cong-scalar-left by
blast
  then have  $[?x = u1] \text{ (mod } m1)$  by simp

```

```

    have [ $?x = u1 * 0 + u2 * 1 \pmod{m2}$ ] (mod m2)
    using  $\langle [b1 = 0] \pmod{m2} \rangle \langle [b2 = 1] \pmod{m2} \rangle$  cong-add cong-scalar-left by
blast
    then have [ $?x = u2 \pmod{m2}$ ] (mod m2) by simp
    with  $\langle [?x = u1] \pmod{m1} \rangle$  show ?thesis
    by blast
qed

```

```

lemma cong-modulus-mult-nat:  $[x = y] \pmod{m * n} \implies [x = y] \pmod{m}$ 
  for  $x y :: nat$ 
  by (metis cong-def mod-mult-cong-right)

```

```

lemma cong-less-modulus-unique-nat:  $[x = y] \pmod{m} \implies x < m \implies y < m \implies x = y$ 
  for  $x y :: nat$ 
  by (simp add: cong-def)

```

```

lemma binary-chinese-remainder-unique-nat:
  fixes  $m1 m2 :: nat$ 
  assumes  $a$ : coprime  $m1 m2$ 
  and  $nz$ :  $m1 \neq 0 \wedge m2 \neq 0$ 
  shows  $\exists! x. x < m1 * m2 \wedge [x = u1] \pmod{m1} \wedge [x = u2] \pmod{m2}$ 
proof -
  obtain  $y$  where  $y1$ :  $[y = u1] \pmod{m1}$  and  $y2$ :  $[y = u2] \pmod{m2}$ 
  using binary-chinese-remainder-nat [OF  $a$ ] by blast
  let  $?x = y \bmod (m1 * m2)$ 
  from  $nz$  have less:  $?x < m1 * m2$ 
  by auto
  have 1:  $[?x = u1] \pmod{m1}$ 
  using  $y1$  mod-mult-cong-right by blast
  have 2:  $[?x = u2] \pmod{m2}$ 
  using  $y2$  mod-mult-cong-left by blast
  have  $z = ?x$  if  $z < m1 * m2$   $[z = u1] \pmod{m1}$   $[z = u2] \pmod{m2}$  for  $z$ 
  proof -
    have  $[?x = z] \pmod{m1}$ 
    by (metis 1 cong-def that(2))
    moreover have  $[?x = z] \pmod{m2}$ 
    by (metis 2 cong-def that(3))
    ultimately have  $[?x = z] \pmod{m1 * m2}$ 
    using  $a$  by (auto intro: coprime-cong-mult-nat simp add: mod-mult-cong-left
mod-mult-cong-right)
    with  $\langle z < m1 * m2 \rangle \langle ?x < m1 * m2 \rangle$  show  $z = ?x$ 
    by (auto simp add: cong-def)
  qed
  with less 1 2 show ?thesis
  by blast
qed

```

```

lemma chinese-remainder-nat:

```

```

fixes  $A :: 'a \text{ set}$ 
  and  $m :: 'a \Rightarrow \text{nat}$ 
  and  $u :: 'a \Rightarrow \text{nat}$ 
assumes  $\text{fin: finite } A$ 
  and  $\text{cop: } \forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)$ 
shows  $\exists x. \forall i \in A. [x = u \ i] \ (\text{mod } m \ i)$ 
proof -
  have  $\exists b. (\forall i \in A. [b \ i = 1] \ (\text{mod } m \ i) \wedge [b \ i = 0] \ (\text{mod } (\prod j \in A - \{i\}. m \ j)))$ 
  proof (rule finite-set-choice, rule fin, rule ballI)
    fix  $i$ 
    assume  $i \in A$ 
    with  $\text{cop}$  have  $\text{coprime } (\prod j \in A - \{i\}. m \ j) \ (m \ i)$ 
    by (intro prod-coprime-left) auto
    then have  $\exists x. [(\prod j \in A - \{i\}. m \ j) * x = \text{Suc } 0] \ (\text{mod } m \ i)$ 
    by (elim cong-solve-coprime-nat)
    then obtain  $x$  where  $[(\prod j \in A - \{i\}. m \ j) * x = 1] \ (\text{mod } m \ i)$ 
    by auto
    moreover have  $[(\prod j \in A - \{i\}. m \ j) * x = 0] \ (\text{mod } (\prod j \in A - \{i\}. m \ j))$ 
    by (simp add: cong-0-iff)
    ultimately show  $\exists a. [a = 1] \ (\text{mod } m \ i) \wedge [a = 0] \ (\text{mod } \text{prod } m \ (A - \{i\}))$ 
    by blast
  qed
  then obtain  $b$  where  $b: \bigwedge i. i \in A \Longrightarrow [b \ i = 1] \ (\text{mod } m \ i) \wedge [b \ i = 0] \ (\text{mod } (\prod j \in A - \{i\}. m \ j))$ 
  by blast
  let  $?x = \sum i \in A. (u \ i) * (b \ i)$ 
  show ?thesis
  proof (rule exI, clarify)
    fix  $i$ 
    assume  $a: i \in A$ 
    show  $[?x = u \ i] \ (\text{mod } m \ i)$ 
    proof -
      from  $\text{fin } a$  have  $?x = (\sum j \in \{i\}. u \ j * b \ j) + (\sum j \in A - \{i\}. u \ j * b \ j)$ 
      by (subst sum.union-disjoint [symmetric]) (auto intro: sum.cong)
      then have  $[?x = u \ i * b \ i + (\sum j \in A - \{i\}. u \ j * b \ j)] \ (\text{mod } m \ i)$ 
      by auto
      also have  $[u \ i * b \ i + (\sum j \in A - \{i\}. u \ j * b \ j) =$ 
         $u \ i * 1 + (\sum j \in A - \{i\}. u \ j * 0)] \ (\text{mod } m \ i)$ 
      proof (intro cong-add cong-scalar-left cong-sum)
        show  $[b \ i = 1] \ (\text{mod } m \ i)$ 
        using  $a \ b$  by blast
        show  $[b \ j = 0] \ (\text{mod } m \ i)$  if  $j \in A - \{i\}$  for  $j$ 
        proof -
          have  $j \in A \ j \neq i$ 
          using that by auto
          then show ?thesis
          using  $a \ b$   $[OF \langle x \in A \rangle]$  cong-dvd-modulus-nat fin by blast
        qed
      qed
    qed

```

```

    finally show ?thesis
      by simp
  qed
qed
qed

lemma coprime-cong-prod-nat:  $[x = y] \text{ (mod } (\prod_{i \in A} m \ i))$ 
  if  $\bigwedge i \ j. [i \in A; j \in A; i \neq j] \implies \text{coprime } (m \ i) (m \ j)$ 
  and  $\bigwedge i. i \in A \implies [x = y] \text{ (mod } m \ i)$  for  $x \ y :: \text{nat}$ 
  using that
proof (induct A rule: infinite-finite-induct)
  case (insert x A)
  then show ?case
    by simp (metis coprime-cong-mult-nat prod-coprime-right)
qed auto

lemma chinese-remainder-unique-nat:
  fixes A :: 'a set
  and m :: 'a  $\Rightarrow$  nat
  and u :: 'a  $\Rightarrow$  nat
  assumes fin: finite A
  and nz:  $\forall i \in A. m \ i \neq 0$ 
  and cop:  $\forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) (m \ j)$ 
  shows  $\exists! x. x < (\prod_{i \in A} m \ i) \wedge (\forall i \in A. [x = u \ i] \text{ (mod } m \ i))$ 
proof -
  from chinese-remainder-nat [OF fin cop]
  obtain y where one:  $(\forall i \in A. [y = u \ i] \text{ (mod } m \ i))$ 
  by blast
  let ?x = y mod  $(\prod_{i \in A} m \ i)$ 
  from fin nz have prodnz:  $(\prod_{i \in A} m \ i) \neq 0$ 
  by auto
  then have less:  $?x < (\prod_{i \in A} m \ i)$ 
  by auto
  have cong:  $\forall i \in A. [?x = u \ i] \text{ (mod } m \ i)$ 
  using fin one
  by (auto simp add: cong-def dvd-prod-eqI mod-mod-cancel)
  have unique:  $\forall z. z < (\prod_{i \in A} m \ i) \wedge (\forall i \in A. [z = u \ i] \text{ (mod } m \ i)) \longrightarrow z = ?x$ 
proof clarify
  fix z
  assume zless:  $z < (\prod_{i \in A} m \ i)$ 
  assume zcong:  $(\forall i \in A. [z = u \ i] \text{ (mod } m \ i))$ 
  have  $\forall i \in A. [?x = z] \text{ (mod } m \ i)$ 
  using cong zcong by (auto simp add: cong-def)
  with fin cop have  $[?x = z] \text{ (mod } (\prod_{i \in A} m \ i))$ 
  by (intro coprime-cong-prod-nat) auto
  with zless less show  $z = ?x$ 
  by (auto simp add: cong-def)
qed
from less cong unique show ?thesis

```

```

    by blast
qed

end

```

3 Fundamental facts about Euler's totient function

theory *Totient*

imports

Complex-Main

HOL-Computational-Algebra.Primes

Cong

begin

definition *totatives* :: *nat* $\Rightarrow$ *nat set* **where**

totatives *n* = $\{k \in \{0 <..n\}. \text{coprime } k \ n\}$

lemma *in-totatives-iff*: $k \in \text{totatives } n \longleftrightarrow k > 0 \wedge k \leq n \wedge \text{coprime } k \ n$

by (*simp add: totatives-def*)

lemma *totatives-code* [*code*]: *totatives* *n* = *Set.filter* ($\lambda k. \text{coprime } k \ n$) $\{0 <..n\}$

by (*simp add: totatives-def Set.filter-def*)

lemma *finite-totatives* [*simp*]: *finite* (*totatives* *n*)

by (*simp add: totatives-def*)

lemma *totatives-subset*: *totatives* *n* $\subseteq \{0 <..n\}$

by (*auto simp: totatives-def*)

lemma *zero-not-in-totatives* [*simp*]: $0 \notin \text{totatives } n$

by (*auto simp: totatives-def*)

lemma *totatives-le*: $x \in \text{totatives } n \implies x \leq n$

by (*auto simp: totatives-def*)

lemma *totatives-less*:

assumes $x \in \text{totatives } n \ n > 1$

shows $x < n$

proof –

from *assms* **have** $x \neq n$ **by** (*auto simp: totatives-def*)

with *totatives-le*[*OF assms(1)*] **show** *?thesis* **by** *simp*

qed

lemma *totatives-0* [*simp*]: *totatives* 0 = $\{\}$

by (*auto simp: totatives-def*)

lemma *totatives-1* [*simp*]: *totatives* 1 = $\{\text{Suc } 0\}$

```

by (auto simp: totatives-def)

lemma totatives-Suc-0 [simp]: totatives (Suc 0) = {Suc 0}
  by (auto simp: totatives-def)

lemma one-in-totatives [simp]:  $n > 0 \implies \text{Suc } 0 \in \text{totatives } n$ 
  by (auto simp: totatives-def)

lemma totatives-eq-empty-iff [simp]:  $\text{totatives } n = \{\} \longleftrightarrow n = 0$ 
  using one-in-totatives[of n] by (auto simp del: one-in-totatives)

lemma minus-one-in-totatives:
  assumes  $n \geq 2$ 
  shows  $n - 1 \in \text{totatives } n$ 
  using assms coprime-diff-one-left-nat [of n] by (simp add: in-totatives-iff)

lemma power-in-totatives:
  assumes  $m > 1$  coprime m g
  shows  $g \wedge^i \bmod m \in \text{totatives } m$ 
proof -
  have  $\neg m \text{ dvd } g \wedge^i$ 
  proof
    assume  $m \text{ dvd } g \wedge^i$ 
    hence  $\neg \text{coprime } m (g \wedge^i)$ 
      using  $\langle m > 1 \rangle$  by (subst coprime-absorb-left) auto
    with  $\langle \text{coprime } m g \rangle$  show False by simp
  qed
  with assms show ?thesis
    by (auto simp: totatives-def coprime-commute intro!: Nat.gr0I)
qed

lemma totatives-prime-power-Suc:
  assumes prime p
  shows  $\text{totatives } (p \wedge^{\text{Suc } n}) = \{0 <.. p \wedge^{\text{Suc } n}\} - (\lambda m. p * m) \cdot \{0 <.. p \wedge^n\}$ 
proof safe
  fix m assume  $m: p * m \in \text{totatives } (p \wedge^{\text{Suc } n})$  and  $m: m \in \{0 <.. p \wedge^n\}$ 
  thus False using assms by (auto simp: totatives-def gcd-mult-left)
next
  fix k assume  $k: k \in \{0 <.. p \wedge^{\text{Suc } n}\} \wedge k \notin (\lambda m. p * m) \cdot \{0 <.. p \wedge^n\}$ 
  from k have  $\neg(p \text{ dvd } k)$  by (auto elim!: dvdE)
  hence coprime k  $(p \wedge^{\text{Suc } n})$ 
    using prime-imp-coprime [OF assms, of k]
    by (cases  $n > 0$ ) (auto simp add: ac-simps)
  with k show  $k \in \text{totatives } (p \wedge^{\text{Suc } n})$  by (simp add: totatives-def)
qed (auto simp: totatives-def)

lemma totatives-prime: prime p  $\implies \text{totatives } p = \{0 <.. < p\}$ 
  using totatives-prime-power-Suc [of p 0] by auto

```

```

lemma bij-betw-totatives:
  assumes  $m1 > 1$   $m2 > 1$  coprime  $m1$   $m2$ 
  shows bij-betw  $(\lambda x. (x \bmod m1, x \bmod m2))$   $(\text{totatives } (m1 * m2))$ 
     $(\text{totatives } m1 \times \text{totatives } m2)$ 
  unfolding bij-betw-def
proof
  show inj-on  $(\lambda x. (x \bmod m1, x \bmod m2))$   $(\text{totatives } (m1 * m2))$ 
  proof (intro inj-onI, clarify)
    fix  $x$   $y$  assume  $xy: x \in \text{totatives } (m1 * m2)$   $y \in \text{totatives } (m1 * m2)$ 
       $x \bmod m1 = y \bmod m1$   $x \bmod m2 = y \bmod m2$ 
    have  $ex: \exists! z. z < m1 * m2 \wedge [z = x] \bmod m1 \wedge [z = x] \bmod m2$ 
      by (rule binary-chinese-remainder-unique-nat) (insert assms, simp-all)
    have  $x < m1 * m2 \wedge [x = x] \bmod m1 \wedge [x = x] \bmod m2$ 
       $y < m1 * m2 \wedge [y = x] \bmod m1 \wedge [y = x] \bmod m2$ 
    using  $xy$  assms by (simp-all add: totatives-less one-less-mult cong-def)
    from this [THEN the1-equality [OF ex]] show  $x = y$  by simp
  qed
next
  show  $(\lambda x. (x \bmod m1, x \bmod m2))$  ‘  $\text{totatives } (m1 * m2) = \text{totatives } m1 \times \text{totatives } m2$ 
  proof safe
    fix  $x$  assume  $x \in \text{totatives } (m1 * m2)$ 
    with assms show  $x \bmod m1 \in \text{totatives } m1$   $x \bmod m2 \in \text{totatives } m2$ 
      using coprime-common-divisor [of x m1 m1] coprime-common-divisor [of x m2 m2]
    by (auto simp add: in-totatives-iff mod-greater-zero-iff-not-dvd)
  next
    fix  $a$   $b$  assume  $ab: a \in \text{totatives } m1$   $b \in \text{totatives } m2$ 
    with assms have  $ab': a < m1$   $b < m2$  by (auto simp: totatives-less)
    with binary-chinese-remainder-unique-nat [OF assms(3), of a b] obtain  $x$ 
      where  $x: x < m1 * m2$   $x \bmod m1 = a$   $x \bmod m2 = b$  by (auto simp: cong-def)
    from  $x$   $ab$  assms(3) have  $x \in \text{totatives } (m1 * m2)$ 
    by (auto intro: ccontr simp add: in-totatives-iff)
    with  $x$  show  $(a, b) \in (\lambda x. (x \bmod m1, x \bmod m2))$  ‘  $\text{totatives } (m1 * m2)$  by
  blast
  qed
qed

```

```

lemma bij-betw-totatives-gcd-eq:
  fixes  $n$   $d :: \text{nat}$ 
  assumes  $d \text{ dvd } n$   $n > 0$ 
  shows bij-betw  $(\lambda k. k * d)$   $(\text{totatives } (n \text{ div } d))$   $\{k \in \{0 <..n\}. \text{gcd } k \ n = d\}$ 
  unfolding bij-betw-def
proof
  show inj-on  $(\lambda k. k * d)$   $(\text{totatives } (n \text{ div } d))$ 
    by (auto simp: inj-on-def)
  next
    show  $(\lambda k. k * d)$  ‘  $\text{totatives } (n \text{ div } d) = \{k \in \{0 <..n\}. \text{gcd } k \ n = d\}$ 
    proof (intro equalityI subsetI, goal-cases)

```

```

    case (1 k)
    then show ?case using assms
      by (auto elim: dvdE simp add: in-totatives-iff ac-simps gcd-mult-right)
  next
    case (2 k)
    hence d dvd k by auto
    then obtain l where k: k = l * d by (elim dvdE) auto
    from 2 assms show ?case
      using gcd-mult-right [of - d l]
      by (auto intro: gcd-eq-1-imp-coprime elim!: dvdE simp add: k image-iff
in-totatives-iff ac-simps)
  qed
qed

```

definition *totient* :: *nat* $\Rightarrow$ *nat* **where**
totient *n* = *card* (*totatives* *n*)

primrec *totient-naive* :: *nat* $\Rightarrow$ *nat* $\Rightarrow$ *nat* $\Rightarrow$ *nat* **where**
totient-naive 0 *acc* *n* = *acc*
| *totient-naive* (*Suc* *k*) *acc* *n* =
(if *coprime* (*Suc* *k*) *n* then *totient-naive* *k* (*acc* + 1) *n* else *totient-naive* *k* *acc* *n*)

lemma *totient-naive*:

totient-naive *k* *acc* *n* = *card* {*x* $\in$ {0<..*k*}. *coprime* *x* *n*} + *acc*

proof (*induction* *k* *arbitrary*: *acc*)

case (*Suc* *k* *acc*)

have *totient-naive* (*Suc* *k*) *acc* *n* =

(if *coprime* (*Suc* *k*) *n* then 1 else 0) + *card* {*x* $\in$ {0<..*k*}. *coprime* *x* *n*}

+ *acc*

using *Suc* by *simp*

also have (if *coprime* (*Suc* *k*) *n* then 1 else 0) =

card (if *coprime* (*Suc* *k*) *n* then {*Suc* *k*} else {}) by *auto*

also have ... + *card* {*x* $\in$ {0<..*k*}. *coprime* *x* *n*} =

card ((if *coprime* (*Suc* *k*) *n* then {*Suc* *k*} else {}) $\cup$ {*x* $\in$ {0<..*k*}.
coprime *x* *n*})

by (*intro* *card-Un-disjoint* [*symmetric*]) *auto*

also have ((if *coprime* (*Suc* *k*) *n* then {*Suc* *k*} else {}) $\cup$ {*x* $\in$ {0<..*k*}. *coprime* *x* *n*}) =

{*x* $\in$ {0<..*Suc* *k*}. *coprime* *x* *n*} by (auto elim: *le-SucE*)

finally show ?case .

qed *simp-all*

lemma *totient-code-naive* [*code*]: *totient* *n* = *totient-naive* *n* 0 *n*

by (*subst* *totient-naive*) (*simp* *add*: *totient-def* *totatives-def*)

lemma *totient-le*: *totient* *n* $\leq$ *n*

proof –

have *card* (*totatives* *n*) $\leq$ *card* {0<..*n*}

```

    by (intro card-mono) (auto simp: totatives-def)
  thus ?thesis by (simp add: totient-def)
qed

```

```

lemma totient-less:
  assumes  $n > 1$ 
  shows  $\text{totient } n < n$ 
proof -
  from assms have  $\text{card } (\text{totatives } n) \leq \text{card } \{0 < .. < n\}$ 
    using totatives-less[of - n] totatives-subset[of n] by (intro card-mono) auto
  with assms show ?thesis by (simp add: totient-def)
qed

```

```

lemma totient-0 [simp]:  $\text{totient } 0 = 0$ 
  by (simp add: totient-def)

```

```

lemma totient-Suc-0 [simp]:  $\text{totient } (\text{Suc } 0) = \text{Suc } 0$ 
  by (simp add: totient-def)

```

```

lemma totient-1 [simp]:  $\text{totient } 1 = \text{Suc } 0$ 
  by simp

```

```

lemma totient-0-iff [simp]:  $\text{totient } n = 0 \longleftrightarrow n = 0$ 
  by (auto simp: totient-def)

```

```

lemma totient-gt-0-iff [simp]:  $\text{totient } n > 0 \longleftrightarrow n > 0$ 
  by (auto intro: Nat.gr0I)

```

```

lemma totient-gt-1:
  assumes  $n > 2$ 
  shows  $\text{totient } n > 1$ 
proof -
  have  $\{1, n - 1\} \subseteq \text{totatives } n$ 
    using assms coprime-diff-one-left-nat[of n] by (auto simp: totatives-def)
  hence  $\text{card } \{1, n - 1\} \leq \text{card } (\text{totatives } n)$ 
    by (intro card-mono) auto
  thus ?thesis using assms
    by (simp add: totient-def)
qed

```

```

lemma card-gcd-eq-totient:
   $n > 0 \implies d \text{ dvd } n \implies \text{card } \{k \in \{0 < .. n\}. \text{gcd } k \ n = d\} = \text{totient } (n \text{ div } d)$ 
  unfolding totient-def by (rule sym, rule bij-betw-same-card[OF bij-betw-totatives-gcd-eq])

```

```

lemma totient-divisor-sum:  $(\sum d \mid d \text{ dvd } n. \text{totient } d) = n$ 
proof (cases  $n = 0$ )
  case False
  hence  $n > 0$  by simp
  define A where  $A = (\lambda d. \{k \in \{0 < .. n\}. \text{gcd } k \ n = d\})$ 

```

```

have *: card (A d) = totient (n div d) if d: d dvd n for d
  using ⟨n > 0⟩ and d unfolding A-def by (rule card-gcd-eq-totient)
have n = card {1..n} by simp
also have {1..n} = (⋃ d ∈ {d. d dvd n}. A d) by safe (auto simp: A-def)
also have card ... = (∑ d | d dvd n. card (A d))
  using ⟨n > 0⟩ by (intro card-UN-disjoint) (auto simp: A-def)
also have ... = (∑ d | d dvd n. totient (n div d)) by (intro sum.cong refl *)
auto
also have ... = (∑ d | d dvd n. totient d) using ⟨n > 0⟩
  by (intro sum.reindex-bij-witness[of - (div) n (div) n]) (auto elim: dvdE)
finally show ?thesis ..
qed auto

```

```

lemma totient-mult-coprime:
  assumes coprime m n
  shows totient (m * n) = totient m * totient n
proof (cases m > 1 ∧ n > 1)
  case True
  hence mn: m > 1 n > 1 by simp-all
  have totient (m * n) = card (totatives (m * n)) by (simp add: totient-def)
  also have ... = card (totatives m × totatives n)
    using bij-betw-totatives [OF mn ⟨coprime m n⟩] by (rule bij-betw-same-card)
  also have ... = totient m * totient n by (simp add: totient-def)
  finally show ?thesis .
next
  case False
  with asms show ?thesis by (cases m; cases n) auto
qed

```

```

lemma totient-prime-power-Suc:
  assumes prime p
  shows totient (p ^ Suc n) = p ^ n * (p - 1)
proof -
  from asms have totient (p ^ Suc n) = card ({0 <.. p ^ Suc n} - (*) p ^ {0 <.. p ^ n})
  unfolding totient-def by (subst totatives-prime-power-Suc) simp-all
  also from asms have ... = p ^ Suc n - card ((*) p ^ {0 <.. p ^ n})
    by (subst card-Diff-subset) (auto intro: prime-gt-0-nat)
  also from asms have card ((*) p ^ {0 <.. p ^ n}) = p ^ n
    by (subst card-image) (auto simp: inj-on-def)
  also have p ^ Suc n - p ^ n = p ^ n * (p - 1) by (simp add: algebra-simps)
  finally show ?thesis .
qed

```

```

lemma totient-prime-power:
  assumes prime p n > 0
  shows totient (p ^ n) = p ^ (n - 1) * (p - 1)
  using totient-prime-power-Suc[of p n - 1] asms by simp

```

```

lemma totient-imp-prime:
  assumes totient p = p - 1 p > 0
  shows prime p
proof (cases p = 1)
  case True
  with assms show ?thesis by auto
next
  case False
  with assms have p: p > 1 by simp
  have x ∈ {0 <..

```

```

lemma totient-prime:
  assumes prime p
  shows totient p = p - 1
  using totient-prime-power-Suc[of p 0] assms by simp

```

```

lemma totient-2 [simp]: totient 2 = 1
and totient-3 [simp]: totient 3 = 2
and totient-5 [simp]: totient 5 = 4
and totient-7 [simp]: totient 7 = 6
by (subst totient-prime; simp)+

```

```

lemma totient-4 [simp]: totient 4 = 2
and totient-8 [simp]: totient 8 = 4
and totient-9 [simp]: totient 9 = 6
using totient-prime-power[of 2 2] totient-prime-power[of 2 3] totient-prime-power[of
3 2]
by simp-all

```

```

lemma totient-6 [simp]: totient 6 = 2
using totient-mult-coprime [of 2 3] coprime-add-one-right [of 2]
by simp

```

```

lemma totient-even:
  assumes  $n > 2$ 
  shows  $\text{even } (\text{totient } n)$ 
proof (cases  $\exists p. \text{prime } p \wedge p \neq 2 \wedge p \text{ dvd } n$ )
  case True
  then obtain  $p$  where  $p: \text{prime } p \wedge p \neq 2 \wedge p \text{ dvd } n$  by auto
  from  $\langle p \neq 2 \rangle$  have  $p = 0 \vee p = 1 \vee p > 2$  by auto
  with  $p(1)$  have  $\text{odd } p$  using  $\text{prime-odd-nat}[of\ p]$  by auto
  define  $k$  where  $k = \text{multiplicity } p\ n$ 
  from  $p$  assms have  $k\text{-pos}: k > 0$  unfolding  $k\text{-def}$  by ( $\text{subst multiplicity-gt-zero-iff}$ )
  auto
  have  $p \wedge k \text{ dvd } n$  unfolding  $k\text{-def}$  by ( $\text{simp add: multiplicity-dvd}$ )
  then obtain  $m$  where  $m: n = p \wedge k * m$  by ( $\text{elim dvdE}$ )
  with assms have  $m\text{-pos}: m > 0$  by ( $\text{auto intro!: Nat.gr0I}$ )
  from  $k\text{-def } m\text{-pos } p$  have  $\neg p \text{ dvd } m$ 
  by ( $\text{subst (asm } m) (\text{auto intro!: Nat.gr0I simp: prime-elem-multiplicity-mult-distrib$ 
     $\text{prime-elem-multiplicity-eq-zero-iff})$ )
  with  $\langle \text{prime } p \rangle$  have  $\text{coprime } p\ m$ 
  by ( $\text{rule prime-imp-coprime}$ )
  with  $\langle k > 0 \rangle$  have  $\text{coprime } (p \wedge k)\ m$ 
  by  $\text{simp}$ 
  then show  $?thesis$  using  $p\ k\text{-pos } \langle \text{odd } p \rangle$ 
  by ( $\text{auto simp add: m totient-mult-coprime totient-prime-power}$ )
next
  case False
  from assms have  $n = (\prod p \in \text{prime-factors } n. p \wedge \text{multiplicity } p\ n)$ 
  by ( $\text{intro Primes.prime-factorization-nat}$ ) auto
  also from False have  $\dots = (\prod p \in \text{prime-factors } n. \text{if } p = 2 \text{ then } 2 \wedge \text{multiplicity } 2\ n \text{ else } 1)$ 
  by ( $\text{intro prod.cong refl}$ ) auto
  also have  $\dots = 2 \wedge \text{multiplicity } 2\ n$ 
  by ( $\text{subst prod.delta}[OF\ \text{finite-set-mset}] (\text{auto simp: prime-factors-multiplicity})$ )
  finally have  $n: n = 2 \wedge \text{multiplicity } 2\ n$  .
  have  $\text{multiplicity } 2\ n = 0 \vee \text{multiplicity } 2\ n = 1 \vee \text{multiplicity } 2\ n > 1$  by  $\text{force}$ 
  with  $n$  assms have  $\text{multiplicity } 2\ n > 1$  by auto
  thus  $?thesis$  by ( $\text{subst } n (\text{simp add: totient-prime-power})$ )
qed

lemma totient-prod-coprime:
  assumes  $\text{pairwise coprime } (f \text{ ` } A)$   $\text{inj-on } f\ A$ 
  shows  $\text{totient } (\text{prod } f\ A) = (\prod a \in A. \text{totient } (f\ a))$ 
  using assms
proof (induction  $A$  rule:  $\text{infinite-finite-induct}$ )
  case (insert  $x\ A$ )
  have  $*$ :  $\text{coprime } (\text{prod } f\ A)\ (f\ x)$ 
  proof (rule  $\text{prod-coprime-left}$ )
    fix  $y$ 
    assume  $y \in A$ 

```

```

with ⟨x ∉ A⟩ have y ≠ x
  by auto
with ⟨x ∉ A⟩ ⟨y ∈ A⟩ ⟨inj-on f (insert x A)⟩ have f y ≠ f x
  using inj-onD [of f insert x A y x]
  by auto
with ⟨y ∈ A⟩ show coprime (f y) (f x)
  using pairwiseD [OF ⟨pairwise coprime (f ‘ insert x A)⟩]
  by auto
qed
from insert.hyps have prod f (insert x A) = prod f A * f x by simp
also have totient ... = totient (prod f A) * totient (f x)
  using insert.hyps insert.prem by (intro totient-mult-coprime *)
also have totient (prod f A) = (∏ a∈A. totient (f a))
  using insert.prem by (intro insert.IH) (auto dest: pairwise-subset)
also from insert.hyps have ... * totient (f x) = (∏ a∈insert x A. totient (f a))
by simp
finally show ?case .
qed simp-all

```

```

lemma prime-power-eq-imp-eq:
  fixes p q :: 'a :: factorial-semiring
  assumes prime p prime q m > 0
  assumes p ^ m = q ^ n
  shows p = q
proof (rule ccontr)
  assume pq: p ≠ q
  from assms have m = multiplicity p (p ^ m)
    by (subst multiplicity-prime-power) auto
  also note ⟨p ^ m = q ^ n⟩
  also from assms pq have multiplicity p (q ^ n) = 0
    by (subst multiplicity-distinct-prime-power) auto
  finally show False using ⟨m > 0⟩ by simp
qed

```

```

lemma totient-formula1:
  assumes n > 0
  shows totient n = (∏ p∈prime-factors n. p ^ (multiplicity p n - 1) * (p - 1))
proof -
  from assms have n = (∏ p∈prime-factors n. p ^ multiplicity p n)
    by (rule prime-factorization-nat)
  also have totient ... = (∏ x∈prime-factors n. totient (x ^ multiplicity x n))
  proof (rule totient-prod-coprime)
    show pairwise coprime ((λp. p ^ multiplicity p n) ‘ prime-factors n)
  proof (rule pairwiseI, clarify)
    fix p q assume *: p ∈# prime-factorization n q ∈# prime-factorization n
      p ^ multiplicity p n ≠ q ^ multiplicity q n
    then have multiplicity p n > 0 multiplicity q n > 0
      by (simp-all add: prime-factors-multiplicity)

```

```

    with * primes-coprime [of p q] show coprime (p ^ multiplicity p n) (q ^
multiplicity q n)
    by auto
  qed
next
show inj-on ( $\lambda p. p \wedge \text{multiplicity } p \ n$ ) (prime-factors n)
proof
  fix p q assume pq: p ∈# prime-factorization n q ∈# prime-factorization n
    p ^ multiplicity p n = q ^ multiplicity q n
  from assms and pq have prime p prime q multiplicity p n > 0
    by (simp-all add: prime-factors-multiplicity)
  from prime-power-eq-imp-eq[OF this pq(3)] show p = q .
qed
qed
also have ... = ( $\prod_{p \in \text{prime-factors } n. p \wedge (\text{multiplicity } p \ n - 1) * (p - 1)}$ )
  by (intro prod.cong refl totient-prime-power) (auto simp: prime-factors-multiplicity)
  finally show ?thesis .
qed

```

```

lemma totient-dvd:
  assumes m dvd n
  shows totient m dvd totient n
proof (cases m = 0 ∨ n = 0)
  case False
  let ?M =  $\lambda p m :: \text{nat. multiplicity } p \ m - 1$ 
  have ( $\prod_{p \in \text{prime-factors } m. p \wedge ?M \ p \ m * (p - 1)}$ ) dvd
    ( $\prod_{p \in \text{prime-factors } n. p \wedge ?M \ p \ n * (p - 1)}$ ) using assms False
  by (intro prod-dvd-prod-subset2 mult-dvd-mono dvd-refl le-imp-power-dvd diff-le-mono
    dvd-prime-factors dvd-imp-multiplicity-le) auto
  with False show ?thesis by (simp add: totient-formula1)
qed (insert assms, auto)

```

```

lemma totient-dvd-mono:
  assumes m dvd n n > 0
  shows totient m ≤ totient n
  by (cases m = 0) (insert assms, auto intro: dvd-imp-le totient-dvd)

```

```

lemma prime-factors-power: n > 0 ⇒ prime-factors (x ^ n) = prime-factors x
  by (cases x = 0; cases n = 0)
    (auto simp: prime-factors-multiplicity prime-elem-multiplicity-power-distrib
    zero-power)

```

```

lemma totient-formula2:
  real (totient n) = real n * ( $\prod_{p \in \text{prime-factors } n. 1 - 1 / \text{real } p}$ )
proof (cases n = 0)
  case False
  have real (totient n) = ( $\prod_{p \in \text{prime-factors } n. \text{real } (p \wedge (\text{multiplicity } p \ n - 1) * (p - 1))}$ )

```

using *False* **by** (*subst totient-formula1*) *simp-all*
also have $\dots = (\prod_{p \in \text{prime-factors } n} \text{real } (p \wedge \text{multiplicity } p \ n) * (1 - 1 / \text{real } p))$
by (*intro prod.cong refl*) (*auto simp add: field-simps prime-factors-multiplicity prime-ge-Suc-0-nat of-nat-diff power-Suc [symmetric] simp del: power-Suc*)
also have $\dots = \text{real } (\prod_{p \in \text{prime-factors } n} p \wedge \text{multiplicity } p \ n) * (\prod_{p \in \text{prime-factors } n} 1 - 1 / \text{real } p)$ **by** (*subst prod.distrib*) *auto*
also have $(\prod_{p \in \text{prime-factors } n} p \wedge \text{multiplicity } p \ n) = n$
using *False* **by** (*intro Primes.prime-factorization-nat [symmetric]*) *auto*
finally show *?thesis* .
qed *auto*

lemma *totient-gcd*: $\text{totient } (a * b) * \text{totient } (\text{gcd } a \ b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b$

proof (*cases a = 0 $\vee$ b = 0*)

case *False*

let *?P* = *prime-factors :: nat $\Rightarrow$ nat set*

have $\text{real } (\text{totient } a * \text{totient } b * \text{gcd } a \ b) = \text{real } (a * b * \text{gcd } a \ b) * ((\prod_{p \in ?P \ a} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ b} 1 - 1 / \text{real } p))$

by (*simp add: totient-formula2*)

also have $?P \ a = (?P \ a - ?P \ b) \cup (?P \ a \cap ?P \ b)$ **by** *auto*

also have $(\prod_{p \in \dots} 1 - 1 / \text{real } p) = (\prod_{p \in ?P \ a - ?P \ b} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ a \cap ?P \ b} 1 - 1 / \text{real } p)$

by (*rule prod.union-disjoint*) *blast+*

also have $\dots * (\prod_{p \in ?P \ b} 1 - 1 / \text{real } p) = (\prod_{p \in ?P \ a - ?P \ b} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ a \cap ?P \ b} 1 - 1 / \text{real } p)$

$(\prod_{p \in ?P \ b} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ a \cap ?P \ b} 1 - 1 / \text{real } p)$ (**is** $- = ?A * -$)

by (*simp only: mult-ac*)

also have $?A = (\prod_{p \in ?P \ a - ?P \ b} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ a \cap ?P \ b} 1 - 1 / \text{real } p)$

by (*rule prod.union-disjoint [symmetric]*) *blast+*

also have $?P \ a - ?P \ b \cup ?P \ b = ?P \ a \cup ?P \ b$ **by** *blast*

also have $\text{real } (a * b * \text{gcd } a \ b) * ((\prod_{p \in \dots} 1 - 1 / \text{real } p) * (\prod_{p \in ?P \ a \cap ?P \ b} 1 - 1 / \text{real } p)) = \text{real } (\text{totient } (a * b) * \text{totient } (\text{gcd } a \ b))$

using *False* **by** (*simp add: totient-formula2 prime-factors-product prime-factorization-gcd*)

finally show *?thesis* **by** (*simp only: of-nat-eq-iff*)

qed *auto*

lemma *totient-mult*: $\text{totient } (a * b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b \text{ div } \text{totient } (\text{gcd } a \ b)$

by (*subst totient-gcd [symmetric]*) *simp*

lemma *of-nat-eq-1-iff*: $\text{of-nat } x = (1 :: 'a :: \{\text{semiring-1}, \text{semiring-char-0}\}) \longleftrightarrow x = 1$

by (*fact of-nat-eq-1-iff*)

```

lemma odd-imp-coprime-nat:
  assumes odd (n::nat)
  shows coprime n 2
proof -
  from assms obtain k where n: n = Suc (2 * k) by (auto elim!: oddE)
  have coprime (Suc (2 * k)) (2 * k)
    by (fact coprime-Suc-left-nat)
  then show ?thesis using n
    by simp
qed

lemma totient-double: totient (2 * n) = (if even n then 2 * totient n else totient n)
proof (simp add: totient-mult ac-simps odd-imp-coprime-nat)

lemma totient-power-Suc: totient (n ^ Suc m) = n ^ m * totient n
proof (induction m arbitrary: n)
  case (Suc m n)
  have totient (n ^ Suc (Suc m)) = totient (n * n ^ Suc m) by simp
  also have ... = n ^ Suc m * totient n
    using Suc.IH by (subst totient-mult) simp
  finally show ?case .
qed simp-all

lemma totient-power: m > 0  $\implies$  totient (n ^ m) = n ^ (m - 1) * totient n
  using totient-power-Suc[of n m - 1] by (cases m) simp-all

lemma totient-gcd-lcm: totient (gcd a b) * totient (lcm a b) = totient a * totient b
proof (cases a = 0  $\vee$  b = 0)
  case False
  let ?P = prime-factors :: nat  $\Rightarrow$  nat set and ?f =  $\lambda p::nat. 1 - 1 / \text{real } p$ 
  have real (totient (gcd a b) * totient (lcm a b)) = real (gcd a b * lcm a b) *
    (prod ?f (?P a  $\cap$  ?P b) * prod ?f (?P a  $\cup$  ?P b))
    using False unfolding of-nat-mult
  by (simp add: totient-formula2 prime-factorization-gcd prime-factorization-lcm)
  also have gcd a b * lcm a b = a * b by simp
  also have ?P a  $\cup$  ?P b = (?P a - ?P a  $\cap$  ?P b)  $\cup$  ?P b by blast
  also have prod ?f ... = prod ?f (?P a - ?P a  $\cap$  ?P b) * prod ?f (?P b)
    by (rule prod.union-disjoint) blast+
  also have prod ?f (?P a  $\cap$  ?P b) * ... =
    prod ?f (?P a  $\cap$  ?P b  $\cup$  (?P a - ?P a  $\cap$  ?P b)) * prod ?f (?P b)
    by (subst prod.union-disjoint) auto
  also have ?P a  $\cap$  ?P b  $\cup$  (?P a - ?P a  $\cap$  ?P b) = ?P a by blast
  also have real (a * b) * (prod ?f (?P a) * prod ?f (?P b)) = real (totient a *
totient b)
    using False by (simp add: totient-formula2)
  finally show ?thesis by (simp only: of-nat-eq-iff)
qed auto

```

end

4 Residue rings

theory *Residues*

imports

Cong

HOL-Algebra.Multiplicative-Group

Totient

begin

definition *QuadRes* :: *int* $\Rightarrow$ *int* $\Rightarrow$ *bool*

where *QuadRes* *p a* = ($\exists y. ([y^2 = a] \text{ (mod } p))$)

definition *Legendre* :: *int* $\Rightarrow$ *int* $\Rightarrow$ *int*

where *Legendre* *a p* =

(if ($[a = 0] \text{ (mod } p)$) then 0

else if *QuadRes* *p a* then 1

else -1)

4.1 A locale for residue rings

definition *residue-ring* :: *int* $\Rightarrow$ *int ring*

where

residue-ring *m* =

($\text{carrier} = \{0..m - 1\}$,

monoid.mult = $\lambda x y. (x * y) \text{ mod } m$,

one = 1,

zero = 0,

add = $\lambda x y. (x + y) \text{ mod } m$)

locale *residues* =

fixes *m* :: *int* **and** *R* (**structure**)

assumes *m-gt-one*: *m* > 1

defines *R* $\equiv$ *residue-ring* *m*

begin

lemma *abelian-group*: *abelian-group* *R*

proof –

have $\exists y \in \{0..m - 1\}. (x + y) \text{ mod } m = 0$ **if** $0 \leq x < m$ **for** *x*

proof (*cases* *x* = 0)

case *True*

with *m-gt-one* **show** ?thesis **by** *simp*

next

case *False*

then have $(x + (m - x)) \text{ mod } m = 0$

by *simp*

with *m-gt-one* **that show** ?thesis

by (*metis* *False atLeastAtMost-iff diff-ge-0-iff-ge diff-left-mono int-one-le-iff-zero-less*)

```

less-le)
qed
with m-gt-one show ?thesis
by (fastforce simp add: R-def residue-ring-def mod-add-right-eq ac-simps intro!
abelian-groupI)
qed

```

```

lemma comm-monoid: comm-monoid R
unfolding R-def residue-ring-def
apply (rule comm-monoidI)
using m-gt-one apply auto
apply (metis mod-mult-right-eq mult.assoc mult.commute)
apply (metis mult.commute)
done

```

```

lemma cring: cring R
apply (intro cringI abelian-group comm-monoid)
unfolding R-def residue-ring-def
apply (auto simp add: comm-semiring-class.distrib mod-add-eq mod-mult-left-eq)
done

```

end

```

sublocale residues < cring
by (rule cring)

```

```

context residues
begin

```

These lemmas translate back and forth between internal and external concepts.

```

lemma res-carrier-eq: carrier R = {0..m - 1}
by (auto simp: R-def residue-ring-def)

```

```

lemma res-add-eq:  $x \oplus y = (x + y) \bmod m$ 
by (auto simp: R-def residue-ring-def)

```

```

lemma res-mult-eq:  $x \otimes y = (x * y) \bmod m$ 
by (auto simp: R-def residue-ring-def)

```

```

lemma res-zero-eq:  $\mathbf{0} = 0$ 
by (auto simp: R-def residue-ring-def)

```

```

lemma res-one-eq:  $\mathbf{1} = 1$ 
by (auto simp: R-def residue-ring-def units-of-def)

```

```

lemma res-units-eq: Units R = {x. 0 < x ∧ x < m ∧ coprime x m}
using m-gt-one

```

```

apply (auto simp add: Units-def R-def residue-ring-def ac-simps invertible-coprime
intro: ccontr)
apply (subst (asm) coprime-iff-invertible'-int)
apply (auto simp add: cong-def)
done

```

```

lemma res-neg-eq:  $\ominus x = (- x) \bmod m$ 
using m-gt-one unfolding R-def a-inv-def m-inv-def residue-ring-def
apply simp
apply (rule the-equality)
apply (simp add: mod-add-right-eq)
apply (simp add: add commute mod-add-right-eq)
apply (metis add.right-neutral minus-add-cancel mod-add-right-eq mod-pos-pos-trivial)
done

```

```

lemma finite [iff]: finite (carrier R)
by (simp add: res-carrier-eq)

```

```

lemma finite-Units [iff]: finite (Units R)
by (simp add: finite-ring-finite-units)

```

The function $a \mapsto a \bmod m$ maps the integers to the residue classes. The following lemmas show that this mapping respects addition and multiplication on the integers.

```

lemma mod-in-carrier [iff]:  $a \bmod m \in \text{carrier } R$ 
unfolding res-carrier-eq
using insert m-gt-one by auto

```

```

lemma add-cong:  $(x \bmod m) \oplus (y \bmod m) = (x + y) \bmod m$ 
by (auto simp: R-def residue-ring-def mod-simps)

```

```

lemma mult-cong:  $(x \bmod m) \otimes (y \bmod m) = (x * y) \bmod m$ 
by (auto simp: R-def residue-ring-def mod-simps)

```

```

lemma zero-cong:  $\mathbf{0} = 0$ 
by (auto simp: R-def residue-ring-def)

```

```

lemma one-cong:  $\mathbf{1} = 1 \bmod m$ 
using m-gt-one by (auto simp: R-def residue-ring-def)

```

```

lemma pow-cong:  $(x \bmod m) [\wedge] n = x^n \bmod m$ 
using m-gt-one
apply (induct n)
apply (auto simp add: nat-pow-def one-cong)
apply (metis mult commute mult-cong)
done

```

```

lemma neg-cong:  $\ominus (x \bmod m) = (- x) \bmod m$ 

```

```

    by (metis mod-minus-eq res-neg-eq)

lemma (in residues) prod-cong: finite A  $\implies (\bigotimes_{i \in A}. (f\ i) \bmod m) = (\prod_{i \in A}. f\ i) \bmod m$ 
  by (induct set: finite) (auto simp: one-cong mult-cong)

lemma (in residues) sum-cong: finite A  $\implies (\bigoplus_{i \in A}. (f\ i) \bmod m) = (\sum_{i \in A}. f\ i) \bmod m$ 
  by (induct set: finite) (auto simp: zero-cong add-cong)

lemma mod-in-res-units [simp]:
  assumes 1 < m and coprime a m
  shows a mod m  $\in$  Units R
proof (cases a mod m = 0)
  case True
  with assms show ?thesis
    by (auto simp add: res-units-eq gcd-red-int [symmetric])
next
  case False
  from assms have 0 < m by simp
  then have 0  $\leq$  a mod m by (rule pos-mod-sign [of m a])
  with False have 0 < a mod m by simp
  with assms show ?thesis
    by (auto simp add: res-units-eq gcd-red-int [symmetric] ac-simps)
qed

lemma res-eq-to-cong: (a mod m) = (b mod m)  $\longleftrightarrow$  [a = b] (mod m)
  by (auto simp: cong-def)

Simplifying with these will translate a ring equation in R to a congruence.

lemmas res-to-cong-simps =
  add-cong mult-cong pow-cong one-cong
  prod-cong sum-cong neg-cong res-eq-to-cong

Other useful facts about the residue ring.

lemma one-eq-neg-one: 1 =  $\ominus$  1  $\implies$  m = 2
  apply (simp add: res-one-eq res-neg-eq)
  apply (metis add.commute add-diff-cancel mod-mod-trivial one-add-one minus-add-conv-diff
    zero-neq-one zmod-zminus1-eq-if)
  done

end

```

4.2 Prime residues

```

locale residues-prime =
  fixes p :: nat and R (structure)
  assumes p-prime [intro]: prime p

```

```

defines  $R \equiv \text{residue-ring } (\text{int } p)$ 

sublocale  $\text{residues-prime} < \text{residues } p$ 
  unfolding  $R\text{-def residues-def}$ 
  using  $p\text{-prime}$  apply  $\text{auto}$ 
  apply  $(\text{metis } (\text{full-types}) \text{ of-nat-1 of-nat-less-iff prime-gt-1-nat})$ 
done

context  $\text{residues-prime}$ 
begin

lemma  $p\text{-coprime-left}$ :
   $\text{coprime } p \ a \iff \neg p \ \text{dvd } a$ 
  using  $p\text{-prime}$  by  $(\text{auto intro: prime-imp-coprime dest: coprime-common-divisor})$ 

lemma  $p\text{-coprime-right}$ :
   $\text{coprime } a \ p \iff \neg p \ \text{dvd } a$ 
  using  $p\text{-coprime-left [of a]}$  by  $(\text{simp add: ac-simps})$ 

lemma  $p\text{-coprime-left-int}$ :
   $\text{coprime } (\text{int } p) \ a \iff \neg \text{int } p \ \text{dvd } a$ 
  using  $p\text{-prime}$  by  $(\text{auto intro: prime-imp-coprime dest: coprime-common-divisor})$ 

lemma  $p\text{-coprime-right-int}$ :
   $\text{coprime } a \ (\text{int } p) \iff \neg \text{int } p \ \text{dvd } a$ 
  using  $p\text{-coprime-left-int [of a]}$  by  $(\text{simp add: ac-simps})$ 

lemma  $\text{is-field: field } R$ 
proof –
  have  $0 < x \implies x < \text{int } p \implies \text{coprime } (\text{int } p) \ x$  for  $x$ 
  by  $(\text{rule prime-imp-coprime}) (\text{auto simp add: zdvd-not-zless})$ 
  then show  $?thesis$ 
  by  $(\text{intro cring.field-intro2 cring})$ 
   $(\text{auto simp add: res-carrier-eq res-one-eq res-zero-eq res-units-eq ac-simps})$ 
qed

lemma  $\text{res-prime-units-eq: Units } R = \{1..p - 1\}$ 
  apply  $(\text{subst res-units-eq})$ 
  apply  $(\text{auto simp add: p-coprime-right-int zdvd-not-zless})$ 
done

end

sublocale  $\text{residues-prime} < \text{field}$ 
  by  $(\text{rule is-field})$ 

```

5 Test cases: Euler's theorem and Wilson's theorem

5.1 Euler's theorem

```

lemma (in residues) totatives-eq:
  totatives (nat m) = nat ' Units R
proof -
  from m-gt-one have |m| > 1
  by simp
  then have totatives (nat |m|) = nat ' abs ' Units R
  by (auto simp add: totatives-def res-units-eq image-iff le-less)
  (use m-gt-one zless-nat-eq-int-zless in force)
  moreover have |m| = m abs ' Units R = Units R
  using m-gt-one by (auto simp add: res-units-eq image-iff)
  ultimately show ?thesis
  by simp
qed

```

```

lemma (in residues) totient-eq:
  totient (nat m) = card (Units R)
proof -
  have *: inj-on nat (Units R)
  by (rule inj-onI) (auto simp add: res-units-eq)
  then show ?thesis
  by (simp add: totient-def totatives-eq card-image)
qed

```

```

lemma (in residues-prime) totient-eq: totient p = p - 1
  using totient-eq by (simp add: res-prime-units-eq)

```

```

lemma (in residues) euler-theorem:
  assumes coprime a m
  shows [a ^ totient (nat m) = 1] (mod m)
proof -
  have a ^ totient (nat m) mod m = 1 mod m
  by (metis assms finite-Units m-gt-one mod-in-res-units one-cong totient-eq
    pow-cong units-power-order-eq-one)
  then show ?thesis
  using res-eq-to-cong by blast
qed

```

```

lemma euler-theorem:
  fixes a m :: nat
  assumes coprime a m
  shows [a ^ totient m = 1] (mod m)
proof (cases m = 0 ∨ m = 1)
  case True
  then show ?thesis by auto

```

```

next
  case False
  with assms show ?thesis
    using residues.euler-theorem [of int m int a] cong-int-iff
    by (auto simp add: residues-def gcd-int-def) fastforce
qed

```

```

lemma fermat-theorem:
  fixes p a :: nat
  assumes prime p and  $\neg p \text{ dvd } a$ 
  shows  $[a \wedge (p - 1) = 1] \pmod{p}$ 
proof -
  from assms prime-imp-coprime [of p a] have coprime a p
  by (auto simp add: ac-simps)
  then have  $[a \wedge \text{totient } p = 1] \pmod{p}$ 
  by (rule euler-theorem)
  also have totient p = p - 1
  by (rule totient-prime) (rule assms)
  finally show ?thesis .
qed

```

5.2 Wilson's theorem

```

lemma (in field) inv-pair-lemma:  $x \in \text{Units } R \implies y \in \text{Units } R \implies$ 
 $\{x, \text{inv } x\} \neq \{y, \text{inv } y\} \implies \{x, \text{inv } x\} \cap \{y, \text{inv } y\} = \{\}$ 
  apply auto
  apply (metis Units-inv-inv)
  done

```

```

lemma (in residues-prime) wilson-theorem1:
  assumes a:  $p > 2$ 
  shows  $[fact (p - 1) = (-1::\text{int})] \pmod{p}$ 
proof -
  let ?Inverse-Pairs =  $\{\{x, \text{inv } x\} \mid x. x \in \text{Units } R - \{1, \ominus 1\}\}$ 
  have UR:  $\text{Units } R = \{1, \ominus 1\} \cup \bigcup ?\text{Inverse-Pairs}$ 
  by auto
  have  $(\bigotimes_{i \in \text{Units } R} i) = (\bigotimes_{i \in \{1, \ominus 1\}} i) \otimes (\bigotimes_{i \in \bigcup ?\text{Inverse-Pairs}} i)$ 
  apply (subst UR)
  apply (subst finprod-Un-disjoint)
  apply (auto intro: funcsetI)
  using inv-one apply auto[1]
  using inv-eq-neg-one-eq apply auto
  done
  also have  $(\bigotimes_{i \in \{1, \ominus 1\}} i) = \ominus 1$ 
  apply (subst finprod-insert)
  apply auto
  apply (frule one-eq-neg-one)
  using a apply force
  done

```

```

also have ( $\bigotimes_{i \in (\bigcup ?Inverse-Pairs). i} = (\bigotimes_{A \in ?Inverse-Pairs. (\bigotimes_{y \in A. y})$ )
  apply (subst finprod-Union-disjoint)
  apply (auto simp: pairwise-def disjnt-def)
  apply (metis Units-inv-inv)+
done
also have ... = 1
  apply (rule finprod-one-eqI)
  apply auto
  apply (subst finprod-insert)
  apply auto
  apply (metis inv-eq-self)
done
finally have ( $\bigotimes_{i \in Units R. i} = \ominus 1$ )
  by simp
also have ( $\bigotimes_{i \in Units R. i} = (\bigotimes_{i \in Units R. i \bmod p}$ )
  by (rule finprod-cong') (auto simp: res-units-eq)
also have ... = ( $\prod_{i \in Units R. i} \bmod p$ )
  by (rule prod-cong) auto
also have ... = fact (p - 1) mod p
  apply (simp add: fact-prod)
  using assms
  apply (subst res-prime-units-eq)
  apply (simp add: int-prod zmod-int prod-int-eq)
done
finally have fact (p - 1) mod p =  $\ominus 1$  .
then show ?thesis
  by (simp add: cong-def res-neg-eq res-one-eq zmod-int)
qed

```

```

lemma wilson-theorem:
  assumes prime p
  shows [fact (p - 1) = - 1] (mod p)
proof (cases p = 2)
  case True
  then show ?thesis
    by (simp add: cong-def fact-prod)
next
  case False
  then show ?thesis
    using assms prime-ge-2-nat
    by (metis residues-prime.wilson-theorem1 residues-prime.intro le-eq-less-or-eq)
qed

```

This result can be transferred to the multiplicative group of $\mathbb{Z}/p\mathbb{Z}$ for p prime.

```

lemma mod-nat-int-pow-eq:
  fixes n :: nat and p a :: int
  shows a ≥ 0 ⇒ p ≥ 0 ⇒ (nat a ^ n) mod (nat p) = nat ((a ^ n) mod p)
  by (simp add: int-one-le-iff-zero-less nat-mod-distrib order-less-imp-le nat-power-eq[symmetric])

```

```

theorem residue-prime-mult-group-has-gen:
  fixes  $p :: \text{nat}$ 
  assumes  $\text{prime-}p : \text{prime } p$ 
  shows  $\exists a \in \{1 \dots p - 1\}. \{1 \dots p - 1\} = \{a^i \bmod p \mid i \in \text{UNIV}\}$ 
proof -
  have  $p \geq 2$ 
    using prime-gt-1-nat[OF prime-p] by simp
  interpret  $R$ : residues-prime  $p$  residue-ring  $p$ 
    by (simp add: residues-prime-def prime-p)
  have  $\text{car: carrier (residue-ring (int } p)) - \{0_{\text{residue-ring (int } p)}\} = \{1 \dots \text{int } p - 1\}$ 
    by (auto simp add: R.zero-cong R.res-carrier-eq)

  have  $x [\cdot]_{\text{residue-ring (int } p)}^i = x^i \bmod (\text{int } p)$ 
    if  $x \in \{1 \dots \text{int } p - 1\}$  for  $x$  and  $i :: \text{nat}$ 
    using that R.pow-cong[of x i] by auto
  moreover
  obtain  $a$  where  $a: a \in \{1 \dots \text{int } p - 1\}$ 
    and  $a\text{-gen: } \{1 \dots \text{int } p - 1\} = \{a^i [\cdot]_{\text{residue-ring (int } p)} \mid i :: \text{nat} \wedge i \in \text{UNIV}\}$ 
    using field.finite-field-mult-group-has-gen[OF R.is-field]
    by (auto simp add: car[symmetric] carrier-mult-of)
  moreover
  have  $\text{nat } \{1 \dots \text{int } p - 1\} = \{1 \dots p - 1\}$  (is ?L = ?R)
proof
  have  $n \in ?R$  if  $n \in ?L$  for  $n$ 
    using that <p>2> by force
  then show  $?L \subseteq ?R$  by blast
  have  $n \in ?L$  if  $n \in ?R$  for  $n$ 
    using that <p>2> by (auto intro: rev-image-eqI [of int n])
  then show  $?R \subseteq ?L$  by blast
qed
moreover
  have  $\text{nat } \{a^i \bmod (\text{int } p) \mid i :: \text{nat} \wedge i \in \text{UNIV}\} = \{\text{nat } a^i \bmod p \mid i \in \text{UNIV}\}$  (is ?L = ?R)
proof
  have  $x \in ?R$  if  $x \in ?L$  for  $x$ 
proof -
    from that obtain  $i$  where  $x = \text{nat } (a^i \bmod (\text{int } p))$ 
      by blast
    then have  $x = \text{nat } a^i \bmod p$ 
      using mod-nat-int-pow-eq[of a int p i] a <p>2> by auto
    with  $i$  show thesis by blast
qed
  then show  $?L \subseteq ?R$  by blast
  have  $x \in ?L$  if  $x \in ?R$  for  $x$ 
proof -
    from that obtain  $i$  where  $x = \text{nat } a^i \bmod p$ 
      by blast

```

```

    with mod-nat-int-pow-eq[of a int p i] a ⟨p ≥ 2⟩ show ?thesis
    by auto
  qed
  then show ?R ⊆ ?L by blast
  qed
  ultimately have {1 .. p - 1} = {nat a ^ i mod p | i. i ∈ UNIV}
    by presburger
  moreover from a have nat a ∈ {1 .. p - 1} by force
  ultimately show ?thesis ..
  qed

```

5.3 Upper bound for the number of n -th roots

lemma roots-mod-prime-bound:

```

  fixes n c p :: nat
  assumes prime p n > 0
  defines A ≡ {x ∈ {..<p}. [x ^ n = c] (mod p)}
  shows card A ≤ n
  proof -
    define R where R = residue-ring (int p)
    from assms(1) interpret residues-prime p R
    by unfold-locale (simp-all add: R-def)
    interpret R: UP-domain R UP R by (unfold-locale)

    let ?f = UnivPoly.monom (UP R) 1_R n ⊖ (UP R) UnivPoly.monom (UP R) (int
(c mod p)) 0
    have in-carrier: int (c mod p) ∈ carrier R
      using prime-gt-1-nat[OF assms(1)] by (simp add: R-def residue-ring-def)

    have deg R ?f = n
      using assms in-carrier by (simp add: R.deg-minus-eq)
    hence f-not-zero: ?f ≠ 0_UP R using assms by (auto simp add: R.deg-nzero-nzero)
    have roots-bound: finite {a ∈ carrier R. UnivPoly.eval R R id a ?f = 0_R} ∧
      card {a ∈ carrier R. UnivPoly.eval R R id a ?f = 0_R} ≤ deg R ?f
      using finite in-carrier by (intro R.roots-bound[OF f-not-zero])

    simp
    have subs: {x ∈ carrier R. x [^]_R n = int (c mod p)} ⊆
      {a ∈ carrier R. UnivPoly.eval R R id a ?f = 0_R}
      using in-carrier by (auto simp: R.evalRR-simps)
    then have card {x ∈ carrier R. x [^]_R n = int (c mod p)} ≤
      card {a ∈ carrier R. UnivPoly.eval R R id a ?f = 0_R}
      using finite by (intro card-mono) auto
    also have ... ≤ n
      using ⟨deg R ?f = n⟩ roots-bound by linarith
    also {
      fix x assume x ∈ carrier R
      hence x [^]_R n = (x ^ n) mod (int p)
        by (subst pow-cong [symmetric]) (auto simp: R-def residue-ring-def)
    }
  }

```

```

  hence  $\{x \in \text{carrier } R. x \lceil_R n = \text{int } (c \bmod p)\} = \{x \in \text{carrier } R. [x \wedge n = \text{int } c] \bmod p\}$ 
  by (fastforce simp: cong-def zmod-int)
  also have  $\text{bij\_betw int } A \{x \in \text{carrier } R. [x \wedge n = \text{int } c] \bmod p\}$ 
  by (rule bij_betwI[of int - - nat])
      (use cong-int-iff in <force simp: R-def residue-ring-def A-def>)+
  from bij_betw_same_card[OF this] have  $\text{card } \{x \in \text{carrier } R. [x \wedge n = \text{int } c] \bmod p\} = \text{card } A ..$ 
  finally show ?thesis .
qed

```

end

6 The sieve of Eratosthenes

theory *Eratosthenes*

imports *Main HOL-Computational-Algebra.Primes*
begin

6.1 Preliminary: strict divisibility

context *dvd*
begin

abbreviation *dvd-strict* :: 'a $\Rightarrow$ 'a $\Rightarrow$ bool (**infixl** *dvd'-strict* 50)
where
 b dvd-strict a $\equiv b \text{ dvd } a \wedge \neg a \text{ dvd } b$

end

6.2 Main corpus

The sieve is modelled as a list of booleans, where *False* means *marked out*.

type-synonym *marks* = bool list

definition *numbers-of-marks* :: nat $\Rightarrow$ marks $\Rightarrow$ nat set
where
 numbers-of-marks n bs = fst ‘ {*x* $\in$ set (enumerate *n* bs). snd *x*}

lemma *numbers-of-marks-simps* [*simp*, *code*]:
 numbers-of-marks n [] = {}
 numbers-of-marks n (True # bs) = insert *n* (*numbers-of-marks* (*Suc n*) *bs*)
 numbers-of-marks n (False # bs) = *numbers-of-marks* (*Suc n*) *bs*
 by (auto simp add: *numbers-of-marks-def intro!*: *image-eqI*)

lemma *numbers-of-marks-Suc*:
 numbers-of-marks (*Suc n*) *bs* = *Suc* ‘ *numbers-of-marks n bs*

by (*auto simp add: numbers-of-marks-def enumerate-Suc-eq image-iff Bex-def*)

lemma *numbers-of-marks-replicate-False* [*simp*]:

numbers-of-marks *n* (*replicate* *m* *False*) = {}

by (*auto simp add: numbers-of-marks-def enumerate-replicate-eq*)

lemma *numbers-of-marks-replicate-True* [*simp*]:

numbers-of-marks *n* (*replicate* *m* *True*) = {*n*..*n*+*m*}

by (*auto simp add: numbers-of-marks-def enumerate-replicate-eq image-def*)

lemma *in-numbers-of-marks-eq*:

m ∈ *numbers-of-marks* *n* *bs* $\longleftrightarrow$ *m* ∈ {*n*..*n* + *length* *bs*} ∧ *bs* ! (*m* − *n*)

by (*simp add: numbers-of-marks-def in-set-enumerate-eq image-iff add.commute*)

lemma *sorted-list-of-set-numbers-of-marks*:

sorted-list-of-set (*numbers-of-marks* *n* *bs*) = *map* *fst* (*filter* *snd* (*enumerate* *n* *bs*))

by (*auto simp add: numbers-of-marks-def distinct-map*

intro!: *sorted-filter distinct-filter inj-onI sorted-distinct-set-unique*)

Marking out multiples in a sieve

definition *mark-out* :: *nat* ⇒ *marks* ⇒ *marks*

where

mark-out *n* *bs* = *map* ($\lambda(q, b). b \wedge \neg \text{Suc } n \text{ dvd } \text{Suc } (\text{Suc } q)$) (*enumerate* *n* *bs*)

lemma *mark-out-Nil* [*simp*]: *mark-out* *n* [] = []

by (*simp add: mark-out-def*)

lemma *length-mark-out* [*simp*]: *length* (*mark-out* *n* *bs*) = *length* *bs*

by (*simp add: mark-out-def*)

lemma *numbers-of-marks-mark-out*:

numbers-of-marks *n* (*mark-out* *m* *bs*) = {*q* ∈ *numbers-of-marks* *n* *bs*. $\neg \text{Suc } m \text{ dvd } \text{Suc } q - n$ }

by (*auto simp add: numbers-of-marks-def mark-out-def in-set-enumerate-eq image-iff*

nth-enumerate-eq less-eq-dvd-minus)

Auxiliary operation for efficient implementation

definition *mark-out-aux* :: *nat* ⇒ *nat* ⇒ *marks* ⇒ *marks*

where

mark-out-aux *n* *m* *bs* =

map ($\lambda(q, b). b \wedge (q < m + n \vee \neg \text{Suc } n \text{ dvd } \text{Suc } (\text{Suc } q) + (n - m \bmod \text{Suc } n))$) (*enumerate* *n* *bs*)

lemma *mark-out-code* [*code*]: *mark-out* *n* *bs* = *mark-out-aux* *n* *n* *bs*

proof –

have *aux*: *False*

if *A*: *Suc* *n* *dvd* *Suc* (*Suc* *a*)

and *B*: *a* < *n* + *n*

```

    and  $C: n \leq a$ 
  for  $a$ 
proof (cases  $n = 0$ )
  case True
    with  $A \ B \ C$  show ?thesis by simp
  next
    case False
    define  $m$  where  $m = \text{Suc } n$ 
    then have  $m > 0$  by simp
    from False have  $n > 0$  by simp
    from  $A$  obtain  $q$  where  $q: \text{Suc } (\text{Suc } a) = \text{Suc } n * q$  by (rule dvdE)
    have  $q > 0$ 
    proof (rule ccontr)
      assume  $\neg q > 0$ 
      with  $q$  show False by simp
    qed
    with  $\langle n > 0 \rangle$  have  $\text{Suc } n * q \geq 2$  by (auto simp add: gr0-conv-Suc)
    with  $q$  have  $a: a = \text{Suc } n * q - 2$  by simp
    with  $B$  have  $q + n * q < n + n + 2$  by auto
    then have  $m * q < m * 2$  by (simp add: m-def)
    with  $\langle m > 0 \rangle$  have  $q < 2$  by simp
    with  $\langle q > 0 \rangle$  have  $q = 1$  by simp
    with  $a$  have  $a = n - 1$  by simp
    with  $\langle n > 0 \rangle \ C$  show False by simp
  qed
show ?thesis
  by (auto simp add: mark-out-def mark-out-aux-def in-set-enumerate-eq intro:
aux)
qed

lemma mark-out-aux-simps [simp, code]:
  mark-out-aux  $n \ m \ [] = []$ 
  mark-out-aux  $n \ 0 \ (b \# \text{bs}) = \text{False} \# \text{mark-out-aux } n \ n \ \text{bs}$ 
  mark-out-aux  $n \ (\text{Suc } m) \ (b \# \text{bs}) = b \# \text{mark-out-aux } n \ m \ \text{bs}$ 
proof goal-cases
  case 1
  show ?case
    by (simp add: mark-out-aux-def)
  next
  case 2
  show ?case
    by (auto simp add: mark-out-code [symmetric] mark-out-aux-def mark-out-def
        enumerate-Suc-eq in-set-enumerate-eq less-eq-dvd-minus)
  next
  case 3
  { define  $v$  where  $v = \text{Suc } m$ 
    define  $w$  where  $w = \text{Suc } n$ 
    fix  $q$ 
    assume  $m + n \leq q$ 

```

```

then obtain r where q: q = m + n + r by (auto simp add: le-iff-add)
{ fix u
  from w-def have u mod w < w by simp
  then have u + (w - u mod w) = w + (u - u mod w)
    by simp
  then have u + (w - u mod w) = w + u div w * w
    by (simp add: minus-mod-eq-div-mult)
}
then have w dvd v + w + r + (w - v mod w)  $\longleftrightarrow$  w dvd m + w + r + (w
- m mod w)
  by (simp add: add.assoc add.left-commute [of m] add.left-commute [of v]
      dvd-add-left-iff dvd-add-right-iff)
moreover from q have Suc q = m + w + r by (simp add: w-def)
moreover from q have Suc (Suc q) = v + w + r by (simp add: v-def w-def)
ultimately have w dvd Suc (Suc (q + (w - v mod w)))  $\longleftrightarrow$  w dvd Suc (q +
(w - m mod w))
  by (simp only: add-Suc [symmetric])
then have Suc n dvd Suc (Suc (Suc (q + n) - Suc m mod Suc n))  $\longleftrightarrow$ 
  Suc n dvd Suc (Suc (q + n - m mod Suc n))
  by (simp add: v-def w-def Suc-diff-le trans-le-add2)
}
then show ?case
  by (auto simp add: mark-out-aux-def
      enumerate-Suc-eq in-set-enumerate-eq not-less)
qed

```

Main entry point to sieve

```

fun sieve :: nat  $\Rightarrow$  marks  $\Rightarrow$  marks
where
  sieve n [] = []
| sieve n (False # bs) = False # sieve (Suc n) bs
| sieve n (True # bs) = True # sieve (Suc n) (mark-out n bs)

```

There are the following possible optimisations here:

- *sieve* can abort as soon as *n* is too big to let *mark-out* have any effect.
- Search for further primes can be given up as soon as the search position exceeds the square root of the maximum candidate.

This is left as an constructive exercise to the reader.

lemma *numbers-of-marks-sieve:*

```

numbers-of-marks (Suc n) (sieve n bs) =
  {q  $\in$  numbers-of-marks (Suc n) bs.  $\forall m \in$  numbers-of-marks (Suc n) bs.  $\neg m$ 
  dvd-strict q}

```

proof (*induct n bs rule: sieve.induct*)

case 1

show ?case by simp

```

next
  case 2
  then show ?case by simp
next
case (3 n bs)
have aux:  $n \in \text{Suc } M \iff n > 0 \wedge n - 1 \in M$  (is ?lhs  $\iff$  ?rhs) for  $M$   $n$ 
proof
  show ?rhs if ?lhs using that by auto
  show ?lhs if ?rhs
  proof -
    from that have  $n > 0$  and  $n - 1 \in M$  by auto
    then have  $\text{Suc } (n - 1) \in \text{Suc } M$  by blast
    with  $\langle n > 0 \rangle$  show  $n \in \text{Suc } M$  by simp
  qed
qed
have aux1: False if  $\text{Suc } (\text{Suc } n) \leq m$  and  $m \text{ dvd } \text{Suc } n$  for  $m :: \text{nat}$ 
proof -
  from  $\langle m \text{ dvd } \text{Suc } n \rangle$  obtain  $q$  where  $\text{Suc } n = m * q$  ..
  with  $\langle \text{Suc } (\text{Suc } n) \leq m \rangle$  have  $\text{Suc } (m * q) \leq m$  by simp
  then have  $m * q < m$  by arith
  then have  $q = 0$  by simp
  with  $\langle \text{Suc } n = m * q \rangle$  show ?thesis by simp
qed
have aux2:  $m \text{ dvd } q$ 
  if 1:  $\forall q > 0. 1 < q \implies \text{Suc } n < q \implies q \leq \text{Suc } (n + \text{length } bs) \implies$ 
     $bs ! (q - \text{Suc } (\text{Suc } n)) \implies \neg \text{Suc } n \text{ dvd } q \implies q \text{ dvd } m \implies m \text{ dvd } q$ 
  and 2:  $\neg \text{Suc } n \text{ dvd } m$ 
  and 3:  $\text{Suc } n < q \leq \text{Suc } (n + \text{length } bs) \implies bs ! (q - \text{Suc } (\text{Suc } n))$ 
  for  $m \ q :: \text{nat}$ 
proof -
  from 1 have *:  $\bigwedge q. \text{Suc } n < q \implies q \leq \text{Suc } (n + \text{length } bs) \implies$ 
     $bs ! (q - \text{Suc } (\text{Suc } n)) \implies \neg \text{Suc } n \text{ dvd } q \implies q \text{ dvd } m \implies m \text{ dvd } q$ 
    by auto
  from 2 have  $\neg \text{Suc } n \text{ dvd } q$  by (auto elim: dvdE)
  moreover note 3
  moreover note  $\langle q \text{ dvd } m \rangle$ 
  ultimately show ?thesis by (auto intro: *)
qed
from 3 show ?case
  apply (simp-all add: numbers-of-marks-mark-out numbers-of-marks-Suc Compr-image-eq
    inj-image-eq-iff in-numbers-of-marks-eq Ball-def imp-conjL aux)
  apply safe
  apply (simp-all add: less-diff-conv2 le-diff-conv2 dvd-minus-self not-less)
  apply (clarsimp dest!: aux1)
  apply (simp add: Suc-le-eq less-Suc-eq-le)
  apply (rule aux2)
  apply (clarsimp dest!: aux1)+
  done
qed

```

Relation of the sieve algorithm to actual primes

definition *primes-upto* :: *nat* $\Rightarrow$ *nat list*

where

primes-upto *n* = *sorted-list-of-set* {*m*. *m* $\leq$ *n* $\wedge$ *prime m*}

lemma *set-primes-upto*: *set* (*primes-upto* *n*) = {*m*. *m* $\leq$ *n* $\wedge$ *prime m*}

by (*simp add: primes-upto-def*)

lemma *sorted-primes-upto* [*iff*]: *sorted* (*primes-upto* *n*)

by (*simp add: primes-upto-def*)

lemma *distinct-primes-upto* [*iff*]: *distinct* (*primes-upto* *n*)

by (*simp add: primes-upto-def*)

lemma *set-primes-upto-sieve*:

set (*primes-upto* *n*) = *numbers-of-marks* 2 (*sieve* 1 (*replicate* (*n* - 1) *True*))

proof -

consider *n* = 0 $\vee$ *n* = 1 | *n* > 1 **by** *arith*

then show ?thesis

proof *cases*

case 1

then show ?thesis

by (*auto simp add: numbers-of-marks-sieve numeral-2-eq-2 set-primes-upto*
dest: prime-gt-Suc-0-nat)

next

case 2

{

fix *m q*

assume *Suc* (*Suc* 0) $\leq$ *q*

and *q* < *Suc* *n*

and *m dvd q*

then have *m* < *Suc* *n* **by** (*auto dest: dvd-imp-le*)

assume *: $\forall m \in \{ \text{Suc } (\text{Suc } 0) .. < \text{Suc } n \}. m \text{ dvd } q \longrightarrow q \text{ dvd } m$

and *m dvd q* **and** *m* $\neq$ 1

have *m* = *q*

proof (*cases m = 0*)

case *True* **with** $\langle m \text{ dvd } q \rangle$ **show** ?thesis **by** *simp*

next

case *False* **with** $\langle m \neq 1 \rangle$ **have** *Suc* (*Suc* 0) $\leq$ *m* **by** *arith*

with $\langle m < \text{Suc } n \rangle$ * $\langle m \text{ dvd } q \rangle$ **have** *q dvd m* **by** *simp*

with $\langle m \text{ dvd } q \rangle$ **show** ?thesis **by** (*simp add: dvd-antisym*)

qed

}

then have *aux*: $\bigwedge m q. \text{Suc } (\text{Suc } 0) \leq q \implies$

q < *Suc* *n* $\implies$

m dvd q $\implies$

$\forall m \in \{ \text{Suc } (\text{Suc } 0) .. < \text{Suc } n \}. m \text{ dvd } q \longrightarrow q \text{ dvd } m \implies$

m dvd q $\implies m \neq q \implies m = 1$ **by** *auto*

from 2 **show** ?thesis

```

    apply (auto simp add: numbers-of-marks-sieve numeral-2-eq-2 set-primes-upto
      dest: prime-gt-Suc-0-nat)
    apply (metis One-nat-def Suc-le-eq less-not-refl prime-nat-iff)
    apply (metis One-nat-def Suc-le-eq aux prime-nat-iff)
  done
qed
qed

```

```

lemma primes-upto-sieve [code]:
  primes-upto n = map fst (filter snd (enumerate 2 (sieve 1 (replicate (n - 1)
    True))))
proof -
  have primes-upto n = sorted-list-of-set (numbers-of-marks 2 (sieve 1 (replicate
    (n - 1) True)))
  apply (rule sorted-distinct-set-unique)
  apply (simp-all only: set-primes-upto-sieve numbers-of-marks-def)
  apply auto
  done
  then show ?thesis
  by (simp add: sorted-list-of-set-numbers-of-marks)
qed

```

```

lemma prime-in-primes-upto: prime n  $\longleftrightarrow$  n  $\in$  set (primes-upto n)
  by (simp add: set-primes-upto)

```

6.3 Application: smallest prime beyond a certain number

definition *smallest-prime-beyond* :: nat $\Rightarrow$ nat
where

smallest-prime-beyond n = (LEAST p. prime p $\wedge$ p $\geq$ n)

```

lemma prime-smallest-prime-beyond [iff]: prime (smallest-prime-beyond n) (is
  ?P)

```

```

  and smallest-prime-beyond-le [iff]: smallest-prime-beyond n  $\geq$  n (is ?Q)

```

```

proof -
  let ?least = LEAST p. prime p  $\wedge$  p  $\geq$  n
  from primes-infinite obtain q where prime q  $\wedge$  q  $\geq$  n
  by (metis finite-nat-set-iff-bounded-le mem-Collect-eq nat-le-linear)
  then have prime ?least  $\wedge$  ?least  $\geq$  n
  by (rule LeastI)
  then show ?P and ?Q
  by (simp-all add: smallest-prime-beyond-def)
qed

```

```

lemma smallest-prime-beyond-smallest: prime p  $\implies$  p  $\geq$  n  $\implies$  smallest-prime-beyond
  n  $\leq$  p
  by (simp only: smallest-prime-beyond-def) (auto intro: Least-le)

```

```

lemma smallest-prime-beyond-eq:

```

$\text{prime } p \implies p \geq n \implies (\bigwedge q. \text{prime } q \implies q \geq n \implies q \geq p) \implies \text{smallest-prime-beyond } n = p$

by (*simp only: smallest-prime-beyond-def*) (*auto intro: Least-equality*)

definition *smallest-prime-between* :: *nat* $\Rightarrow$ *nat* $\Rightarrow$ *nat option*

where

smallest-prime-between *m n* =

(*if* ($\exists p. \text{prime } p \wedge m \leq p \wedge p \leq n$) *then* *Some* (*smallest-prime-beyond* *m*) *else* *None*)

lemma *smallest-prime-between-None*:

smallest-prime-between *m n* = *None* $\longleftrightarrow (\forall q. m \leq q \wedge q \leq n \longrightarrow \neg \text{prime } q)$

by (*auto simp add: smallest-prime-between-def*)

lemma *smallest-prime-between-Some*:

smallest-prime-between *m n* = *Some* *p* $\longleftrightarrow \text{smallest-prime-beyond } m = p \wedge p \leq n$

by (*auto simp add: smallest-prime-between-def dest: smallest-prime-beyond-smallest [of - m]*)

lemma [*code*]: *smallest-prime-between* *m n* = *List.find* ($\lambda p. p \geq m$) (*primes-up-to* *n*)

proof –

have *List.find* ($\lambda p. p \geq m$) (*primes-up-to* *n*) = *Some* (*smallest-prime-beyond* *m*)

if *assms*: $m \leq p$ *prime* *p* $p \leq n$ **for** *p*

proof –

define *A* **where** $A = \{p. p \leq n \wedge \text{prime } p \wedge m \leq p\}$

from *assms* **have** *smallest-prime-beyond* *m* $\leq p$

by (*auto intro: smallest-prime-beyond-smallest*)

from *this* $\langle p \leq n \rangle$ **have** *: *smallest-prime-beyond* *m* $\leq n$

by (*rule order-trans*)

from *assms* **have** *ex*: $\exists p \leq n. \text{prime } p \wedge m \leq p$

by *auto*

then **have** *finite* *A*

by (*auto simp add: A-def*)

with * **have** *Min* *A* = *smallest-prime-beyond* *m*

by (*auto simp add: A-def intro: Min-eqI smallest-prime-beyond-smallest*)

with *ex* *sorted-primes-up-to* **show** *?thesis*

by (*auto simp add: set-primes-up-to sorted-find-Min A-def*)

qed

then **show** *?thesis*

by (*auto simp add: smallest-prime-between-def find-None-iff set-primes-up-to intro!: sym [of - None]*)

qed

definition *smallest-prime-beyond-aux* :: *nat* $\Rightarrow$ *nat* $\Rightarrow$ *nat*

where

smallest-prime-beyond-aux *k n* = *smallest-prime-beyond* *n*

```

lemma [code]:
  smallest-prime-beyond-aux k n =
    (case smallest-prime-between n (k * n) of
      Some p ⇒ p
    | None ⇒ smallest-prime-beyond-aux (Suc k) n)
  by (simp add: smallest-prime-beyond-aux-def smallest-prime-between-Some split: option.split)

```

```

lemma [code]: smallest-prime-beyond n = smallest-prime-beyond-aux 2 n
  by (simp add: smallest-prime-beyond-aux-def)

```

end

7 Fast modular exponentiation

```

theory Mod-Exp
  imports Cong HOL-Library.Power-By-Squaring
begin

```

```

context euclidean-semiring-cancel
begin

```

```

definition mod-exp-aux :: 'a ⇒ 'a ⇒ 'a ⇒ nat ⇒ 'a
  where mod-exp-aux m = efficient-funpow (λx y. x * y mod m)

```

```

lemma mod-exp-aux-code [code]:
  mod-exp-aux m y x n =
    (if n = 0 then y
     else if n = 1 then (x * y mod m)
     else if even n then mod-exp-aux m y ((x * x mod m) (n div 2))
     else mod-exp-aux m ((x * y mod m) ((x * x mod m) (n div 2)))
  unfolding mod-exp-aux-def by (rule efficient-funpow-code)

```

```

lemma mod-exp-aux-correct:
  mod-exp-aux m y x n mod m = (x ^ n * y mod m)
proof –
  have mod-exp-aux m y x n = efficient-funpow (λx y. x * y mod m) y x n
    by (simp add: mod-exp-aux-def)
  also have ... = ((λy. x * y mod m) ^~ n) y
    by (rule efficient-funpow-correct) (simp add: mod-mult-left-eq mod-mult-right-eq mult-ac)
  also have ((λy. x * y mod m) ^~ n) y mod m = (x ^ n * y mod m)
  proof (induction n)
    case (Suc n)
    hence x * ((λy. x * y mod m) ^~ n) y mod m = x * x ^ n * y mod m
      by (metis mod-mult-right-eq mult.assoc)
    thus ?case by auto
  qed auto
  finally show ?thesis .

```

qed

definition *mod-exp* :: 'a $\Rightarrow$ nat $\Rightarrow$ 'a $\Rightarrow$ 'a
where *mod-exp* b e m = (b $\wedge$ e) mod m

lemma *mod-exp-code* [code]: *mod-exp* b e m = *mod-exp-aux* m 1 b e mod m
by (simp add: *mod-exp-def* *mod-exp-aux-correct*)

end

lemmas [code-abbrev] = *mod-exp-def*[**where** ?'a = nat] *mod-exp-def*[**where** ?'a = int]

lemma *cong-power-nat-code* [code-unfold]:
 [b $\wedge$ e = (x :: nat)] (mod m) $\longleftrightarrow$ *mod-exp* b e m = x mod m
by (simp add: *mod-exp-def* *cong-def*)

lemma *cong-power-int-code* [code-unfold]:
 [b $\wedge$ e = (x :: int)] (mod m) $\longleftrightarrow$ *mod-exp* b e m = x mod m
by (simp add: *mod-exp-def* *cong-def*)

The following rules allow the simplifier to evaluate *mod-exp* efficiently.

lemma *eval-mod-exp-aux* [simp]:
mod-exp-aux m y x 0 = y
mod-exp-aux m y x (Suc 0) = (x * y) mod m
mod-exp-aux m y x (numeral (num.Bit0 n)) =
mod-exp-aux m y (x² mod m) (numeral n)
mod-exp-aux m y x (numeral (num.Bit1 n)) =
mod-exp-aux m ((x * y) mod m) (x² mod m) (numeral n)

proof –

define n' **where** n' = (numeral n :: nat)
have [simp]: n' $\neq$ 0 **by** (auto simp: n'-def)

show *mod-exp-aux* m y x 0 = y **and** *mod-exp-aux* m y x (Suc 0) = (x * y) mod m
by (simp-all add: *mod-exp-aux-def*)

have numeral (num.Bit0 n) = (2 * n')
by (subst numeral.numeral-Bit0) (simp del: arith-simps add: n'-def)
also have *mod-exp-aux* m y x ... = *mod-exp-aux* m y (x² mod m) n'
by (subst *mod-exp-aux-code*) (simp-all add: power2-eq-square)
finally show *mod-exp-aux* m y x (numeral (num.Bit0 n)) =
mod-exp-aux m y (x² mod m) (numeral n)
by (simp add: n'-def)

have numeral (num.Bit1 n) = Suc (2 * n')
by (subst numeral.numeral-Bit1) (simp del: arith-simps add: n'-def)
also have *mod-exp-aux* m y x ... = *mod-exp-aux* m ((x * y) mod m) (x² mod m)

```

m) n'
  by (subst mod-exp-aux-code) (simp-all add: power2-eq-square)
  finally show mod-exp-aux m y x (numeral (num.Bit1 n)) =
    mod-exp-aux m ((x * y) mod m) (x2 mod m) (numeral n)
  by (simp add: n'-def)
qed

lemma eval-mod-exp [simp]:
  mod-exp b' 0 m' = 1 mod m'
  mod-exp b' 1 m' = b' mod m'
  mod-exp b' (Suc 0) m' = b' mod m'
  mod-exp b' e' 0 = b' ^ e'
  mod-exp b' e' 1 = 0
  mod-exp b' e' (Suc 0) = 0
  mod-exp 0 1 m' = 0
  mod-exp 0 (Suc 0) m' = 0
  mod-exp 0 (numeral e) m' = 0
  mod-exp 1 e' m' = 1 mod m'
  mod-exp (Suc 0) e' m' = 1 mod m'
  mod-exp (numeral b) (numeral e) (numeral m) =
    mod-exp-aux (numeral m) 1 (numeral b) (numeral e) mod numeral m
  by (simp-all add: mod-exp-def mod-exp-aux-correct)

end

theory Euler-Criterion
imports Residues
begin

context
  fixes p :: nat
  fixes a :: int

  assumes p-prime: prime p
  assumes p-ge-2: 2 < p
  assumes p-a-relprime: [a ≠ 0](mod p)
begin

private lemma odd-p: odd p
  using p-ge-2 p-prime prime-odd-nat by blast

private lemma p-minus-1-int:
  int (p - 1) = int p - 1
  by (metis of-nat-1 of-nat-diff p-prime prime-ge-1-nat)

private lemma p-not-eq-Suc-0 [simp]:
  p ≠ Suc 0
  using p-ge-2 by simp

```

```

private lemma one-mod-int-p-eq [simp]:
  1 mod int p = 1
proof -
  from p-ge-2 have int 1 mod int p = int 1
  by simp
  then show ?thesis
  by simp
qed

private lemma E-1:
  assumes QuadRes (int p) a
  shows [a ^ ((p - 1) div 2) = 1] (mod int p)
proof -
  from assms obtain b where b: [b ^ 2 = a] (mod int p)
  unfolding QuadRes-def by blast
  then have [a ^ ((p - 1) div 2) = b ^ (2 * ((p - 1) div 2))] (mod int p)
  by (simp add: cong-pow cong-sym power-mult)
  then have [a ^ ((p - 1) div 2) = b ^ (p - 1)] (mod int p)
  using odd-p by force
  moreover have [b ^ (p - 1) = 1] (mod int p)
  proof -
    have [nat |b| ^ (p - 1) = 1] (mod p)
    using p-prime proof (rule fermat-theorem)
      from b p-a-relprime show ¬ p dvd nat |b|
      by (auto simp add: cong-iff-dvd-diff power2-eq-square)
      (metis cong-iff-dvd-diff cong-dvd-iff dvd-mult2)
    qed
    then have nat |b| ^ (p - 1) mod p = 1 mod p
    by (simp add: cong-def)
    then have int (nat |b| ^ (p - 1) mod p) = int (1 mod p)
    by simp
    moreover from odd-p have |b| ^ (p - Suc 0) = b ^ (p - Suc 0)
    by (simp add: power-even-abs)
    ultimately show ?thesis
    by (auto simp add: cong-def zmod-int)
  qed
  ultimately show ?thesis
  by (auto intro: cong-trans)
qed

private definition S1 :: int set where S1 = {0 <.. int p - 1}

private definition P :: int ⇒ int ⇒ bool where
  P x y ⟷ [x * y = a] (mod p) ∧ y ∈ S1

private definition f-1 :: int ⇒ int where
  f-1 x = (THE y. P x y)

```

```

private definition  $f :: \text{int} \Rightarrow \text{int set}$  where
   $f\ x = \{x, f-1\ x\}$ 

private definition  $S2 :: \text{int set set}$  where  $S2 = f\ ' S1$ 

private lemma  $P\text{-lemma}$ : assumes  $x \in S1$ 
  shows  $\exists! y. P\ x\ y$ 
proof -
  have  $\neg p\ \text{dvd}\ x$  using  $\text{assms}\ \text{zdvd-not-zless}\ S1\text{-def}$  by auto
  hence  $\text{co-xp}$ :  $\text{coprime}\ x\ p$  using  $p\text{-prime}\ \text{prime-imp-coprime-int}$ [ $\text{of}\ p\ x$ ]
    by (simp add: ac-simps)
  then obtain  $y'$  where  $y': [x * y' = 1] \ (\text{mod}\ p)$  using  $\text{cong-solve-coprime-int}$  by
    blast
  moreover define  $y$  where  $y = y' * a\ \text{mod}\ p$ 
  ultimately have  $[x * y = a] \ (\text{mod}\ p)$ 
    using  $\text{mod-mult-right-eq}$  [ $\text{of}\ x\ y'\ * a\ p$ ]
     $\text{cong-scalar-right}$  [ $\text{of}\ x * y'\ 1\ \text{int}\ p\ a$ ]
    by (auto simp add: cong-def ac-simps)
  moreover have  $y \in \{0 .. \text{int}\ p - 1\}$  unfolding  $y\text{-def}$  using  $p\text{-ge-2}$  by auto
  hence  $y \in S1$  using  $\text{calculation}\ \text{cong-iff-dvd-diff}\ p\text{-a-relprime}\ S1\text{-def}\ \text{cong-dvd-iff}$ 
by fastforce
  ultimately have  $P\ x\ y$  unfolding  $P\text{-def}$  by blast
  moreover {
    fix  $y1\ y2$ 
    assume  $P\ x\ y1\ P\ x\ y2$ 
    moreover hence  $[y1 = y2] \ (\text{mod}\ p)$  unfolding  $P\text{-def}$ 
      using  $\text{co-xp}\ \text{cong-mult-lcancel}$ [ $\text{of}\ x\ p\ y1\ y2$ ]  $\text{cong-sym}\ \text{cong-trans}$  by blast
    ultimately have  $y1 = y2$  unfolding  $P\text{-def}\ S1\text{-def}$  using  $\text{cong-less-imp-eq-int}$ 
by auto
  }
  ultimately show  $?thesis$  by blast
qed

private lemma  $f\text{-1-lemma-1}$ : assumes  $x \in S1$ 
  shows  $P\ x\ (f-1\ x)$  using  $\text{assms}\ P\text{-lemma}\ \text{theI'}$ [ $\text{of}\ P\ x$ ]  $f\text{-1-def}$  by presburger

private lemma  $f\text{-1-lemma-2}$ : assumes  $x \in S1$ 
  shows  $f-1\ (f-1\ x) = x$ 
  using  $\text{assms}\ f\text{-1-lemma-1}$ [ $\text{of}\ x$ ]  $f\text{-1-def}\ P\text{-lemma}$ [ $\text{of}\ f-1\ x$ ]  $P\text{-def}$  by (auto simp:
mult.commute)

private lemma  $f\text{-lemma-1}$ : assumes  $x \in S1$ 
  shows  $f\ x = f\ (f-1\ x)$  using  $f\text{-def}\ f\text{-1-lemma-2}$ [ $\text{of}\ x$ ]  $\text{assms}$  by auto

private lemma  $l1$ : assumes  $\neg \text{QuadRes}\ p\ a\ x \in S1$ 
  shows  $x \neq f-1\ x$ 
  using  $f\text{-1-lemma-1}$ [ $\text{of}\ x$ ]  $\text{assms}$  unfolding  $P\text{-def}\ \text{QuadRes-def}\ \text{power2-eq-square}$ 
by fastforce

```

```

private lemma l2: assumes  $\neg \text{QuadRes } p \ a \ x \in S1$ 
  shows  $\prod (f \ x) = a \pmod p$ 
  using assms l1 f-1-lemma-1 P-def f-def by auto

private lemma l3: assumes  $x \in S2$ 
  shows finite x using assms f-def S2-def by auto

private lemma l4:  $S1 = \bigcup S2$  using f-1-lemma-1 P-def f-def S2-def by auto

private lemma l5: assumes  $x \in S2 \ y \in S2 \ x \neq y$ 
  shows  $x \cap y = \{\}$ 
proof -
  obtain  $a \ b$  where  $ab: x = f \ a \ a \in S1 \ y = f \ b \ b \in S1$  using assms S2-def by auto
  hence  $a \neq b \ a \neq f^{-1} \ b \ f^{-1} \ a \neq b$  using assms(3) f-lemma-1 by blast+
  moreover hence  $f^{-1} \ a \neq f^{-1} \ b$  using f-1-lemma-2[of a] f-1-lemma-2[of b] ab by force
  ultimately show ?thesis using f-def ab by fastforce
qed

private lemma l6:  $\text{prod Prod } S2 = \prod S1$ 
  using prod.Union-disjoint[of S2  $\lambda x. x$ ] l3 l4 l5 unfolding comp-def by auto

private lemma l7:  $\text{fact } n = \prod \{0 <.. \text{int } n\}$ 
proof (induction  $n$ )
case (Suc  $n$ )
  have  $\text{int } (Suc \ n) = \text{int } n + 1$  by simp
  hence  $\text{insert } (\text{int } (Suc \ n)) \ \{0 <.. \text{int } n\} = \{0 <.. \text{int } (Suc \ n)\}$  by auto
  thus ?case using prod.insert[of  $\{0 <.. \text{int } n\} \text{int } (Suc \ n) \lambda x. x$ ] Suc fact-Suc by auto
qed simp

private lemma l8:  $\text{fact } (p - 1) = \prod S1$  using l7[of  $p - 1$ ] S1-def p-minus-1-int by presburger

private lemma l9:  $[\text{prod Prod } S2 = -1] \pmod p$ 
  using l6 l8 wilson-theorem[of p] p-prime by presburger

private lemma l10: assumes  $\text{card } S = n \wedge x. x \in S \implies [g \ x = a] \pmod p$ 
  shows  $[\text{prod } g \ S = a \wedge n] \pmod p$  using assms
proof (induction  $n$  arbitrary:  $S$ )
case 0
  thus ?case using card-0-eq[of S] prod.empty prod.infinite by fastforce
next
case (Suc  $n$ )
  then obtain  $x$  where  $x: x \in S$  by force
  define  $S'$  where  $S' = S - \{x\}$ 
  hence  $[\text{prod } g \ S' = a \wedge n] \pmod{\text{int } p}$ 
  using x Suc(1)[of S] Suc(2) Suc(3) by (simp add: card-ge-0-finite)

```

```

    moreover have  $\text{prod } g \ S = g \ x * \text{prod } g \ S'$ 
      using  $x \ S'\text{-def } \text{Suc}(2) \ \text{prod.remove[of } S \ x \ g]$  by fastforce
    ultimately show  $?case$  using  $x \ \text{Suc}(3) \ \text{cong-mult}$ 
      by simp blast
qed

private lemma l11: assumes  $\neg \text{QuadRes } p \ a$ 
  shows  $\text{card } S2 = (p - 1) \ \text{div } 2$ 
proof -
  have  $\text{sum card } S2 = 2 * \text{card } S2$ 
    using  $\text{sum.cong[of } S2 \ S2 \ \text{card } \lambda x. \ 2]$  l1 f-def S2-def assms by fastforce
  moreover have  $p - 1 = \text{sum card } S2$ 
    using l4 card-UN-disjoint[ $\text{of } S2 \ \lambda x. \ x$ ] l3 l5 S1-def S2-def by auto
  ultimately show  $?thesis$  by linarith
qed

private lemma l12: assumes  $\neg \text{QuadRes } p \ a$ 
  shows  $[\text{prod } \text{Prod } S2 = a \wedge ((p - 1) \ \text{div } 2)] \ (\text{mod } p)$ 
  using assms l2 l10 l11 unfolding S2-def by blast

private lemma E-2: assumes  $\neg \text{QuadRes } p \ a$ 
  shows  $[a \wedge ((p - 1) \ \text{div } 2) = -1] \ (\text{mod } p)$  using l9 l12 cong-trans cong-sym
  assms by blast

lemma euler-criterion-aux:  $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \ \text{div } 2)] \ (\text{mod } p)$ 
  using p-a-relprime by (auto simp add: Legendre-def
    intro!: cong-sym [of - 1] cong-sym [of - - 1]
    dest: E-1 E-2)

end

theorem euler-criterion: assumes  $\text{prime } p \ 2 < p$ 
  shows  $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \ \text{div } 2)] \ (\text{mod } p)$ 
proof (cases  $[a = 0] \ (\text{mod } p)$ )
  case True
  then have  $[a \wedge ((p - 1) \ \text{div } 2) = 0 \wedge ((p - 1) \ \text{div } 2)] \ (\text{mod } p)$ 
    using cong-pow by blast
  moreover have  $(0::\text{int}) \wedge ((p - 1) \ \text{div } 2) = 0$ 
    using zero-power [of  $(p - 1) \ \text{div } 2$ ] assms(2) by simp
  ultimately have  $[a \wedge ((p - 1) \ \text{div } 2) = 0] \ (\text{mod } p)$ 
    using True assms(1) prime-dvd-power-int-iff
    by (simp add: cong-iff-dvd-diff)
  then show  $?thesis$  unfolding Legendre-def using True cong-sym
    by auto
  next
  case False
  then show  $?thesis$ 
    using euler-criterion-aux assms by presburger
qed

```

hide-fact *euler-criterion-aux*

end

8 Gauss' Lemma

theory *Gauss*
imports *Euler-Criterion*
begin

lemma *cong-prime-prod-zero-nat*:
 $[a * b = 0] \text{ (mod } p) \implies \text{prime } p \implies [a = 0] \text{ (mod } p) \vee [b = 0] \text{ (mod } p)$
for $a :: \text{nat}$
by (*auto simp add: cong-altdef-nat prime-dvd-mult-iff*)

lemma *cong-prime-prod-zero-int*:
 $[a * b = 0] \text{ (mod } p) \implies \text{prime } p \implies [a = 0] \text{ (mod } p) \vee [b = 0] \text{ (mod } p)$
for $a :: \text{int}$
by (*simp add: cong-0-iff prime-dvd-mult-iff*)

locale *GAUSS* =
fixes $p :: \text{nat}$
fixes $a :: \text{int}$
assumes *p-prime*: $\text{prime } p$
assumes *p-ge-2*: $2 < p$
assumes *p-a-relprime*: $[a \neq 0] \text{ (mod } p)$
assumes *a-nonzero*: $0 < a$
begin

definition $A = \{0 :: \text{int} \mid 0 < (int\ p - 1) \text{ div } 2\}$
definition $B = (\lambda x. x * a) \text{ ` } A$
definition $C = (\lambda x. x \text{ mod } p) \text{ ` } B$
definition $D = C \cap \{.. (int\ p - 1) \text{ div } 2\}$
definition $E = C \cap \{(int\ p - 1) \text{ div } 2 < ..\}$
definition $F = (\lambda x. (int\ p - x)) \text{ ` } E$

8.1 Basic properties of p

lemma *odd-p*: $\text{odd } p$
by (*metis p-prime p-ge-2 prime-odd-nat*)

lemma *p-minus-one-l*: $(int\ p - 1) \text{ div } 2 < p$
proof –
have $(p - 1) \text{ div } 2 \leq (p - 1) \text{ div } 1$
by (*metis div-by-1 div-le-dividend*)
also have $\dots = p - 1$ **by** *simp*
finally show *?thesis*

using *p-ge-2* by *arith*
qed

lemma *p-eq2*: $\text{int } p = (2 * ((\text{int } p - 1) \text{ div } 2)) + 1$
using *odd-p p-ge-2 nonzero-mult-div-cancel-left [of 2 p - 1]* by *simp*

lemma *p-odd-int*: **obtains** $z :: \text{int}$ **where** $\text{int } p = 2 * z + 1$ $0 < z$
proof
let $?z = (\text{int } p - 1) \text{ div } 2$
show $\text{int } p = 2 * ?z + 1$ by (rule *p-eq2*)
show $0 < ?z$
using *p-ge-2* by *linarith*
qed

8.2 Basic Properties of the Gauss Sets

lemma *finite-A*: *finite A*
by (auto simp add: *A-def*)

lemma *finite-B*: *finite B*
by (auto simp add: *B-def finite-A*)

lemma *finite-C*: *finite C*
by (auto simp add: *C-def finite-B*)

lemma *finite-D*: *finite D*
by (auto simp add: *D-def finite-C*)

lemma *finite-E*: *finite E*
by (auto simp add: *E-def finite-C*)

lemma *finite-F*: *finite F*
by (auto simp add: *F-def finite-E*)

lemma *C-eq*: $C = D \cup E$
by (auto simp add: *C-def D-def E-def*)

lemma *A-card-eq*: $\text{card } A = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
by (auto simp add: *A-def*)

lemma *inj-on-xa-A*: *inj-on* $(\lambda x. x * a)$ *A*
using *a-nonzero* by (simp add: *A-def inj-on-def*)

definition *ResSet* :: $\text{int} \Rightarrow \text{int set} \Rightarrow \text{bool}$
where $\text{ResSet } m X \longleftrightarrow (\forall y1 \ y2. y1 \in X \wedge y2 \in X \wedge [y1 = y2] \text{ (mod } m) \longrightarrow y1 = y2)$

lemma *ResSet-image*:
 $0 < m \Longrightarrow \text{ResSet } m A \Longrightarrow \forall x \in A. \forall y \in A. ([f \ x = f \ y] \text{ (mod } m) \longrightarrow x = y)$

```

⇒ ResSet m (f ' A)
  by (auto simp add: ResSet-def)

lemma A-res: ResSet p A
  using p-ge-2 by (auto simp add: A-def ResSet-def intro!: cong-less-imp-eq-int)

lemma B-res: ResSet p B
proof -
  have *: x = y
    if a: [x * a = y * a] (mod p)
    and b: 0 < x
    and c: x ≤ (int p - 1) div 2
    and d: 0 < y
    and e: y ≤ (int p - 1) div 2
  for x y
proof -
  from p-a-relprime have ¬ p dvd a
    by (simp add: cong-0-iff)
  with p-prime prime-imp-coprime [of - nat |a|]
  have coprime a (int p)
    by (simp-all add: ac-simps)
  with a cong-mult-rcancel [of a int p x y] have [x = y] (mod p)
    by simp
  with cong-less-imp-eq-int [of x y p] p-minus-one-l
    order-le-less-trans [of x (int p - 1) div 2 p]
    order-le-less-trans [of y (int p - 1) div 2 p]
  show ?thesis
    by (metis b c cong-less-imp-eq-int d e zero-less-imp-eq-int of-nat-0-le-iff)
qed
show ?thesis
  using p-ge-2 p-a-relprime p-minus-one-l
  by (metis * A-def A-res B-def GAUSS.ResSet-image GAUSS-axioms greaterThanAt-
Most-iff odd-p odd-pos of-nat-0-less-iff)
qed

lemma SR-B-inj: inj-on (λx. x mod p) B
proof -
  have False
    if a: x * a mod p = y * a mod p
    and b: 0 < x
    and c: x ≤ (int p - 1) div 2
    and d: 0 < y
    and e: y ≤ (int p - 1) div 2
    and f: x ≠ y
  for x y
proof -
  from a have a': [x * a = y * a](mod p)
    using cong-def by blast
  from p-a-relprime have ¬ p dvd a

```

```

    by (simp add: cong-0-iff)
  with p-prime prime-imp-coprime [of - nat |a|]
  have coprime a (int p)
    by (simp-all add: ac-simps)
  with a' cong-mult-rcancel [of a int p x y]
  have [x = y] (mod p) by simp
  with cong-less-imp-eq-int [of x y p] p-minus-one-l
    order-le-less-trans [of x (int p - 1) div 2 p]
    order-le-less-trans [of y (int p - 1) div 2 p]
  have x = y
    by (metis b c cong-less-imp-eq-int d e zero-less-imp-eq-int of-nat-0-le-iff)
  then show ?thesis
    by (simp add: f)
qed
then show ?thesis
  by (auto simp add: B-def inj-on-def A-def) metis
qed

lemma inj-on-pminusx-E: inj-on ( $\lambda x. p - x$ ) E
  apply (auto simp add: E-def C-def B-def A-def)
  apply (rule inj-on-inverseI [where g = ( $-$ ) (int p)])
  apply auto
  done

lemma nonzero-mod-p:  $0 < x \implies x < \text{int } p \implies [x \neq 0](\text{mod } p)$ 
  for x :: int
  by (simp add: cong-def)

lemma A-ncong-p:  $x \in A \implies [x \neq 0](\text{mod } p)$ 
  by (rule nonzero-mod-p) (auto simp add: A-def)

lemma A-greater-zero:  $x \in A \implies 0 < x$ 
  by (auto simp add: A-def)

lemma B-ncong-p:  $x \in B \implies [x \neq 0](\text{mod } p)$ 
  by (auto simp: B-def p-prime p-a-relprime A-ncong-p dest: cong-prime-prod-zero-int)

lemma B-greater-zero:  $x \in B \implies 0 < x$ 
  using a-nonzero by (auto simp add: B-def A-greater-zero)

lemma B-mod-greater-zero:
   $0 < x \text{ mod int } p \text{ if } x \in B$ 
proof -
  from that have  $x \text{ mod int } p \neq 0$ 
    using B-ncong-p cong-def cong-mult-self-left by blast
  moreover from that have  $0 < x$ 
    by (rule B-greater-zero)
  then have  $0 \leq x \text{ mod int } p$ 
    by (auto simp add: mod-int-pos-iff intro: neq-le-trans)

```

ultimately show ?thesis
 by simp
 qed

lemma C-greater-zero: $y \in C \implies 0 < y$
 by (auto simp add: C-def B-mod-greater-zero)

lemma F-subset: $F \subseteq \{x. 0 < x \wedge x \leq ((\text{int } p - 1) \text{ div } 2)\}$
 apply (auto simp add: F-def E-def C-def)
 apply (metis p-ge-2 Divides.pos-mod-bound nat-int zless-nat-conj)
 apply (auto intro: p-odd-int)
 done

lemma D-subset: $D \subseteq \{x. 0 < x \wedge x \leq ((p - 1) \text{ div } 2)\}$
 by (auto simp add: D-def C-greater-zero)

lemma F-eq: $F = \{x. \exists y \in A. (x = p - ((y * a) \bmod p) \wedge (\text{int } p - 1) \text{ div } 2 < (y * a) \bmod p)\}$
 by (auto simp add: F-def E-def D-def C-def B-def A-def)

lemma D-eq: $D = \{x. \exists y \in A. (x = (y * a) \bmod p \wedge (y * a) \bmod p \leq (\text{int } p - 1) \text{ div } 2)\}$
 by (auto simp add: D-def C-def B-def A-def)

lemma all-A-relprime:
 coprime x p if $x \in A$
 proof -
 from A-ncong-p [OF that] have $\neg \text{int } p \text{ dvd } x$
 by (simp add: cong-0-iff)
 with p-prime show ?thesis
 by (metis (no-types) coprime-commute prime-imp-coprime prime-nat-int-transfer)
 qed

lemma A-prod-relprime: coprime (prod id A) p
 by (auto intro: prod-coprime-left all-A-relprime)

8.3 Relationships Between Gauss Sets

lemma StandardRes-inj-on-ResSet: $\text{ResSet } m \ X \implies \text{inj-on } (\lambda b. b \bmod m) \ X$
 by (auto simp add: ResSet-def inj-on-def cong-def)

lemma B-card-eq-A: $\text{card } B = \text{card } A$
 using finite-A by (simp add: finite-A B-def inj-on-xa-A card-image)

lemma B-card-eq: $\text{card } B = \text{nat } ((\text{int } p - 1) \text{ div } 2)$
 by (simp add: B-card-eq-A A-card-eq)

lemma F-card-eq-E: $\text{card } F = \text{card } E$
 using finite-E by (simp add: F-def inj-on-pminusx-E card-image)

lemma *C-card-eq-B*: $\text{card } C = \text{card } B$

proof –

have *inj-on* $(\lambda x. x \bmod p) B$

by (*metis SR-B-inj*)

then show *?thesis*

by (*metis C-def card-image*)

qed

lemma *D-E-disj*: $D \cap E = \{\}$

by (*auto simp add: D-def E-def*)

lemma *C-card-eq-D-plus-E*: $\text{card } C = \text{card } D + \text{card } E$

by (*auto simp add: C-eq card-Un-disjoint D-E-disj finite-D finite-E*)

lemma *C-prod-eq-D-times-E*: $\text{prod id } E * \text{prod id } D = \text{prod id } C$

by (*metis C-eq D-E-disj finite-D finite-E inf-commute prod.union-disjoint sup-commute*)

lemma *C-B-zcong-prod*: $[\text{prod id } C = \text{prod id } B] \pmod p$

apply (*auto simp add: C-def*)

apply (*insert finite-B SR-B-inj*)

apply (*drule prod.reindex [of $\lambda x. x \bmod \text{int } p B \text{ id}$]*)

apply *auto*

apply (*rule cong-prod*)

apply (*auto simp add: cong-def*)

done

lemma *F-Un-D-subset*: $(F \cup D) \subseteq A$

by (*intro Un-least subset-trans [OF F-subset] subset-trans [OF D-subset]*) (*auto simp: A-def*)

lemma *F-D-disj*: $(F \cap D) = \{\}$

proof (*auto simp add: F-eq D-eq*)

fix $y z :: \text{int}$

assume $p - (y * a) \bmod p = (z * a) \bmod p$

then have $[(y * a) \bmod p + (z * a) \bmod p = 0] \pmod p$

by (*metis add.commute diff-eq-eq dvd-refl cong-def dvd-eq-mod-eq-0 mod-0*)

moreover have $[y * a = (y * a) \bmod p] \pmod p$

by (*metis cong-def mod-mod-trivial*)

ultimately have $[a * (y + z) = 0] \pmod p$

by (*metis cong-def mod-add-left-eq mod-add-right-eq mult.commute ring-class.ring-distrib(1)*)

with *p-prime a-nonzero p-a-relprime* **have** $a: [y + z = 0] \pmod p$

by (*auto dest!: cong-prime-prod-zero-int*)

assume $b: y \in A$ **and** $c: z \in A$

then have $0 < y + z$

by (*auto simp: A-def*)

moreover from $b c$ *p-eq2* **have** $y + z < p$

by (*auto simp: A-def*)

ultimately show *False*

by (metis a nonzero-mod-p)
qed

lemma *F-Un-D-card*: $\text{card } (F \cup D) = \text{nat } ((p - 1) \text{ div } 2)$

proof –

have $\text{card } (F \cup D) = \text{card } E + \text{card } D$
 by (auto simp add: finite-F finite-D F-D-disj card-Un-disjoint F-card-eq-E)
 then have $\text{card } (F \cup D) = \text{card } C$
 by (simp add: C-card-eq-D-plus-E)
 then show $\text{card } (F \cup D) = \text{nat } ((p - 1) \text{ div } 2)$
 by (simp add: C-card-eq-B B-card-eq)
 qed

lemma *F-Un-D-eq-A*: $F \cup D = A$

using finite-A F-Un-D-subset A-card-eq F-Un-D-card by (auto simp add: card-seteq)

lemma *prod-D-F-eq-prod-A*: $\text{prod id } D * \text{prod id } F = \text{prod id } A$

by (metis F-D-disj F-Un-D-eq-A Int-commute Un-commute finite-D finite-F prod.union-disjoint)

lemma *prod-F-zcong*: $[\text{prod id } F = ((-1) \wedge (\text{card } E)) * \text{prod id } E] \pmod{p}$

proof –

have *FE*: $\text{prod id } F = \text{prod } ((-) \text{ } p) \text{ } E$
 apply (auto simp add: F-def)
 apply (insert finite-E inj-on-pminusx-E)
 apply (drule prod.reindex)
 apply auto
 done
 then have $\forall x \in E. [(p-x) \text{ mod } p = -x] \pmod{p}$
 by (metis cong-def minus-mod-self1 mod-mod-trivial)
 then have $[\text{prod } ((\lambda x. x \text{ mod } p) \circ ((-) \text{ } p)) \text{ } E = \text{prod } (\text{uminus}) \text{ } E] \pmod{p}$
 using finite-E p-ge-2 cong-prod [of $E (\lambda x. x \text{ mod } p) \circ ((-) \text{ } p) \text{ uminus } p]$
 by auto
 then have *two*: $[\text{prod id } F = \text{prod } (\text{uminus}) \text{ } E] \pmod{p}$
 by (metis FE cong-cong-mod-int cong-refl cong-prod minus-mod-self1)
 have $\text{prod uminus } E = (-1) \wedge \text{card } E * \text{prod id } E$
 using finite-E by (induct set: finite) auto
 with *two* show ?thesis
 by simp
 qed

8.4 Gauss' Lemma

lemma *aux*: $\text{prod id } A * (-1) \wedge \text{card } E * a \wedge \text{card } A * (-1) \wedge \text{card } E = \text{prod id } A * a \wedge \text{card } A$

by auto

theorem *pre-gauss-lemma*: $[a \wedge \text{nat}((\text{int } p - 1) \text{ div } 2) = (-1) \wedge (\text{card } E)] \pmod{p}$

proof –

have $[prod\ id\ A = prod\ id\ F * prod\ id\ D](mod\ p)$
by (*auto simp: prod-D-F-eq-prod-A mult.commute cong del: prod.cong-simp*)
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * prod\ id\ E) * prod\ id\ D](mod\ p)$
by (*rule cong-trans*) (*metis cong-scalar-right prod-F-zcong*)
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * prod\ id\ C)](mod\ p)$
using *finite-D finite-E* **by** (*auto simp add: ac-simps C-prod-eq-D-times-E C-eq D-E-disj prod.union-disjoint*)
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * prod\ id\ B)](mod\ p)$
by (*rule cong-trans*) (*metis C-B-zcong-prod cong-scalar-left*)
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * prod\ id\ ((\lambda x. x * a) \cdot A))](mod\ p)$
by (*simp add: B-def*)
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * prod\ (\lambda x. x * a)\ A)](mod\ p)$
by (*simp add: inj-on-xa-A prod.reindex*)
moreover have $prod\ (\lambda x. x * a)\ A = prod\ (\lambda x. a)\ A * prod\ id\ A$
using *finite-A* **by** (*induct set: finite*) *auto*
ultimately have $[prod\ id\ A = ((-1) \wedge (card\ E) * (prod\ (\lambda x. a)\ A * prod\ id\ A))](mod\ p)$
by *simp*
then have $[prod\ id\ A = ((-1) \wedge (card\ E) * a \wedge (card\ A) * prod\ id\ A)](mod\ p)$
by (*rule cong-trans*)
(simp add: cong-scalar-left cong-scalar-right finite-A prod-constant ac-simps)
then have $a: [prod\ id\ A * (-1) \wedge (card\ E) =$
 $((-1) \wedge (card\ E) * a \wedge (card\ A) * prod\ id\ A * (-1) \wedge (card\ E))](mod\ p)$
by (*rule cong-scalar-right*)
then have $[prod\ id\ A * (-1) \wedge (card\ E) = prod\ id\ A *$
 $(-1) \wedge (card\ E) * a \wedge (card\ A) * (-1) \wedge (card\ E)](mod\ p)$
by (*rule cong-trans*) (*simp add: a ac-simps*)
then have $[prod\ id\ A * (-1) \wedge (card\ E) = prod\ id\ A * a \wedge (card\ A)](mod\ p)$
by (*rule cong-trans*) (*simp add: aux cong del: prod.cong-simp*)
with *A-prod-relprime* **have** $[(-1) \wedge (card\ E) = a \wedge (card\ A)](mod\ p)$
by (*metis cong-mult-lcancel*)
then show *?thesis*
by (*simp add: A-card-eq cong-sym*)
qed

theorem *gauss-lemma: Legendre a p = (-1) $\wedge$ (card E)*

proof –

from *euler-criterion p-prime p-ge-2* **have** $[Legendre\ a\ p = a \wedge (nat\ ((p) - 1)\ div\ 2)](mod\ p)$
by *auto*
moreover have $int\ ((p - 1)\ div\ 2) = (int\ p - 1)\ div\ 2$
using *p-eq2* **by** *linarith*
then have $[a \wedge (nat\ (int\ ((p - 1)\ div\ 2)) = a \wedge (nat\ ((int\ p - 1)\ div\ 2))](mod\ int\ p)$
by *force*
ultimately have $[Legendre\ a\ p = (-1) \wedge (card\ E)](mod\ p)$
using *pre-gauss-lemma cong-trans* **by** *blast*
moreover from *p-a-relprime* **have** $Legendre\ a\ p = 1 \vee Legendre\ a\ p = -1$
by (*auto simp add: Legendre-def*)

```

moreover have  $(-1::int) \wedge (\text{card } E) = 1 \vee (-1::int) \wedge (\text{card } E) = -1$ 
using neg-one-even-power neg-one-odd-power by blast
moreover have  $[1 \neq -1] \pmod{int\ p}$ 
using cong-iff-dvd-diff [where 'a=int] nonzero-mod-p[of 2] p-odd-int
by fastforce
ultimately show ?thesis
by (auto simp add: cong-sym)
qed

end

end

```

```

theory Quadratic-Reciprocity
imports Gauss
begin

```

The proof is based on Gauss's fifth proof, which can be found at <https://www.lehigh.edu/~shw2/q-recipe/gauss5.pdf>.

```

locale QR =
  fixes p :: nat
  fixes q :: nat
  assumes p-prime: prime p
  assumes p-ge-2: 2 < p
  assumes q-prime: prime q
  assumes q-ge-2: 2 < q
  assumes pq-neq: p ≠ q
begin

lemma odd-p: odd p
  using p-ge-2 p-prime prime-odd-nat by blast

lemma p-ge-0: 0 < int p
  by (simp add: p-prime prime-gt-0-nat)

lemma p-eq2: int p = (2 * ((int p - 1) div 2)) + 1
  using odd-p by simp

lemma odd-q: odd q
  using q-ge-2 q-prime prime-odd-nat by blast

lemma q-ge-0: 0 < int q
  by (simp add: q-prime prime-gt-0-nat)

lemma q-eq2: int q = (2 * ((int q - 1) div 2)) + 1
  using odd-q by simp

lemma pq-eq2: int p * int q = (2 * ((int p * int q - 1) div 2)) + 1

```

```

using odd-p odd-q by simp

lemma pq-coprime: coprime p q
  using pq-neq p-prime primes-coprime-nat q-prime by blast

lemma pq-coprime-int: coprime (int p) (int q)
  by (simp add: gcd-int-def pq-coprime)

lemma qp-ineq: int p * k ≤ (int p * int q - 1) div 2 ↔ k ≤ (int q - 1) div 2
proof -
  have 2 * int p * k ≤ int p * int q - 1 ↔ 2 * k ≤ int q - 1
    using p-ge-0 by auto
  then show ?thesis by auto
qed

lemma QRqp: QR q p
  using QR-def QR-axioms by simp

lemma pq-commute: int p * int q = int q * int p
  by simp

lemma pq-ge-0: int p * int q > 0
  using p-ge-0 q-ge-0 mult-pos-pos by blast

definition r = ((p - 1) div 2) * ((q - 1) div 2)
definition m = card (GAUSS.E p q)
definition n = card (GAUSS.E q p)

abbreviation Res k ≡ {0 .. k - 1} for k :: int
abbreviation Res-ge-0 k ≡ {0 <.. k - 1} for k :: int
abbreviation Res-0 k ≡ {0::int} for k :: int
abbreviation Res-l k ≡ {0 <.. (k - 1) div 2} for k :: int
abbreviation Res-h k ≡ {(k - 1) div 2 <.. k - 1} for k :: int

abbreviation Sets-pq r0 r1 r2 ≡
  {(x::int). x ∈ r0 (int p * int q) ∧ x mod p ∈ r1 (int p) ∧ x mod q ∈ r2 (int q)}

definition A = Sets-pq Res-l Res-l Res-h
definition B = Sets-pq Res-l Res-h Res-l
definition C = Sets-pq Res-h Res-h Res-l
definition D = Sets-pq Res-l Res-h Res-h
definition E = Sets-pq Res-l Res-0 Res-h
definition F = Sets-pq Res-l Res-h Res-0

definition a = card A
definition b = card B
definition c = card C
definition d = card D
definition e = card E

```

definition $f = \text{card } F$

lemma Gpq : $\text{GAUSS } p \ q$

using $p\text{-prime } pq\text{-neq } p\text{-ge-2 } q\text{-prime}$

by ($\text{auto simp: GAUSS-def cong-iff-dvd-diff dest: primes-dvd-imp-eq}$)

lemma Gqp : $\text{GAUSS } q \ p$

by ($\text{simp add: QRqp QR.Gpq}$)

lemma QR-lemma-01 : $(\lambda x. x \bmod q) \text{ ' } E = \text{GAUSS.E } q \ p$

proof

have $x \in E \longrightarrow x \bmod \text{int } q \in \text{GAUSS.E } q \ p$ **if** $x \in E$ **for** x

proof –

from that obtain k **where** k : $x = \text{int } p * k$

unfolding $E\text{-def}$ **by** blast

from that E-def have $x \in \text{Res-l } (\text{int } p * \text{int } q)$

by blast

then have $k \in \text{GAUSS.A } q$

using $Gqp \text{ GAUSS.A-def } k \text{ qp-ineq}$ **by** ($\text{simp add: zero-less-mult-iff}$)

then have $x \bmod q \in \text{GAUSS.E } q \ p$

using $\text{GAUSS.C-def}[of \ q \ p] \ Gqp \ k \ \text{GAUSS.B-def}[of \ q \ p]$ **that** $\text{GAUSS.E-def}[of \ q \ p]$

by (force simp: E-def)

then show $?thesis$ **by** auto

qed

then show $(\lambda x. x \bmod \text{int } q) \text{ ' } E \subseteq \text{GAUSS.E } q \ p$

by auto

show $\text{GAUSS.E } q \ p \subseteq (\lambda x. x \bmod q) \text{ ' } E$

proof

fix x

assume x : $x \in \text{GAUSS.E } q \ p$

then obtain ka **where** ka : $ka \in \text{GAUSS.A } q \ x = (ka * p) \bmod q$

by ($\text{auto simp: Gqp GAUSS.B-def GAUSS.C-def GAUSS.E-def}$)

then have $ka * p \in \text{Res-l } (\text{int } p * \text{int } q)$

using $Gqp \ p\text{-ge-0 } qp\text{-ineq}$ **by** ($\text{simp add: GAUSS.A-def Groups.mult-ac}(2)$)

then show $x \in (\lambda x. x \bmod q) \text{ ' } E$

using $ka \ x \ Gqp \ q\text{-ge-0}$ **by** ($\text{force simp: E-def GAUSS.E-def}$)

qed

qed

lemma QR-lemma-02 : $e = n$

proof –

have $x = y$ **if** x : $x \in E$ **and** y : $y \in E$ **and** mod : $x \bmod q = y \bmod q$ **for** $x \ y$

proof –

obtain $p\text{-inv}$ **where** $p\text{-inv}$: $[\text{int } p * p\text{-inv} = 1] \ (\bmod \text{int } q)$

using $pq\text{-coprime-int cong-solve-coprime-int}$ **by** blast

from $x \ y \ E\text{-def}$ **obtain** $kx \ ky$ **where** k : $x = \text{int } p * kx \ y = \text{int } p * ky$

using $\text{dvd-def}[of \ p \ x]$ **by** blast

with $x \ y \ E\text{-def}$ **have** $0 < x \bmod p * kx \leq (\text{int } p * \text{int } q - 1) \text{ div } 2$

```

    0 < y int p * ky ≤ (int p * int q - 1) div 2
    using greaterThanAtMost-iff mem-Collect-eq by blast+
  with k have 0 ≤ kx kx < q 0 ≤ ky ky < q
    using qp-ineq by (simp-all add: zero-less-mult-iff)
    moreover from mod k have (p-inv * (p * kx)) mod q = (p-inv * (p * ky))
mod q
    using mod-mult-cong by blast
  then have (p * p-inv * kx) mod q = (p * p-inv * ky) mod q
    by (simp add: algebra-simps)
  then have kx mod q = ky mod q
    using p-inv mod-mult-cong[of p * p-inv q 1]
    by (auto simp: cong-def)
  then have [kx = ky] (mod q)
    unfolding cong-def by blast
  ultimately show ?thesis
    using cong-less-imp-eq-int k by blast
qed
then have inj-on (λx. x mod q) E
  by (auto simp: inj-on-def)
then show ?thesis
  using QR-lemma-01 card-image e-def n-def by fastforce
qed

lemma QR-lemma-03: f = m
proof -
  have F = QR.E q p
    unfolding F-def pq-commute using QRqp QR.E-def[of q p] by fastforce
  then have f = QR.e q p
    unfolding f-def using QRqp QR.e-def[of q p] by presburger
  then show ?thesis
    using QRqp QR.QR-lemma-02 m-def QRqp QR.n-def by presburger
qed

definition f-1 :: int ⇒ int × int
  where f-1 x = ((x mod p), (x mod q))

definition P-1 :: int × int ⇒ int ⇒ bool
  where P-1 res x ⇔ x mod p = fst res ∧ x mod q = snd res ∧ x ∈ Res (int p *
int q)

definition g-1 :: int × int ⇒ int
  where g-1 res = (THE x. P-1 res x)

lemma P-1-lemma:
  fixes res :: int × int
  assumes 0 ≤ fst res fst res < p 0 ≤ snd res snd res < q
  shows ∃!x. P-1 res x
proof -
  obtain y k1 k2 where yk: y = nat (fst res) + k1 * p y = nat (snd res) + k2 *

```

q
using *chinese-remainder*[*of p q*] *pq-coprime p-ge-0 q-ge-0* **by** *fastforce*
have *fst res* = *int (y - k1 * p)*
using $\langle 0 \leq \text{fst res} \rangle$ *yk(1)* **by** *simp*
moreover **have** *snd res* = *int (y - k2 * q)*
using $\langle 0 \leq \text{snd res} \rangle$ *yk(2)* **by** *simp*
ultimately **have** *res*: *res* = (*int (y - k1 * p)*, *int (y - k2 * q)*)
by (*simp add: prod-eq-iff*)
have *y*: $k1 * p \leq y$ $k2 * q \leq y$
using *yk* **by** *simp-all*
from *y* **have** *: [*y* = *nat (fst res)*] (*mod p*) [*y* = *nat (snd res)*] (*mod q*)
by (*auto simp add: res cong-le-nat intro: exI [of - k1] exI [of - k2]*)
from * **have** (*y mod (int p * int q)*) *mod int p* = *fst res* (*y mod (int p * int q)*)
mod int q = *snd res*
using *y* **apply** (*auto simp add: res of-nat-mult [symmetric] of-nat-mod [symmetric]*
mod-mod-cancel simp del: of-nat-mult)
apply (*metis* $\langle \text{fst res} = \text{int (y - k1 * p)} \rangle$ *assms(1)* *assms(2)* *cong-def*
mod-pos-pos-trivial nat-int of-nat-mod)
apply (*metis* $\langle \text{snd res} = \text{int (y - k2 * q)} \rangle$ *assms(3)* *assms(4)* *cong-def*
mod-pos-pos-trivial nat-int of-nat-mod)
done
then obtain *x* **where** *P-1 res x*
unfolding *P-1-def*
using *Divides.pos-mod-bound Divides.pos-mod-sign pq-ge-0* **by** *fastforce*
moreover **have** *a* = *b* **if** *P-1 res a P-1 res b* **for** *a b*
proof –
from *that* **have** *int p * int q dvd a - b*
using *divides-mult[of int p a - b int q] pq-coprime-int mod-eq-dvd-iff [of a -*
b]
unfolding *P-1-def* **by** *force*
with *that* **show** *?thesis*
using *dvd-imp-le-int[of a - b]* **unfolding** *P-1-def* **by** *fastforce*
qed
ultimately **show** *?thesis* **by** *auto*
qed

lemma *g-1-lemma*:

fixes *res* :: *int* $\times$ *int*
assumes $0 \leq \text{fst res}$ $\text{fst res} < p$ $0 \leq \text{snd res}$ $\text{snd res} < q$
shows *P-1 res (g-1 res)*
using *assms P-1-lemma [of res] theI' [of P-1 res] g-1-def*
by *auto*

definition *BuC* = *Sets-pq Res-ge-0 Res-h Res-l*

lemma *finite-BuC [simp]*:

finite BuC

proof –

{

```

fix p q :: nat
have finite {x. 0 < x ∧ x < int p * int q}
  by simp
then have finite {x.
  0 < x ∧
  x < int p * int q ∧
  (int p - 1) div 2
  < x mod int p ∧
  x mod int p < int p ∧
  0 < x mod int q ∧
  x mod int q ≤ (int q - 1) div 2}
  by (auto intro: rev-finite-subset)
}
then show ?thesis
  by (simp add: BuC-def)
qed

lemma QR-lemma-04: card BuC = card (Res-h p × Res-l q)
  using card-bij-eq[of f-1 BuC Res-h p × Res-l q g-1]
proof
  show inj-on f-1 BuC
  proof
    fix x y
    assume *: x ∈ BuC y ∈ BuC f-1 x = f-1 y
    then have int p * int q dvd x - y
      using f-1-def pq-coprime-int divides-mult[of int p x - y int q]
      mod-eq-dvd-iff[of x - y]
    by auto
    with * show x = y
      using dvd-imp-le-int[of x - y int p * int q] unfolding BuC-def by force
  qed
  show inj-on g-1 (Res-h p × Res-l q)
  proof
    fix x y
    assume *: x ∈ Res-h p × Res-l q y ∈ Res-h p × Res-l q g-1 x = g-1 y
    then have 0 ≤ fst x fst x < p 0 ≤ snd x snd x < q
      0 ≤ fst y fst y < p 0 ≤ snd y snd y < q
      using mem-Sigma-iff prod.collapse by fastforce+
    with * show x = y
      using g-1-lemma[of x] g-1-lemma[of y] P-1-def by fastforce
  qed
  show g-1 ‘ (Res-h p × Res-l q) ⊆ BuC
  proof
    fix y
    assume y ∈ g-1 ‘ (Res-h p × Res-l q)
    then obtain x where x: y = g-1 x x ∈ Res-h p × Res-l q
      by blast
    then have P-1 x y
      using g-1-lemma by fastforce
  qed

```

```

    with x show  $y \in \text{BuC}$ 
    unfolding P-1-def BuC-def mem-Collect-eq using SigmaE prod.sel by fast-
force
    qed
qed (auto simp: finite-subset f-1-def, simp-all add: BuC-def)

lemma QR-lemma-05:  $\text{card } (\text{Res-h } p \times \text{Res-l } q) = r$ 
proof -
  have  $\text{card } (\text{Res-l } q) = (q - 1) \text{ div } 2$   $\text{card } (\text{Res-h } p) = (p - 1) \text{ div } 2$ 
  using p-eq2 by force+
  then show ?thesis
  unfolding r-def using card-cartesian-product[of Res-h p Res-l q] by presburger
qed

lemma QR-lemma-06:  $b + c = r$ 
proof -
  have  $B \cap C = \{\}$  finite B finite C  $B \cup C = \text{BuC}$ 
  unfolding B-def C-def BuC-def by fastforce+
  then show ?thesis
  unfolding b-def c-def using card.empty card-Un-Int QR-lemma-04 QR-lemma-05
by fastforce
qed

definition f-2::  $\text{int} \Rightarrow \text{int}$ 
  where f-2  $x = (\text{int } p * \text{int } q) - x$ 

lemma f-2-lemma-1:  $f-2 (f-2 x) = x$ 
  by (simp add: f-2-def)

lemma f-2-lemma-2:  $[f-2 x = \text{int } p - x] \pmod p$ 
  by (simp add: f-2-def cong-iff-dvd-diff)

lemma f-2-lemma-3:  $f-2 x \in S \implies x \in f-2 ' S$ 
  using f-2-lemma-1[of x] image-eqI[of x f-2 f-2 x S] by presburger

lemma QR-lemma-07:
  f-2 '  $\text{Res-l } (\text{int } p * \text{int } q) = \text{Res-h } (\text{int } p * \text{int } q)$ 
  f-2 '  $\text{Res-h } (\text{int } p * \text{int } q) = \text{Res-l } (\text{int } p * \text{int } q)$ 
proof -
  have 1:  $f-2 ' \text{Res-l } (\text{int } p * \text{int } q) \subseteq \text{Res-h } (\text{int } p * \text{int } q)$ 
  by (force simp: f-2-def)
  have 2:  $f-2 ' \text{Res-h } (\text{int } p * \text{int } q) \subseteq \text{Res-l } (\text{int } p * \text{int } q)$ 
  using pq-eq2 by (fastforce simp: f-2-def)
  from 2 have 3:  $\text{Res-h } (\text{int } p * \text{int } q) \subseteq f-2 ' \text{Res-l } (\text{int } p * \text{int } q)$ 
  using f-2-lemma-3 by blast
  from 1 have 4:  $\text{Res-l } (\text{int } p * \text{int } q) \subseteq f-2 ' \text{Res-h } (\text{int } p * \text{int } q)$ 
  using f-2-lemma-3 by blast
  from 1 3 show  $f-2 ' \text{Res-l } (\text{int } p * \text{int } q) = \text{Res-h } (\text{int } p * \text{int } q)$ 
  by blast

```

```

from 2 4 show f-2 ‘  $\text{Res-h } (int\ p * int\ q) = \text{Res-l } (int\ p * int\ q)$ 
  by blast
qed

```

```

lemma QR-lemma-08:
  f-2  $x \bmod p \in \text{Res-l } p \longleftrightarrow x \bmod p \in \text{Res-h } p$ 
  f-2  $x \bmod p \in \text{Res-h } p \longleftrightarrow x \bmod p \in \text{Res-l } p$ 
  using f-2-lemma-2[of x] cong-def[of f-2 x p - x p] minus-mod-self2[of x p]
    zmod-zminus1-eq-if[of x p] p-eq2
  by auto

```

```

lemma QR-lemma-09:
  f-2  $x \bmod q \in \text{Res-l } q \longleftrightarrow x \bmod q \in \text{Res-h } q$ 
  f-2  $x \bmod q \in \text{Res-h } q \longleftrightarrow x \bmod q \in \text{Res-l } q$ 
  using QRqp QR.QR-lemma-08 f-2-def QR.f-2-def pq-commute by auto

```

```

lemma QR-lemma-10:  $a = c$ 
  unfolding a-def c-def
  apply (rule card-bij-eq[of f-2 A C f-2])
  unfolding A-def C-def
  using QR-lemma-07 QR-lemma-08 QR-lemma-09 apply ((simp add: inj-on-def
f-2-def), blast)+
  apply fastforce+
  done

```

```

definition BuD = Sets-pq Res-l Res-h Res-ge-0
definition BuDuF = Sets-pq Res-l Res-h Res

```

```

definition f-3 ::  $int \Rightarrow int \times int$ 
  where f-3  $x = (x \bmod p, x \text{ div } p + 1)$ 

```

```

definition g-3 ::  $int \times int \Rightarrow int$ 
  where g-3  $x = \text{fst } x + (\text{snd } x - 1) * p$ 

```

```

lemma QR-lemma-11:  $\text{card } BuDuF = \text{card } (\text{Res-h } p \times \text{Res-l } q)$ 
  using card-bij-eq[of f-3 BuDuF Res-h p  $\times$  Res-l q g-3]
proof
  show f-3 ‘  $BuDuF \subseteq \text{Res-h } p \times \text{Res-l } q$ 
  proof
    fix y
    assume  $y \in f-3 \text{ ‘ } BuDuF$ 
    then obtain x where  $x: y = f-3\ x$   $x \in BuDuF$ 
    by blast
    then have  $x \leq int\ p * (int\ q - 1) \text{ div } 2 + (int\ p - 1) \text{ div } 2$ 
    unfolding BuDuF-def using p-eq2 int-distrib(4) by auto
    moreover from x have  $(int\ p - 1) \text{ div } 2 \leq -1 + x \bmod p$ 
    by (auto simp: BuDuF-def)
    moreover have  $int\ p * (int\ q - 1) \text{ div } 2 = int\ p * ((int\ q - 1) \text{ div } 2)$ 
    by (subst div-mult1-eq) (simp add: odd-q)

```

then have $p * (\text{int } q - 1) \text{ div } 2 = p * ((\text{int } q + 1) \text{ div } 2 - 1)$
 by *fastforce*
 ultimately have $x \leq p * ((\text{int } q + 1) \text{ div } 2 - 1) - 1 + x \text{ mod } p$
 by *linarith*
 then have $x \text{ div } p < (\text{int } q + 1) \text{ div } 2 - 1$
 using *mult.commute*[of $\text{int } p \ x \ \text{div } p$] *p-ge-0 div-mult-mod-eq*[of $x \ p$]
 and *mult-less-cancel-left-pos*[of $p \ x \ \text{div } p \ (\text{int } q + 1) \ \text{div } 2 - 1$]
 by *linarith*
 moreover from x have $0 < x \text{ div } p + 1$
 using *pos-imp-zdiv-neg-iff*[of $p \ x$] *p-ge-0* by (auto simp: *BuDuF-def*)
 ultimately show $y \in \text{Res-h } p \times \text{Res-l } q$
 using x by (auto simp: *BuDuF-def f-3-def*)
 qed
 show *inj-on* $g\text{-3}$ ($\text{Res-h } p \times \text{Res-l } q$)
 proof
 have *: $f\text{-3 } (g\text{-3 } x) = x$ if $x \in \text{Res-h } p \times \text{Res-l } q$ for x
 proof -
 from that have *: $(\text{fst } x + (\text{snd } x - 1) * \text{int } p) \text{ mod } \text{int } p = \text{fst } x$
 by *force*
 from that have $(\text{fst } x + (\text{snd } x - 1) * \text{int } p) \text{ div } \text{int } p + 1 = \text{snd } x$
 by *auto*
 with * show $f\text{-3 } (g\text{-3 } x) = x$
 by (simp add: *f-3-def g-3-def*)
 qed
 fix $x \ y$
 assume $x \in \text{Res-h } p \times \text{Res-l } q \ y \in \text{Res-h } p \times \text{Res-l } q \ g\text{-3 } x = g\text{-3 } y$
 from this *[of x] *[of y] show $x = y$
 by *presburger*
 qed
 show $g\text{-3 } ' (\text{Res-h } p \times \text{Res-l } q) \subseteq \text{BuDuF}$
 proof
 fix y
 assume $y \in g\text{-3 } ' (\text{Res-h } p \times \text{Res-l } q)$
 then obtain x where $x: x \in \text{Res-h } p \times \text{Res-l } q$ and $y: y = g\text{-3 } x$
 by *blast*
 then have $\text{snd } x \leq (\text{int } q - 1) \text{ div } 2$
 by *force*
 moreover have $\text{int } p * ((\text{int } q - 1) \text{ div } 2) = (\text{int } p * \text{int } q - \text{int } p) \text{ div } 2$
 using *int-distrib*(4) *div-mult1-eq*[of $\text{int } p \ \text{int } q - 1 \ 2$] *odd-q* by *fastforce*
 ultimately have $(\text{snd } x) * \text{int } p \leq (\text{int } q * \text{int } p - \text{int } p) \text{ div } 2$
 using *mult-right-mono*[of $\text{snd } x \ (\text{int } q - 1) \ \text{div } 2 \ p$] *mult.commute*[of $(\text{int } q - 1) \ \text{div } 2 \ p$]
 by *pq-commute*
 by *presburger*
 then have $(\text{snd } x - 1) * \text{int } p \leq (\text{int } q * \text{int } p - 1) \text{ div } 2 - \text{int } p$
 using *p-ge-0 int-distrib*(3) by *auto*
 moreover from x have $\text{fst } x \leq \text{int } p - 1$ by *force*
 ultimately have $\text{fst } x + (\text{snd } x - 1) * \text{int } p \leq (\text{int } p * \text{int } q - 1) \text{ div } 2$
 using *pq-commute* by *linarith*

```

    moreover from  $x$  have  $0 < \text{fst } x \ 0 \leq (\text{snd } x - 1) * p$ 
      by fastforce+
    ultimately show  $y \in \text{BuDuF}$ 
      unfolding BuDuF-def using q-ge-0 x g-3-def y by auto
  qed
  show finite BuDuF unfolding BuDuF-def by fastforce
qed (simp add: inj-on-inverseI[of BuDuF g-3] f-3-def g-3-def QR-lemma-05)+

lemma QR-lemma-12:  $b + d + m = r$ 
proof -
  have  $B \cap D = \{\}$  finite B finite D  $B \cup D = \text{BuD}$ 
    unfolding B-def D-def BuD-def by fastforce+
  then have  $b + d = \text{card BuD}$ 
    unfolding b-def d-def using card-Un-Int by fastforce
  moreover have  $\text{BuD} \cap F = \{\}$  finite BuD finite F
    unfolding BuD-def F-def by fastforce+
  moreover have  $\text{BuD} \cup F = \text{BuDuF}$ 
    unfolding BuD-def F-def BuDuF-def
    using q-ge-0 ivl-disj-un-singleton(5)[of 0 int q - 1] by auto
  ultimately show ?thesis
    using QR-lemma-03 QR-lemma-05 QR-lemma-11 card-Un-disjoint[of BuD F]
    unfolding b-def d-def f-def
    by presburger
  qed

lemma QR-lemma-13:  $a + d + n = r$ 
proof -
  have  $A = \text{QR}.B \ q \ p$ 
    unfolding A-def pq-commute using QRqp QR.B-def[of q p] by blast
  then have  $a = \text{QR}.b \ q \ p$ 
    using a-def QRqp QR.b-def[of q p] by presburger
  moreover have  $D = \text{QR}.D \ q \ p$ 
    unfolding D-def pq-commute using QRqp QR.D-def[of q p] by blast
  then have  $d = \text{QR}.d \ q \ p$ 
    using d-def QRqp QR.d-def[of q p] by presburger
  moreover have  $n = \text{QR}.m \ q \ p$ 
    using n-def QRqp QR.m-def[of q p] by presburger
  moreover have  $r = \text{QR}.r \ q \ p$ 
    unfolding r-def using QRqp QR.r-def[of q p] by auto
  ultimately show ?thesis
    using QRqp QR.QR-lemma-12 by presburger
  qed

lemma QR-lemma-14:  $(-1::\text{int}) \wedge (m + n) = (-1) \wedge r$ 
proof -
  have  $m + n + 2 * d = r$ 
    using QR-lemma-06 QR-lemma-10 QR-lemma-12 QR-lemma-13 by auto
  then show ?thesis
    using power-add[of -1::int m + n 2 * d] by fastforce

```

qed

lemma *Quadratic-Reciprocity*:

Legendre $p \neq q \rightarrow \text{Legendre } q \neq p = (-1::\text{int})^{\wedge((p-1) \text{ div } 2 * ((q-1) \text{ div } 2))}$
using *Gpq Gqp GAUSS.gauss-lemma power-add*[of $-1::\text{int } m \ n$] *QR-lemma-14*
unfolding *r-def m-def n-def* **by** *auto*

end

theorem *Quadratic-Reciprocity*:

assumes *prime* $p \neq 2 < p$ *prime* $q \neq 2 < q$ $p \neq q$
shows *Legendre* $p \neq q \rightarrow \text{Legendre } q \neq p = (-1::\text{int})^{\wedge((p-1) \text{ div } 2 * ((q-1) \text{ div } 2))}$
using *QR.Quadratic-Reciprocity QR-def assms* **by** *blast*

theorem *Quadratic-Reciprocity-int*:

assumes *prime* $(\text{nat } p) \neq 2 < p$ *prime* $(\text{nat } q) \neq 2 < q$ $p \neq q$
shows *Legendre* $p \neq q \rightarrow \text{Legendre } q \neq p = (-1::\text{int})^{\wedge(\text{nat } ((p-1) \text{ div } 2 * ((q-1) \text{ div } 2)))}$
proof –
from *assms* **have** $0 \leq (p-1) \text{ div } 2$ **by** *simp*
moreover **have** $(\text{nat } p - 1) \text{ div } 2 = \text{nat } ((p-1) \text{ div } 2)$ $(\text{nat } q - 1) \text{ div } 2 = \text{nat } ((q-1) \text{ div } 2)$
by *fastforce+*
ultimately **have** $(\text{nat } p - 1) \text{ div } 2 * ((\text{nat } q - 1) \text{ div } 2) = \text{nat } ((p-1) \text{ div } 2 * ((q-1) \text{ div } 2))$
using *nat-mult-distrib* **by** *presburger*
moreover **have** $2 < \text{nat } p \neq 2 < \text{nat } q$ $\text{nat } p \neq \text{nat } q$ $\text{int } (\text{nat } p) = p$ $\text{int } (\text{nat } q) = q$
using *assms* **by** *linarith+*
ultimately **show** *?thesis*
using *Quadratic-Reciprocity*[of $\text{nat } p \ \text{nat } q$] *assms* **by** *presburger*
qed

end

9 Pocklington's Theorem for Primes

theory *Pocklington*

imports *Residues*

begin

9.1 Lemmas about previously defined terms

lemma *prime-nat-iff''*: *prime* $(p::\text{nat}) \longleftrightarrow p \neq 0 \wedge p \neq 1 \wedge (\forall m. 0 < m \wedge m < p \longrightarrow \text{coprime } p \ m)$
apply *(auto simp add: prime-nat-iff)*
apply *(rule coprimeI)*
apply *(auto dest: nat-dvd-not-less simp add: ac-simps)*

```

apply (metis One-nat-def dvd-1-iff-1 dvd-pos-nat gcd-nat.order-iff is-unit-gcd
linorder-neqE-nat nat-dvd-not-less)
done

```

```

lemma finite-number-segment: card { m. 0 < m ∧ m < n } = n - 1
proof -
  have { m. 0 < m ∧ m < n } = {1.. $n$ } by auto
  then show ?thesis by simp
qed

```

9.2 Some basic theorems about solving congruences

```

lemma cong-solve:
  fixes n :: nat
  assumes an: coprime a n
  shows  $\exists x. [a * x = b] \pmod n$ 
proof (cases a = 0)
  case True
    with an show ?thesis
    by (simp add: cong-def)
  next
    case False
    from bezout-add-strong-nat [OF this]
    obtain d x y where dxy: d dvd a d dvd n a * x = n * y + d by blast
    from dxy(1,2) have d1: d = 1
    using assms coprime-common-divisor [of a n d] by simp
    with dxy(3) have a * x * b = (n * y + 1) * b
    by simp
    then have a * (x * b) = n * (y * b) + b
    by (auto simp: algebra-simps)
    then have a * (x * b) mod n = (n * (y * b) + b) mod n
    by simp
    then have a * (x * b) mod n = b mod n
    by (simp add: mod-add-left-eq)
    then have [a * (x * b) = b] (mod n)
    by (simp only: cong-def)
    then show ?thesis by blast
qed

```

```

lemma cong-solve-unique:
  fixes n :: nat
  assumes an: coprime a n and nz: n ≠ 0
  shows  $\exists! x. x < n \wedge [a * x = b] \pmod n$ 
proof -
  from cong-solve[OF an] obtain x where x: [a * x = b] (mod n)
  by blast
  let ?P =  $\lambda x. x < n \wedge [a * x = b] \pmod n$ 
  let ?x = x mod n
  from x have *: [a * ?x = b] (mod n)

```

```

    by (simp add: cong-def mod-mult-right-eq[of a x n])
  from mod-less-divisor[ of n x] nz * have Px: ?P ?x by simp
  have y = ?x if Py: y < n [a * y = b] (mod n) for y
  proof -
    from Py(2) * have [a * y = a * ?x] (mod n)
      by (simp add: cong-def)
    then have [y = ?x] (mod n)
      by (metis an cong-mult-lcancel-nat)
    with mod-less[OF Py(1)] mod-less-divisor[ of n x] nz
    show ?thesis
      by (simp add: cong-def)
  qed
  with Px show ?thesis by blast
qed

```

lemma *cong-solve-unique-nontrivial*:

```

  fixes p :: nat
  assumes p: prime p
    and pa: coprime p a
    and x0: 0 < x
    and xp: x < p
  shows  $\exists!y. 0 < y \wedge y < p \wedge [x * y = a] \pmod p$ 
  proof -
    from pa have ap: coprime a p
      by (simp add: ac-simps)
    from x0 xp p have px: coprime x p
      by (auto simp add: prime-nat-iff'' ac-simps)
    obtain y where y: y < p [x * y = a] (mod p)  $\forall z. z < p \wedge [x * z = a] \pmod p$ 
     $\longrightarrow z = y$ 
      by (metis cong-solve-unique neq0-conv p prime-gt-0-nat px)
    have y  $\neq$  0
    proof
      assume y = 0
      with y(2) have p dvd a
        using cong-dvd-iff by auto
      with not-prime-1 p pa show False
        by (auto simp add: gcd-nat.order-iff)
    qed
    with y show ?thesis
      by blast
  qed

```

lemma *cong-unique-inverse-prime*:

```

  fixes p :: nat
  assumes prime p and 0 < x and x < p
  shows  $\exists!y. 0 < y \wedge y < p \wedge [x * y = 1] \pmod p$ 
    by (rule cong-solve-unique-nontrivial) (use assms in simp-all)

```

lemma *chinese-remainder-coprime-unique*:

```

fixes  $a :: \text{nat}$ 
assumes  $ab: \text{coprime } a \ b$  and  $az: a \neq 0$  and  $bz: b \neq 0$ 
and  $ma: \text{coprime } m \ a$  and  $nb: \text{coprime } n \ b$ 
shows  $\exists!x. \text{coprime } x \ (a * b) \wedge x < a * b \wedge [x = m] \ (\text{mod } a) \wedge [x = n] \ (\text{mod } b)$ 
proof –
let  $?P = \lambda x. x < a * b \wedge [x = m] \ (\text{mod } a) \wedge [x = n] \ (\text{mod } b)$ 
from binary-chinese-remainder-unique-nat[OF  $ab \ az \ bz$ ]
obtain  $x$  where  $x: x < a * b \wedge [x = m] \ (\text{mod } a) \wedge [x = n] \ (\text{mod } b) \ \forall y. ?P \ y \longrightarrow y$ 
 $= x$ 
by blast
from  $ma \ nb \ x$  have  $\text{coprime } x \ a \ \text{coprime } x \ b$ 
using cong-imp-coprime-cong-sym by blast+
then have  $\text{coprime } x \ (a*b)$ 
by simp
with  $x$  show  $?thesis$ 
by blast
qed

```

9.3 Lucas's theorem

```

lemma lucas-coprime-lemma:
fixes  $n :: \text{nat}$ 
assumes  $m: m \neq 0$  and  $am: [a^m = 1] \ (\text{mod } n)$ 
shows  $\text{coprime } a \ n$ 
proof –
consider  $n = 1 \mid n = 0 \mid n > 1$  by arith
then show  $?thesis$ 
proof cases
case 1
then show  $?thesis$  by simp
next
case 2
with  $am \ m$  show  $?thesis$ 
by simp
next
case 3
from  $m$  obtain  $m'$  where  $m': m = \text{Suc } m'$  by (cases m) blast+
have  $d = 1$  if  $d: d \text{ dvd } a \ d \text{ dvd } n$  for  $d$ 
proof –
from  $am \ \text{mod-less}[OF \ \langle n > 1 \rangle]$  have  $am1: a^m \text{ mod } n = 1$ 
by (simp add: cong-def)
from  $\text{dvd-mult2}[OF \ d(1), \text{ of } a^m]$  have  $dam: d \text{ dvd } a^m$ 
by (simp add: m')
from  $\text{dvd-mod-iff}[OF \ d(2), \text{ of } a^m] \ dam \ am1$  show  $?thesis$ 
by simp
qed
then show  $?thesis$ 
by (auto intro: coprimeI)
qed

```

qed

lemma *lucas-weak*:

fixes $n :: \text{nat}$

assumes $n: n \geq 2$

and $an: [a \wedge (n - 1) = 1] \pmod n$

and $nm: \forall m. 0 < m \wedge m < n - 1 \longrightarrow \neg [a \wedge m = 1] \pmod n$

shows *prime* n

proof (*rule totient-imp-prime*)

show *totient* $n = n - 1$

proof (*rule ccontr*)

have $[a \wedge \text{totient } n = 1] \pmod n$

by (*rule euler-theorem, rule lucas-coprime-lemma [of $n - 1$]*) (*use n in auto*)

moreover assume *totient* $n \neq n - 1$

then have *totient* $n > 0$ *totient* $n < n - 1$

using $\langle n \geq 2 \rangle$ **and** *totient-less*[*of* n] **by** *simp-all*

ultimately show *False*

using nm **by** *auto*

qed

qed (*use n in auto*)

lemma *nat-exists-least-iff*: $(\exists (n::\text{nat}). P\ n) \longleftrightarrow (\exists n. P\ n \wedge (\forall m < n. \neg P\ m))$

by (*metis ex-least-nat-le not-less0*)

lemma *nat-exists-least-iff'*: $(\exists (n::\text{nat}). P\ n) \longleftrightarrow P\ (\text{Least } P) \wedge (\forall m < (\text{Least } P). \neg P\ m)$

(*is ?lhs $\longleftrightarrow$?rhs*)

proof

show *?lhs* **if** *?rhs*

using *that* **by** *blast*

show *?rhs* **if** *?lhs*

proof –

from $\langle ?lhs \rangle$ **obtain** n **where** $n: P\ n$ **by** *blast*

let $?x = \text{Least } P$

have $\neg P\ m$ **if** $m < ?x$ **for** m

by (*rule not-less-Least[OF that]*)

with *LeastI-ex*[*OF* $\langle ?lhs \rangle$] **show** *?thesis*

by *blast*

qed

qed

theorem *lucas*:

assumes $n2: n \geq 2$ **and** $an1: [a \wedge (n - 1) = 1] \pmod n$

and $pn: \forall p. \text{prime } p \wedge p \text{ dvd } n - 1 \longrightarrow [a \wedge ((n - 1) \text{ div } p) \neq 1] \pmod n$

shows *prime* n

proof –

from $n2$ **have** $n01: n \neq 0 \wedge n \neq 1 \wedge n - 1 \neq 0$

by *arith+*

```

from mod-less-divisor[of n 1] n01 have onen: 1 mod n = 1
  by simp
from lucas-coprime-lemma[OF n01(3) an1] cong-imp-coprime an1
have an: coprime a n coprime (a ^ (n - 1)) n
  using ⟨n ≥ 2⟩ by simp-all
have False if H0: ∃ m. 0 < m ∧ m < n - 1 ∧ [a ^ m = 1] (mod n) (is ∃ m. ?P
m)
proof -
  from H0[unfolded nat-exists-least-iff[of ?P]] obtain m where
    m: 0 < m < n - 1 [a ^ m = 1] (mod n) ∀ k < m. ¬?P k
  by blast
have False if nm1: (n - 1) mod m > 0
proof -
  from mod-less-divisor[OF m(1)] have th0: (n - 1) mod m < m by blast
  let ?y = a ^ ((n - 1) div m * m)
  note mdeg = div-mult-mod-eq[of (n - 1) m]
  have yn: coprime ?y n
    using an(1) by (cases (n - Suc 0) div m * m = 0) auto
  have ?y mod n = (a ^ m) ^ ((n - 1) div m) mod n
    by (simp add: algebra-simps power-mult)
  also have ... = (a ^ m mod n) ^ ((n - 1) div m) mod n
    using power-mod[of a ^ m n (n - 1) div m] by simp
  also have ... = 1 using m(3)[unfolded cong-def onen] onen
    by (metis power-one)
  finally have *: ?y mod n = 1 .
  have **: [?y * a ^ ((n - 1) mod m) = ?y * 1] (mod n)
    using an1[unfolded cong-def onen] onen
    div-mult-mod-eq[of (n - 1) m, symmetric]
    by (simp add: power-add[symmetric] cong-def * del: One-nat-def)
  have [a ^ ((n - 1) mod m) = 1] (mod n)
    by (metis cong-mult-rcancel-nat mult commute ** yn)
  with m(4)[rule-format, OF th0] nm1
    less-trans[OF mod-less-divisor[OF m(1), of n - 1] m(2)] show ?thesis
    by blast
qed
then have (n - 1) mod m = 0 by auto
then have mn: m dvd n - 1 by presburger
then obtain r where r: n - 1 = m * r
  unfolding dvd-def by blast
from n01 r m(2) have r01: r ≠ 0 r ≠ 1 by auto
obtain p where p: prime p p dvd r
  by (metis prime-factor-nat r01(2))
then have th: prime p ∧ p dvd n - 1
  unfolding r by (auto intro: dvd-mult)
from r have (a ^ ((n - 1) div p)) mod n = (a ^ (m * r div p)) mod n
  by (simp add: power-mult)
also have ... = (a ^ (m * (r div p))) mod n
  using div-mult1-eq[of m r p] p(2)[unfolded dvd-eq-mod-eq-0] by simp
also have ... = ((a ^ m) ^ (r div p)) mod n

```

```

    by (simp add: power-mult)
  also have ... = ((a ^ m mod n) ^ (r div p)) mod n
    using power-mod ..
  also from m(3) onen have ... = 1
    by (simp add: cong-def)
  finally have [(a ^ ((n - 1) div p)) = 1] (mod n)
    using onen by (simp add: cong-def)
  with pn th show ?thesis by blast
qed
then have ∀ m. 0 < m ∧ m < n - 1 ⟶ ¬ [a ^ m = 1] (mod n)
  by blast
then show ?thesis by (rule lucas-weak[OF n2 an1])
qed

```

9.4 Definition of the order of a number mod n

definition $\text{ord } n \ a = (\text{if coprime } n \ a \text{ then Least } (\lambda d. d > 0 \wedge [a ^ d = 1] \ (\text{mod } n)) \text{ else } 0)$

This has the expected properties.

lemma *coprime-ord*:

```

  fixes n::nat
  assumes coprime n a
  shows ord n a > 0 ∧ [a ^ (ord n a) = 1] (mod n) ∧ (∀ m. 0 < m ∧ m < ord n
a ⟶ [a ^ m ≠ 1] (mod n))
proof-
  let ?P = λd. 0 < d ∧ [a ^ d = 1] (mod n)
  from bigger-prime[of a] obtain p where p: prime p a < p
    by blast
  from assms have o: ord n a = Least ?P
    by (simp add: ord-def)
  have ex: ∃ m > 0. ?P m
  proof (cases n ≥ 2)
    case True
    moreover from assms have coprime a n
      by (simp add: ac-simps)
    then have [a ^ totient n = 1] (mod n)
      by (rule euler-theorem)
    ultimately show ?thesis
      by (auto intro: exI [where x = totient n])
  next
    case False
    then have n = 0 ∨ n = 1
      by auto
    with assms show ?thesis
      by auto
  qed
from nat-exists-least-iff'[of ?P] ex assms show ?thesis
  unfolding o[symmetric] by auto

```

qed

With the special value 0 for non-coprime case, it's more convenient.

lemma *ord-works*: $[a \wedge (\text{ord } n \ a) = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n \ a \longrightarrow \neg [a \wedge m = 1] \pmod n)$
for $n :: \text{nat}$
by (*cases coprime n a*) (*use coprime-ord[of n a]* **in** $\langle \text{auto simp add: ord-def cong-def} \rangle$)

lemma *ord*: $[a \wedge (\text{ord } n \ a) = 1] \pmod n$
for $n :: \text{nat}$
using *ord-works* **by** *blast*

lemma *ord-minimal*: $0 < m \implies m < \text{ord } n \ a \implies \neg [a \wedge m = 1] \pmod n$
for $n :: \text{nat}$
using *ord-works* **by** *blast*

lemma *ord-eq-0*: $\text{ord } n \ a = 0 \longleftrightarrow \neg \text{coprime } n \ a$
for $n :: \text{nat}$
by (*cases coprime n a*) (*simp add: coprime-ord, simp add: ord-def*)

lemma *divides-rexp*: $x \text{ dvd } y \implies x \text{ dvd } (y \wedge \text{Suc } n)$
for $x \ y :: \text{nat}$
by (*simp add: dvd-mult2[of x y]*)

lemma *ord-divides*: $[a \wedge d = 1] \pmod n \longleftrightarrow \text{ord } n \ a \text{ dvd } d$
(is ?lhs $\longleftrightarrow$?rhs)
for $n :: \text{nat}$

proof

assume *?rhs*
then obtain k **where** $d = \text{ord } n \ a * k$
unfolding *dvd-def* **by** *blast*
then have $[a \wedge d = (a \wedge (\text{ord } n \ a) \pmod n) \wedge k] \pmod n$
by (*simp add: cong-def power-mult power-mod*)
also have $[(a \wedge (\text{ord } n \ a) \pmod n) \wedge k = 1] \pmod n$
using *ord[of a n, unfolded cong-def]*
by (*simp add: cong-def power-mod*)
finally show *?lhs* .

next

assume *?lhs*
show *?rhs*
proof (*cases coprime n a*)
case *prem: False*
then have $o: \text{ord } n \ a = 0$ **by** (*simp add: ord-def*)
show *?thesis*
proof (*cases d*)
case 0
with o *prem* **show** *?thesis* **by** (*simp add: cong-def*)
next

```

    case (Suc d')
    then have d0:  $d \neq 0$  by simp
    from prem obtain p where p:  $p \text{ dvd } n \wedge p \text{ dvd } a \wedge p \neq 1$ 
      by (auto elim: not-coprimeE)
    from ⟨?lhs⟩ obtain q1 q2 where q12:  $a^d + n * q1 = 1 + n * q2$ 
      using prem d0 lucas-coprime-lemma
      by (auto elim: not-coprimeE simp add: ac-simps)
    then have  $a^d + n * q1 - n * q2 = 1$  by simp
    with dvd-diff-nat [OF dvd-add [OF divides-rexp]] dvd-mult2 Suc p have p
dvd 1
      by metis
    with p(3) have False by simp
    then show ?thesis ..
  qed
next
  case H: True
  let ?o = ord n a
  let ?q = d div ord n a
  let ?r = d mod ord n a
  have eqo:  $[(a^{?o})^{?q} = 1] \pmod n$ 
    using cong-pow ord-works by fastforce
  from H have onz:  $?o \neq 0$  by (simp add: ord-eq-0)
  then have opos:  $?o > 0$  by simp
  from div-mult-mod-eq[of d ord n a] ⟨?lhs⟩
  have  $[a^{(?o * ?q + ?r)} = 1] \pmod n$ 
    by (simp add: cong-def mult.commute)
  then have  $[(a^{?o})^{?q} * (a^{?r}) = 1] \pmod n$ 
    by (simp add: cong-def power-mult[symmetric] power-add[symmetric])
  then have th:  $[a^{?r} = 1] \pmod n$ 
    using eqo mod-mult-left-eq[of  $(a^{?o})^{?q} a^{?r} n$ ]
    by (simp add: cong-def del: One-nat-def) (metis mod-mult-left-eq nat-mult-1)
  show ?thesis
  proof (cases ?r = 0)
    case True
    then show ?thesis by (simp add: dvd-eq-mod-eq-0)
  next
    case False
    with mod-less-divisor[OF opos, of d] have r0o:  $?r > 0 \wedge ?r < ?o$  by simp
    from conjunct2[OF ord-works[of a n], rule-format, OF r0o] th
    show ?thesis by blast
  qed
qed
qed
qed

lemma order-divides-totient:
  ord n a dvd totient n if coprime n a
  using that euler-theorem [of a n]
  by (simp add: ord-divides [symmetric] ac-simps)

```

```

lemma order-divides-expdiff:
  fixes n::nat and a::nat assumes na: coprime n a
  shows [ad = ae] (mod n)  $\longleftrightarrow$  [d = e] (mod (ord n a))
proof -
  have th: [ad = ae] (mod n)  $\longleftrightarrow$  [d = e] (mod (ord n a))
    if na: coprime n a and ed: (e::nat)  $\leq$  d
    for n a d e :: nat
  proof -
    from na ed have  $\exists c. d = e + c$  by presburger
    then obtain c where c: d = e + c ..
    from na have an: coprime a n
      by (simp add: ac-simps)
    then have aen: coprime (ae) n
      by (cases e > 0) simp-all
    from an have acn: coprime (ac) n
      by (cases c > 0) simp-all
    from c have [ad = ae] (mod n)  $\longleftrightarrow$  [ae+c = ae+0] (mod n)
      by simp
    also have ...  $\longleftrightarrow$  [ae*ac = ae*a0] (mod n) by (simp add: power-add)
    also have ...  $\longleftrightarrow$  [ae*ac = 1] (mod n)
      using cong-mult-lcancel-nat [OF aen, of ac a0] by simp
    also have ...  $\longleftrightarrow$  ord n a dvd c
      by (simp only: ord-divides)
    also have ...  $\longleftrightarrow$  [e + c = e + 0] (mod ord n a)
      by (auto simp add: cong-altdef-nat)
    finally show ?thesis
      using c by simp
  qed
qed
consider e  $\leq$  d | d  $\leq$  e by arith
then show ?thesis
proof cases
  case 1
  with na show ?thesis by (rule th)
next
  case 2
  from th[OF na this] show ?thesis
    by (metis cong-sym)
qed
qed

lemma ord-not-coprime [simp]:  $\neg$ coprime n a  $\implies$  ord n a = 0
  by (simp add: ord-def)

lemma ord-1 [simp]: ord 1 n = 1
proof -
  have (LEAST k. k > 0) = (1 :: nat)
    by (rule Least-equality) auto
  thus ?thesis by (simp add: ord-def)
qed

```

```

lemma ord-1-right [simp]: ord (n::nat) 1 = 1
  using ord-divides[of 1 1 n] by simp

lemma ord-Suc-0-right [simp]: ord (n::nat) (Suc 0) = 1
  using ord-divides[of 1 1 n] by simp

lemma ord-0-nat [simp]: ord 0 (n :: nat) = (if n = 1 then 1 else 0)
proof -
  have (LEAST k. k > 0) = (1 :: nat)
    by (rule Least-equality) auto
  thus ?thesis by (auto simp: ord-def)
qed

lemma ord-0-right-nat [simp]: ord (n :: nat) 0 = (if n = 1 then 1 else 0)
proof -
  have (LEAST k. k > 0) = (1 :: nat)
    by (rule Least-equality) auto
  thus ?thesis by (auto simp: ord-def)
qed

lemma ord-divides': [a ^ d = Suc 0] (mod n) = (ord n a dvd d)
  using ord-divides[of a d n] by simp

lemma ord-Suc-0 [simp]: ord (Suc 0) n = 1
  using ord-1[where 'a = nat'] by (simp del: ord-1)

lemma ord-mod [simp]: ord n (k mod n) = ord n k
  by (cases n = 0) (auto simp add: ord-def cong-def power-mod)

lemma ord-gt-0-iff [simp]: ord (n::nat) x > 0  $\longleftrightarrow$  coprime n x
  using ord-eq-0[of n x] by auto

lemma ord-eq-Suc-0-iff: ord n (x::nat) = Suc 0  $\longleftrightarrow$  [x = 1] (mod n)
  using ord-divides[of x 1 n] by (auto simp: ord-divides')

lemma ord-cong:
  assumes [k1 = k2] (mod n)
  shows ord n k1 = ord n k2
proof -
  have ord n (k1 mod n) = ord n (k2 mod n)
    by (simp only: assms[unfolded cong-def])
  thus ?thesis by simp
qed

lemma ord-nat-code [code-unfold]:
  ord n a =
    (if n = 0 then if a = 1 then 1 else 0 else
     if coprime n a then Min (Set.filter ( $\lambda k$ . [a ^ k = 1] (mod n)) {0<..n}) else

```

```

0)
proof (cases coprime n a ∧ n > 0)
  case True
    define A where A = {k ∈ {0 < .. n}. [a ^ k = 1] (mod n)}
    define k where k = (LEAST k. k > 0 ∧ [a ^ k = 1] (mod n))
    have totient: totient n ∈ A
      using euler-theorem[of a n] True
      by (auto simp: A-def coprime-commute intro!: Nat.gr0I totient-le)
    moreover have finite A by (auto simp: A-def)
    ultimately have *: Min A ∈ A and ∀ y. y ∈ A ⟶ Min A ≤ y
      by (auto intro: Min-in)

    have k > 0 ∧ [a ^ k = 1] (mod n)
      unfolding k-def by (rule LeastI[of - totient n]) (use totient in ⟨auto simp:
A-def⟩)
    moreover have k ≤ totient n
      unfolding k-def by (intro Least-le) (use totient in ⟨auto simp: A-def⟩)
    ultimately have k ∈ A using totient-le[of n] by (auto simp: A-def)
    hence Min A ≤ k by (intro Min-le) (auto simp: ⟨finite A⟩)
    moreover from * have k ≤ Min A
      unfolding k-def by (intro Least-le) (auto simp: A-def)
    ultimately show ?thesis using True by (simp add: ord-def k-def A-def Set.filter-def)
qed auto

theorem ord-modulus-mult-coprime:
  fixes x :: nat
  assumes coprime m n
  shows ord (m * n) x = lcm (ord m x) (ord n x)
proof (intro dvd-antisym)
  have [x ^ lcm (ord m x) (ord n x) = 1] (mod (m * n))
    using assms by (intro coprime-cong-mult-nat assms) (auto simp: ord-divides')
  thus ord (m * n) x dvd lcm (ord m x) (ord n x)
    by (simp add: ord-divides')
next
  show lcm (ord m x) (ord n x) dvd ord (m * n) x
  proof (intro lcm-least)
    show ord m x dvd ord (m * n) x
      using cong-modulus-mult-nat[of x ^ ord (m * n) x 1 m n] assms
      by (simp add: ord-divides')
    show ord n x dvd ord (m * n) x
      using cong-modulus-mult-nat[of x ^ ord (m * n) x 1 n m] assms
      by (simp add: ord-divides' mult.commute)
  qed
qed

corollary ord-modulus-prod-coprime:
  assumes finite A ∧ i j. i ∈ A ⟹ j ∈ A ⟹ i ≠ j ⟹ coprime (f i) (f j)
  shows ord (∏ i ∈ A. f i :: nat) x = (LCM i ∈ A. ord (f i) x)
  using assms by (induction A rule: finite-induct)

```

(simp, simp, subst ord-modulus-mult-coprime, auto intro!: prod-coprime-right)

lemma ord-power-aux:

fixes $m\ x\ k\ a :: \text{nat}$

defines $l \equiv \text{ord } m\ a$

shows $\text{ord } m\ (a \wedge^k) * \text{gcd } k\ l = l$

proof (rule dvd-antisym)

have $[a \wedge^{\text{lcm } k\ l} = 1] \pmod m$

unfolding ord-divides by (simp add: l-def)

also have $\text{lcm } k\ l = k * (l \text{ div } \text{gcd } k\ l)$

by (simp add: lcm-nat-def div-mult-swap)

finally have $\text{ord } m\ (a \wedge^k) \text{ dvd } l \text{ div } \text{gcd } k\ l$

unfolding ord-divides [symmetric] by (simp add: power-mult [symmetric])

thus $\text{ord } m\ (a \wedge^k) * \text{gcd } k\ l \text{ dvd } l$

by (cases $l = 0$) (auto simp: dvd-div-iff-mult)

have $[(a \wedge^k) \wedge^{\text{ord } m\ (a \wedge^k)} = 1] \pmod m$

by (rule ord)

also have $(a \wedge^k) \wedge^{\text{ord } m\ (a \wedge^k)} = a \wedge^{(k * \text{ord } m\ (a \wedge^k))}$

by (simp add: power-mult)

finally have $\text{ord } m\ a \text{ dvd } k * \text{ord } m\ (a \wedge^k)$

by (simp add: ord-divides')

hence $l \text{ dvd } \text{gcd } (k * \text{ord } m\ (a \wedge^k))\ (l * \text{ord } m\ (a \wedge^k))$

by (intro gcd-greatest dvd-triv-left) (auto simp: l-def ord-divides')

also have $\text{gcd } (k * \text{ord } m\ (a \wedge^k))\ (l * \text{ord } m\ (a \wedge^k)) = \text{ord } m\ (a \wedge^k) * \text{gcd } k\ l$

by (subst gcd-mult-distrib-nat) (auto simp: mult-ac)

finally show $l \text{ dvd } \text{ord } m\ (a \wedge^k) * \text{gcd } k\ l$.

qed

theorem ord-power: $\text{coprime } m\ a \implies \text{ord } m\ (a \wedge^k :: \text{nat}) = \text{ord } m\ a \text{ div } \text{gcd } k\ (\text{ord } m\ a)$

using ord-power-aux[of $m\ a\ k$] by (metis div-mult-self-is-m gcd-pos-nat ord-eq-0)

lemma inj-power-mod:

assumes $\text{coprime } n\ (a :: \text{nat})$

shows $\text{inj-on } (\lambda k. a \wedge^k \text{ mod } n) \{..<\text{ord } n\ a\}$

proof

fix $k\ l$ assume *: $k \in \{..<\text{ord } n\ a\}\ l \in \{..<\text{ord } n\ a\}\ a \wedge^k \text{ mod } n = a \wedge^l \text{ mod } n$

have $k = l$ if $k < l < \text{ord } n\ a$ $[a \wedge^k = a \wedge^l] \pmod n$ for $k\ l$

proof -

have $l = k + (l - k)$ using that by simp

also have $a \wedge^{\dots} = a \wedge^k * a \wedge^{(l - k)}$

by (simp add: power-add)

also have $[.. = a \wedge^l * a \wedge^{(l - k)}] \pmod n$

using that by (intro cong-mult) auto

finally have $[a \wedge^l * a \wedge^{(l - k)} = a \wedge^l * 1] \pmod n$

by (simp add: cong-sym-eq)

with asms have $[a \wedge^{(l - k)} = 1] \pmod n$

by (subst (asm) cong-mult-lcancel-nat) (auto simp: coprime-commute)

hence $\text{ord } n \text{ a dvd } l - k$
 by (simp add: ord-divides')
 from dvd-imp-le[OF this] and $\langle l < \text{ord } n \text{ a} \rangle$ have $l - k = 0$
 by (cases $l - k = 0$) auto
 with $\langle k < l \rangle$ show $k = l$ by simp
 qed
 from this[of k l] and this[of l k] and * show $k = l$
 by (cases k l rule: linorder-cases) (auto simp: cong-def)
 qed

lemma ord-eq-2-iff: $\text{ord } n (x :: \text{nat}) = 2 \longleftrightarrow [x \neq 1] \pmod{n} \wedge [x^2 = 1] \pmod{n}$

proof

assume $x: [x \neq 1] \pmod{n} \wedge [x^2 = 1] \pmod{n}$
 hence coprime n x
 by (metis coprime-commute lucas-coprime-lemma zero-neq-numeral)
 with x have $\text{ord } n \text{ x dvd } 2$ $\text{ord } n \text{ x} \neq 1$ $\text{ord } n \text{ x} > 0$
 by (auto simp: ord-divides' ord-eq-Suc-0-iff)
 thus $\text{ord } n \text{ x} = 2$ by (auto dest!: dvd-imp-le simp del: ord-gt-0-iff)
 qed (use ord-divides[of - 2] ord-divides[of - 1] in auto)

lemma square-mod-8-eq-1-iff: $[x^2 = 1] \pmod{8} \longleftrightarrow \text{odd } (x :: \text{nat})$

proof -

have $[x^2 = 1] \pmod{8} \longleftrightarrow ((x \bmod 8)^2 \bmod 8 = 1)$
 by (simp add: power-mod cong-def)
 also have $\dots \longleftrightarrow x \bmod 8 \in \{1, 3, 5, 7\}$
 proof
 assume $x: (x \bmod 8)^2 \bmod 8 = 1$
 have $x \bmod 8 \in \{..<8\}$ by simp
 also have $\{..<8\} = \{0, 1, 2, 3, 4, 5, 6, 7::\text{nat}\}$
 by (simp add: lessThan-nat-numeral lessThan-Suc insert-commute)
 finally have $x\text{-cases}: x \bmod 8 \in \{0, 1, 2, 3, 4, 5, 6, 7\}$.
 from x have $x \bmod 8 \notin \{0, 2, 4, 6\}$
 using x by (auto intro: Nat.gr0I)
 with $x\text{-cases}$ show $x \bmod 8 \in \{1, 3, 5, 7\}$ by simp
 qed auto
 also have $\dots \longleftrightarrow \text{odd } (x \bmod 8)$
 by (auto elim!: oddE)
 also have $\dots \longleftrightarrow \text{odd } x$
 by presburger
 finally show ?thesis .
 qed

lemma ord-twopow-aux:

assumes $k \geq 3$ and $\text{odd } (x :: \text{nat})$
 shows $[x \wedge (2 \wedge (k - 2)) = 1] \pmod{(2 \wedge k)}$
 using assms(1)
 proof (induction k rule: dec-induct)
 case base

```

from assms have  $[x^2 = 1] \pmod{8}$ 
  by (subst square-mod-8-eq-1-iff) auto
thus ?case by simp
next
  case (step k)
  define  $k'$  where  $k' = k - 2$ 
  have  $k: k = \text{Suc} (\text{Suc } k')$ 
    using  $\langle k \geq 3 \rangle$  by (simp add: k'-def)
  from  $\langle k \geq 3 \rangle$  have  $2 * k \geq \text{Suc } k$  by presburger

  from  $\langle \text{odd } x \rangle$  have  $x > 0$  by (intro Nat.gr0I) auto
  from step.IH have  $2^k \text{ dvd } (x^{2^{k-2}} - 1)$ 
    by (rule cong-to-1-nat)
  then obtain  $t$  where  $x^{2^{k-2}} - 1 = t * 2^k$ 
    by auto
  hence  $x^{2^{k-2}} = t * 2^k + 1$ 
    by (metis  $\langle 0 < x \rangle$  add.commute add-diff-inverse-nat less-one neq0-conv power-eq-0-iff)
  hence  $(x^{2^{k-2}})^2 = (t * 2^k + 1)^2$ 
    by (rule arg-cong)
  hence  $((x^{2^{k-2}}))^2 = (t * 2^k + 1)^2 \pmod{2^{\text{Suc } k}}$ 
    by simp
  also have  $(x^{2^{k-2}})^2 = x^{2^{k-1}}$ 
    by (simp-all add: power-even-eq[symmetric] power-mult k)
  also have  $(t * 2^k + 1)^2 = t^2 * 2^{2k} + t * 2^{\text{Suc } k} + 1$ 
    by (subst power2-eq-square)
    (auto simp: algebra-simps k power2-eq-square[of t]
      power-even-eq[symmetric] power-add [symmetric])
  also have  $[\dots = 0 + 0 + 1] \pmod{2^{\text{Suc } k}}$ 
    using  $\langle 2 * k \geq \text{Suc } k \rangle$ 
    by (intro cong-add)
    (auto simp: cong-0-iff intro: dvd-mult[OF le-imp-power-dvd] simp del: power-Suc)
  finally show ?case by simp
qed

lemma ord-twopow-3-5:
  assumes  $k \geq 3 \ x \text{ mod } 8 \in \{3, 5 :: \text{nat}\}$ 
  shows  $\text{ord } (2^k) \ x = 2^{k-2}$ 
  using assms(1)
proof (induction k rule: less-induct)
  have  $x \text{ mod } 8 = 3 \vee x \text{ mod } 8 = 5$  using assms by auto
  hence odd x by presburger
  case (less k)
  from  $\langle k \geq 3 \rangle$  consider  $k = 3 \mid k = 4 \mid k \geq 5$  by force
  thus ?case
  proof cases
    case 1
    thus ?thesis using assms
    by (auto simp: ord-eq-2-iff cong-def simp flip: power-mod[of x])
  next

```

case 2
 from *assms* have $x \bmod 8 = 3 \vee x \bmod 8 = 5$ by *auto*
 hence $x': x \bmod 16 = 3 \vee x \bmod 16 = 5 \vee x \bmod 16 = 11 \vee x \bmod 16 = 13$
 using *mod-double-modulus*[of 8 *x*] by *auto*
 hence $[x^4 = 1] \pmod{16}$ using *assms*
 by (*auto simp: cong-def simp flip: power-mod*[of *x*])
 hence $\text{ord } 16 \ x \ \text{dvd } 2^2$ by (*simp add: ord-divides'*)
 then obtain *l* where $\text{ord } 16 \ x = 2^l$ $l \leq 2$
 by (*subst (asm) divides-primelow-nat*) *auto*

 have $[x^2 \neq 1] \pmod{16}$
 using *x'* by (*auto simp: cong-def simp flip: power-mod*[of *x*])
 hence $\neg \text{ord } 16 \ x \ \text{dvd } 2$ by (*simp add: ord-divides'*)
 with *l* have $l = 2$
 using *le-imp-power-dvd*[of *l* 1 2] by (*cases l ≤ 1*) *auto*
 with *l* show ?thesis by (*simp add: ‹k = 4›*)
 next
 case 3
 define *k'* where $k' = k - 2$
 have *k'*: $k' \geq 2$ and [*simp*]: $k = \text{Suc } (\text{Suc } k')$
 using 3 by (*simp-all add: k'-def*)
 have *IH*: $\text{ord } (2^{k'}) \ x = 2^{(k' - 2)} \ \text{ord } (2^{\text{Suc } k'}) \ x = 2^{(k' - 1)}$
 using *less.IH*[of *k'*] *less.IH*[of *Suc k'*] 3 by *simp-all*
 from *IH* have *cong*: $[x^{(2^{(k' - 2)})} = 1] \pmod{2^{k'}}$
 by (*simp-all add: ord-divides'*)
 have *notcong*: $[x^{(2^{(k' - 2)})} \neq 1] \pmod{2^{\text{Suc } k'}}$
 proof
 assume $[x^{(2^{(k' - 2)})} = 1] \pmod{2^{\text{Suc } k'}}$
 hence $\text{ord } (2^{\text{Suc } k'}) \ x \ \text{dvd } 2^{(k' - 2)}$
 by (*simp add: ord-divides'*)
 also have $\text{ord } (2^{\text{Suc } k'}) \ x = 2^{(k' - 1)}$
 using *IH* by *simp*
 finally have $k' - 1 \leq k' - 2$
 by (*rule power-dvd-imp-le*) *auto*
 with $k' \geq 2$ show False by *simp*
 qed

 have $2^{k'} + 1 < 2^{k'} + (2^{k'} :: \text{nat})$
 using *one-less-power*[of $2 :: \text{nat } k'$] *k'* by (*intro add-strict-left-mono*) *auto*
 with *cong notcong* have *cong'*: $x^{(2^{(k' - 2)})} \bmod 2^{\text{Suc } k'} = 1 + 2^{k'}$
 using *mod-double-modulus*[of $2^{k'} \ x \ 2^{(k' - 2)}$] *k'* by (*auto simp: cong-def*)

 hence $x^{(2^{(k' - 2)})} \bmod 2^k = 1 + 2^{k'} \vee$
 $x^{(2^{(k' - 2)})} \bmod 2^k = 1 + 2^{k'} + 2^{\text{Suc } k'}$
 using *mod-double-modulus*[of $2^{\text{Suc } k'} \ x \ 2^{(k' - 2)}$] by *auto*
 hence *eq*: $[x^{2^{(k' - 1)}} = 1 + 2^{(k - 1)}] \pmod{2^k}$
 proof
 assume *: $x^{(2^{(k' - 2)})} \bmod (2^k) = 1 + 2^{k'}$

have $[x \wedge (2 \wedge (k' - 2)) = x \wedge (2 \wedge (k' - 2)) \bmod 2 \wedge k] \text{ (mod } 2 \wedge k)$
 by *simp*
 also have $[x \wedge (2 \wedge (k' - 2)) \bmod (2 \wedge k) = 1 + 2 \wedge k'] \text{ (mod } 2 \wedge k)$
 by *(subst *) auto*
 finally have $[(x \wedge 2 \wedge (k' - 2)) \wedge 2 = (1 + 2 \wedge k') \wedge 2] \text{ (mod } 2 \wedge k)$
 by *(rule cong-pow)*
 hence $[x \wedge 2 \wedge \text{Suc } (k' - 2) = (1 + 2 \wedge k') \wedge 2] \text{ (mod } 2 \wedge k)$
 by *(simp add: power-mult [symmetric] power-Suc2 [symmetric] del: power-Suc)*
 also have $\text{Suc } (k' - 2) = k' - 1$
 using k' by *simp*
 also have $(1 + 2 \wedge k' :: \text{nat})^2 = 1 + 2 \wedge (k - 1) + 2 \wedge (2 * k')$
 by *(subst power2-eq-square) (simp add: algebra-simps flip: power-add)*
 also have $(2 \wedge k :: \text{nat}) \text{ dvd } 2 \wedge (2 * k')$
 using k' by *(intro le-imp-power-dvd) auto*
 hence $[1 + 2 \wedge (k - 1) + 2 \wedge (2 * k') = 1 + 2 \wedge (k - 1) + (0 :: \text{nat})] \text{ (mod } 2 \wedge k)$
 by *(intro cong-add) (auto simp: cong-0-iff)*
 finally show $[x \wedge 2 \wedge (k' - 1) = 1 + 2 \wedge (k - 1)] \text{ (mod } 2 \wedge k)$
 by *simp*
 next
 assume *: $x \wedge (2 \wedge (k' - 2)) \bmod 2 \wedge k = 1 + 2 \wedge k' + 2 \wedge \text{Suc } k'$
 have $[x \wedge (2 \wedge (k' - 2)) = x \wedge (2 \wedge (k' - 2)) \bmod 2 \wedge k] \text{ (mod } 2 \wedge k)$
 by *simp*
 also have $[x \wedge (2 \wedge (k' - 2)) \bmod (2 \wedge k) = 1 + 3 * 2 \wedge k'] \text{ (mod } 2 \wedge k)$
 by *(subst *) auto*
 finally have $[(x \wedge 2 \wedge (k' - 2)) \wedge 2 = (1 + 3 * 2 \wedge k') \wedge 2] \text{ (mod } 2 \wedge k)$
 by *(rule cong-pow)*
 hence $[x \wedge 2 \wedge \text{Suc } (k' - 2) = (1 + 3 * 2 \wedge k') \wedge 2] \text{ (mod } 2 \wedge k)$
 by *(simp add: power-mult [symmetric] power-Suc2 [symmetric] del: power-Suc)*
 also have $\text{Suc } (k' - 2) = k' - 1$
 using k' by *simp*
 also have $(1 + 3 * 2 \wedge k' :: \text{nat})^2 = 1 + 2 \wedge (k - 1) + 2 \wedge k + 9 * 2 \wedge (2 * k')$
 by *(subst power2-eq-square) (simp add: algebra-simps flip: power-add)*
 also have $(2 \wedge k :: \text{nat}) \text{ dvd } 9 * 2 \wedge (2 * k')$
 using k' by *(intro dvd-mult le-imp-power-dvd) auto*
 hence $[1 + 2 \wedge (k - 1) + 2 \wedge k + 9 * 2 \wedge (2 * k') = 1 + 2 \wedge (k - 1) + 0 + (0 :: \text{nat})] \text{ (mod } 2 \wedge k)$
 by *(intro cong-add) (auto simp: cong-0-iff)*
 finally show $[x \wedge 2 \wedge (k' - 1) = 1 + 2 \wedge (k - 1)] \text{ (mod } 2 \wedge k)$
 by *simp*
 qed

 have *notcong'*: $[x \wedge 2 \wedge (k - 3) \neq 1] \text{ (mod } 2 \wedge k)$
 proof
 assume $[x \wedge 2 \wedge (k - 3) = 1] \text{ (mod } 2 \wedge k)$
 hence $[x \wedge 2 \wedge (k' - 1) - x \wedge 2 \wedge (k' - 1) = 1 + 2 \wedge (k - 1) - 1] \text{ (mod } 2 \wedge k)$

```

    by (intro cong-diff-nat eq) auto
  hence  $[2^{\wedge}(k-1) = (0 :: \text{nat})] \pmod{2^{\wedge}k}$ 
    by (simp add: cong-sym-eq)
  hence  $2^{\wedge}k \text{ dvd } 2^{\wedge}(k-1)$ 
    by (simp add: cong-0-iff)
  hence  $k \leq k-1$ 
    by (rule power-dvd-imp-le) auto
  thus False by simp
qed

have  $[x^{\wedge}2^{\wedge}(k-2) = 1] \pmod{2^{\wedge}k}$ 
  using ord-twopow-aux[of k x] <odd x> <k ≥ 3> by simp
hence  $\text{ord } (2^{\wedge}k) \ x \text{ dvd } 2^{\wedge}(k-2)$ 
  by (simp add: ord-divides')
then obtain l where  $l \leq k-2$   $\text{ord } (2^{\wedge}k) \ x = 2^{\wedge}l$ 
  using divides-primeword-nat[of 2 ord (2^{\wedge}k) x k-2] by auto

from notcong' have  $\neg \text{ord } (2^{\wedge}k) \ x \text{ dvd } 2^{\wedge}(k-3)$ 
  by (simp add: ord-divides')
with l have  $l = k-2$ 
  using le-imp-power-dvd[of l k-3 2] by (cases l ≤ k-3) auto
with l show ?thesis by simp
qed
qed

lemma ord-4-3 [simp]:  $\text{ord } 4 \ (3 :: \text{nat}) = 2$ 
proof -
  have  $[3^{\wedge}2 = (1 :: \text{nat})] \pmod{4}$ 
    by (simp add: cong-def)
  hence  $\text{ord } 4 \ (3 :: \text{nat}) \text{ dvd } 2$ 
    by (subst (asm) ord-divides) auto
  hence  $\text{ord } 4 \ (3 :: \text{nat}) \leq 2$ 
    by (intro dvd-imp-le) auto
  moreover have  $\text{ord } 4 \ (3 :: \text{nat}) \neq 1$ 
    by (auto simp: ord-eq-Suc-0-iff cong-def)
  moreover have  $\text{ord } 4 \ (3 :: \text{nat}) \neq 0$ 
    by (auto simp: gcd-non-0-nat coprime-iff-gcd-eq-1)
  ultimately show  $\text{ord } 4 \ (3 :: \text{nat}) = 2$ 
    by linarith
qed

lemma elements-with-ord-1:  $n > 0 \implies \{x \in \text{totatives } n. \text{ord } n \ x = \text{Suc } 0\} = \{1\}$ 
  by (auto simp: ord-eq-Suc-0-iff cong-def totatives-less)

lemma residue-prime-has-primroot:
  fixes p :: nat
  assumes prime p
  shows  $\exists a \in \text{totatives } p. \text{ord } p \ a = p-1$ 
proof -

```

```

from residue-prime-mult-group-has-gen[OF assms]
  obtain a where a:  $a \in \{1..p-1\}$   $\{1..p-1\} = \{a^i \bmod p \mid i. i \in UNIV\}$  by
blast
from a have coprime p a
  using a assms by (intro prime-imp-coprime) (auto dest: dvd-imp-le)
with a(1) have  $a \in \text{totatives } p$  by (auto simp: totatives-def coprime-commute)

have  $p - 1 = \text{card } \{1..p-1\}$  by simp
also have  $\{1..p-1\} = \{a^i \bmod p \mid i. i \in UNIV\}$  by fact
also have  $\{a^i \bmod p \mid i. i \in UNIV\} = (\lambda i. a^i \bmod p) \text{ `` } \{..<\text{ord } p\} a\}$ 
proof (intro equalityI subsetI)
  fix x assume  $x \in \{a^i \bmod p \mid i. i \in UNIV\}$ 
  then obtain i where [simp]:  $x = a^i \bmod p$  by auto

  have  $[a^i = a^{(i \bmod \text{ord } p} a)] \bmod p$ 
    using  $\langle \text{coprime } p a \rangle$  by (subst order-divides-expdiff) auto
  hence  $\exists j. a^i \bmod p = a^j \bmod p \wedge j < \text{ord } p$  a
    using  $\langle \text{coprime } p a \rangle$  by (intro exI[of - i \bmod ord p a]) (auto simp: cong-def)
  thus  $x \in (\lambda i. a^i \bmod p) \text{ `` } \{..<\text{ord } p\} a\}$ 
    by auto
qed auto
also have  $\text{card } \dots = \text{ord } p$  a
  using inj-power-mod[OF  $\langle \text{coprime } p a \rangle$ ] by (subst card-image) auto
finally show ?thesis using  $\langle a \in \text{totatives } p \rangle$ 
  by auto
qed

```

9.5 Another trivial primality characterization

```

lemma prime-prime-factor:  $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall p. \text{prime } p \wedge p \text{ dvd } n \longrightarrow p = n)$ 
  (is ?lhs  $\longleftrightarrow$  ?rhs)
  for n :: nat
proof (cases n = 0  $\vee$  n = 1)
  case True
    then show ?thesis
      by (metis bigger-prime dvd-0-right not-prime-1 not-prime-0)
  next
    case False
    show ?thesis
    proof
      assume prime n
      then show ?rhs
        by (metis not-prime-1 prime-nat-iff)
    next
      assume ?rhs
      with False show prime n
        by (auto simp: prime-nat-iff) (metis One-nat-def prime-factor-nat prime-nat-iff)
    qed

```

qed

lemma *prime-divisor-sqrt*: $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall d. d \text{ dvd } n \wedge d^2 \leq n \longrightarrow d = 1)$

for $n :: \text{nat}$

proof –

consider $n = 0 \mid n = 1 \mid n \neq 0 \wedge n \neq 1$ **by** *blast*

then show *?thesis*

proof *cases*

case 1

then show *?thesis* **by** *simp*

next

case 2

then show *?thesis* **by** *simp*

next

case $n: 3$

then have $np: n > 1$ **by** *arith*

{

fix d

assume $d: d \text{ dvd } n \wedge d^2 \leq n$

and $H: \forall m. m \text{ dvd } n \longrightarrow m = 1 \vee m = n$

from $H \ d$ **have** $d1n: d = 1 \vee d = n$ **by** *blast*

then have $d = 1$

proof

assume $dn: d = n$

from n **have** $n^2 > n * 1$

by (*simp add: power2-eq-square*)

with $dn \ d(2)$ **show** *?thesis* **by** *simp*

qed

}

moreover

{

fix d **assume** $d: d \text{ dvd } n$ **and** $H: \forall d'. d' \text{ dvd } n \wedge d'^2 \leq n \longrightarrow d' = 1$

from $d \ n$ **have** $d \neq 0$

by (*metis dvd-0-left-iff*)

then have $dp: d > 0$ **by** *simp*

from $d[\text{unfolded } \text{dvd-def}]$ **obtain** e **where** $e: n = d * e$ **by** *blast*

from $n \ dp \ e$ **have** $ep: e > 0$ **by** *simp*

from $dp \ ep$ **have** $d^2 \leq n \vee e^2 \leq n$

by (*auto simp add: e power2-eq-square mult-le-cancel-left*)

then have $d = 1 \vee d = n$

proof

assume $d^2 \leq n$

with $H[\text{rule-format, of } d] \ d$ **have** $d = 1$ **by** *blast*

then show *?thesis* ..

next

assume $h: e^2 \leq n$

from e **have** $e \text{ dvd } n$ **by** (*simp add: dvd-def mult.commute*)

with $H[\text{rule-format, of } e] \ h$ **have** $e = 1$ **by** *simp*

```

      with e have d = n by simp
      then show ?thesis ..
    qed
  }
  ultimately show ?thesis
    unfolding prime-nat-iff using np n(2) by blast
  qed
qed

lemma prime-prime-factor-sqrt:
  prime (n::nat)  $\longleftrightarrow$   $n \neq 0 \wedge n \neq 1 \wedge (\nexists p. \text{prime } p \wedge p \text{ dvd } n \wedge p^2 \leq n)$ 
  (is ?lhs  $\longleftrightarrow$  ?rhs)
proof -
  consider n = 0 | n = 1 | n  $\neq$  0 n  $\neq$  1
  by blast
  then show ?thesis
  proof cases
    case 1
    then show ?thesis by (metis not-prime-0)
  next
    case 2
    then show ?thesis by (metis not-prime-1)
  next
    case n: 3
    show ?thesis
    proof
      assume ?lhs
      from this[unfolded prime-divisor-sqrt] n show ?rhs
        by (metis prime-prime-factor)
    next
      assume ?rhs
      {
        fix d
        assume d: d dvd n d2  $\leq$  n d  $\neq$  1
        then obtain p where p: prime p p dvd d
          by (metis prime-factor-nat)
        from d(1) n have dp: d > 0
          by (metis dvd-0-left neq0-conv)
        from mult-mono[OF dvd-imp-le[OF p(2) dp] dvd-imp-le[OF p(2) dp]] d(2)
        have p2  $\leq$  n unfolding power2-eq-square by arith
        with <?rhs> n p(1) dvd-trans[OF p(2) d(1)] have False
          by blast
      }
      with n prime-divisor-sqrt show ?lhs by auto
    qed
  qed
qed
qed

```

9.6 Pocklington theorem

lemma *pocklington-lemma*:

fixes $p :: \text{nat}$

assumes $n: n \geq 2$ **and** $nqr: n - 1 = q * r$

and $an: [a^{(n-1)} = 1] \pmod n$

and $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^{((n-1) \text{ div } p)} - 1) n$

and $pp: \text{prime } p$ **and** $pn: p \text{ dvd } n$

shows $[p = 1] \pmod q$

proof –

have $p01: p \neq 0 \wedge p \neq 1$

using pp **by** (*auto intro: prime-gt-0-nat*)

obtain k **where** $k: a^{(q * r)} - 1 = n * k$

by (*metis an cong-to-1-nat dvd-def nqr*)

from pn [*unfolded dvd-def*] **obtain** l **where** $l: n = p * l$

by *blast*

have $a0: a \neq 0$

proof

assume $a = 0$

with n **have** $a^{(n-1)} = 0$

by (*simp add: power-0-left*)

with n *an mod-less[of 1 n]* **show** *False*

by (*simp add: power-0-left cong-def*)

qed

with n nqr **have** $agr0: a^{(q * r)} \neq 0$

by *simp*

then have $(a^{(q * r)} - 1) + 1 = a^{(q * r)}$

by *simp*

with k l **have** $a^{(q * r)} = p * l * k + 1$

by *simp*

then have $a^{(r * q)} + p * 0 = 1 + p * (l * k)$

by (*simp add: ac-simps*)

then have $odq: \text{ord } p (a^{\widehat{r}}) \text{ dvd } q$

unfolding *ord-divides[symmetric] power-mult[symmetric]*

by (*metis an cong-dvd-modulus-nat mult.commute nqr pn*)

from odq [*unfolded dvd-def*] **obtain** d **where** $d: q = \text{ord } p (a^{\widehat{r}}) * d$

by *blast*

have $d1: d = 1$

proof (*rule ccontr*)

assume $d1: d \neq 1$

obtain P **where** $P: \text{prime } P \wedge P \text{ dvd } d$

by (*metis d1 prime-factor-nat*)

from d *dvd-mult[OF P(2), of ord p (a^r)]* **have** $Pq: P \text{ dvd } q$ **by** *simp*

from aq $P(1)$ Pq **have** $caP: \text{coprime } (a^{((n-1) \text{ div } P)} - 1) n$ **by** *blast*

from Pq **obtain** s **where** $s: q = P * s$ **unfolding** *dvd-def* **by** *blast*

from $P(1)$ **have** $P0: P \neq 0$

by (*metis not-prime-0*)

from $P(2)$ **obtain** t **where** $t: d = P * t$ **unfolding** *dvd-def* **by** *blast*

from d s t $P0$ **have** $s': \text{ord } p (a^{\widehat{r}}) * t = s$

by (*metis mult.commute mult-cancel1 mult.assoc*)

```

have ord p (a ^ r) * t * r = r * ord p (a ^ r) * t
  by (metis mult.assoc mult.commute)
then have exps: a ^ (ord p (a ^ r) * t * r) = ((a ^ r) ^ ord p (a ^ r)) ^ t
  by (simp only: power-mult)
then have [((a ^ r) ^ ord p (a ^ r)) ^ t = 1] (mod p)
  by (metis cong-pow ord power-one)
then have pd0: p dvd a ^ (ord p (a ^ r) * t * r) - 1
  by (metis cong-to-1-nat exps)
from nqr s s' have (n - 1) div P = ord p (a ^ r) * t * r
  using P0 by simp
with caP have coprime (a ^ (ord p (a ^ r) * t * r) - 1) n
  by simp
with p01 pn pd0 coprime-common-divisor [of - n p] show False
  by auto
qed
with d have o: ord p (a ^ r) = q by simp
from pp totient-prime [of p] have totient-eq: totient p = p - 1
  by simp
{
  fix d
  assume d: d dvd p d dvd a d ≠ 1
  from pp[unfolded prime-nat-iff] d have dp: d = p by blast
  from n have n ≠ 0 by simp
  then have False using d dp pn an
    by auto (metis One-nat-def Suc-lessI
      ‹1 < p ∧ (∀ m. m dvd p ⟶ m = 1 ∨ m = p)› ‹a ^ (q * r) = p *
l * k + 1› add-diff-cancel-left' dvd-diff-nat dvd-power dvd-triv-left gcd-nat.trans
nat-dvd-not-less nqr zero-less-diff zero-less-one)
}
then have cpa: coprime p a
  by (auto intro: coprimeI)
then have arp: coprime (a ^ r) p
  by (cases r > 0) (simp-all add: ac-simps)
from euler-theorem [OF arp, simplified ord-divides] o totient-eq have q dvd (p
- 1)
  by simp
then obtain d where d: p - 1 = q * d
  unfolding dvd-def by blast
have p ≠ 0
  by (metis p01(1))
with d have p + q * 0 = 1 + q * d by simp
then show ?thesis
  by (metis cong-iff-lin-nat mult.commute)
qed

```

theorem pocklington:

```

assumes n: n ≥ 2 and nqr: n - 1 = q * r and sqr: n ≤ q2
  and an: [a ^ (n - 1) = 1] (mod n)
  and aq: ∀ p. prime p ∧ p dvd q ⟶ coprime (a ^ ((n - 1) div p) - 1) n

```

```

shows prime n
unfolding prime-prime-factor-sqrt[of n]
proof -
let ?ths =  $n \neq 0 \wedge n \neq 1 \wedge (\nexists p. \text{prime } p \wedge p \text{ dvd } n \wedge p^2 \leq n)$ 
from n have n01:  $n \neq 0 \wedge n \neq 1$  by arith+
{
  fix p
  assume p: prime p p dvd n  $p^2 \leq n$ 
  from p(3) sqr have  $p \wedge (Suc\ 1) \leq q \wedge (Suc\ 1)$ 
    by (simp add: power2-eq-square)
  then have pq:  $p \leq q$ 
    by (metis le0 power-le-imp-le-base)
  from pocklington-lemma[OF n nqr an aq p(1,2)] have *:  $q \text{ dvd } p - 1$ 
    by (metis cong-to-1-nat)
  have p - 1  $\neq 0$ 
    using prime-ge-2-nat [OF p(1)] by arith
  with pq * have False
    by (simp add: nat-dvd-not-less)
}
with n01 show ?ths by blast
qed

```

Variant for application, to separate the exponentiation.

```

lemma pocklington-alt:
  assumes n:  $n \geq 2$  and nqr:  $n - 1 = q * r$  and sqr:  $n \leq q^2$ 
  and an:  $[a \wedge (n - 1) = 1] \pmod n$ 
  and aq:  $\forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow (\exists b. [a \wedge ((n - 1) \text{ div } p) = b] \pmod n) \wedge$ 
    coprime  $(b - 1) n$ 
  shows prime n
proof -
{
  fix p
  assume p: prime p p dvd q
  from aq[rule-format] p obtain b where b:  $[a \wedge ((n - 1) \text{ div } p) = b] \pmod n$ 
    coprime  $(b - 1) n$ 
  by blast
  have a0:  $a \neq 0$ 
proof
  assume a0:  $a = 0$ 
  from n an have  $[0 = 1] \pmod n$ 
  unfolding a0 power-0-left by auto
  then show False
  using n by (simp add: cong-def dvd-eq-mod-eq-0[symmetric])
qed
  then have a1:  $a \geq 1$  by arith
  from one-le-power[OF a1] have ath:  $1 \leq a \wedge ((n - 1) \text{ div } p)$  .
  have b0:  $b \neq 0$ 
proof
  assume b0:  $b = 0$ 

```

```

from p(2) nqr have (n - 1) mod p = 0
  by (metis mod-0 mod-mod-cancel mod-mult-self1-is-0)
with div-mult-mod-eq[of n - 1 p]
have (n - 1) div p * p = n - 1 by auto
then have eq: (a^((n - 1) div p))^p = a^(n - 1)
  by (simp only: power-mult[symmetric])
have p - 1 ≠ 0
  using prime-ge-2-nat [OF p(1)] by arith
then have pS: Suc (p - 1) = p by arith
from b have d: n dvd a^((n - 1) div p)
  unfolding b0 by auto
from divides-rewp[OF d, of p - 1] pS eq cong-dvd-iff [OF an] n show False
by simp
qed
then have b1: b ≥ 1 by arith
from cong-imp-coprime[OF Cong.cong-diff-nat[OF cong-sym [OF b(1)] cong-refl
[of 1] b1]]
  ath b1 b nqr
have coprime (a^((n - 1) div p) - 1) n
  by simp
}
then have ∀ p. prime p ∧ p dvd q ⟶ coprime (a^((n - 1) div p) - 1) n
  by blast
then show ?thesis by (rule pocklington[OF n nqr sqr an])
qed

```

9.7 Prime factorizations

definition primefact ps n $\longleftrightarrow$ foldr (*) ps 1 = n ∧ (∀ p ∈ set ps. prime p)

lemma primefact:

fixes n :: nat
 assumes n: n ≠ 0
 shows ∃ ps. primefact ps n

proof –

obtain xs **where** xs: mset xs = prime-factorization n
using ex-mset [of prime-factorization n] **by** blast
from assms **have** n = prod-mset (prime-factorization n)
by (simp add: prod-mset-prime-factorization)
also have ... = prod-mset (mset xs) **by** (simp add: xs)
also have ... = foldr (*) xs 1 **by** (induct xs) simp-all
finally have foldr (*) xs 1 = n ..
moreover from xs **have** ∀ p ∈ #mset xs. prime p **by** auto
ultimately have primefact xs n **by** (auto simp: primefact-def)
then show ?thesis ..

qed

lemma primefact-contains:

fixes p :: nat

```

assumes pf: primefact ps n
  and p: prime p
  and pn: p dvd n
shows p ∈ set ps
using pf p pn
proof (induct ps arbitrary: p n)
  case Nil
  then show ?case by (auto simp: primefact-def)
next
  case (Cons q qs)
  from Cons.prem[unfolded primefact-def]
  have q: prime q * foldr (*) qs 1 = n ∨ p ∈ set qs. prime p
    and p: prime p p dvd q * foldr (*) qs 1
    by simp-all
  consider p dvd q | p dvd foldr (*) qs 1
    by (metis p prime-dvd-mult-eq-nat)
  then show ?case
  proof cases
    case 1
    with p(1) q(1) have p = q
      unfolding prime-nat-iff by auto
    then show ?thesis by simp
  next
    case prem: 2
    from q(3) have pqs: primefact qs (foldr (*) qs 1)
      by (simp add: primefact-def)
    from Cons.hyps[OF pqs p(1) prem] show ?thesis by simp
  qed
qed

```

lemma primefact-variant: primefact ps n $\longleftrightarrow$ foldr (*) ps 1 = n $\wedge$ list-all prime ps
by (auto simp add: primefact-def list-all-iff)

Variant of Lucas theorem.

lemma lucas-primefact:
assumes n: $n \geq 2$ **and** an: $[a^{n-1} = 1] \pmod n$
and psn: foldr (*) ps 1 = n - 1
and psp: list-all (λp . prime p $\wedge$ $[a^{(n-1) \text{ div } p} = 1] \pmod n$) ps
shows prime n
proof -
 {
fix p
assume p: prime p p dvd n - 1 $[a^{(n-1) \text{ div } p} = 1] \pmod n$
from psn psp **have** psn1: primefact ps (n - 1)
by (auto simp add: list-all-iff primefact-variant)
from p(3) primefact-contains[OF psn1 p(1,2)] psp
have False **by** (induct ps) auto
 }

with *lucas*[*OF n an*] **show** *?thesis* **by** *blast*
qed

Variant of Pocklington theorem.

lemma *pocklington-primefact*:

assumes *n*: $n \geq 2$ **and** *qrn*: $q * r = n - 1$ **and** *nq2*: $n \leq q^2$
and *arnb*: $(a^r) \bmod n = b$ **and** *psq*: $\text{foldr } (*) \text{ ps } 1 = q$
and *bqn*: $(b^q) \bmod n = 1$
and *psp*: $\text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } ((b^{(q \text{ div } p)}) \bmod n - 1) \text{ n}) \text{ ps}$
shows *prime n*

proof –

from *bqn psp qrn*
have *bqn*: $a^{(n-1)} \bmod n = 1$
and *psp*: $\text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } (a^{(r * (q \text{ div } p))} \bmod n - 1) \text{ n}) \text{ ps}$
unfolding *arnb*[*symmetric*] *power-mod*
by (*simp-all add: power-mult[symmetric] algebra-simps*)
from *n* **have** *n0*: $n > 0$ **by** *arith*
from *div-mult-mod-eq*[*of a^(n-1) n*]
mod-less-divisor[*OF n0, of a^(n-1)*]
have *an1*: $[a^{(n-1)} = 1] \bmod n$
by (*metis bqn cong-def mod-mod-trivial*)
have *coprime* $(a^{((n-1) \text{ div } p)} - 1) \text{ n}$ **if** *p*: $\text{prime } p \wedge p \text{ dvd } q$ **for** *p*
proof –

from *psp psq* **have** *pfpsq*: *primefact ps q*
by (*auto simp add: primefact-variant list-all-iff*)
from *psp primefact-contains*[*OF pfpsq p*]
have *p'*: $\text{coprime } (a^{(r * (q \text{ div } p))} \bmod n - 1) \text{ n}$
by (*simp add: list-all-iff*)
from *p prime-nat-iff* **have** *p01*: $p \neq 0 \wedge p \neq 1 \wedge p = \text{Suc } (p - 1)$
by *auto*
from *div-mult1-eq*[*of r q p*] *p(2)*
have *eq1*: $r * (q \text{ div } p) = (n - 1) \text{ div } p$
unfolding *qrn*[*symmetric*] *dvd-eq-mod-eq-0* **by** (*simp add: mult.commute*)
have *ath*: $a \leq b \implies a \neq 0 \implies 1 \leq a \wedge 1 \leq b$ **for** $a \ b :: \text{nat}$
by *arith*
{
assume $a^{((n-1) \text{ div } p)} \bmod n = 0$
then obtain *s* **where** $s: a^{((n-1) \text{ div } p)} = n * s$
by *blast*
then have *eq0*: $(a^{((n-1) \text{ div } p)})^p = (n * s)^p$ **by** *simp*
from *qrn*[*symmetric*] **have** *qn1*: $q \text{ dvd } n - 1$
by (*auto simp: dvd-def*)
from *dvd-trans*[*OF p(2) qn1*] **have** *npp*: $(n - 1) \text{ div } p * p = n - 1$
by *simp*
with *eq0* **have** $a^{(n-1)} = (n * s)^p$
by (*simp add: power-mult[symmetric]*)
with *bqn p01* **have** $1 = (n * s)^{(q \text{ div } p)} \bmod n$
by *simp*
also have $\dots = 0$ **by** (*simp add: mult.assoc*)
}

```

    finally have False by simp
  }
  then have *:  $a^{\wedge((n-1) \text{ div } p) \bmod n} \neq 0$  by auto
  have [ $a^{\wedge((n-1) \text{ div } p) \bmod n} = a^{\wedge((n-1) \text{ div } p)} \pmod{n}$ ]
    by (simp add: cong-def)
  with ath[OF mod-less-eq-dividend *]
  have [ $a^{\wedge((n-1) \text{ div } p) \bmod n - 1} = a^{\wedge((n-1) \text{ div } p) - 1} \pmod{n}$ ]
    by (simp add: cong-diff-nat)
  then show ?thesis
    by (metis cong-imp-coprime eq1 p')
qed
with pocklington[OF n grn[symmetric] nq2 an1] show ?thesis
  by blast
qed
end

```

10 Prime powers

theory *Prime-Powers*

imports *Complex-Main HOL-Computational-Algebra.Primes HOL-Library.FuncSet*
begin

definition *aprimedivisor* :: '*a* :: normalization-semidom $\Rightarrow$ '*a* **where**
aprimedivisor *q* = (*SOME* *p*. *prime* *p* $\wedge$ *p* *dvd* *q*)

definition *primepow* :: '*a* :: normalization-semidom $\Rightarrow$ bool **where**
primepow *n* $\longleftrightarrow (\exists$ *p k*. *prime* *p* $\wedge$ *k* $> 0 \wedge n = p^{\wedge k}$)

definition *primepow-factors* :: '*a* :: normalization-semidom $\Rightarrow$ '*a* set **where**
primepow-factors *n* = {*x*. *primepow* *x* $\wedge$ *x* *dvd* *n*}

lemma *primepow-gt-Suc-0*: *primepow* *n* $\implies n > \text{Suc } 0$
using *one-less-power*[*of p::nat for p*] **by** (*auto simp: primepow-def prime-nat-iff*)

lemma

assumes *prime* *p* *p* *dvd* *n*
shows *prime-aprimedivisor*: *prime* (*aprimedivisor* *n*)
and *aprimedivisor-dvd*: *aprimedivisor* *n* *dvd* *n*
proof –
from *assms* **have** $\exists$ *p*. *prime* *p* $\wedge$ *p* *dvd* *n* **by** *auto*
from *someI-ex*[*OF this*] **show** *prime* (*aprimedivisor* *n*) *aprimedivisor* *n* *dvd* *n*
unfolding *aprimedivisor-def* **by** (*simp-all add: conj-commute*)
qed

lemma

assumes $n \neq 0 \neg\text{is-unit } (n :: 'a :: \text{factorial-semiring})$
shows *prime-aprimedivisor'*: *prime* (*aprimedivisor* *n*)
and *aprimedivisor-dvd'*: *aprimedivisor* *n* *dvd* *n*

```

proof –
  from someI-ex[OF prime-divisor-exists[OF assms]]
    show prime (aprimedivisor n) aprimedivisor n dvd n
    unfolding aprimedivisor-def by (simp-all add: conj-commute)
qed

lemma aprimedivisor-of-prime [simp]:
  assumes prime p
  shows aprimedivisor p = p
proof –
  from assms have  $\exists q. \text{prime } q \wedge q \text{ dvd } p$  by auto
  from someI-ex[OF this, folded aprimedivisor-def] assms show ?thesis
    by (auto intro: primes-dvd-imp-eq)
qed

lemma aprimedivisor-pos-nat: (n::nat) > 1  $\implies$  aprimedivisor n > 0
  using aprimedivisor-dvd'[of n] by (auto elim: dvdE intro!: Nat.gr0I)

lemma aprimedivisor-primelow-power:
  assumes primelow n k > 0
  shows aprimedivisor (n ^ k) = aprimedivisor n
proof –
  from assms obtain p l where prime p l > 0 n = p ^ l
    by (auto simp: primelow-def)
  from l assms have *: prime (aprimedivisor (n ^ k)) aprimedivisor (n ^ k) dvd
n ^ k
    by (intro prime-aprimedivisor[of p] aprimedivisor-dvd[of p] dvd-power;
      simp add: power-mult [symmetric]) +
  from * l have aprimedivisor (n ^ k) dvd p ^ (l * k) by (simp add: power-mult)
  with assms * l have aprimedivisor (n ^ k) dvd p
    by (subst (asm) prime-dvd-power-iff) simp-all
  with l assms have aprimedivisor (n ^ k) = p
    by (intro primes-dvd-imp-eq prime-aprimedivisor l) (auto simp: power-mult
[symmetric])
  moreover from l have aprimedivisor n dvd p ^ l
    by (auto intro: aprimedivisor-dvd simp: prime-gt-0-nat)
  with assms l have aprimedivisor n dvd p
    by (subst (asm) prime-dvd-power-iff) (auto intro!: prime-aprimedivisor simp:
prime-gt-0-nat)
  with l assms have aprimedivisor n = p
    by (intro primes-dvd-imp-eq prime-aprimedivisor l) auto
  ultimately show ?thesis by simp
qed

lemma aprimedivisor-prime-power:
  assumes prime p k > 0
  shows aprimedivisor (p ^ k) = p
proof –
  from assms have *: prime (aprimedivisor (p ^ k)) aprimedivisor (p ^ k) dvd p

```

$\wedge k$
by (intro prime-aprime-divisor[*of p*] aprime-divisor-dvd[*of p*]; simp add: prime-nat-iff)+
from *assms* * **have** aprime-divisor ($p \wedge k$) dvd *p*
by (subst (*asm*) prime-dvd-power-iff) simp-all
with *assms* * **show** aprime-divisor ($p \wedge k$) = *p* **by** (intro primes-dvd-imp-eq)
qed

lemma prime-factorization-primpow:
assumes primpow *n*
shows prime-factorization *n* =
replicate-mset (multiplicity (aprime-divisor *n*) *n*) (aprime-divisor *n*)
using *assms*
by (auto simp: primpow-def aprime-divisor-prime-power prime-factorization-prime-power)

lemma primpow-decompose:
fixes *n* :: 'a :: factorial-semiring-multiplicative
assumes primpow *n*
shows aprime-divisor $n \wedge$ multiplicity (aprime-divisor *n*) *n* = *n*
proof -
from *assms* **have** $n \neq 0$ **by** (intro notI) (auto simp: primpow-def)
hence $n = \text{unit-factor } n * \text{prod-mset (prime-factorization } n)$
by (subst prod-mset-prime-factorization) simp-all
also from *assms* **have** unit-factor *n* = 1 **by** (auto simp: primpow-def unit-factor-power)
also have prime-factorization *n* =
replicate-mset (multiplicity (aprime-divisor *n*) *n*) (aprime-divisor *n*)
by (intro prime-factorization-primpow *assms*)
also have prod-mset ... = aprime-divisor $n \wedge$ multiplicity (aprime-divisor *n*) *n*
by simp
finally show ?thesis **by** simp
qed

lemma prime-power-not-one:
assumes prime *p* $k > 0$
shows $p \wedge k \neq 1$
proof
assume $p \wedge k = 1$
hence is-unit ($p \wedge k$) **by** simp
thus False **using** *assms* **by** (simp add: is-unit-power-iff)
qed

lemma zero-not-primpow [simp]: $\neg$ primpow 0
by (auto simp: primpow-def)

lemma one-not-primpow [simp]: $\neg$ primpow 1
by (auto simp: primpow-def prime-power-not-one)

lemma primpow-not-unit [simp]: primpow *p* $\implies \neg$ is-unit *p*
by (auto simp: primpow-def is-unit-power-iff)

```

lemma not-primelow-Suc-0-nat [simp]: ¬primelow (Suc 0)
  using primelow-gt-Suc-0[of Suc 0] by auto

lemma primelow-gt-0-nat: primelow n ⇒ n > (0::nat)
  using primelow-gt-Suc-0[of n] by simp

lemma unit-factor-primelow:
  fixes p :: 'a :: factorial-semiring-multiplicative
  shows primelow p ⇒ unit-factor p = 1
  by (auto simp: primelow-def unit-factor-power)

lemma aprimedivisor-primelow:
  assumes prime p p dvd n primelow (n :: 'a :: factorial-semiring-multiplicative)
  shows aprimedivisor (p * n) = p aprimedivisor n = p
proof -
  from assms have [simp]: n ≠ 0 by auto
  define q where q = aprimedivisor n
  with assms have q: prime q by (auto simp: q-def intro!: prime-aprimedivisor)
  from ⟨primelow n⟩ have n: n = q ^ multiplicity q n
    by (simp add: primelow-decompose q-def)
  have nz: multiplicity q n ≠ 0
  proof
    assume multiplicity q n = 0
    with n have n': n = unit-factor n by simp
    have is-unit n by (subst n', rule unit-factor-is-unit) (insert assms, auto)
    with assms show False by auto
  qed
  with ⟨prime p⟩ ⟨p dvd n⟩ q have p dvd q
    by (subst (asm) n) (auto intro: prime-dvd-power)
  with ⟨prime p⟩ q have p = q by (intro primes-dvd-imp-eq)
  thus aprimedivisor n = p by (simp add: q-def)

  define r where r = aprimedivisor (p * n)
  with assms have r: r dvd (p * n) prime r unfolding r-def
    by (intro aprimedivisor-dvd[of p] prime-aprimedivisor[of p]; simp)+
  hence r dvd q ^ Suc (multiplicity q n)
    by (subst (asm) n) (auto simp: ⟨p = q⟩ dest: dvd-unit-imp-unit)
  with r have r dvd q
    by (auto intro: prime-dvd-power-nat simp: prime-dvd-mult-iff dest: prime-dvd-power)
  with r q have r = q by (intro primes-dvd-imp-eq)
  thus aprimedivisor (p * n) = p by (simp add: r-def ⟨p = q⟩)
qed

lemma power-eq-prime-powerD:
  fixes p :: 'a :: factorial-semiring
  assumes prime p n > 0 x ^ n = p ^ k
  shows ∃ i. normalize x = normalize (p ^ i)
proof -
  have normalize x = normalize (p ^ multiplicity p x)

```

```

proof (rule multiplicity-eq-imp-eq)
  fix q :: 'a assume prime q
  from assms have multiplicity q (x ^ n) = multiplicity q (p ^ k) by simp
  with ⟨prime q⟩ and assms have n * multiplicity q x = k * multiplicity q p
    by (subst (asm) (1 2) prime-elem-multiplicity-power-distrib) (auto simp:
power-0-left)
  with assms and ⟨prime q⟩ show multiplicity q x = multiplicity q (p ^ multi-
plicity p x)
  by (cases p = q) (auto simp: multiplicity-distinct-prime-power prime-multiplicity-other)
  qed (insert assms, auto simp: power-0-left)
  thus ?thesis by auto
qed

```

```

lemma primepow-power-iff:
  fixes p :: 'a :: factorial-semiring-multiplicative
  assumes unit-factor p = 1
  shows primepow (p ^ n) ⟷ primepow p ∧ n > 0
proof safe
  assume primepow (p ^ n)
  hence n: n ≠ 0 by (auto intro!: Nat.gr0I)
  thus n > 0 by simp
  from assms have [simp]: normalize p = p
    using normalize-mult-unit-factor[of p] by (simp only: mult.right-neutral)
  from ⟨primepow (p ^ n)⟩ obtain q k where *: k > 0 prime q p ^ n = q ^ k
    by (auto simp: primepow-def)
  with power-eq-prime-powerD[of q n p k] n
    obtain i where eq: normalize p = normalize (q ^ i) by auto
  with primepow-not-unit[OF ⟨primepow (p ^ n)⟩] have i ≠ 0
    by (intro notI) (simp add: normalize-1-iff is-unit-power-iff del: primepow-not-unit)
  with ⟨normalize p = normalize (q ^ i)⟩ ⟨prime q⟩ show primepow p
    by (auto simp: normalize-power primepow-def intro!: exI[of - q] exI[of - i])
next
  assume primepow p n > 0
  then obtain q k where *: k > 0 prime q p = q ^ k by (auto simp: primepow-def)
  with ⟨n > 0⟩ show primepow (p ^ n)
    by (auto simp: primepow-def power-mult intro!: exI[of - q] exI[of - k * n])
qed

```

```

lemma primepow-power-iff-nat:
  p > 0 ⟹ primepow (p ^ n) ⟷ primepow (p :: nat) ∧ n > 0
  by (rule primepow-power-iff) (simp-all add: unit-factor-nat-def)

```

```

lemma primepow-prime [simp]: prime n ⟹ primepow n
  by (auto simp: primepow-def intro!: exI[of - n] exI[of - 1::nat])

```

```

lemma primepow-prime-power [simp]:
  prime (p :: 'a :: factorial-semiring-multiplicative) ⟹ primepow (p ^ n) ⟷ n
  > 0

```

```

by (subst primepow-power-iff) auto

lemma aprime divisor-vimage:
  assumes prime (p :: 'a :: factorial-semiring-multiplicative)
  shows aprime divisor - ' {p} ∩ primepow-factors n = {p ^ k | k. k > 0 ∧ p ^ k
dvd n}
proof safe
  fix q assume q: q ∈ primepow-factors n
  hence q': q ≠ 0 q ≠ 1 by (auto simp: primepow-def primepow-factors-def
prime-power-not-one)
  let ?n = multiplicity (aprime divisor q) q
  from q q' have q = aprime divisor q ^ ?n ∧ ?n > 0 ∧ aprime divisor q ^ ?n dvd
n
  by (auto simp: primepow-decompose primepow-factors-def prime-multiplicity-gt-zero-iff
prime-aprime divisor' prime-imp-prime-elem aprime divisor-dvd')
  thus ∃ k. q = aprime divisor q ^ k ∧ k > 0 ∧ aprime divisor q ^ k dvd n ..
next
  fix k :: nat assume k: p ^ k dvd n k > 0
  with assms show p ^ k ∈ aprime divisor - ' {p}
  by (auto simp: aprime divisor-prime-power)
  with assms k show p ^ k ∈ primepow-factors n
  by (auto simp: primepow-factors-def primepow-def aprime divisor-prime-power
intro: Suc-leI)
qed

lemma aprime divisor-nat:
  assumes n ≠ (Suc 0 :: nat)
  shows prime (aprime divisor n) aprime divisor n dvd n
proof -
  from assms have ∃ p. prime p ∧ p dvd n by (intro prime-factor-nat) auto
  from someI-ex[OF this, folded aprime divisor-def]
  show prime (aprime divisor n) aprime divisor n dvd n by blast+
qed

lemma aprime divisor-gt-Suc-0:
  assumes n ≠ Suc 0
  shows aprime divisor n > Suc 0
proof -
  from assms have prime (aprime divisor n) by (rule aprime divisor-nat)
  thus aprime divisor n > Suc 0 by (simp add: prime-nat-iff)
qed

lemma aprime divisor-le-nat:
  assumes n > Suc 0
  shows aprime divisor n ≤ n
proof -
  from assms have aprime divisor n dvd n by (intro aprime divisor-nat) simp-all
  with assms show aprime divisor n ≤ n
  by (intro dvd-imp-le) simp-all

```

qed

lemma *bij-betw-primewows*:

bij-betw ($\lambda(p,k). p \wedge \text{Suc } k :: 'a :: \text{factorial-semiring-multiplicative}$)
 (*Collect prime* $\times$ *UNIV*) (*Collect primewow*)

proof (*rule bij-betwI* [**where** $?g = (\lambda n. (\text{aprimewowisor } n, \text{multiplicity } (\text{aprimewowisor } n) \text{ } n - 1))$]),
goal-cases)

case 1

show ($\lambda(p, k). p \wedge \text{Suc } k :: 'a \in \text{Collect prime} \times \text{UNIV} \rightarrow \text{Collect primewow}$)
by (*auto intro!*: *primewow-prime-power simp del: power-Suc*)

next

case 2

show $?case$
by (*auto simp: primewow-def prime-aprimewowisor*)

next

case (3 n)

thus $?case$
by (*auto simp: aprimewowisor-prime-power simp del: power-Suc*)

next

case (4 n)

hence $*: 0 < \text{multiplicity } (\text{aprimewowisor } n) \text{ } n$

by (*subst prime-multiplicity-gt-zero-iff*)

(*auto intro!*: *prime-imp-prime-elem aprimewowisor-dvd simp: primewow-def prime-aprimewowisor*)

have $\text{aprimewowisor } n * \text{aprimewowisor } n \wedge (\text{multiplicity } (\text{aprimewowisor } n) \text{ } n - \text{Suc } 0) =$

$\text{aprimewowisor } n \wedge \text{Suc } (\text{multiplicity } (\text{aprimewowisor } n) \text{ } n - \text{Suc } 0)$ **by** *simp*

also from $*$ **have** $\text{Suc } (\text{multiplicity } (\text{aprimewowisor } n) \text{ } n - \text{Suc } 0) =$

$\text{multiplicity } (\text{aprimewowisor } n) \text{ } n$

by (*subst Suc-diff-Suc*) (*auto simp: prime-multiplicity-gt-zero-iff*)

also have $\text{aprimewowisor } n \wedge \dots = n$

using 4 **by** (*subst primewow-decompose*) *auto*

finally show $?case$ **by** *auto*

qed

lemma *primewow-multD*:

assumes primewow ($a * b :: \text{nat}$)

shows $a = 1 \vee \text{primewow } a \text{ } b = 1 \vee \text{primewow } b$

proof –

from *assms* **obtain** $p \text{ } k$ **where** $k: k > 0 \text{ } a * b = p \wedge k \text{ } \text{prime } p$

unfolding *primewow-def* **by** *auto*

then obtain $i \text{ } j$ **where** $a = p \wedge i \text{ } b = p \wedge j$

using *prime-power-mult-nat*[*of* $p \text{ } a \text{ } b$] **by** *blast*

with $\langle \text{prime } p \rangle$ **show** $a = 1 \vee \text{primewow } a \text{ } b = 1 \vee \text{primewow } b$ **by** *auto*

qed

lemma *primewow-mult-aprimewowisorI*:

assumes *primepow* ($n :: 'a :: \text{factorial-semiring-multiplicative}$)
shows *primepow* (*aprimedivisor* $n * n$)
by (*subst* (2) *primepow-decompose*[*OF* *assms*, *symmetric*], *subst* *power-Suc* [*symmetric*],
subst *primepow-prime-power*)
(insert *assms*, *auto* *intro!*: *prime-aprimedivisor'* *dest*: *primepow-gt-Suc-0*)

lemma *primepow-factors-altdef*:
fixes $x :: 'a :: \text{factorial-semiring-multiplicative}$
assumes $x \neq 0$
shows *primepow-factors* $x = \{p \wedge k \mid p \text{ k. } p \in \text{prime-factors } x \wedge k \in \{0 < .. \text{multiplicity } p\}\}$
proof (*intro* *equalityI* *subsetI*)
fix q **assume** $q \in \text{primepow-factors } x$
then obtain $p \text{ k}$ **where** pk : *prime* $p \text{ k} > 0 \text{ } q = p \wedge k \text{ } q \text{ dvd } x$
unfolding *primepow-factors-def* *primepow-def* **by** *blast*
moreover have $k \leq \text{multiplicity } p \text{ } x$ **using** pk *assms* **by** (*intro* *multiplicity-geI*)
auto
ultimately show $q \in \{p \wedge k \mid p \text{ k. } p \in \text{prime-factors } x \wedge k \in \{0 < .. \text{multiplicity } p\}\}$
by (*auto* *simp*: *prime-factors-multiplicity* *intro!*: *exI*[*of* - p] *exI*[*of* - k])
qed (*auto* *simp*: *primepow-factors-def* *prime-factors-multiplicity* *multiplicity-dvd'*)

lemma *finite-primepow-factors*:
assumes $x \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
shows *finite* (*primepow-factors* x)
proof –
have *finite* (*SIGMA* p :*prime-factors* x . $\{0 < .. \text{multiplicity } p\}$)
by (*intro* *finite-SigmaI*) *simp-all*
hence *finite* ($(\lambda(p,k). p \wedge k) \text{ '...}$) (*is* *finite* ?*A*) **by** (*rule* *finite-imageI*)
also have ?*A* = *primepow-factors* x
using *assms* **by** (*subst* *primepow-factors-altdef*) *fast+*
finally show ?*thesis* .
qed

lemma *aprimedivisor-primepow-factors-conv-prime-factorization*:
assumes [*simp*]: $n \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$
shows *image-mset* *aprimedivisor* (*mset-set* (*primepow-factors* n)) = *prime-factorization* n
(is ?*lhs* = ?*rhs*)
proof (*intro* *multiset-eqI*)
fix $p :: 'a$
show *count* ?*lhs* p = *count* ?*rhs* p
proof (*cases* *prime* p)
case *False*
have $p \notin \# \text{image-mset } \text{aprimedivisor } (\text{mset-set } (\text{primepow-factors } n))$
proof
assume $p \in \# \text{image-mset } \text{aprimedivisor } (\text{mset-set } (\text{primepow-factors } n))$
then obtain q **where** $p = \text{aprimedivisor } q \text{ } q \in \text{primepow-factors } n$
by (*auto* *simp*: *finite-primepow-factors*)

```

    with False prime-aprime divisor'[of q] have q = 0 ∨ is-unit q by auto
    with ⟨q ∈ primepow-factors n⟩ show False by (auto simp: primepow-factors-def
primepow-def)
  qed
  hence count ?lhs p = 0 by (simp only: Multiset.not-in-iff)
  with False show ?thesis by (simp add: count-prime-factorization)
next
case True
  hence p: p ≠ 0 ¬is-unit p by auto
  have count ?lhs p = card (aprime divisor - ' {p} ∩ primepow-factors n)
    by (simp add: count-image-mset finite-primepow-factors)
  also have prime divisor - ' {p} ∩ primepow-factors n = {p^k | k. k > 0 ∧ p ^
k dvd n}
    using True by (rule prime divisor-vimage)
  also from True have ... = (λk. p ^ k) ' {0<..multiplicity p n}
    by (subst power-dvd-iff-le-multiplicity) auto
  also from p True have card ... = multiplicity p n
    by (subst card-image) (auto intro!: inj-onI dest: prime-power-inj)
  also from True have ... = count (prime-factorization n) p
    by (simp add: count-prime-factorization)
  finally show ?thesis .
qed
qed

lemma prime-elem-prime divisor-nat: d > Suc 0 ⟹ prime-elem (prime divisor
d)
  using prime-prime divisor'[of d] by simp

lemma prime divisor-gt-0-nat [simp]: d > Suc 0 ⟹ prime divisor d > 0
  using prime-prime divisor'[of d] by (simp add: prime-gt-0-nat)

lemma prime divisor-gt-Suc-0-nat [simp]: d > Suc 0 ⟹ prime divisor d > Suc
0
  using prime-prime divisor'[of d] by (simp add: prime-gt-Suc-0-nat)

lemma prime divisor-not-Suc-0-nat [simp]: d > Suc 0 ⟹ prime divisor d ≠ Suc
0
  using prime divisor-gt-Suc-0 [of d] by (intro notI) auto

lemma multiplicity-prime divisor-gt-0-nat [simp]:
  d > Suc 0 ⟹ multiplicity (prime divisor d) d > 0
  by (subst multiplicity-gt-zero-iff) (auto intro: prime divisor-dvd')

lemma primepowI:
  prime p ⟹ k > 0 ⟹ p ^ k = n ⟹ primepow n ∧ prime divisor n = p
  unfolding primepow-def by (auto simp: prime divisor-prime-power)

lemma not-primepowI:
  assumes prime p prime q p ≠ q p dvd n q dvd n

```

shows $\neg \text{primepow } n$
using *assms* **by** (*auto simp: primepow-def dest!: prime-dvd-power[rotated] dest: primes-dvd-imp-eq*)

lemma *sum-prime-factorization-conv-sum-primepow-factors*:
fixes $n :: 'a :: \text{factorial-semiring-multiplicative}$
assumes $n \neq 0$
shows $(\sum q \in \text{primepow-factors } n. f (\text{aprimedivisor } q)) = (\sum p \in \# \text{prime-factorization } n. f p)$
proof –
from *assms* **have** $\text{prime-factorization } n = \text{image-mset } \text{aprimedivisor } (\text{mset-set } (\text{primepow-factors } n))$
by (*rule aprimedivisor-primepow-factors-conv-prime-factorization [symmetric]*)
also have $(\sum p \in \# \dots f p) = (\sum q \in \text{primepow-factors } n. f (\text{aprimedivisor } q))$
by (*simp add: image-mset.compositionality sum-unfold-sum-mset o-def*)
finally show *?thesis* ..
qed

lemma *multiplicity-aprimedivisor-Suc-0-iff*:
assumes $\text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$
shows $\text{multiplicity } (\text{aprimedivisor } n) \ n = \text{Suc } 0 \longleftrightarrow \text{prime } n$
by (*subst (3) primepow-decompose [OF assms, symmetric]*)
(insert assms, auto simp add: prime-power-iff intro!: prime-aprimedivisor')

definition *mangoldt* $:: \text{nat} \Rightarrow 'a :: \text{real-algebra-1}$ **where**
 $\text{mangoldt } n = (\text{if } \text{primepow } n \text{ then } \text{of-real } (\ln (\text{real } (\text{aprimedivisor } n))) \text{ else } 0)$

lemma *mangoldt-0 [simp]*: $\text{mangoldt } 0 = 0$
by (*simp add: mangoldt-def*)

lemma *mangoldt-Suc-0 [simp]*: $\text{mangoldt } (\text{Suc } 0) = 0$
by (*simp add: mangoldt-def*)

lemma *of-real-mangoldt [simp]*: $\text{of-real } (\text{mangoldt } n) = \text{mangoldt } n$
by (*simp add: mangoldt-def*)

lemma *mangoldt-sum*:
assumes $n \neq 0$
shows $(\sum d \mid d \text{ dvd } n. \text{mangoldt } d :: 'a :: \text{real-algebra-1}) = \text{of-real } (\ln (\text{real } n))$
proof –
have $(\sum d \mid d \text{ dvd } n. \text{mangoldt } d :: 'a) = \text{of-real } (\sum d \mid d \text{ dvd } n. \text{mangoldt } d)$ **by** *simp*
also have $(\sum d \mid d \text{ dvd } n. \text{mangoldt } d) = (\sum d \in \text{primepow-factors } n. \ln (\text{real } (\text{aprimedivisor } d)))$
using *assms* **by** (*intro sum.mono-neutral-cong-right*) (*auto simp: primepow-factors-def mangoldt-def*)
also have $\dots = \ln (\text{real } (\prod d \in \text{primepow-factors } n. \text{aprimedivisor } d))$
using *assms finite-primepow-factors[of n]*

by (subst ln-prod [symmetric])
 (auto simp: primepow-factors-def intro!: aprime divisor-pos-nat
 intro: Nat.gr0I primepow-gt-Suc-0)
 also have primepow-factors $n =$
 $(\lambda(p,k). p \wedge k) \text{ ' } (SIGMA p:\text{prime-factors } n. \{0 < .. \text{multiplicity } p \ n\})$
 (is - = - ' ?A) by (subst primepow-factors-altdef[OF assms]) fast+
 also have prod aprime divisor ... = $(\prod (p,k) \in ?A. aprime divisor (p \wedge k))$
 by (subst prod.reindex)
 (auto simp: inj-on-def prime-power-inj'' prime-factors-multiplicity
 prod.Sigma [symmetric] case-prod-unfold)
 also have ... = $(\prod (p,k) \in ?A. p)$
 by (intro prod.cong refl) (auto simp: aprime divisor-prime-power prime-factors-multiplicity)
 also have ... = $(\prod x \in \text{prime-factors } n. \prod k \in \{0 < .. \text{multiplicity } x \ n\}. x)$
 by (rule prod.Sigma [symmetric]) auto
 also have ... = $(\prod x \in \text{prime-factors } n. x \wedge \text{multiplicity } x \ n)$
 by (intro prod.cong refl) (simp add: prod-constant)
 also have ... = n using assms by (intro prime-factorization-nat [symmetric])
 simp
 finally show ?thesis .
 qed

lemma mangoldt-primepow:
 prime $p \implies \text{mangoldt } (p \wedge k) = (\text{if } k > 0 \text{ then of-real } (\ln (\text{real } p)) \text{ else } 0)$
 by (simp add: mangoldt-def aprime divisor-prime-power)

lemma mangoldt-primepow' [simp]: prime $p \implies k > 0 \implies \text{mangoldt } (p \wedge k) =$
 of-real $(\ln (\text{real } p))$
 by (subst mangoldt-primepow) auto

lemma mangoldt-prime [simp]: prime $p \implies \text{mangoldt } p = \text{of-real } (\ln (\text{real } p))$
 using mangoldt-primepow[of $p \ 1$] by simp

lemma mangoldt-nonneg: $0 \leq (\text{mangoldt } d :: \text{real})$
 using aprime divisor-gt-Suc-0-nat[of d]
 by (auto simp: mangoldt-def of-nat-le-iff[of 1 x , unfolded of-nat-1] Suc-le-eq
 intro!: ln-ge-zero dest: primepow-gt-Suc-0)

lemma norm-mangoldt [simp]:
 norm $(\text{mangoldt } n :: 'a :: \text{real-normed-algebra-1}) = \text{mangoldt } n$
 proof (cases primepow n)
 case True
 hence prime $(aprime divisor \ n)$
 by (intro prime-aprime divisor')
 (auto simp: primepow-def prime-gt-0-nat)
 hence aprime divisor $n > 1$ by (simp add: prime-gt-Suc-0-nat)
 with True show ?thesis by (auto simp: mangoldt-def abs-if)
 qed (auto simp: mangoldt-def)

lemma Re-mangoldt [simp]: $\text{Re } (\text{mangoldt } n) = \text{mangoldt } n$

```

and Im-mangoldt [simp]: Im (mangoldt n) = 0
by (simp-all add: mangoldt-def)

lemma abs-mangoldt [simp]: abs (mangoldt n :: real) = mangoldt n
using norm-mangoldt[of n, where ?'a = real, unfolded real-norm-def] .

lemma mangoldt-le:
  assumes n > 0
  shows mangoldt n ≤ ln n
proof (cases primepow n)
  case True
  from True have prime (aprimedivisor n)
    by (intro prime-aprimedivisor')
    (auto simp: primepow-def prime-gt-0-nat)
  hence gt-1: aprimedivisor n > 1 by (simp add: prime-gt-Suc-0-nat)
  from True have mangoldt n = ln (aprimedivisor n)
    by (simp add: mangoldt-def)
  also have ... ≤ ln n using True gt-1
    by (subst ln-le-cancel-iff) (auto intro!: Nat.gr0I dvd-imp-le aprimedivisor-dvd')
  finally show ?thesis .
qed (insert assms, auto simp: mangoldt-def)

end

```

11 Primitive roots in residue rings and Carmichael's function

```

theory Residue-Primitive-Roots
  imports Pocklington
begin

```

This theory develops the notions of primitive roots (generators) in residue rings. It also provides a definition and all the basic properties of Carmichael's function $\lambda(n)$, which is strongly related to this. The proofs mostly follow Apostol's presentation

11.1 Primitive roots in residue rings

A primitive root of a residue ring modulo n is an element g that *generates* the ring, i. e. such that for each x coprime to n there exists an i such that $x = g^i$. A simpler definition is that g must have the same order as the cardinality of the multiplicative group, which is $\varphi(n)$.

Note that for convenience, this definition does *not* demand $g < n$.

```

inductive residue-primroot :: nat ⇒ nat ⇒ bool where
  n > 0 ⇒ coprime n g ⇒ ord n g = totient n ⇒ residue-primroot n g

```

```

lemma residue-primroot-def [code]:
  residue-primroot  $n\ x \longleftrightarrow n > 0 \wedge \text{coprime } n\ x \wedge \text{ord } n\ x = \text{totient } n$ 
  by (simp add: residue-primroot.simps)

lemma not-residue-primroot-0 [simp]:  $\sim \text{residue-primroot } 0\ x$ 
  by (auto simp: residue-primroot-def)

lemma residue-primroot-mod [simp]:  $\text{residue-primroot } n\ (x \bmod n) = \text{residue-primroot } n\ x$ 
  by (cases  $n = 0$ ) (simp-all add: residue-primroot-def)

lemma residue-primroot-cong:
  assumes  $[x = x']\ (\bmod\ n)$ 
  shows  $\text{residue-primroot } n\ x = \text{residue-primroot } n\ x'$ 
proof –
  have  $\text{residue-primroot } n\ x = \text{residue-primroot } n\ (x \bmod n)$ 
    by simp
  also have  $x \bmod n = x' \bmod n$ 
    using assms by (simp add: cong-def)
  also have  $\text{residue-primroot } n\ (x' \bmod n) = \text{residue-primroot } n\ x'$ 
    by simp
  finally show ?thesis .
qed

lemma not-residue-primroot-0-right [simp]:  $\text{residue-primroot } n\ 0 \longleftrightarrow n = 1$ 
  by (auto simp: residue-primroot-def)

lemma residue-primroot-1-iff:  $\text{residue-primroot } n\ (\text{Suc } 0) \longleftrightarrow n \in \{1, 2\}$ 
proof
  assume *:  $\text{residue-primroot } n\ (\text{Suc } 0)$ 
  with totient-gt-1 [of  $n$ ] have  $n \leq 2$  by (cases  $n \leq 2$ ) (auto simp: residue-primroot-def)
  hence  $n \in \{0, 1, 2\}$  by auto
  thus  $n \in \{1, 2\}$  using * by (auto simp: residue-primroot-def)
qed (auto simp: residue-primroot-def)

```

11.2 Primitive roots modulo a prime

For prime p , we now analyse the number of elements in the ring $\mathbb{Z}/p\mathbb{Z}$ whose order is precisely d for each d .

context

```

  fixes  $n :: \text{nat}$  and  $\psi$ 
  assumes  $n: n > 1$ 
  defines  $\psi \equiv (\lambda d. \text{card } \{x \in \text{totatives } n. \text{ord } n\ x = d\})$ 
begin

```

lemma *elements-with-ord-restrict-totatives*:

```

   $d > 0 \implies \{x \in \{..<n\}. \text{ord } n\ x = d\} = \{x \in \text{totatives } n. \text{ord } n\ x = d\}$ 
  using  $n$  by (auto simp: totatives-def coprime-commute intro!: Nat.gr0I le-neq-trans)

```

lemma *prime-elements-with-ord*:

assumes ψ $d \neq 0$ **and** *prime* n

and $a: a \in \text{totatives } n \text{ ord } n \ a = d \ a \neq 1$

shows $\text{inj-on } (\lambda k. a \wedge k \text{ mod } n) \{..<d\}$

and $\{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\} = (\lambda k. a \wedge k \text{ mod } n) ' \{..<d\}$

and $\text{bij-betw } (\lambda k. a \wedge k \text{ mod } n) (\text{totatives } d) \{x \in \{..<n\}. \text{ord } n \ x = d\}$

proof –

show $\text{inj: inj-on } (\lambda k. a \wedge k \text{ mod } n) \{..<d\}$

using *inj-power-mod*[*of* n a] **a by** (*auto simp: totatives-def coprime-commute*)

from a **have** $d > 0$ **by** (*auto simp: totatives-def coprime-commute*)

moreover have $d \neq 1$ **using** $a \ n$

by (*auto simp: ord-eq-Suc-0-iff totatives-less cong-def*)

ultimately have $d: d > 1$ **by** *simp*

have $*$: $(\lambda k. a \wedge k \text{ mod } n) ' \{..<d\} = \{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\}$

proof (*rule card-seteq*)

have $\text{card } \{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\} \leq d$

using *assms* a **by** (*intro roots-mod-prime-bound*) (*auto simp: totatives-def coprime-commute*)

also have $\dots = \text{card } ((\lambda k. a \wedge k \text{ mod } n) ' \{..<d\})$

using *inj* **by** (*subst card-image*) *auto*

finally show $\text{card } \{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\} \leq \dots$

next

show $(\lambda k. a \wedge k \text{ mod } n) ' \{..<d\} \subseteq \{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\}$

proof *safe*

fix k **assume** $k < d$

have $[(a \wedge d) \wedge k = 1 \wedge k] \text{ (mod } n)$

by (*intro cong-pow*) (*use* a **in** $\langle \text{auto simp: ord-divides} \rangle$)

thus $[(a \wedge k \text{ mod } n) \wedge d = 1] \text{ (mod } n)$

by (*simp add: power-mult [symmetric] cong-def power-mod mult.commute*)

qed (*use* $\langle \text{prime } n \rangle$ **in** $\langle \text{auto dest: prime-gt-1-nat} \rangle$)

qed *auto*

thus $\{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\} = (\lambda k. a \wedge k \text{ mod } n) ' \{..<d\}$

show $\text{bij-betw } (\lambda k. a \wedge k \text{ mod } n) (\text{totatives } d) \{x \in \{..<n\}. \text{ord } n \ x = d\}$

unfolding *bij-betw-def*

proof (*intro conjI inj-on-subset*[*OF* *inj*] *equalityI subsetI*)

fix b **assume** $b \in (\lambda k. a \wedge k \text{ mod } n) ' \text{totatives } d$

then obtain k **where** $b = a \wedge k \text{ mod } n \ k \in \text{totatives } d$ **by** *auto*

thus $b \in \{b \in \{..<n\}. \text{ord } n \ b = d\}$

using $n \ a$ **by** (*simp add: ord-power totatives-def coprime-commute*)

next

fix b **assume** $b \in \{x \in \{..<n\}. \text{ord } n \ x = d\}$

hence $b: \text{ord } n \ b = d \ b < n$ **by** *auto*

with d **have** *coprime* $n \ b$ **using** *ord-eq-0*[*of* $n \ b$] **by** *auto*

from b **have** $b \in \{x \in \{..<n\}. [x \wedge d = 1] \text{ (mod } n)\}$

by (*auto simp: ord-divides*)

with $*$ **obtain** k **where** $k: k < d \ b = a \wedge k \text{ mod } n$

by *blast*

```

    with b(2) n a d have d div gcd k d = ord n b
      using ⟨coprime n b⟩ by (auto simp: ord-power)
    also have ord n b = d by (simp add: b)
    finally have coprime k d
      unfolding coprime-iff-gcd-eq-1 using d a by (subst (asm) div-eq-dividend-iff)
  auto
    with k b d have k ∈ totatives d by (auto simp: totatives-def intro!: Nat.gr0I)
    with k show b ∈ (λk. a ^ k mod n) ‘ totatives d by blast
  qed (use d n in ⟨auto simp: totatives-less⟩)
qed

lemma prime-card-elements-with-ord:
  assumes ψ d ≠ 0 and prime n
  shows ψ d = totient d
proof (cases d = 1)
  case True
  have ψ 1 = 1
    using elements-with-ord-1[of n] n by (simp add: ψ-def)
  thus ?thesis using True by simp
next
  case False
  from assms obtain a where a: a ∈ totatives n ord n a = d
    by (auto simp: ψ-def)
  from a have d > 0 by (auto intro!: Nat.gr0I simp: ord-eq-0 totatives-def coprime-commute)
  from a and False have a ≠ 1 by auto
  from bij-betw-same-card[OF prime-elements-with-ord(3)[OF assms a this]] show
    ψ d = totient d
    using elements-with-ord-restrict-totatives[of d] False a ⟨d > 0⟩
    by (simp add: ψ-def totient-def)
qed

lemma prime-sum-card-elements-with-ord-eq-totient:
  (∑ d | d dvd totient n. ψ d) = totient n
proof -
  have totient n = card (totatives n)
    by (simp add: totient-def)
  also have totatives n = (⋃ d ∈ {d. d dvd totient n}. {x ∈ totatives n. ord n x = d})
    by (force simp: order-divides-totient totatives-def coprime-commute)
  also have card ... = (∑ d | d dvd totient n. ψ d)
    unfolding ψ-def using n by (subst card-UN-disjoint) (auto intro!: finite-divisors-nat)
  finally show ?thesis ..
qed


```

We can now show that the number of elements of order d is $\varphi(d)$ if $d \mid p - 1$ and 0 otherwise.

```

theorem prime-card-elements-with-ord-eq-totient:
  assumes prime n

```

```

shows  $\psi\ d = (\text{if } d \text{ dvd } n - 1 \text{ then totient } d \text{ else } 0)$ 
proof (cases  $d \text{ dvd totient } n$ )
  case False
    thus ?thesis using order-divides-totient[of n] assms
      by (auto simp:  $\psi\text{-def totient-prime totatives-def coprime-commute[of n]$ )
  next
    case True
    have  $\psi\ d = \text{totient } d$ 
    proof (rule ccontr)
      assume  $\text{neg: } \psi\ d \neq \text{totient } d$ 
      have  $\text{le: } \psi\ d \leq \text{totient } d$  if  $d \text{ dvd totient } n$  for  $d$ 
        using prime-card-elements-with-ord[of d] assms by (cases  $\psi\ d = 0$ ) auto
      from neg and le[of d] and True have  $\text{less: } \psi\ d < \text{totient } d$  by auto

      have  $\text{totient } n = (\sum d \mid d \text{ dvd totient } n. \psi\ d)$ 
        using prime-sum-card-elements-with-ord-eq-totient ..
      also have  $\dots < (\sum d \mid d \text{ dvd totient } n. \text{totient } d)$ 
        by (rule sum-strict-mono-ex1)
        (use n le less assms True in <auto intro!: finite-divisors-nat>)
      also have  $\dots = \text{totient } n$ 
        using totient-divisor-sum .
      finally show False by simp
    qed
  with True show ?thesis using assms by (simp add: totient-prime)
qed

```

As a corollary, we get that the number of primitive roots modulo a prime p is $\varphi(p - 1)$. Since this number is positive, we also get that there *is* at least one primitive root modulo p .

lemma

```

assumes prime n
shows prime-card-primitive-roots:  $\text{card } \{x \in \text{totatives } n. \text{ord } n\ x = n - 1\} = \text{totient } (n - 1)$ 
   $\text{card } \{x \in \{..<n\}. \text{ord } n\ x = n - 1\} = \text{totient } (n - 1)$ 
and prime-primitive-root-exists:  $\exists x. \text{residue-primroot } n\ x$ 
proof -
  show *:  $\text{card } \{x \in \text{totatives } n. \text{ord } n\ x = n - 1\} = \text{totient } (n - 1)$ 
    using prime-card-elements-with-ord-eq-totient[of n - 1] assms
    by (auto simp: totient-prime  $\psi\text{-def}$ )
  thus  $\text{card } \{x \in \{..<n\}. \text{ord } n\ x = n - 1\} = \text{totient } (n - 1)$ 
    using assms  $n \text{ elements-with-ord-restrict-totatives[of n - 1]$  by simp

  note *
  also have  $\text{totient } (n - 1) > 0$  using n by auto
  finally show  $\exists x. \text{residue-primroot } n\ x$  using assms prime-gt-1-nat[of n]
    by (subst (asm) card-gt-0-iff)
    (auto simp: residue-primroot-def totient-prime totatives-def coprime-commute)
qed

```

end

11.3 Primitive roots modulo powers of an odd prime

Any primitive root g modulo an odd prime p is also a primitive root modulo p^k for all $k > 0$ if $[g^{p-1} \neq 1] \pmod{p^2}$. To show this, we first need the following lemma.

lemma *residue-primroot-power-prime-power-neq-1*:

assumes $k \geq 2$

assumes p : prime p odd p **and** *residue-primroot* p g **and** $[g^{p-1} \neq 1] \pmod{p^2}$

shows $[g^{\text{totient}(p^{k-1})} \neq 1] \pmod{p^k}$

using *assms*(1)

proof (*induction* k rule: *dec-induct*)

case *base*

thus ?*case* **using** *assms* **by** (*simp add: totient-prime*)

next

case (*step* k)

from p **have** $p > 2$

using *prime-gt-1-nat*[*of* p] **by** (*cases* $p = 2$) *auto*

from *assms* **have** $g > 0$ **by** (*auto intro!: Nat.gr0I*)

have $[g^{\text{totient}(p^{k-1})} = 1] \pmod{p^{k-1}}$

using *assms* **by** (*intro euler-theorem*)

(*auto simp: residue-primroot-def totatives-def coprime-commute*)

from *cong-to-1-nat*[*OF this*]

obtain t **where** $g^{\text{totient}(p^{k-1})} - 1 = p^{k-1} * t$ **by** *auto*

have $t: g^{\text{totient}(p^{k-1})} = p^{k-1} * t + 1$

using g **by** (*subst * [symmetric]*) *auto*

have $\neg p \text{ dvd } t$

proof

assume $p \text{ dvd } t$

then obtain q **where** [*simp*]: $t = p * q$ **by** *auto*

from t **have** $g^{\text{totient}(p^{k-1})} = p^k * q + 1$

using $\langle k \geq 2 \rangle$ **by** (*cases* k) *auto*

hence $[g^{\text{totient}(p^{k-1})} = p^k * q + 1] \pmod{p^k}$

by *simp*

also have $[p^k * q + 1 = 0 * q + 1] \pmod{p^k}$

by (*intro cong-add cong-mult*) (*auto simp: cong-0-iff*)

finally have $[g^{\text{totient}(p^{k-1})} = 1] \pmod{p^k}$

by *simp*

with *step.IH* **show** *False* **by** *contradiction*

qed

from t **have** $(g^{\text{totient}(p^{k-1})})^p = (p^{k-1} * t + 1)^p$

by (*rule arg-cong*)

also have $(g^{\text{totient}(p^{k-1})})^p = g^{p * \text{totient}(p^{k-1})}$

by (*simp add: power-mult [symmetric] mult.commute*)

also have $p * \text{totient}(p^{k-1}) = \text{totient}(p^k)$

```

    using  $p \langle k \geq 2 \rangle$  by (simp add: totient-prime-power Suc-diff-Suc flip: power-Suc)
    also have  $(p \wedge (k - 1) * t + 1) \wedge p = (\sum_{i \leq p}. (p \text{ choose } i) * t \wedge i * p \wedge (i * (k - 1)))$ 
    by (subst binomial) (simp-all add: mult-ac power-mult-distrib power-mult [symmetric])
    finally have  $[g \wedge \text{totient } (p \wedge k) = (\sum_{i \leq p}. (p \text{ choose } i) * t \wedge i * p \wedge (i * (k - 1)))]$ 
      (mod  $(p \wedge \text{Suc } k)$ ) (is  $[- = ?rhs]$  (mod  $-$ )) by simp

    also have  $[?rhs = (\sum_{i \leq p}. \text{if } i \leq 1 \text{ then } (p \text{ choose } i) * t \wedge i * p \wedge (i * (k - 1)) \text{ else } 0)]$ 
      (mod  $(p \wedge \text{Suc } k)$ ) (is  $[\text{sum } ?f - = \text{sum } ?g -]$  (mod  $-$ ))

  proof (intro cong-sum)
    fix i assume  $i: i \in \{..p\}$ 
    consider  $i \leq 1 \mid i = 2 \mid i > 2$  by force
    thus  $[?f i = ?g i]$  (mod  $(p \wedge \text{Suc } k)$ )
  proof cases
    assume  $i: i > 2$ 
    have  $\text{Suc } k \leq 3 * (k - 1)$ 
    using  $\langle k \geq 2 \rangle$  by (simp add: algebra-simps)
    also have  $3 * (k - 1) \leq i * (k - 1)$ 
    using i by (intro mult-right-mono) auto
    finally have  $p \wedge \text{Suc } k \text{ dvd } ?f i$ 
    by (intro dvd-mult le-imp-power-dvd)
    thus  $[?f i = ?g i]$  (mod  $(p \wedge \text{Suc } k)$ )
    by (simp add: cong-0-iff)
  next
    assume  $[simp]: i = 2$ 
    have  $?f i = p * (p - 1) \text{ div } 2 * t^2 * p \wedge (2 * (k - 1))$ 
    using choose-two[of p] by simp
    also have  $p * (p - 1) \text{ div } 2 = (p - 1) \text{ div } 2 * p$ 
    using  $\langle \text{odd } p \rangle$  by (auto elim!: oddE)
    also have  $\dots * t^2 * p \wedge (2 * (k - 1)) = (p - 1) \text{ div } 2 * t^2 * (p * p \wedge (2 * (k - 1)))$ 
    by (simp add: algebra-simps)
    also have  $p * p \wedge (2 * (k - 1)) = p \wedge (2 * k - 1)$ 
    using  $\langle k \geq 2 \rangle$  by (cases k) auto
    also have  $p \wedge \text{Suc } k \text{ dvd } (p - 1) \text{ div } 2 * t^2 * p \wedge (2 * k - 1)$ 
    using  $\langle k \geq 2 \rangle$  by (intro dvd-mult le-imp-power-dvd) auto
    finally show  $[?f i = ?g i]$  (mod  $(p \wedge \text{Suc } k)$ )
    by (simp add: cong-0-iff)
  qed auto
qed
also have  $(\sum_{i \leq p}. ?g i) = (\sum_{i \leq 1}. ?f i)$ 
  using p prime-gt-1-nat[of p] by (intro sum.mono-neutral-cong-right) auto
also have  $\dots = 1 + t * p \wedge k$ 
  using choose-two[of p]  $\langle k \geq 2 \rangle$  by (cases k) simp-all
finally have eq:  $[g \wedge \text{totient } (p \wedge k) = 1 + t * p \wedge k]$  (mod  $p \wedge \text{Suc } k$ ) .

have  $[g \wedge \text{totient } (p \wedge k) \neq 1]$  (mod  $p \wedge \text{Suc } k$ )

```

```

proof
  assume  $[g^{\wedge \text{totient } (p^{\wedge k})} = 1] \pmod{p^{\wedge \text{Suc } k}}$ 
  hence  $[g^{\wedge \text{totient } (p^{\wedge k})} - g^{\wedge \text{totient } (p^{\wedge k})} = 1 + t * p^{\wedge k} - 1] \pmod{p^{\wedge \text{Suc } k}}$ 
  by (intro cong-diff-nat eq) auto
  hence  $[t * p^{\wedge k} = 0] \pmod{p^{\wedge \text{Suc } k}}$ 
  by (simp add: cong-sym-eq)
  hence  $p * p^{\wedge k} \text{ dvd } t * p^{\wedge k}$ 
  by (simp add: cong-0-iff)
  hence  $p \text{ dvd } t$  using  $\langle p > 2 \rangle$  by simp
  with  $\langle \neg p \text{ dvd } t \rangle$  show False by contradiction
qed
thus ?case by simp
qed

```

We can now show that primitive roots modulo p with the above condition are indeed also primitive roots modulo p^k .

proposition *residue-primroot-prime-lift-iff:*

assumes p : prime p odd p **and** residue-primroot p g
shows $(\forall k > 0. \text{residue-primroot } (p^{\wedge k}) \ g) \longleftrightarrow [g^{\wedge (p-1)} \neq 1] \pmod{p^2}$

proof –

from *assms* **have** g : coprime p g **ord** p $g = p - 1$
by (auto simp: residue-primroot-def totient-prime)
show ?thesis

proof

assume $\forall k > 0. \text{residue-primroot } (p^{\wedge k}) \ g$
hence residue-primroot $(p^2) \ g$ **by** auto
hence $\text{ord } (p^2) \ g = \text{totient } (p^2)$
by (simp-all add: residue-primroot-def)
thus $[g^{\wedge (p-1)} \neq 1] \pmod{p^2}$
using g *assms* prime-gt-1-nat[$of\ p$]
by (auto simp: ord-divides' totient-prime-power)

next

assume g' : $[g^{\wedge (p-1)} \neq 1] \pmod{p^2}$

have residue-primroot $(p^{\wedge k}) \ g$ **if** $k > 0$ **for** k

proof (cases $k = 1$)

case False

with that **have** k : $k > 1$ **by** simp

from g **have** coprime: coprime $(p^{\wedge k}) \ g$

by (auto simp: totatives-def coprime-commute)

define t **where** $t = \text{ord } (p^{\wedge k}) \ g$

have $[g^{\wedge t} = 1] \pmod{p^{\wedge k}}$

by (simp add: t-def ord-divides')

also have $p^{\wedge k} = p * p^{\wedge (k-1)}$

using k **by** (cases k) auto

finally have $[g^{\wedge t} = 1] \pmod{p}$

by (rule cong-modulus-mult-nat)

```

hence totient p dvd t
  using g p by (simp add: ord-divides' totient-prime)
then obtain q where t: t = totient p * q by auto

have t dvd totient (p ^ k)
  using coprime by (simp add: t-def order-divides-totient)
with t p k have q dvd p ^ (k - 1) using prime-gt-1-nat[of p]
  by (auto simp: totient-prime totient-prime-power)
then obtain b where b: b ≤ k - 1 q = p ^ b
  using divides-primelow-nat[of p q k - 1] p by auto

have b = k - 1
proof (rule ccontr)
  assume b ≠ k - 1
  with b have b < k - 1 by simp
  have t = p ^ b * (p - 1)
    using b p by (simp add: t totient-prime)
  also have ... dvd p ^ (k - 2) * (p - 1)
    using ⟨b < k - 1⟩ by (intro mult-dvd-mono le-imp-power-dvd) auto
  also have ... = totient (p ^ (k - 1))
    using k p by (simp add: totient-prime-power numeral-2-eq-2)
  finally have [g ^ totient (p ^ (k - 1)) = 1] (mod (p ^ k))
    by (simp add: ord-divides' t-def)
  with residue-primroot-power-prime-power-neq-1[of k p g] p k asms g' show
False
  by auto
qed
hence t = totient (p ^ k)
  using p k by (simp add: t b totient-prime totient-prime-power)
thus residue-primroot (p ^ k) g
  using g one-less-power[of p k] prime-gt-1-nat[of p] p k
  by (simp add: residue-primroot-def t-def)
qed (use asms in auto)
thus ∀ k > 0. residue-primroot (p ^ k) g by blast
qed
qed

```

If p is an odd prime, there is always a primitive root g modulo p , and if g does not fulfil the above assumption required for it to be liftable to p^k , we can use $g + p$, which is also a primitive root modulo p and *does* fulfil the assumption.

This shows that any modulus that is a power of an odd prime has a primitive root.

theorem *residue-primroot-odd-prime-power-exists:*

```

assumes p: prime p odd p
obtains g where ∀ k > 0. residue-primroot (p ^ k) g
proof -
  obtain g where g: residue-primroot p g

```

```

using prime-primitive-root-exists[of p] assms prime-gt-1-nat[of p] by auto

have  $\exists g. \text{residue-primroot } p \ g \wedge [g \wedge (p - 1) \neq 1] \pmod{p^2}$ 
proof (cases  $[g \wedge (p - 1) = 1] \pmod{p^2}$ )
  case True
  define  $g'$  where  $g' = p + g$ 
  note  $g$ 
  also have  $\text{residue-primroot } p \ g \longleftrightarrow \text{residue-primroot } p \ g'$ 
  unfolding  $g'$ -def by (rule residue-primroot-cong) (auto simp: cong-def)
  finally have  $g': \text{residue-primroot } p \ g' .$ 

  have  $[g' \wedge (p - 1) = (\sum_{k \leq p-1}. ((p-1) \text{ choose } k) * g \wedge (p - \text{Suc } k) * p \wedge$ 
 $k)] \pmod{p^2}$ 
    (is  $[- = ?rhs] \pmod{-}$ ) by (simp add: g'-def binomial mult-ac)
  also have  $[?rhs = (\sum_{k \leq p-1}. \text{if } k \leq 1 \text{ then } ((p-1) \text{ choose } k) *$ 
 $g \wedge (p - \text{Suc } k) * p \wedge k \text{ else } 0)] \pmod{p^2}$ 
    (is  $[\text{sum } ?f - = \text{sum } ?g -] \pmod{-}$ )
  proof (intro cong-sum)
    fix  $k$  assume  $k \in \{..p-1\}$ 
    show  $[?f \ k = ?g \ k] \pmod{p^2}$ 
    proof (cases  $k \leq 1$ )
      case False
      have  $p^2 \text{ dvd } ?f \ k$ 
      using False by (intro dvd-mult le-imp-power-dvd) auto
      thus  $?thesis$  using False by (simp add: cong-0-iff)
    qed auto
  qed
  also have  $\text{sum } ?g \ \{..p-1\} = \text{sum } ?f \ \{0, 1\}$ 
  using prime-gt-1-nat[of p]  $p$  by (intro sum.mono-neutral-cong-right) auto
  also have  $\dots = g \wedge (p - 1) + p * (p - 1) * g \wedge (p - 2)$ 
  using  $p$  by (simp add: numeral-2-eq-2)
  also have  $[g \wedge (p - 1) + p * (p - 1) * g \wedge (p - 2) = 1 + p * (p - 1) * g \wedge$ 
 $(p - 2)] \pmod{p^2}$ 
    by (intro cong-add True) auto
  finally have  $[g' \wedge (p - 1) = 1 + p * (p - 1) * g \wedge (p - 2)] \pmod{p^2} .$ 

moreover have  $[1 + p * (p - 1) * g \wedge (p - 2) \neq 1] \pmod{p^2}$ 
proof
  assume  $[1 + p * (p - 1) * g \wedge (p - 2) = 1] \pmod{p^2}$ 
  hence  $[1 + p * (p - 1) * g \wedge (p - 2) - 1 = 1 - 1] \pmod{p^2}$ 
  by (intro cong-diff-nat) auto
  hence  $p * p \text{ dvd } p * ((p - 1) * g \wedge (p - 2))$ 
  by (auto simp: cong-0-iff power2-eq-square)
  hence  $p \text{ dvd } (p - 1) * g \wedge (p - 2)$ 
  using  $p$  by simp
  hence  $p \text{ dvd } g \wedge (p - 2)$ 
  using  $p \text{ dvd-imp-le}[of \ p \ p - \text{Suc } 0]$  prime-gt-1-nat[of p]
  by (auto simp: prime-dvd-mult-iff)
  also have  $\dots \text{ dvd } g \wedge (p - 1)$ 

```

```

    by (intro le-imp-power-dvd) auto
  finally have  $[g \wedge (p - 1) = 0] \pmod{p}$ 
    by (simp add: cong-0-iff)
  hence  $[0 = g \wedge (p - 1)] \pmod{p}$ 
    by (simp add: cong-sym-eq)

  also from  $\langle \text{residue-primroot } p \ g \rangle$  have  $[g \wedge (p - 1) = 1] \pmod{p}$ 
    using  $p$  by (auto simp: residue-primroot-def ord-divides' totient-prime)
  finally have  $[0 = 1] \pmod{p}$  .
  thus False using prime-gt-1-nat[of  $p$ ]  $p$  by (simp add: cong-def)
qed

ultimately have  $[g' \wedge (p - 1) \neq 1] \pmod{p^2}$ 
  by (simp add: cong-def)
thus  $\exists g. \text{residue-primroot } p \ g \wedge [g \wedge (p - 1) \neq 1] \pmod{p^2}$ 
  using  $g'$  by blast
qed (use  $g$  in auto)
thus ?thesis
  using residue-primroot-prime-lift-iff[OF assms] that by blast
qed

```

11.4 Carmichael's function

Carmichael's function $\lambda(n)$ gives the LCM of the orders of all elements in the residue ring modulo n – or, equivalently, the maximum order, as we will show later. Algebraically speaking, it is the exponent of the multiplicative group $(\mathbb{Z}/n\mathbb{Z})^*$.

It is not to be confused with Liouville's function, which is also denoted by $\lambda(n)$.

definition *Carmichael* where

Carmichael $n = (\text{LCM } a \in \text{totatives } n. \text{ord } n \ a)$

lemma *Carmichael-0* [simp]: *Carmichael* $0 = 1$

by (simp add: Carmichael-def)

lemma *Carmichael-1* [simp]: *Carmichael* $1 = 1$

by (simp add: Carmichael-def)

lemma *Carmichael-Suc-0* [simp]: *Carmichael* (*Suc* 0) = 1

by (simp add: Carmichael-def)

lemma *ord-dvd-Carmichael*:

assumes $n > 1$ coprime $n \ k$

shows $\text{ord } n \ k \text{ dvd } \text{Carmichael } n$

proof –

have $k \bmod n \in \text{totatives } n$

using assms by (auto simp: totatives-def coprime-commute intro!: Nat.gr0I)

hence $\text{ord } n \ (k \bmod n) \text{ dvd } \text{Carmichael } n$

by (simp add: Carmichael-def del: ord-mod)
 thus ?thesis by simp
 qed

lemma Carmichael-divides:
 assumes Carmichael n dvd k coprime n a
 shows $[a^k = 1] \pmod{n}$
proof (cases $n < 2 \vee a = 1$)
 case False
 hence ord n a dvd Carmichael n
 using False assms by (intro ord-dvd-Carmichael) auto
 also have ... dvd k by fact
 finally have ord n a dvd k .
 thus ?thesis using ord-divides by auto
 next
 case True
 then consider $a = 1 \mid n = 0 \mid n = 1$ by force
 thus ?thesis using assms by cases auto
 qed

lemma Carmichael-dvd-totient: Carmichael n dvd totient n
 unfolding Carmichael-def
proof (intro Lcm-least, safe)
 fix a assume $a \in \text{totatives } n$
 hence $[a^{\text{totient } n} = 1] \pmod{n}$
 by (intro euler-theorem) (auto simp: totatives-def)
 thus ord n a dvd totient n
 using ord-divides by blast
 qed

lemma Carmichael-dvd-mono-coprime:
 assumes coprime m n $m > 1$ $n > 1$
 shows Carmichael m dvd Carmichael $(m * n)$
 unfolding Carmichael-def[of m]
proof (intro Lcm-least, safe)
 fix x assume $x: x \in \text{totatives } m$
 from assms have totatives $n \neq \{\}$ by simp
 then obtain y where $y: y \in \text{totatives } n$ by blast

 from binary-chinese-remainder-nat[OF assms(1), of x y]
 obtain z where $z: [z = x] \pmod{m} [z = y] \pmod{n}$ by blast
 have $z': \text{coprime } z$ n coprime z m
 by (rule cong-imp-coprime; use x y z in <force simp: totatives-def cong-sym-eq>)+

 from z have ord m $x = \text{ord } m$ z
 by (intro ord-cong) (auto simp: cong-sym-eq)
 also have ord m z dvd ord $(m * n)$ z
 using assms by (auto simp: ord-modulus-mult-coprime)
 also from z' assms have ... dvd Carmichael $(m * n)$

```

    by (intro ord-dvd-Carmichael) (auto simp: coprime-commute intro!: one-less-mult)
  finally show ord m x dvd Carmichael (m * n) .
qed

```

λ distributes over the product of coprime numbers similarly to φ , but with LCM instead of multiplication:

```

lemma Carmichael-mult-coprime:
  assumes coprime m n
  shows Carmichael (m * n) = lcm (Carmichael m) (Carmichael n)
proof (cases m ≤ 1 ∨ n ≤ 1)
  case True
  hence m = 0 ∨ n = 0 ∨ m = 1 ∨ n = 1 by force
  thus ?thesis using assms by auto
next
  case False
  show ?thesis
  proof (rule dvd-antisym)
    show Carmichael (m * n) dvd lcm (Carmichael m) (Carmichael n)
      unfolding Carmichael-def[of m * n]
    proof (intro Lcm-least, safe)
      fix x assume x: x ∈ totatives (m * n)
      have ord (m * n) x = lcm (ord m x) (ord n x)
        using assms x by (subst ord-modulus-mult-coprime) (auto simp: coprime-commute totatives-def)
      also have ... dvd lcm (Carmichael m) (Carmichael n)
        using False x
        by (intro lcm-mono ord-dvd-Carmichael) (auto simp: totatives-def coprime-commute)
      finally show ord (m * n) x dvd ... .
    qed
  next
    show lcm (Carmichael m) (Carmichael n) dvd Carmichael (m * n)
      using Carmichael-dvd-mono-coprime[of m n]
        Carmichael-dvd-mono-coprime[of n m] assms False
      by (auto intro!: lcm-least simp: coprime-commute mult.commute)
  qed
qed

```

```

lemma Carmichael-pos [simp, intro]: Carmichael n > 0
  by (auto simp: Carmichael-def ord-eq-0 totatives-def coprime-commute intro!: Nat.gr0I)

```

```

lemma Carmichael-nonzero [simp]: Carmichael n ≠ 0
  by simp

```

```

lemma power-Carmichael-eq-1:
  assumes n > 1 coprime n x
  shows [x ^ Carmichael n = 1] (mod n)
  using ord-dvd-Carmichael[of n x] assms

```

```

    by (auto simp: ord-divides')

lemma Carmichael-2 [simp]: Carmichael 2 = 1
  using Carmichael-dvd-totient[of 2] by simp

lemma Carmichael-4 [simp]: Carmichael 4 = 2
proof -
  have Carmichael 4 dvd 2
    using Carmichael-dvd-totient[of 4] by simp
  hence Carmichael 4 ≤ 2 by (rule dvd-imp-le) auto
  moreover have Carmichael 4 ≠ 1
    using power-Carmichael-eq-1[of 4::nat 3]
    unfolding coprime-iff-gcd-eq-1 by (auto simp: gcd-non-0-nat cong-def)
  ultimately show ?thesis
    using Carmichael-pos[of 4] by linarith
qed

lemma residue-primroot-Carmichael:
  assumes residue-primroot n g
  shows Carmichael n = totient n
proof (cases n = 1)
  case False
  show ?thesis
  proof (intro dvd-antisym Carmichael-dvd-totient)
    have ord n g dvd Carmichael n
      using assms False by (intro ord-dvd-Carmichael) (auto simp: residue-primroot-def)
    thus totient n dvd Carmichael n
      using assms by (auto simp: residue-primroot-def)
  qed
qed auto

lemma Carmichael-odd-prime-power:
  assumes prime p odd p k > 0
  shows Carmichael (p ^ k) = p ^ (k - 1) * (p - 1)
proof -
  from assms obtain g where residue-primroot (p ^ k) g
    using residue-primroot-odd-prime-power-exists[of p] assms by metis
  hence Carmichael (p ^ k) = totient (p ^ k)
    by (intro residue-primroot-Carmichael[of p ^ k g]) auto
  with assms show ?thesis by (simp add: totient-prime-power)
qed

lemma Carmichael-prime:
  assumes prime p
  shows Carmichael p = p - 1
proof (cases even p)
  case True
  with assms have p = 2
    using primes-dvd-imp-eq two-is-prime-nat by blast

```

```

    thus ?thesis by simp
next
  case False
  with Carmichael-odd-prime-power[of p 1] assms show ?thesis by simp
qed

lemma Carmichael-twopow-ge-8:
  assumes  $k \geq 3$ 
  shows  $\text{Carmichael } (2^k) = 2^{(k-2)}$ 
proof (intro dvd-antisym)
  have  $2^{(k-2)} = \text{ord } (2^k) (3 :: \text{nat})$ 
  using ord-twopow-3-5[of k 3] assms by simp
  also have ... dvd Carmichael  $(2^k)$ 
  using assms one-less-power[of 2::nat k] by (intro ord-dvd-Carmichael) auto
  finally show  $2^{(k-2)} \text{ dvd } \dots$ 
next
  show Carmichael  $(2^k) \text{ dvd } 2^{(k-2)}$ 
  unfolding Carmichael-def
proof (intro Lcm-least, safe)
  fix x assume  $x \in \text{totatives } (2^k)$ 
  hence odd x by (auto simp: totatives-def)
  hence  $[x^{2^{(k-2)}} = 1] \pmod{2^k}$ 
  using assms ord-twopow-aux[of k x] by auto
  thus  $\text{ord } (2^k) x \text{ dvd } 2^{(k-2)}$ 
  by (simp add: ord-divides')
qed
qed

lemma Carmichael-twopow:
  Carmichael  $(2^k) = (\text{if } k \leq 2 \text{ then } 2^{(k-1)} \text{ else } 2^{(k-2)})$ 
proof -
  have  $k = 0 \vee k = 1 \vee k = 2 \vee k \geq 3$  by linarith
  thus ?thesis by (auto simp: Carmichael-twopow-ge-8)
qed

lemma Carmichael-prime-power:
  assumes prime p  $k > 0$ 
  shows  $\text{Carmichael } (p^k) =$ 
     $(\text{if } p = 2 \wedge k > 2 \text{ then } 2^{(k-2)} \text{ else } p^{(k-1)} * (p-1))$ 
proof (cases p = 2)
  case True
  thus ?thesis by (simp add: Carmichael-twopow)
next
  case False
  with assms have odd p  $p > 2$ 
  using prime-odd-nat[of p] prime-gt-1-nat[of p] by auto
  thus ?thesis
  using assms Carmichael-odd-prime-power[of p k] by simp
qed

```

lemma *Carmichael-prod-coprime*:
assumes *finite A* $\bigwedge i j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f\ i) (f\ j)$
shows $\text{Carmichael } (\prod_{i \in A} f\ i) = (\text{LCM } i \in A. \text{Carmichael } (f\ i))$
using *assms* **by** (*induction A* *rule: finite-induct*)
(simp, simp, subst Carmichael-mult-coprime[OF prod-coprime-right],
auto)

Since λ distributes over coprime factors and we know the value of $\lambda(p^k)$ for prime p , we can now give a closed formula for $\lambda(n)$ in terms of the prime factorisation of n :

theorem *Carmichael-closed-formula*:
 $\text{Carmichael } n =$
 $(\text{LCM } p \in \text{prime-factors } n. \text{let } k = \text{multiplicity } p\ n$
 $\text{in if } p = 2 \wedge k > 2 \text{ then } 2^{k-2} \text{ else } p^{k-1} * (p-1))$
 $(\text{is } - = \text{Lcm } ?A)$
proof (*cases n = 0*)
case *False*
hence $n = (\prod_{p \in \text{prime-factors } n} p^{\text{multiplicity } p\ n})$
using *prime-factorization-nat* **by** *blast*
also have $\text{Carmichael } \dots =$
 $(\text{LCM } p \in \text{prime-factors } n. \text{Carmichael } (p^{\text{multiplicity } p\ n}))$
by (*subst Carmichael-prod-coprime*) (*auto simp: in-prime-factors-iff primes-coprime*)
also have $(\lambda p. \text{Carmichael } (p^{\text{multiplicity } p\ n})) \text{ 'prime-factors } n = ?A$
by (*intro image-cong*)
 $(\text{auto simp: Let-def Carmichael-prime-power prime-factors-multiplicity})$
finally show *?thesis* .
qed *auto*

corollary *even-Carmichael*:
assumes $n > 2$
shows $\text{even } (\text{Carmichael } n)$
proof (*cases $\exists k. n = 2^k$*)
case *True*
then obtain k **where** $[simp]: n = 2^k$ **by** *auto*
from *assms* **have** $k \neq 0 \ k \neq 1$ **by** (*auto intro!: Nat.gr0I*)
hence $k \geq 2$ **by** *auto*
thus *?thesis* **by** (*auto simp: Carmichael-twopow*)
next
case *False*
from *assms* **have** $n \neq 0$ **by** *auto*
from *False* **have** $\exists p \in \text{prime-factors } n. p \neq 2$
using *assms* *Ex-other-prime-factor[of n 2]* **by** *auto*
from *divide-out-primew-ex[OF $\langle n \neq 0 \rangle$ this]*
obtain $p\ k\ n'$
where p :
 $p \neq 2$
 $\text{prime } p$

```

    p dvd n
    ¬ p dvd n'
    0 < k
    n = p ^ k * n' .
  from p have coprime: coprime (p ^ k) n'
  using p prime-imp-coprime by auto
  have odd p
  using p primes-dvd-imp-eq[of 2 p] by auto
  have even (Carmichael (p ^ k))
  using p <odd p> by (auto simp: Carmichael-prime-power)
  with p coprime show ?thesis
  by (auto simp: Carmichael-mult-coprime intro!: dvd-lcmI1)
qed

```

lemma *eval-Carmichael*:

```

  assumes prime-factorization n = A
  shows Carmichael n = (LCM p ∈ set-mset A.
    let k = count A p in if p = 2 ∧ k > 2 then 2 ^ (k - 2) else p ^ (k -
1) * (p - 1))
  unfolding assms [symmetric] Carmichael-closed-formula
  by (intro arg-cong[where f = Lcm] image-cong) (auto simp: Let-def count-prime-factorization)

```

Any residue ring always contains a λ -root, i.e. an element whose order is $\lambda(n)$.

theorem *Carmichael-root-exists*:

```

  assumes n > (0::nat)
  obtains g where g ∈ totatives n and ord n g = Carmichael n
proof (cases n = 1)
  case True
  thus ?thesis by (intro that[of 1]) (auto simp: totatives-def)
next
  case False
  have primepow: ∃ g. coprime (p ^ k) g ∧ ord (p ^ k) g = Carmichael (p ^ k)
  if pk: prime p k > 0 for p k
proof (cases p = 2)
  case [simp]: True
  from <k > 0 consider k = 1 | k = 2 | k ≥ 3 by force
  thus ?thesis
proof cases
  assume k = 1
  thus ?thesis by (intro exI[of - 1]) auto
next
  assume [simp]: k = 2
  have coprime 4 (3::nat)
  by (auto simp: coprime-iff-gcd-eq-1 gcd-non-0-nat)
  thus ?thesis by (intro exI[of - 3]) auto
next
  assume k: k ≥ 3
  have coprime (2 ^ k :: nat) 3 by auto

```

```

    thus ?thesis using k
      by (intro exI[of - 3]) (auto simp: ord-twopow-3-5 Carmichael-twopow)
  qed
next
  case False
  hence odd p using ‹prime p›
    using primes-dvd-imp-eq two-is-prime-nat by blast
  then obtain g where residue-primroot (p ^ k) g
    using residue-primroot-odd-prime-power-exists[of p] pk by metis
  thus ?thesis using False pk
    by (intro exI[of - g])
      (auto simp: Carmichael-prime-power residue-primroot-def totient-prime-power)
  qed

define P where P = prime-factors n
define k where k = (λp. multiplicity p n)
have ∀p∈P. ∃g. coprime (p ^ k p) g ∧ ord (p ^ k p) g = Carmichael (p ^ k p)
  using primepow by (auto simp: P-def k-def prime-factors-multiplicity)
hence ∃g. ∀p∈P. coprime (p ^ k p) (g p) ∧ ord (p ^ k p) (g p) = Carmichael
(p ^ k p)
  by (subst (asm) bchoice-iff)
  then obtain g where g: ∧p. p ∈ P ⇒ coprime (p ^ k p) (g p)
    ∧p. p ∈ P ⇒ ord (p ^ k p) (g p) = Carmichael (p ^ k p) by
metis
have ∃x. ∀i∈P. [x = g i] (mod i ^ k i)
  by (intro chinese-remainder-nat)
  (auto simp: P-def k-def in-prime-factors-iff primes-coprime)
then obtain x where x: ∧p. p ∈ P ⇒ [x = g p] (mod p ^ k p) by metis

have n = (∏ p∈P. p ^ k p)
  using assms unfolding P-def k-def by (rule prime-factorization-nat)
also have ord ... x = (LCM p∈P. ord (p ^ k p) x)
  by (intro ord-modulus-prod-coprime) (auto simp: P-def in-prime-factors-iff
primes-coprime)
also have (λp. ord (p ^ k p) x) ‘ P = (λp. ord (p ^ k p) (g p)) ‘ P
  by (intro image-cong ord-cong x) auto
also have ... = (λp. Carmichael (p ^ k p)) ‘ P
  by (intro image-cong g) auto
also have Lcm ... = Carmichael (∏ p∈P. p ^ k p)
  by (intro Carmichael-prod-coprime [symmetric])
  (auto simp: P-def in-prime-factors-iff primes-coprime)
also have (∏ p∈P. p ^ k p) = n
  using assms unfolding P-def k-def by (rule prime-factorization-nat [symmetric])
finally have ord n x = Carmichael n .
moreover from this have coprime n x
  by (cases coprime n x) (auto split: if-splits)
ultimately show ?thesis using assms False
  by (intro that[of x mod n])
  (auto simp: totatives-def coprime-commute coprime-absorb-left intro!: Nat.gr0I)

```

qed

This also means that the Carmichael number is not only the LCM of the orders of the elements of the residue ring, but indeed the maximum of the orders.

lemma *Carmichael-altdef:*

Carmichael $n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{Max } (\text{ord } n \text{ ' totatives } n))$

proof (*cases* $n = 0$)

case *False*

have *Carmichael* $n = \text{Max } (\text{ord } n \text{ ' totatives } n)$

proof (*intro antisym Max.boundedI Max.coboundedI*)

fix k **assume** $k: k \in \text{ord } n \text{ ' totatives } n$

thus $k \leq \text{Carmichael } n$

proof (*cases* $n = 1$)

case *False*

with $\langle n \neq 0 \rangle$ **have** $n > 1$ **by** *linarith*

thus *?thesis* **using** $k \langle n \neq 0 \rangle$

by (*intro dvd-imp-le*)

(*auto intro!: ord-dvd-Carmichael simp: totatives-def coprime-commute*)

qed *auto*

next

obtain g **where** $g \in \text{totatives } n$ **and** $\text{ord } n \ g = \text{Carmichael } n$

using *Carmichael-root-exists*[*of* n] $\langle n \neq 0 \rangle$ **by** *auto*

thus *Carmichael* $n \in \text{ord } n \text{ ' totatives } n$ **by** *force*

qed (*use* $\langle n \neq 0 \rangle$ **in** *auto*)

thus *?thesis* **using** *False* **by** *simp*

qed *auto*

11.5 Existence of primitive roots for general moduli

We now related Carmichael's function to the existence of primitive roots and, in the end, use this to show precisely which moduli have primitive roots and which do not.

The first criterion for the existence of a primitive root is this: A primitive root modulo n exists iff $\lambda(n) = \varphi(n)$.

lemma *Carmichael-eq-totient-imp-primroot:*

assumes $n > 0$ **and** *Carmichael* $n = \text{totient } n$

shows $\exists g. \text{residue-primroot } n \ g$

proof –

from $\langle n > 0 \rangle$ **obtain** g **where** $g \in \text{totatives } n$ **and** $\text{ord } n \ g = \text{Carmichael } n$

using *Carmichael-root-exists*[*of* n] **by** *metis*

with *assms* **show** *?thesis* **by** (*auto simp: residue-primroot-def totatives-def coprime-commute*)

qed

theorem *residue-primroot-iff-Carmichael:*

$(\exists g. \text{residue-primroot } n \ g) \longleftrightarrow \text{Carmichael } n = \text{totient } n \wedge n > 0$

proof *safe*

```

fix g assume g: residue-primroot n g
thus n > 0 by (auto simp: residue-primroot-def)
have coprime n g by (rule ccontr) (use g in ⟨auto simp: residue-primroot-def⟩)

show Carmichael n = totient n
proof (cases n = 1)
  case False
  with ⟨n > 0⟩ have n > 1 by auto
  with ⟨coprime n g⟩ have ord n g dvd Carmichael n
    by (intro ord-dvd-Carmichael) auto
  thus ?thesis using g by (intro dvd-antisym Carmichael-dvd-totient)
    (auto simp: residue-primroot-def)
qed auto
qed (use Carmichael-eq-totient-imp-primroot[of n] in auto)

Any primitive root modulo  $mn$  for coprime  $m, n$  is also a primitive root
modulo  $m$  and  $n$ . The converse does not hold in general.

lemma residue-primroot-modulus-mult-coprimeD:
  assumes coprime m n and residue-primroot (m * n) g
  shows residue-primroot m g residue-primroot n g
proof -
  have *: m > 0 n > 0 coprime m g coprime n g
    lcm (ord m g) (ord n g) = totient m * totient n
  using assms
  by (auto simp: residue-primroot-def ord-modulus-mult-coprime totient-mult-coprime)

define a b where a = totient m div ord m g and b = totient n div ord n g
have ab: totient m = ord m g * a totient n = ord n g * b
  using * by (auto simp: a-def b-def order-divides-totient)

have a = 1 b = 1 coprime (ord m g) (ord n g)
  unfolding coprime-iff-gcd-eq-1 using * by (auto simp: ab lcm-nat-def dvd-div-eq-mult
ord-eq-0)
with ab and * show residue-primroot m g residue-primroot n g
  by (auto simp: residue-primroot-def)
qed

```

If a primitive root modulo mn exists for coprime m, n , then $\lambda(m)$ and $\lambda(n)$ must also be coprime. This is helpful in establishing that there are no primitive roots modulo mn by showing e.g. that $\lambda(m)$ and $\lambda(n)$ are both even.

```

lemma residue-primroot-modulus-mult-coprime-imp-Carmichael-coprime:
  assumes coprime m n and residue-primroot (m * n) g
  shows coprime (Carmichael m) (Carmichael n)
proof -
  from residue-primroot-modulus-mult-coprimeD[OF assms]
  have *: residue-primroot m g residue-primroot n g by auto
  hence [simp]: Carmichael m = totient m Carmichael n = totient n
    by (simp-all add: residue-primroot-Carmichael)

```

```

from * have mn:  $m > 0 \wedge n > 0$  by (auto simp: residue-primroot-def)

from assms have Carmichael  $(m * n) = \text{totient } (m * n) \wedge n > 0$ 
  using residue-primroot-iff-Carmichael[of  $m * n$ ] by auto
with assms have lcm  $(\text{totient } m) (\text{totient } n) = \text{totient } m * \text{totient } n$ 
  by (simp add: Carmichael-mult-coprime totient-mult-coprime)
thus ?thesis unfolding coprime-iff-gcd-eq-1 using mn
  by (simp add: lcm-nat-def dvd-div-eq-mult)
qed

```

The following moduli are precisely those that have primitive roots.

definition *cyclic-moduli* :: nat set **where**
 $\text{cyclic-moduli} = \{1, 2, 4\} \cup \{p^k \mid p \text{ k. prime } p \wedge \text{odd } p \wedge k > 0\} \cup$
 $\{2 * p^k \mid p \text{ k. prime } p \wedge \text{odd } p \wedge k > 0\}$

theorem residue-primroot-iff-in-cyclic-moduli:

$(\exists g. \text{residue-primroot } m \ g) \longleftrightarrow m \in \text{cyclic-moduli}$

proof –

have $(\exists g. \text{residue-primroot } m \ g)$ **if** $m \in \text{cyclic-moduli}$

using that **unfolding** cyclic-moduli-def

by (intro Carmichael-eq-totient-imp-primroot)

(auto dest: prime-gt-0-nat simp: Carmichael-prime-power totient-prime-power
Carmichael-mult-coprime totient-mult-coprime)

moreover **have** $\neg(\exists g. \text{residue-primroot } m \ g)$ **if** $m \notin \text{cyclic-moduli}$

proof (cases $m = 0$)

case False

with that **have** [simp]: $m > 0 \wedge m \neq 1$ **by** (auto simp: cyclic-moduli-def)

show ?thesis

proof (cases $\exists k. m = 2^k$)

case True

then obtain k **where** [simp]: $m = 2^k$ **by** auto

with that **have** $k \neq 0 \wedge k \neq 1 \wedge k \neq 2$ **by** (auto simp: cyclic-moduli-def)

hence $k \geq 3$ **by** auto

thus ?thesis **by** (subst residue-primroot-iff-Carmichael)

(auto simp: Carmichael-twopow totient-prime-power)

next

case False

hence $\exists p \in \text{prime-factors } m. p \neq 2$

using Ex-other-prime-factor[of $m \ 2$] **by** auto

from divide-out-primpow-ex[OF $\langle m \neq 0 \rangle$ this]

obtain $p \ k \ m'$ **where** $p: p \neq 2 \text{ prime } p \mid m \wedge \neg p \mid m' \wedge 0 < k \wedge m = p^k$

* m'

bymetis

have odd p

using $p \text{ primes-dvd-imp-eq}$ [of $2 \ p$] **by** auto

from p **have** coprime: coprime $(p^k) \ m'$

using $p \text{ prime-imp-coprime}$ **by** auto

have $m \in \text{cyclic-moduli}$ **if** $m' = 1$

```

    using that  $p \nmid \text{odd } p$  by (auto simp: cyclic-moduli-def)
  moreover have  $m \in \text{cyclic-moduli}$  if  $m' = 2$ 
proof -
  have  $m = 2 * p^k$  using  $p$  that by (simp add: mult.commute)
  thus  $m \in \text{cyclic-moduli}$  using  $p \nmid \text{odd } p$ 
    unfolding cyclic-moduli-def by blast
qed
moreover have  $m' \neq 0$  using  $p$  by (intro notI) auto
ultimately have  $m' \neq 0 \wedge m' \neq 1 \wedge m' \neq 2$  using that by auto
hence  $m' > 2$  by linarith

show ?thesis
proof
  assume  $\exists g. \text{residue-primroot } m \ g$ 
  with coprime  $p$  have coprime': coprime  $(p - 1)$  (Carmichael  $m'$ )
    using residue-primroot-modulus-mult-coprime-imp-Carmichael-coprime[OF
coprime]
    by (auto simp: Carmichael-prime-power)
  moreover have even  $(p - 1)$  even (Carmichael  $m'$ )
    using  $\langle m' > 2 \rangle \nmid \text{odd } p$  by (auto intro!: even-Carmichael)
  ultimately show False by force
qed
qed
qed auto

ultimately show ?thesis by metis
qed

lemma residue-primroot-is-generator:
  assumes  $m > 1$  and residue-primroot  $m \ g$ 
  shows bij-betw  $(\lambda i. g^i \bmod m)$   $\{..<\text{totient } m\}$  (totatives  $m$ )
  unfolding bij-betw-def
proof
  from assms have [simp]: ord  $m \ g = \text{totient } m$ 
    by (simp add: residue-primroot-def)
  from assms have coprime  $m \ g$  by (simp add: residue-primroot-def)
  hence inj-on  $(\lambda i. g^i \bmod m)$   $\{..<\text{ord } m \ g\}$ 
    by (intro inj-power-mod)
  thus inj: inj-on  $(\lambda i. g^i \bmod m)$   $\{..<\text{totient } m\}$ 
    by simp

show  $(\lambda i. g^i \bmod m)$  '  $\{..<\text{totient } m\} = \text{totatives } m$ 
proof (rule card-subset-eq)
  show card  $((\lambda i. g^i \bmod m)$  '  $\{..<\text{totient } m\}) = \text{card } (\text{totatives } m)$ 
    using inj by (subst card-image) (auto simp: totient-def)
  show  $(\lambda i. g^i \bmod m)$  '  $\{..<\text{totient } m\} \subseteq \text{totatives } m$ 
    using  $\langle m > 1 \rangle \nmid \text{coprime } m \ g$  power-in-totatives[of  $m \ g$ ] by blast
qed auto
qed

```

Given one primitive root g , all the primitive roots are powers g^i for $1 \leq i \leq \varphi(n)$ with $\gcd(i, \varphi(n)) = 1$.

theorem *residue-primroot-bij-betw-primroots*:

assumes $m > 1$ **and** *residue-primroot* m g
shows *bij-betw* $(\lambda i. g \wedge i \bmod m)$ $(\text{totatives } (\text{totient } m))$
 $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\}$

proof (*cases* $m = 2$)

case $[simp]: \text{True}$

have $[simp]: \text{totatives } 2 = \{1\}$

by (*auto simp: totatives-def elim!: oddE*)

from *assms* **have** *odd* g

by (*auto simp: residue-primroot-def*)

hence *pow-eq*: $(\lambda i. g \wedge i \bmod m) = (\lambda -. 1)$

by (*auto simp: fun-eq-iff mod-2-eq-odd*)

have $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\} = \{1\}$

by (*auto simp: residue-primroot-def*)

thus *?thesis* **using** *pow-eq* **by** (*auto simp: bij-betw-def*)

next

case *False*

thus *?thesis* **unfolding** *bij-betw-def*

proof *safe*

from *assms* *False* **have** $m > 2$ **by** *auto*

from *assms* $\langle m > 2 \rangle$ **have** *totient* $m > 1$ **by** (*intro totient-gt-1*) *auto*

from *assms* **have** $[simp]: \text{ord } m \ g = \text{totient } m$

by (*simp add: residue-primroot-def*)

from *assms* **have** *coprime* $m \ g$ **by** (*simp add: residue-primroot-def*)

hence *inj-on* $(\lambda i. g \wedge i \bmod m)$ $\{.. < \text{ord } m \ g\}$

by (*intro inj-power-mod*)

thus *inj-on* $(\lambda i. g \wedge i \bmod m)$ $(\text{totatives } (\text{totient } m))$

by (*rule inj-on-subset*)

(*use assms* $\langle \text{totient } m > 1 \rangle$ **in** $\langle \text{auto simp: totatives-less residue-primroot-def} \rangle$)

{

fix i **assume** $i: i \in \text{totatives } (\text{totient } m)$

from $\langle \text{coprime } m \ g \rangle$ **and** $\langle m > 2 \rangle$ **show** $g \wedge i \bmod m \in \text{totatives } m$

by (*intro power-in-totatives*) *auto*

show *residue-primroot* $m \ (g \wedge i \bmod m)$

using $i \ \langle m > 2 \rangle \ \langle \text{coprime } m \ g \rangle$

by (*auto simp: residue-primroot-def coprime-commute ord-power totatives-def*)

}

{

fix x **assume** $x: x \in \text{totatives } m \ \text{residue-primroot } m \ x$

then obtain i **where** $i: i < \text{totient } m \ x = (g \wedge i \bmod m)$

using *assms* *residue-primroot-is-generator* $[of \ m \ g]$ **by** (*auto simp: bij-betw-def*)

from $i \ x \ \langle m > 2 \rangle$ **have** $i > 0$ **by** (*intro Nat.gr0I*) (*auto simp: residue-primroot-1-iff*)

have *totient* $m \ \text{div } \gcd i \ (\text{totient } m) = \text{totient } m$

using $x \ i \ \langle \text{coprime } m \ g \rangle$ **by** (*auto simp add: residue-primroot-def ord-power*)

hence *coprime* $i \ (\text{totient } m)$

unfolding *coprime-iff-gcd-eq-1* **using** *assms* **by** (*subst (asm) dvd-div-eq-mult*)

```

auto
  with  $i < i > 0$  have  $i \in \text{totatives } (\text{totient } m)$  by (auto simp: totatives-def)
  thus  $x \in (\lambda i. g \wedge i \bmod m) \text{ ' totatives } (\text{totient } m)$  using  $i$  by auto
}
qed
qed

```

It follows from the above statement that any residue ring modulo n that *has* primitive roots has exactly $\varphi(\varphi(n))$ of them.

corollary *card-residue-primroots:*

```

assumes  $\exists g. \text{residue-primroot } m \ g$ 
shows  $\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} = \text{totient } (\text{totient } m)$ 
proof (cases  $m = 1$ )
  case [simp]: True
  have  $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\} = \{1\}$ 
    by (auto simp: residue-primroot-def)
  thus ?thesis by simp
next
  case False
  from assms obtain  $g$  where  $g: \text{residue-primroot } m \ g$  by auto
  hence  $m \neq 0$  by (intro notI) auto
  with  $\langle m \neq 1 \rangle$  have  $m > 1$  by linarith
  from this  $g$  have  $\text{bij-betw } (\lambda i. g \wedge i \bmod m) (\text{totatives } (\text{totient } m))$ 
     $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\}$ 
    by (rule residue-primroot-bij-betw-primroots)
  hence  $\text{card } (\text{totatives } (\text{totient } m)) = \text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\}$ 
    by (rule bij-betw-same-card)
  thus ?thesis by (simp add: totient-def)
qed

```

corollary *card-residue-primroots':*

```

 $\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} =$ 
   $(\text{if } m \in \text{cyclic-moduli} \text{ then } \text{totient } (\text{totient } m) \text{ else } 0)$ 
by (simp add: residue-primroot-iff-in-cyclic-moduli [symmetric] card-residue-primroots)

```

As an example, we evaluate $\lambda(122200)$ using the prime factorisation.

lemma *Carmichael 122200 = 1380*

proof –

```

have prime-factorization  $(2^3 * 5^2 * 13 * 47) = \{\#2, 2, 2, 5, 5, 13, 47::\text{nat}\# \}$ 
  by (intro prime-factorization-eqI) auto
from eval-Carmichael[OF this] show Carmichael 122200 = 1380
  by (simp add: lcm-nat-def gcd-non-0-nat)
qed

```

end

12 Comprehensive number theory

```
theory Number-Theory
imports
  Fib
  Residues
  Eratosthenes
  Mod-Exp
  Quadratic-Reciprocity
  Pocklington
  Prime-Powers
  Residue-Primitive-Roots
begin

end
```