

Examples of Inductive and Coinductive Definitions in ZF

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1 Sample datatype definitions

`theory Datatypes imports ZF begin`

1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters A and B .

consts

$data :: [i, i] \Rightarrow i$

datatype $data(A, B) =$

$Con0$
 $| Con1 (a \in A)$
 $| Con2 (a \in A, b \in B)$
 $| Con3 (a \in A, b \in B, d \in data(A, B))$

lemma $data-unfold: data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$

by ($fast\ intro! : data.intros [unfolded\ data.con-defs]$
 $elim : data.cases [unfolded\ data.con-defs]$)

Lemmas to justify using $data$ in other recursive type definitions.

lemma $data-mono: [| A \subseteq C; B \subseteq D |] \Rightarrow data(A, B) \subseteq data(C, D)$

apply ($unfold\ data.defs$)
apply ($rule\ lfp-mono$)
apply ($rule\ data.bnd-mono$) +
apply ($rule\ univ-mono\ Un-mono\ basic-monos\ | assumption$) +
done

lemma $data-univ: data(univ(A), univ(A)) \subseteq univ(A)$

apply ($unfold\ data.defs\ data.con-defs$)
apply ($rule\ lfp-lowerbound$)
apply ($rule-tac\ [2]\ subset-trans\ [OF\ A-subset-univ\ Un-upper1,\ THEN\ univ-mono]$)
apply ($fast\ intro! : zero-in-univ\ Inl-in-univ\ Inr-in-univ\ Pair-in-univ$)
done

lemma $data-subset-univ:$

$[| A \subseteq univ(C); B \subseteq univ(C) |] \Rightarrow data(A, B) \subseteq univ(C)$
by ($rule\ subset-trans\ [OF\ data-mono\ data-univ]$)

1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...
(back in 1994 that is).

consts

$enum :: i$

datatype $enum =$

$C00\ |\ C01\ |\ C02\ |\ C03\ |\ C04\ |\ C05\ |\ C06\ |\ C07\ |\ C08\ |\ C09$
 $| C10\ |\ C11\ |\ C12\ |\ C13\ |\ C14\ |\ C15\ |\ C16\ |\ C17\ |\ C18\ |\ C19$
 $| C20\ |\ C21\ |\ C22\ |\ C23\ |\ C24\ |\ C25\ |\ C26\ |\ C27\ |\ C28\ |\ C29$
 $| C30\ |\ C31\ |\ C32\ |\ C33\ |\ C34\ |\ C35\ |\ C36\ |\ C37\ |\ C38\ |\ C39$
 $| C40\ |\ C41\ |\ C42\ |\ C43\ |\ C44\ |\ C45\ |\ C46\ |\ C47\ |\ C48\ |\ C49$

| C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59

end

2 Binary trees

theory *Binary-Trees* **imports** *ZF* **begin**

2.1 Datatype definition

consts

bt :: *i* ==> *i*

datatype *bt*(*A*) =

Lf | *Br* (*a* ∈ *A*, *t1* ∈ *bt*(*A*), *t2* ∈ *bt*(*A*))

declare *bt.intros* [*simp*]

lemma *Br-neq-left*: $l \in bt(A) \implies Br(x, l, r) \neq l$

by (*induct arbitrary: x r set: bt*) *auto*

lemma *Br-iff*: $Br(a, l, r) = Br(a', l', r') \longleftrightarrow a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

by (*fast elim!: bt.free-elim*s)

inductive-cases *BrE*: $Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using *bt* in other recursive type definitions.

lemma *bt-mono*: $A \subseteq B \implies bt(A) \subseteq bt(B)$

apply (*unfold bt.defs*)

apply (*rule lfp-mono*)

apply (*rule bt.bnd-mono*) +

apply (*rule univ-mono basic-monos | assumption*) +

done

lemma *bt-univ*: $bt(univ(A)) \subseteq univ(A)$

apply (*unfold bt.defs bt.con-defs*)

apply (*rule lfp-lowerbound*)

apply (*rule-tac* [2] *A-subset-univ [THEN univ-mono]*)

apply (*fast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)

done

lemma *bt-subset-univ*: $A \subseteq univ(B) \implies bt(A) \subseteq univ(B)$

apply (*rule subset-trans*)

apply (*erule bt-mono*)

apply (*rule bt-univ*)

done

lemma *bt-rec-type*:

```

[| t ∈ bt(A);
  c ∈ C(Lf);
  !!x y z r s. [| x ∈ A; y ∈ bt(A); z ∈ bt(A); r ∈ C(y); s ∈ C(z) |] ==>
  h(x, y, z, r, s) ∈ C(Br(x, y, z))
|] ==> bt-rec(c, h, t) ∈ C(t)
— Type checking for recursor – example only; not really needed.
apply (induct-tac t)
apply simp-all
done

```

2.2 Number of nodes, with an example of tail-recursion

consts *n-nodes* :: $i \Rightarrow i$

primrec

```

n-nodes(Lf) = 0
n-nodes(Br(a, l, r)) = succ(n-nodes(l) #+ n-nodes(r))

```

lemma *n-nodes-type* [simp]: $t \in \text{bt}(A) \Rightarrow \text{n-nodes}(t) \in \text{nat}$

by (induct set: bt) auto

consts *n-nodes-aux* :: $i \Rightarrow i$

primrec

```

n-nodes-aux(Lf) = ( $\lambda k \in \text{nat}. k$ )
n-nodes-aux(Br(a, l, r)) =
  ( $\lambda k \in \text{nat}. \text{n-nodes-aux}(r) \text{ ‘ } (\text{n-nodes-aux}(l) \text{ ‘ } \text{succ}(k))$ )

```

lemma *n-nodes-aux-eq*:

$t \in \text{bt}(A) \Rightarrow k \in \text{nat} \Rightarrow \text{n-nodes-aux}(t) \text{ ‘ } k = \text{n-nodes}(t) \text{ #+ } k$

apply (induct arbitrary: k set: bt)

apply simp

apply (atomize, simp)

done

definition

n-nodes-tail :: $i \Rightarrow i$ **where**

$\text{n-nodes-tail}(t) == \text{n-nodes-aux}(t) \text{ ‘ } 0$

lemma $t \in \text{bt}(A) \Rightarrow \text{n-nodes-tail}(t) = \text{n-nodes}(t)$

by (simp add: *n-nodes-tail-def* *n-nodes-aux-eq*)

2.3 Number of leaves

consts

n-leaves :: $i \Rightarrow i$

primrec

```

n-leaves(Lf) = 1
n-leaves(Br(a, l, r)) = n-leaves(l) #+ n-leaves(r)

```

lemma *n-leaves-type* [*simp*]: $t \in \text{bt}(A) \implies \text{n-leaves}(t) \in \text{nat}$
by (*induct set: bt*) *auto*

2.4 Reflecting trees

consts

bt-reflect :: $i \implies i$

primrec

bt-reflect(*Lf*) = *Lf*

bt-reflect(*Br*(*a*, *l*, *r*)) = *Br*(*a*, *bt-reflect*(*r*), *bt-reflect*(*l*))

lemma *bt-reflect-type* [*simp*]: $t \in \text{bt}(A) \implies \text{bt-reflect}(t) \in \text{bt}(A)$
by (*induct set: bt*) *auto*

Theorems about *n-leaves*.

lemma *n-leaves-reflect*: $t \in \text{bt}(A) \implies \text{n-leaves}(\text{bt-reflect}(t)) = \text{n-leaves}(t)$
by (*induct set: bt*) (*simp-all add: add-commute*)

lemma *n-leaves-nodes*: $t \in \text{bt}(A) \implies \text{n-leaves}(t) = \text{succ}(\text{n-nodes}(t))$
by (*induct set: bt*) *simp-all*

Theorems about *bt-reflect*.

lemma *bt-reflect-bt-reflect-ident*: $t \in \text{bt}(A) \implies \text{bt-reflect}(\text{bt-reflect}(t)) = t$
by (*induct set: bt*) *simp-all*

end

3 Terms over an alphabet

theory *Term* **imports** *ZF* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

consts

term :: $i \implies i$

datatype *term*(*A*) = *Apply* ($a \in A, l \in \text{list}(\text{term}(A))$)

monos *list-mono*

type-elims *list-univ* [*THEN subsetD*, *elim-format*]

declare *Apply* [*TC*]

definition

term-rec :: $[i, [i, i, i] \implies i] \implies i$ **where**

term-rec(*t*, *d*) ==

Vrec(*t*, $\lambda t \ g. \text{term-case}(\lambda x \ zs. \ d(x, \ zs, \ \text{map}(\lambda z. \ g'z, \ zs)), \ t)$)

definition

term-map :: $[i \implies i, i] \implies i$ **where**

$term\text{-}map(f,t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. Apply(f(x), rs))$

definition

$term\text{-}size :: i ==> i$ **where**
 $term\text{-}size(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. succ(list\text{-}add(rs)))$

definition

$reflect :: i ==> i$ **where**
 $reflect(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. Apply(x, rev(rs)))$

definition

$preorder :: i ==> i$ **where**
 $preorder(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. Cons(x, flat(rs)))$

definition

$postorder :: i ==> i$ **where**
 $postorder(t) == term\text{-}rec(t, \lambda x \text{ } zs \text{ } rs. flat(rs) @ [x])$

lemma $term\text{-}unfold$: $term(A) = A * list(term(A))$

by ($fast\ intro!$: $term.intros$ [$unfolded\ term.con\text{-}defs$]
 $elim$: $term.cases$ [$unfolded\ term.con\text{-}defs$])

lemma $term\text{-}induct2$:

$[| t \in term(A);$
 $!!x. [| x \in A |] ==> P(Apply(x, Nil));$
 $!!x \text{ } zs. [| x \in A; z \in term(A); zs: list(term(A)); P(Apply(x, zs))$
 $|] ==> P(Apply(x, Cons(z, zs)))$
 $|] ==> P(t)$
 — Induction on $term(A)$ followed by induction on $list$.
apply ($induct\text{-}tac\ t$)
apply ($erule\ list.induct$)
apply ($auto\ dest: list\text{-}CollectD$)
done

lemma $term\text{-}induct\text{-}eqn$ [$consumes\ 1$, $case\text{-}names\ Apply$]:

$[| t \in term(A);$
 $!!x \text{ } zs. [| x \in A; zs: list(term(A)); map(f, zs) = map(g, zs) |] ==>$
 $f(Apply(x, zs)) = g(Apply(x, zs))$
 $|] ==> f(t) = g(t)$
 — Induction on $term(A)$ to prove an equation.
apply ($induct\text{-}tac\ t$)
apply ($auto\ dest: map\text{-}list\text{-}Collect\ list\text{-}CollectD$)
done

Lemmas to justify using $term$ in other recursive type definitions.

lemma $term\text{-}mono$: $A \subseteq B ==> term(A) \subseteq term(B)$

apply ($unfold\ term.defs$)
apply ($rule\ lfp\text{-}mono$)
apply ($rule\ term.bnd\text{-}mono$) +

```

apply (rule univ-mono basic-monos | assumption)+
done

lemma term-univ: term(univ(A))  $\subseteq$  univ(A)
  — Easily provable by induction also
apply (unfold term.defs term.con-defs)
apply (rule lfp-lowerbound)
apply (rule-tac [2] A-subset-univ [THEN univ-mono])
apply safe
apply (assumption | rule Pair-in-univ list-univ [THEN subsetD])+
done

lemma term-subset-univ:  $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$ 
apply (rule subset-trans)
apply (erule term-mono)
apply (rule term-univ)
done

lemma term-into-univ:  $[\mid t \in \text{term}(A); A \subseteq \text{univ}(B) \mid] \implies t \in \text{univ}(B)$ 
by (rule term-subset-univ [THEN subsetD])

term-rec – by Vset recursion.

lemma map-lemma:  $[\mid l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i \mid]$ 
   $\implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) \text{ ‘ } z, l) = \text{map}(h, l)$ 
  — map works correctly on the underlying list of terms.
apply (induct set: list)
apply simp
apply (subgoal-tac rank (a) < i & rank (l) < i)
apply (simp add: rank-of-Ord)
apply (simp add: list.con-defs)
apply (blast dest: rank-rls [THEN lt-trans])
done

lemma term-rec [simp]:  $ts \in \text{list}(A) \implies$ 
   $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$ 
  — Typing premise is necessary to invoke map-lemma.
apply (rule term-rec-def [THEN def-Vrec, THEN trans])
apply (unfold term.con-defs)
apply (simp add: rank-pair2 map-lemma)
done

lemma term-rec-type:
  assumes  $t: t \in \text{term}(A)$ 
  and  $a: !!x \text{ zs } r. [\mid x \in A; \text{zs}: \text{list}(\text{term}(A));$ 
     $r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) \mid]$ 
     $\implies d(x, \text{zs}, r): C(\text{Apply}(x, \text{zs}))$ 
  shows  $\text{term-rec}(t, d) \in C(t)$ 
  — Slightly odd typing condition on r in the second premise!
  using t

```

```

apply induct
apply (frule list-CollectD)
apply (subst term-rec)
  apply (assumption | rule a) +
apply (erule list.induct)
apply auto
done

```

```

lemma def-term-rec:
  [| !!t. j(t) == term-rec(t,d); ts: list(A) |] ==>
    j(Apply(a,ts)) = d(a, ts, map(λZ. j(Z), ts))
apply (simp only:)
apply (erule term-rec)
done

```

```

lemma term-rec-simple-type [TC]:
  [| t ∈ term(A);
    !!x zs r. [| x ∈ A; zs: list(term(A)); r ∈ list(C) |]
      ==> d(x, zs, r): C
  |] ==> term-rec(t,d) ∈ C
apply (erule term-rec-type)
apply (drule subset-refl [THEN UN-least, THEN list-mono, THEN subsetD])
apply simp
done

```

term-map.

```

lemma term-map [simp]:
  ts ∈ list(A) ==>
    term-map(f, Apply(a, ts)) = Apply(f(a), map(term-map(f), ts))
by (rule term-map-def [THEN def-term-rec])

```

```

lemma term-map-type [TC]:
  [| t ∈ term(A); !!x. x ∈ A ==> f(x): B |] ==> term-map(f,t) ∈ term(B)
apply (unfold term-map-def)
apply (erule term-rec-simple-type)
apply fast
done

```

```

lemma term-map-type2 [TC]:
  t ∈ term(A) ==> term-map(f,t) ∈ term({f(u). u ∈ A})
apply (erule term-map-type)
apply (erule RepFunI)
done

```

term-size.

```

lemma term-size [simp]:
  ts ∈ list(A) ==> term-size(Apply(a, ts)) = succ(list-add(map(term-size, ts)))
by (rule term-size-def [THEN def-term-rec])

```

lemma *term-size-type* [TC]: $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$
by (*auto simp add: term-size-def*)

reflect.

lemma *reflect* [simp]:
 $ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$
by (*rule reflect-def [THEN def-term-rec]*)

lemma *reflect-type* [TC]: $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$
by (*auto simp add: reflect-def*)

preorder.

lemma *preorder* [simp]:
 $ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$
by (*rule preorder-def [THEN def-term-rec]*)

lemma *preorder-type* [TC]: $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$
by (*simp add: preorder-def*)

postorder.

lemma *postorder* [simp]:
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$
by (*rule postorder-def [THEN def-term-rec]*)

lemma *postorder-type* [TC]: $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$
by (*simp add: postorder-def*)

Theorems about *term-map*.

declare *map-compose* [simp]

lemma *term-map-ident*: $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$
by (*induct rule: term-induct-eqn simp*)

lemma *term-map-compose*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$
by (*induct rule: term-induct-eqn simp*)

lemma *term-map-reflect*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$
by (*induct rule: term-induct-eqn (simp add: rev-map-distrib [symmetric])*)

Theorems about *term-size*.

lemma *term-size-term-map*: $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$
by (*induct rule: term-induct-eqn simp*)

lemma *term-size-reflect*: $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib [symmetric] list-add-rev*)

lemma *term-size-length*: $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *length-flat*)

Theorems about *reflect*.

lemma *reflect-reflect-ident*: $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib*)

Theorems about *preorder*.

lemma *preorder-term-map*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *map-flat*)

lemma *preorder-reflect-eq-rev-postorder*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$
by (*induct rule*: *term-induct-eqn*)
(*simp add*: *rev-app-distrib rev-flat rev-map-distrib [symmetric]*)

end

4 Datatype definition n-ary branching trees

theory *Ntree* **imports** *ZF* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

consts

ntree :: $i \implies i$
maptree :: $i \implies i$
maptree2 :: $[i, i] \implies i$

datatype *ntree*(A) = *Branch* ($a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$)
monos *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form
type-intros *nat-fun-univ* [*THEN subsetD*]
type-elim *UN-E*

datatype *maptree*(A) = *Sons* ($a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$)
monos *FiniteFun-mono1* — Use monotonicity in BOTH args
type-intros *FiniteFun-univ1* [*THEN subsetD*]

datatype *maptree2*(A, B) = *Sons2* ($a \in A, h \in B \rightarrow \text{maptree2}(A, B)$)
monos *FiniteFun-mono* [*OF subset-refl*]
type-intros *FiniteFun-in-univ'*

definition

```

ntree-rec :: [[i, i, i] => i, i] => i where
ntree-rec(b) ==
  Vrecursor( $\lambda pr. ntree\text{-}case(\lambda x\ h. b(x, h, \lambda i \in domain(h). pr'(h'i)))$ )

```

definition

```

ntree-copy :: i => i where
ntree-copy(z) == ntree-rec( $\lambda x\ h\ r. Branch(x, r), z$ )

```

ntree

lemma *ntree-unfold*: $ntree(A) = A \times (\bigcup n \in nat. n \rightarrow ntree(A))$
by (*blast intro*: *ntree.intros* [*unfolded ntree.con-defs*]
elim: *ntree.cases* [*unfolded ntree.con-defs*])

lemma *ntree-induct* [*consumes 1*, *case-names Branch*, *induct set*: *ntree*]:

```

assumes t:  $t \in ntree(A)$ 
and step:  $!!x\ n\ h. [x \in A; n \in nat; h \in n \rightarrow ntree(A); \forall i \in n. P(h'i)]$ 
   $==> P(Branch(x, h))$ 
shows  $P(t)$ 
— A nicer induction rule than the standard one.
using t
apply induct
apply (erule UN-E)
apply (assumption | rule step) +
apply (fast elim: fun-weaken-type)
apply (fast dest: apply-type)
done

```

lemma *ntree-induct-eqn* [*consumes 1*]:

```

assumes t:  $t \in ntree(A)$ 
and f:  $f \in ntree(A) \rightarrow B$ 
and g:  $g \in ntree(A) \rightarrow B$ 
and step:  $!!x\ n\ h. [x \in A; n \in nat; h \in n \rightarrow ntree(A); f\ O\ h = g\ O\ h]$ 
==>
   $f \text{ ` } Branch(x, h) = g \text{ ` } Branch(x, h)$ 
shows  $f't = g't$ 
— Induction on ntree(A) to prove an equation
using t
apply induct
apply (assumption | rule step) +
apply (insert f g)
apply (rule fun-extension)
apply (assumption | rule comp-fun) +
apply (simp add: comp-fun-apply)
done

```

Lemmas to justify using *Ntree* in other recursive type definitions.

lemma *ntree-mono*: $A \subseteq B ==> ntree(A) \subseteq ntree(B)$
apply (*unfold ntree.defs*)

```

apply (rule lfp-mono)
  apply (rule ntree.bnd-mono)+
apply (assumption | rule univ-mono basic-monos)+
done

lemma ntree-univ: ntree(univ(A))  $\subseteq$  univ(A)
  — Easily provable by induction also
  apply (unfold ntree.defs ntree.con-defs)
  apply (rule lfp-lowerbound)
  apply (rule-tac [2] A-subset-univ [THEN univ-mono])
  apply (blast intro: Pair-in-univ nat-fun-univ [THEN subsetD])
done

lemma ntree-subset-univ:  $A \subseteq \text{univ}(B) \implies \text{ntree}(A) \subseteq \text{univ}(B)$ 
  by (rule subset-trans [OF ntree-mono ntree-univ])

ntree recursion.

lemma ntree-rec-Branch:
  function(h) ==>
    ntree-rec(b, Branch(x,h)) = b(x, h,  $\lambda i \in \text{domain}(h).$  ntree-rec(b, hi))
  apply (rule ntree-rec-def [THEN def-Vrecursor, THEN trans])
  apply (simp add: ntree.con-defs rank-pair2 [THEN [2] lt-trans] rank-apply)
done

lemma ntree-copy-Branch [simp]:
  function(h) ==>
    ntree-copy (Branch(x, h)) = Branch(x,  $\lambda i \in \text{domain}(h).$  ntree-copy (hi))
  by (simp add: ntree-copy-def ntree-rec-Branch)

lemma ntree-copy-is-ident:  $z \in \text{ntree}(A) \implies \text{ntree-copy}(z) = z$ 
  by (induct z set: ntree)
    (auto simp add: domain-of-fun Pi-Collect-iff fun-is-function)

maptree

lemma maptree-unfold:  $\text{maptree}(A) = A \times (\text{maptree}(A) -||> \text{maptree}(A))$ 
  by (fast intro!: maptree.intros [unfolded maptree.con-defs]
    elim: maptree.cases [unfolded maptree.con-defs])

lemma maptree-induct [consumes 1, induct set: maptree]:
  assumes t:  $t \in \text{maptree}(A)$ 
  and step:  $!!x \ n \ h. [\![\ x \in A; \ h \in \text{maptree}(A) -||> \text{maptree}(A);$ 
     $\forall y \in \text{field}(h). \ P(y)$ 
     $\]\] \implies P(\text{Sons}(x,h))$ 
  shows  $P(t)$ 
  — A nicer induction rule than the standard one.
  using t
  apply induct
  apply (assumption | rule step)+

```

```

apply (erule Collect-subset [THEN FiniteFun-mono1, THEN subsetD])
apply (drule FiniteFun.dom-subset [THEN subsetD])
apply (drule Fin.dom-subset [THEN subsetD])
apply fast
done

maptree2

lemma maptree2-unfold:  $\text{maptree2}(A, B) = A \times (B -||> \text{maptree2}(A, B))$ 
  by (fast intro!: maptree2.intros [unfolded maptree2.con-defs]
    elim: maptree2.cases [unfolded maptree2.con-defs])

lemma maptree2-induct [consumes 1, induct set: maptree2]:
  assumes  $t: t \in \text{maptree2}(A, B)$ 
  and step:  $!!x\ n\ h. [| x \in A; h \in B -||> \text{maptree2}(A, B); \forall y \in \text{range}(h). P(y)$ 
     $|] ==> P(\text{Sons2}(x, h))$ 
  shows  $P(t)$ 
  using  $t$ 
  apply induct
  apply (assumption | rule step)+
  apply (erule FiniteFun-mono [OF subset-refl Collect-subset, THEN subsetD])
  apply (drule FiniteFun.dom-subset [THEN subsetD])
  apply (drule Fin.dom-subset [THEN subsetD])
  apply fast
  done

end

```

5 Trees and forests, a mutually recursive type definition

theory Tree-Forest **imports** ZF **begin**

5.1 Datatype definition

```

consts
  tree ::  $i ==> i$ 
  forest ::  $i ==> i$ 
  tree-forest ::  $i ==> i$ 

datatype tree(A) = Tcons ( $a \in A, f \in \text{forest}(A)$ )
  and forest(A) = Fnil | Fcons ( $t \in \text{tree}(A), f \in \text{forest}(A)$ )

lemmas tree'induct =
  tree-forest.mutual-induct [THEN conjunct1, THEN spec, THEN [2] rev-mp, of
  concl: -  $t$ , consumes 1]
  and forest'induct =

```

tree-forest.mutual-induct [*THEN* *conjunct2*, *THEN* *spec*, *THEN* [2] *rev-mp*, of
concl: - *f*, consumes 1]

for *t f*

declare *tree-forest.intros* [*simp*, *TC*]

lemma *tree-def*: $tree(A) == Part(tree-forest(A), Inl)$
by (*simp only*: *tree-forest.defs*)

lemma *forest-def*: $forest(A) == Part(tree-forest(A), Inr)$
by (*simp only*: *tree-forest.defs*)

tree-forest(A) as the union of *tree(A)* and *forest(A)*.

lemma *tree-subset-TF*: $tree(A) \subseteq tree-forest(A)$
apply (*unfold tree-forest.defs*)
apply (*rule Part-subset*)
done

lemma *treeI* [*TC*]: $x \in tree(A) ==> x \in tree-forest(A)$
by (*rule tree-subset-TF* [*THEN subsetD*])

lemma *forest-subset-TF*: $forest(A) \subseteq tree-forest(A)$
apply (*unfold tree-forest.defs*)
apply (*rule Part-subset*)
done

lemma *treeI'* [*TC*]: $x \in forest(A) ==> x \in tree-forest(A)$
by (*rule forest-subset-TF* [*THEN subsetD*])

lemma *TF-equals-Un*: $tree(A) \cup forest(A) = tree-forest(A)$
apply (*insert tree-subset-TF forest-subset-TF*)
apply (*auto intro!*: *equalityI tree-forest.intros elim*: *tree-forest.cases*)
done

lemma *tree-forest-unfold*:
 $tree-forest(A) = (A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$
— NOT useful, but interesting ...
supply *rews* = *tree-forest.con-defs tree-def forest-def*
apply (*unfold tree-def forest-def*)
apply (*fast intro!*: *tree-forest.intros* [*unfolded rews*, *THEN PartD1*]
elim: *tree-forest.cases* [*unfolded rews*])
done

lemma *tree-forest-unfold'*:
 $tree-forest(A) =$
 $A \times Part(tree-forest(A), \lambda w. Inr(w)) +$
 $\{0\} + Part(tree-forest(A), \lambda w. Inl(w)) * Part(tree-forest(A), \lambda w. Inr(w))$
by (*rule tree-forest-unfold* [*unfolded tree-def forest-def*])

```

lemma tree-unfold: tree(A) = {Inl(x). x ∈ A × forest(A)}
  apply (unfold tree-def forest-def)
  apply (rule Part-Inl [THEN subst])
  apply (rule tree-forest-unfold' [THEN subst-context])
  done

```

```

lemma forest-unfold: forest(A) = {Inr(x). x ∈ {0} + tree(A)*forest(A)}
  apply (unfold tree-def forest-def)
  apply (rule Part-Inr [THEN subst])
  apply (rule tree-forest-unfold' [THEN subst-context])
  done

```

Type checking for recursor: Not needed; possibly interesting?

```

lemma TF-rec-type:
  [| z ∈ tree-forest(A);
    !!x f r. [| x ∈ A; f ∈ forest(A); r ∈ C(f)
              || ==> b(x,f,r) ∈ C(Tcons(x,f));
    c ∈ C(Fnil);
    !!t f r1 r2. [| t ∈ tree(A); f ∈ forest(A); r1 ∈ C(t); r2 ∈ C(f)
                  || ==> d(t,f,r1,r2) ∈ C(Fcons(t,f))
    || ==> tree-forest-rec(b,c,d,z) ∈ C(z)
  |] by (induct-tac z) simp-all

```

```

lemma tree-forest-rec-type:
  [| !!x f r. [| x ∈ A; f ∈ forest(A); r ∈ D(f)
                || ==> b(x,f,r) ∈ C(Tcons(x,f));
    c ∈ D(Fnil);
    !!t f r1 r2. [| t ∈ tree(A); f ∈ forest(A); r1 ∈ C(t); r2 ∈ D(f)
                  || ==> d(t,f,r1,r2) ∈ D(Fcons(t,f))
    || ==> (∀ t ∈ tree(A). tree-forest-rec(b,c,d,t) ∈ C(t)) ∧
           (∀ f ∈ forest(A). tree-forest-rec(b,c,d,f) ∈ D(f))
    — Mutually recursive version.
  |] apply (unfold Ball-def)
  apply (rule tree-forest.mutual-induct)
  apply simp-all
  done

```

5.2 Operations

consts

```

map :: [i => i, i] => i
size :: i => i
preorder :: i => i
list-of-TF :: i => i
of-list :: i => i
reflect :: i => i

```

primrec

```

list-of-TF (Tcons(x,f)) = [Tcons(x,f)]

```

$list-of-TF \ (Fnil) = []$
 $list-of-TF \ (Fcons(t,tf)) = Cons \ (t, list-of-TF(tf))$

primrec

$of-list([]) = Fnil$
 $of-list(Cons(t,l)) = Fcons(t, of-list(l))$

primrec

$map \ (h, Tcons(x,f)) = Tcons(h(x), map(h,f))$
 $map \ (h, Fnil) = Fnil$
 $map \ (h, Fcons(t,tf)) = Fcons \ (map(h, t), map(h, tf))$

primrec

$size \ (Tcons(x,f)) = succ(size(f))$
 $size \ (Fnil) = 0$
 $size \ (Fcons(t,tf)) = size(t) \ \# + \ size(tf)$

primrec

$preorder \ (Tcons(x,f)) = Cons(x, preorder(f))$
 $preorder \ (Fnil) = Nil$
 $preorder \ (Fcons(t,tf)) = preorder(t) \ @ \ preorder(tf)$

primrec

$reflect \ (Tcons(x,f)) = Tcons(x, reflect(f))$
 $reflect \ (Fnil) = Fnil$
 $reflect \ (Fcons(t,tf)) =$
 $of-list \ (list-of-TF \ (reflect(tf)) \ @ \ Cons(reflect(t), Nil))$

list-of-TF and *of-list*.

lemma *list-of-TF-type* [TC]:

$z \in tree-forest(A) ==> list-of-TF(z) \in list(tree(A))$
by (*induct set: tree-forest*) *simp-all*

lemma *of-list-type* [TC]: $l \in list(tree(A)) ==> of-list(l) \in forest(A)$

by (*induct set: list*) *simp-all*

map.

lemma

assumes $!!x. x \in A ==> h(x): B$
shows *map-tree-type*: $t \in tree(A) ==> map(h,t) \in tree(B)$
and *map-forest-type*: $f \in forest(A) ==> map(h,f) \in forest(B)$
using *assms*
by (*induct rule: tree'induct forest'induct*) *simp-all*

size.

lemma *size-type* [TC]: $z \in tree-forest(A) ==> size(z) \in nat$

by (*induct set: tree-forest*) *simp-all*

preorder.

lemma *preorder-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$
by (*induct set: tree-forest*) *simp-all*

Theorems about *list-of-TF* and *of-list*.

lemma *forest-induct* [*consumes 1, case-names Fnil Fcons*]:

[$f \in \text{forest}(A)$;
 $R(\text{Fnil})$;
 $!!t f. [\mathbf{t} \in \text{tree}(A); f \in \text{forest}(A); R(f)] \implies R(\text{Fcons}(t, f))$
 $] \implies R(f)$

— Essentially the same as list induction.

apply (*erule tree-forest.mutual-induct*
[*THEN conjunct2, THEN spec, THEN [2] rev-mp*])

apply (*rule TrueI*)

apply *simp*

apply *simp*

done

lemma *forest-iso*: $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$
by (*induct rule: forest-induct*) *simp-all*

lemma *tree-list-iso*: $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$
by (*induct set: list*) *simp-all*

Theorems about *map*.

lemma *map-ident*: $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$
by (*induct set: tree-forest*) *simp-all*

lemma *map-compose*:

$z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j, z)) = \text{map}(\lambda u. h(j(u)), z)$

by (*induct set: tree-forest*) *simp-all*

Theorems about *size*.

lemma *size-map*: $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h, z)) = \text{size}(z)$
by (*induct set: tree-forest*) *simp-all*

lemma *size-length*: $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$
by (*induct set: tree-forest*) (*simp-all add: length-app*)

Theorems about *preorder*.

lemma *preorder-map*:

$z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h, z)) = \text{List.map}(h, \text{preorder}(z))$

by (*induct set: tree-forest*) (*simp-all add: map-app-distrib*)

end

6 Infinite branching datatype definitions

theory *Brouwer* **imports** *ZFC* **begin**

6.1 The Brouwer ordinals

consts

brouwer :: *i*

datatype $\subseteq$ *Vfrom*(0, *csucc*(*nat*))

brouwer = *Zero* | *Suc* (*b* $\in$ *brouwer*) | *Lim* (*h* $\in$ *nat* $\rightarrow$ *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* = {0} + *brouwer* + (*nat* $\rightarrow$ *brouwer*)

by (*fast intro!*: *brouwer.intros* [*unfolded* *brouwer.con-defs*])

elim: *brouwer.cases* [*unfolded* *brouwer.con-defs*])

lemma *brouwer-induct2* [*consumes 1*, *case-names Zero Suc Lim*]:

assumes *b*: *b* $\in$ *brouwer*

and cases:

P(*Zero*)

!!*b*. [| *b* $\in$ *brouwer*; *P*(*b*) |] ==> *P*(*Suc*(*b*))

!!*h*. [| *h* $\in$ *nat* $\rightarrow$ *brouwer*; $\forall i \in \text{nat}. P(h \cdot i)$ |] ==> *P*(*Lim*(*h*))

shows *P*(*b*)

— A nicer induction rule than the standard one.

using *b*

apply *induct*

apply (*rule cases*(1))

apply (*erule* (1) *cases*(2))

apply (*rule cases*(3))

apply (*fast elim*: *fun-weaken-type*)

apply (*fast dest*: *apply-type*)

done

6.2 The Martin-Löf wellordering type

consts

Well :: [*i*, *i* $\Rightarrow$ *i*] $\Rightarrow$ *i*

datatype $\subseteq$ *Vfrom*(*A* $\cup$ ($\bigcup x \in A. B(x)$), *csucc*(*nat* $\cup$ $|\bigcup x \in A. B(x)|$))

— The union with *nat* ensures that the cardinal is infinite.

Well(*A*, *B*) = *Sup* (*a* $\in$ *A*, *f* $\in$ *B*(*a*) $\rightarrow$ *Well*(*A*, *B*))

monos *Pi-mono*

type-intros *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

lemma *Well-unfold*: *Well*(*A*, *B*) = ($\sum x \in A. B(x)$ $\rightarrow$ *Well*(*A*, *B*))

by (*fast intro!*: *Well.intros* [*unfolded* *Well.con-defs*])

elim: *Well.cases* [*unfolded* *Well.con-defs*])

```

lemma Well-induct2 [consumes 1, case-names step]:
  assumes w:  $w \in \text{Well}(A, B)$ 
  and step:  $!!a f. [| a \in A; f \in B(a) \rightarrow \text{Well}(A, B); \forall y \in B(a). P(f'y) |] ==>$ 
 $P(\text{Sup}(a, f))$ 
  shows  $P(w)$ 
  — A nicer induction rule than the standard one.
  using w
  apply induct
  apply (assumption | rule step) +
  apply (fast elim: fun-weaken-type)
  apply (fast dest: apply-type)
  done

lemma Well-bool-unfold:  $\text{Well}(\text{bool}, \lambda x. x) = 1 + (1 \rightarrow \text{Well}(\text{bool}, \lambda x. x))$ 
  — In fact it's isomorphic to nat, but we need a recursion operator
  — for Well to prove this.
  apply (rule Well-unfold [THEN trans])
  apply (simp add: Sigma-bool succ-def)
  done

end

```

7 The Mutilated Chess Board Problem, formalized inductively

theory *Mutil* **imports** *ZF* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts

```

  domino :: i
  tiling :: i ==> i

```

inductive

domains *domino* $\subseteq \text{Pow}(\text{nat} \times \text{nat})$

intros

horiz: $[| i \in \text{nat}; j \in \text{nat} |] ==> \{<i, j>, <i, \text{succ}(j)>\} \in \text{domino}$

vertl: $[| i \in \text{nat}; j \in \text{nat} |] ==> \{<i, j>, <\text{succ}(i), j>\} \in \text{domino}$

type-intros *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

inductive

domains *tiling*(*A*) $\subseteq \text{Pow}(\bigcup(A))$

intros

empty: $0 \in \text{tiling}(A)$

Un: $[| a \in A; t \in \text{tiling}(A); a \cap t = 0 |] ==> a \cup t \in \text{tiling}(A)$

type-intros *empty-subsetI Union-upper Un-least PowI*

type-elims *PowD* [*elim-format*]

definition

$evnodd :: [i, i] ==> i$ **where**
 $evnodd(A, b) == \{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$

7.1 Basic properties of evnodd

lemma *evnodd-iff*: $\langle i, j \rangle : evnodd(A, b) \longleftrightarrow \langle i, j \rangle : A \ \& \ (i \# + j) \bmod 2 = b$
by (*unfold evnodd-def*) *blast*

lemma *evnodd-subset*: $evnodd(A, b) \subseteq A$
by (*unfold evnodd-def*) *blast*

lemma *Finite-evnodd*: $Finite(X) ==> Finite(evnodd(X, b))$
by (*rule lepoll-Finite, rule subset-imp-lepoll, rule evnodd-subset*)

lemma *evnodd-Un*: $evnodd(A \cup B, b) = evnodd(A, b) \cup evnodd(B, b)$
by (*simp add: evnodd-def Collect-Un*)

lemma *evnodd-Diff*: $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$
by (*simp add: evnodd-def Collect-Diff*)

lemma *evnodd-cons* [*simp*]:
 $evnodd(\text{cons}(\langle i, j \rangle, C), b) =$
 $(\text{if } (i \# + j) \bmod 2 = b \text{ then } \text{cons}(\langle i, j \rangle, evnodd(C, b)) \text{ else } evnodd(C, b))$
by (*simp add: evnodd-def Collect-cons*)

lemma *evnodd-0* [*simp*]: $evnodd(0, b) = 0$
by (*simp add: evnodd-def*)

7.2 Dominoes

lemma *domino-Finite*: $d \in \text{domino} ==> Finite(d)$
by (*blast intro!: Finite-cons Finite-0 elim: domino.cases*)

lemma *domino-singleton*:
 $[| d \in \text{domino}; b < 2 |] ==> \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$
apply (*erule domino.cases*)
apply (*rule-tac [2] k1 = i# + j in mod2-cases [THEN disjE]*)
apply (*rule-tac k1 = i# + j in mod2-cases [THEN disjE]*)
apply (*rule add-type | assumption*) +

apply (*auto simp add: mod-succ succ-neq-self dest: ltD*)
done

7.3 Tilings

The union of two disjoint tilings is a tiling

lemma *tiling-UnI*:

```

     $t \in \text{tiling}(A) \implies u \in \text{tiling}(A) \implies t \cap u = 0 \implies t \cup u \in \text{tiling}(A)$ 
  apply (induct set: tiling)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc subset-empty-iff [THEN iff-sym])
  apply (blast intro: tiling.intros)
done

lemma tiling-domino-Finite:  $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$ 
  apply (induct set: tiling)
  apply (rule Finite-0)
  apply (blast intro!: Finite-Un intro: domino-Finite)
done

lemma tiling-domino-0-1:  $t \in \text{tiling}(\text{domino}) \implies |\text{evnodd}(t, 0)| = |\text{evnodd}(t, 1)|$ 
  apply (induct set: tiling)
  apply (simp add: evnodd-def)
  apply (rule-tac b1 = 0 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply (rule-tac b1 = 1 in domino-singleton [THEN exE])
  prefer 2
  apply simp
  apply assumption
  apply safe
  apply (subgoal-tac  $\forall p b. p \in \text{evnodd}(a, b) \longrightarrow p \notin \text{evnodd}(t, b)$ )
  apply (simp add: evnodd-Un Un-cons tiling-domino-Finite
    evnodd-subset [THEN subset-Finite] Finite-imp-cardinal-cons)
  apply (blast dest!: evnodd-subset [THEN subsetD] elim: equalityE)
done

lemma dominoes-tile-row:
   $[[i \in \text{nat}; n \in \text{nat}]] \implies \{i\} * (n \# + n) \in \text{tiling}(\text{domino})$ 
  apply (induct-tac n)
  apply (simp add: tiling.intros)
  apply (simp add: Un-assoc [symmetric] Sigma-succ2)
  apply (rule tiling.intros)
  prefer 2 apply assumption
  apply (rename-tac n')
  apply (subgoal-tac
     $\{i\} * \{\text{succ}(n' \# + n')\} \cup \{i\} * \{n' \# + n'\} =$ 
     $\{<i, n' \# + n'>, <i, \text{succ}(n' \# + n')>\}$ )
  prefer 2 apply blast
  apply (simp add: domino.horiz)
  apply (blast elim: mem-irrefl mem-asym)
done

lemma dominoes-tile-matrix:
   $[[m \in \text{nat}; n \in \text{nat}]] \implies m * (n \# + n) \in \text{tiling}(\text{domino})$ 

```

```

apply (induct-tac m)
apply (simp add: tiling.intros)
apply (simp add: Sigma-succ1)
apply (blast intro: tiling-UnI dominoes-tile-row elim: mem-irrefl)
done

lemma eq-lt-E: [|  $x=y$ ;  $x<y$  |] ==>  $P$ 
by auto

theorem mutil-not-tiling: [|  $m \in \text{nat}$ ;  $n \in \text{nat}$ ;
   $t = (\text{succ}(m)\# + \text{succ}(m)) * (\text{succ}(n)\# + \text{succ}(n))$ ;
   $t' = t - \{<0,0>\} - \{<\text{succ}(m\# + m), \text{succ}(n\# + n)>\}$  |]
  ==>  $t' \notin \text{tiling}(\text{domino})$ 
apply (rule notI)
apply (drule tiling-domino-0-1)
apply (erule-tac x = |A| for A in eq-lt-E)
apply (subgoal-tac t ∈ tiling (domino))
prefer 2
apply (simp only: nat-succI add-type dominoes-tile-matrix)
apply (simp add: evnodd-Diff mod2-add-self mod2-succ-succ
  tiling-domino-0-1 [symmetric])
apply (rule lt-trans)
apply (rule Finite-imp-cardinal-Diff,
  simp add: tiling-domino-Finite Finite-evnodd Finite-Diff,
  simp add: evnodd-iff nat-0-le [THEN ltD] mod2-add-self) +
done

end

```

theory *FoldSet* **imports** *ZF* **begin**

consts *fold-set* :: [i , i , [i,i] $\Rightarrow i$, i] $\Rightarrow i$

inductive

domains *fold-set*(A , B , f,e) $\subseteq \text{Fin}(A)*B$

intros

emptyI: $e \in B \Rightarrow <0, e> \in \text{fold-set}(A, B, f, e)$

consI: [| $x \in A$; $x \notin C$; $<C, y> \in \text{fold-set}(A, B, f, e)$; $f(x, y): B$ |]
 ==> $<\text{cons}(x, C), f(x, y)> \in \text{fold-set}(A, B, f, e)$

type-intros *Fin.intros*

definition

fold :: [i , [i,i] $\Rightarrow i$, i , i] $\Rightarrow i$ ($\langle \text{fold}[\cdot]'(-, -, -) \rangle$) **where**
fold[B](f, e, A) == *THE* x . $<A, x> \in \text{fold-set}(A, B, f, e)$

definition

setsum :: [$i \Rightarrow i$, i] $\Rightarrow i$ **where**
setsum(g, C) == *if* *Finite*(C) *then*

fold[int](%*x y. g(x) \$+ y, #0, C) else #0*

inductive-cases *empty-fold-setE*: $\langle 0, x \rangle \in \text{fold-set}(A, B, f, e)$
inductive-cases *cons-fold-setE*: $\langle \text{cons}(x, C), y \rangle \in \text{fold-set}(A, B, f, e)$

lemma *cons-lemma1*: $[[x \notin C; x \notin B]] \implies \text{cons}(x, B) = \text{cons}(x, C) \longleftrightarrow B = C$
by (*auto elim: equalityE*)

lemma *cons-lemma2*: $[[\text{cons}(x, B) = \text{cons}(y, C); x \neq y; x \notin B; y \notin C]]$
 $\implies B - \{y\} = C - \{x\} \ \& \ x \in C \ \& \ y \in B$
apply (*auto elim: equalityE*)
done

lemma *fold-set-mono-lemma*:
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e)$
 $\implies \forall D. A \leq D \longrightarrow \langle C, x \rangle \in \text{fold-set}(D, B, f, e)$
apply (*erule fold-set.induct*)
apply (*auto intro: fold-set.intros*)
done

lemma *fold-set-mono*: $C \leq A \implies \text{fold-set}(C, B, f, e) \subseteq \text{fold-set}(A, B, f, e)$
apply *clarify*
apply (*frule fold-set.dom-subset [THEN subsetD], clarify*)
apply (*auto dest: fold-set-mono-lemma*)
done

lemma *fold-set-lemma*:
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e) \implies \langle C, x \rangle \in \text{fold-set}(C, B, f, e) \ \& \ C \leq A$
apply (*erule fold-set.induct*)
apply (*auto intro!: fold-set.intros intro: fold-set-mono [THEN subsetD]*)
done

lemma *Diff1-fold-set*:
 $[[\langle C - \{x\}, y \rangle \in \text{fold-set}(A, B, f, e); x \in C; x \in A; f(x, y):B]]$
 $\implies \langle C, f(x, y) \rangle \in \text{fold-set}(A, B, f, e)$
apply (*frule fold-set.dom-subset [THEN subsetD]*)
apply (*erule cons-Diff [THEN subst], rule fold-set.intros, auto*)
done

locale *fold-typing* =
fixes *A and B and e and f*
assumes *ftype* [*intro, simp*]: $[[x \in A; y \in B]] \implies f(x, y) \in B$

```

and etype [intro,simp]: e ∈ B
and fcomm: [|x ∈ A; y ∈ A; z ∈ B|] ==> f(x, f(y, z))=f(y, f(x, z))

lemma (in fold-typing) Fin-imp-fold-set:
  C ∈ Fin(A) ==> (∃ x. <C, x> ∈ fold-set(A, B, f, e))
apply (erule Fin-induct)
apply (auto dest: fold-set.dom-subset [THEN subsetD]
        intro: fold-set.intros etype ftype)
done

lemma Diff-sing-imp:
  [|C - {b} = D - {a}; a ≠ b; b ∈ C|] ==> C = cons(b, D) - {a}
by (blast elim: equalityE)

lemma (in fold-typing) fold-set-determ-lemma [rule-format]:
  n ∈ nat
  ==> ∀ C. |C| < n →
    (∀ x. <C, x> ∈ fold-set(A, B, f, e) →
      (∀ y. <C, y> ∈ fold-set(A, B, f, e) → y = x))
apply (erule nat-induct)
apply (auto simp add: le-iff)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE)
apply (erule fold-set.cases)
apply (force elim!: empty-fold-setE, clarify)

apply (frule-tac a = Ca in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (frule-tac a = Cb in fold-set.dom-subset [THEN subsetD, THEN SigmaD1])
apply (simp add: Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (case-tac x = xb, auto)
apply (simp add: cons-lemma1, blast)

case x ≠ xb
apply (drule cons-lemma2, safe)
apply (frule Diff-sing-imp, assumption+)

* LEVEL 17

apply (subgoal-tac |Ca| ≤ |Cb|)
prefer 2
apply (rule succ-le-imp-le)
apply (simp add: Fin-into-Finite Finite-imp-succ-cardinal-Diff
        Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (rule-tac C1 = Ca - {xb} in Fin-imp-fold-set [THEN exE])
apply (blast intro: Diff-subset [THEN Fin-subset])

* LEVEL 24 *

apply (frule Diff1-fold-set, blast, blast)
apply (blast dest!: ftype fold-set.dom-subset [THEN subsetD])

```

```

apply (subgoal-tac  $ya = f(xb, xa)$  )
  prefer 2 apply (blast del: equalityCE)
apply (subgoal-tac  $\langle Cb - \{x\}, xa \rangle \in \text{fold-set}(A, B, f, e)$ )
  prefer 2 apply simp
apply (subgoal-tac  $yb = f(x, xa)$  )
  apply (drule-tac [2]  $C = Cb$  in Diff1-fold-set, simp-all)
    apply (blast intro: fcomm dest!: fold-set.dom-subset [THEN subsetD])
  apply (blast intro: ftype dest!: fold-set.dom-subset [THEN subsetD], blast)
done

```

```

lemma (in fold-typing) fold-set-determ:
  [|  $\langle C, x \rangle \in \text{fold-set}(A, B, f, e)$ ;
     $\langle C, y \rangle \in \text{fold-set}(A, B, f, e)$  |]  $\implies y = x$ 
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (drule Fin-into-Finite)
apply (unfold Finite-def, clarify)
apply (rule-tac  $n = \text{succ}(n)$  in fold-set-determ-lemma)
apply (auto intro: eqpoll-imp-lepoll [THEN lepoll-cardinal-le])
done

```

```

lemma (in fold-typing) fold-equality:
   $\langle C, y \rangle \in \text{fold-set}(A, B, f, e) \implies \text{fold}[B](f, e, C) = y$ 
apply (unfold fold-def)
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (rule the-equality)
  apply (rule-tac [2]  $A = C$  in fold-typing.fold-set-determ)
apply (force dest: fold-set-lemma)
apply (auto dest: fold-set-lemma)
apply (simp add: fold-typing-def, auto)
apply (auto dest: fold-set-lemma intro: ftype etype fcomm)
done

```

```

lemma fold-0 [simp]:  $e \in B \implies \text{fold}[B](f, e, 0) = e$ 
apply (unfold fold-def)
apply (blast elim!: empty-fold-setE intro: fold-set.intros)
done

```

This result is the right-to-left direction of the subsequent result

```

lemma (in fold-typing) fold-set-imp-cons:
  [|  $\langle C, y \rangle \in \text{fold-set}(C, B, f, e)$ ;  $C \in \text{Fin}(A)$ ;  $c \in A$ ;  $c \notin C$  |]
   $\implies \langle \text{cons}(c, C), f(c, y) \rangle \in \text{fold-set}(\text{cons}(c, C), B, f, e)$ 
apply (frule FinD [THEN fold-set-mono, THEN subsetD])
  apply assumption
apply (frule fold-set.dom-subset [of A, THEN subsetD])
apply (blast intro!: fold-set.consI intro: fold-set-mono [THEN subsetD])
done

```

```

lemma (in fold-typing) fold-cons-lemma [rule-format]:
  [|  $C \in \text{Fin}(A)$ ;  $c \in A$ ;  $c \notin C$  |]
    ==>  $\langle \text{cons}(c, C), v \rangle \in \text{fold-set}(\text{cons}(c, C), B, f, e) \longleftrightarrow$ 
       $(\exists y. \langle C, y \rangle \in \text{fold-set}(C, B, f, e) \ \& \ v = f(c, y))$ 
apply auto
prefer 2 apply (blast intro: fold-set-imp-cons)
apply (frule-tac Fin.consI [of c, THEN FinD, THEN fold-set-mono, THEN subsetD], assumption+)
apply (frule-tac fold-set.dom-subset [of A, THEN subsetD])
apply (drule FinD)
apply (rule-tac  $A1 = \text{cons}(c, C)$  and  $f1=f$  and  $B1=B$  and  $C1=C$  and  $e1=e$  in
fold-typing.Fin-imp-fold-set [THEN exE])
apply (blast intro: fold-typing.intro ftype etype fcomm)
apply (blast intro: Fin-subset [of - cons(c,C)] Finite-into-Fin
  dest: Fin-into-Finite)
apply (rule-tac  $x = x$  in exI)
apply (auto intro: fold-set.intros)
apply (drule-tac fold-set-lemma [of C], blast)
apply (blast intro!: fold-set.consI
  intro: fold-set-determ fold-set-mono [THEN subsetD]
  dest: fold-set.dom-subset [THEN subsetD])
done

lemma (in fold-typing) fold-cons:
  [|  $C \in \text{Fin}(A)$ ;  $c \in A$ ;  $c \notin C$  |]
    ==>  $\text{fold}[B](f, e, \text{cons}(c, C)) = f(c, \text{fold}[B](f, e, C))$ 
apply (unfold fold-def)
apply (simp add: fold-cons-lemma)
apply (rule the-equality, auto)
apply (subgoal-tac [2]  $\langle C, y \rangle \in \text{fold-set}(A, B, f, e)$ )
apply (drule Fin-imp-fold-set)
apply (auto dest: fold-set-lemma simp add: fold-def [symmetric] fold-equality)
apply (blast intro: fold-set-mono [THEN subsetD] dest!: FinD)
done

lemma (in fold-typing) fold-type [simp, TC]:
   $C \in \text{Fin}(A) \implies \text{fold}[B](f, e, C) : B$ 
apply (erule Fin-induct)
apply (simp-all add: fold-cons ftype etype)
done

lemma (in fold-typing) fold-commute [rule-format]:
  [|  $C \in \text{Fin}(A)$ ;  $c \in A$  |]
    ==>  $(\forall y \in B. f(c, \text{fold}[B](f, y, C)) = \text{fold}[B](f, f(c, y), C))$ 
apply (erule Fin-induct)
apply (simp-all add: fold-typing.fold-cons [of A B - f]
  fold-typing.fold-type [of A B - f]
  fold-typing-def fcomm)
done

```

```

lemma (in fold-typing) fold-nest-Un-Int:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$  |]
  ==>  $\text{fold}[B](f, \text{fold}[B](f, e, D), C) =$ 
       $\text{fold}[B](f, \text{fold}[B](f, e, (C \cap D)), C \cup D)$ 
apply (erule Fin-induct, auto)
apply (simp add: Un-cons Int-cons-left fold-type fold-commute
        fold-typing.fold-cons [of A - - f]
        fold-typing-def fcomm cons-absorb)
done

```

```

lemma (in fold-typing) fold-nest-Un-disjoint:
  [|  $C \in \text{Fin}(A)$ ;  $D \in \text{Fin}(A)$ ;  $C \cap D = 0$  |]
  ==>  $\text{fold}[B](f, e, C \cup D) = \text{fold}[B](f, \text{fold}[B](f, e, D), C)$ 
by (simp add: fold-nest-Un-Int)

```

```

lemma Finite-cons-lemma:  $\text{Finite}(C) ==> C \in \text{Fin}(\text{cons}(c, C))$ 
apply (drule Finite-into-Fin)
apply (blast intro: Fin-mono [THEN subsetD])
done

```

7.4 The Operator *setsum*

```

lemma setsum-0 [simp]:  $\text{setsum}(g, 0) = \#0$ 
by (simp add: setsum-def)

```

```

lemma setsum-cons [simp]:
   $\text{Finite}(C) ==>$ 
   $\text{setsum}(g, \text{cons}(c, C)) =$ 
     $(\text{if } c \in C \text{ then } \text{setsum}(g, C) \text{ else } g(c) \$+ \text{setsum}(g, C))$ 
apply (auto simp add: setsum-def Finite-cons cons-absorb)
apply (rule-tac  $A = \text{cons}(c, C)$  in fold-typing.fold-cons)
apply (auto intro: fold-typing.intro Finite-cons-lemma)
done

```

```

lemma setsum-K0:  $\text{setsum}((\%i. \#0), C) = \#0$ 
apply (case-tac Finite ( $C$ ) )
  prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

```

lemma setsum-Un-Int:
  [|  $\text{Finite}(C)$ ;  $\text{Finite}(D)$  |]
  ==>  $\text{setsum}(g, C \cup D) \$+ \text{setsum}(g, C \cap D)$ 
       $= \text{setsum}(g, C) \$+ \text{setsum}(g, D)$ 
apply (erule Finite-induct)
apply (simp-all add: Int-cons-right cons-absorb Un-cons Int-commute Finite-Un
        Int-lower1 [THEN subset-Finite])

```

done

lemma *setsum-type* [*simp*, *TC*]: *setsum*(*g*, *C*):*int*
apply (*case-tac* *Finite* (*C*))
prefer 2 **apply** (*simp* *add*: *setsum-def*)
apply (*erule* *Finite-induct*, *auto*)
done

lemma *setsum-Un-disjoint*:

$$[[\text{Finite}(C); \text{Finite}(D); C \cap D = 0]] \\ \implies \text{setsum}(g, C \cup D) = \text{setsum}(g, C) + \text{setsum}(g, D)$$

apply (*subst* *setsum-Un-Int* [*symmetric*])
apply (*subgoal-tac* [β] *Finite* ($C \cup D$))
apply (*auto* *intro*: *Finite-Un*)
done

lemma *Finite-RepFun* [*rule-format* (*no-asm*)]:

$$\text{Finite}(I) \implies (\forall i \in I. \text{Finite}(C(i))) \longrightarrow \text{Finite}(\text{RepFun}(I, C))$$

apply (*erule* *Finite-induct*, *auto*)
done

lemma *setsum-UN-disjoint* [*rule-format* (*no-asm*)]:

$$\text{Finite}(I) \\ \implies (\forall i \in I. \text{Finite}(C(i))) \longrightarrow \\ (\forall i \in I. \forall j \in I. i \neq j \longrightarrow C(i) \cap C(j) = 0) \longrightarrow \\ \text{setsum}(f, \bigcup i \in I. C(i)) = \text{setsum}(\%i. \text{setsum}(f, C(i)), I)$$

apply (*erule* *Finite-induct*, *auto*)
apply (*subgoal-tac* $\forall i \in B. x \neq i$)
prefer 2 **apply** *blast*
apply (*subgoal-tac* $C(x) \cap (\bigcup i \in B. C(i)) = 0$)
prefer 2 **apply** *blast*
apply (*subgoal-tac* $\text{Finite}(\bigcup i \in B. C(i)) \ \& \ \text{Finite}(C(x)) \ \& \ \text{Finite}(B)$)
apply (*simp* (*no-asm-simp*) *add*: *setsum-Un-disjoint*)
apply (*auto* *intro*: *Finite-Union* *Finite-RepFun*)
done

lemma *setsum-addf*: $\text{setsum}(\%x. f(x) + g(x), C) = \text{setsum}(f, C) + \text{setsum}(g, C)$
apply (*case-tac* *Finite* (*C*))
prefer 2 **apply** (*simp* *add*: *setsum-def*)
apply (*erule* *Finite-induct*, *auto*)
done

lemma *fold-set-cong*:

$$[[A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y))]] \\ \implies \text{fold-set}(A, B, f, e) = \text{fold-set}(A', B', f', e')$$

apply (*simp* *add*: *fold-set-def*)

apply (*intro refl iff-refl lfp-cong Collect-cong disj-cong ex-cong, auto*)
done

lemma *fold-cong*:

$[[B=B'; A=A'; e=e';$
 $!!x\ y. [[x\in A'; y\in B']] ==> f(x,y) = f'(x,y)]] ==>$
 $fold[B](f,e,A) = fold[B'](f', e', A')$
apply (*simp add: fold-def*)
apply (*subst fold-set-cong*)
apply (*rule-tac [5] refl, simp-all*)
done

lemma *setsum-cong*:

$[[A=B; !!x. x\in B ==> f(x) = g(x)]] ==>$
 $setsum(f, A) = setsum(g, B)$
by (*simp add: setsum-def cong add: fold-cong*)

lemma *setsum-Un*:

$[[Finite(A); Finite(B)]]$
 $==> setsum(f, A \cup B) =$
 $setsum(f, A) \$+ setsum(f, B) \$- setsum(f, A \cap B)$
apply (*subst setsum-Un-Int [symmetric], auto*)
done

lemma *setsum-zneg-or-0* [*rule-format (no-asm)*]:

$Finite(A) ==> (\forall x\in A. g(x) \$\leq \#0) \longrightarrow setsum(g, A) \$\leq \#0$
apply (*erule Finite-induct*)
apply (*auto intro: zneg-or-0-add-zneg-or-0-imp-zneg-or-0*)
done

lemma *setsum-succD-lemma* [*rule-format*]:

$Finite(A)$
 $==> \forall n\in nat. setsum(f,A) = \$\# succ(n) \longrightarrow (\exists a\in A. \#0 \$< f(a))$
apply (*erule Finite-induct*)
apply (*auto simp del: int-of-0 int-of-succ simp add: not-zless-iff-zle int-of-0 [symmetric]*)
apply (*subgoal-tac setsum (f, B) \\$\leq \#0*)
apply *simp-all*
prefer 2 **apply** (*blast intro: setsum-zneg-or-0*)
apply (*subgoal-tac \\$\# 1 \\$\leq f (x) \\$+ setsum (f, B))*)
apply (*drule zdiff-zle-iff [THEN iffD2]*)
apply (*subgoal-tac \\$\# 1 \\$\leq \\$\# 1 \\$- setsum (f,B))*)
apply (*drule-tac x = \\$\# 1 in zle-trans*)
apply (*rule-tac [2] j = \#1 in zless-zle-trans, auto*)
done

lemma *setsum-succD*:

$[[setsum(f, A) = \$\# succ(n); n\in nat]] ==> \exists a\in A. \#0 \$< f(a)$
apply (*case-tac Finite (A))*)

```

apply (blast intro: setsum-succD-lemma)
apply (unfold setsum-def)
apply (auto simp del: int-of-0 int-of-succ simp add: int-succ-int-1 [symmetric]
int-of-0 [symmetric])
done

lemma g-zpos-imp-setsum-zpos [rule-format]:
   $Finite(A) \implies (\forall x \in A. \#0 \leq g(x)) \longrightarrow \#0 \leq setsum(g, A)$ 
apply (erule Finite-induct)
apply (simp (no-asm))
apply (auto intro: zpos-add-zpos-imp-zpos)
done

lemma g-zpos-imp-setsum-zpos2 [rule-format]:
   $[| Finite(A); \forall x. \#0 \leq g(x) |] \implies \#0 \leq setsum(g, A)$ 
apply (erule Finite-induct)
apply (auto intro: zpos-add-zpos-imp-zpos)
done

lemma g-zpos-imp-setsum-zpos [rule-format]:
   $Finite(A) \implies (\forall x \in A. \#0 < g(x)) \longrightarrow A \neq 0 \longrightarrow (\#0 < setsum(g, A))$ 
apply (erule Finite-induct)
apply (auto intro: zpos-add-zpos-imp-zpos)
done

lemma setsum-Diff [rule-format]:
   $Finite(A) \implies \forall a. M(a) = \#0 \longrightarrow setsum(M, A) = setsum(M, A - \{a\})$ 
apply (erule Finite-induct)
apply (simp-all add: Diff-cons-eq Finite-Diff)
done

end

```

8 The accessible part of a relation

theory *Acc* **imports** *ZF* **begin**

Inductive definition of $acc(r)$; see [3].

consts
 $acc :: i \Rightarrow i$

inductive

domains $acc(r) \subseteq field(r)$

intros

image: $[| r - \{a\}: Pow(acc(r)); a \in field(r) |] \implies a \in acc(r)$

monos $Pow-mono$

The introduction rule must require $a \in field(r)$, otherwise $acc(r)$ would be

a proper class!

The intended introduction rule:

lemma *accI*: $\llbracket \text{!!}b. \langle b, a \rangle : r \implies b \in \text{acc}(r); a \in \text{field}(r) \rrbracket \implies a \in \text{acc}(r)$
by (*blast intro: acc.intros*)

lemma *acc-downward*: $\llbracket b \in \text{acc}(r); \langle a, b \rangle : r \rrbracket \implies a \in \text{acc}(r)$
by (*erule acc.cases blast*)

lemma *acc-induct* [*consumes 1, case-names vimage, induct set: acc*]:
 $\llbracket a \in \text{acc}(r);$
 $\text{!!}x. \llbracket x \in \text{acc}(r); \forall y. \langle y, x \rangle : r \longrightarrow P(y) \rrbracket \implies P(x)$
 $\rrbracket \implies P(a)$
by (*erule acc.induct*) (*blast intro: acc.intros*)

lemma *wf-on-acc*: $\text{wf}[\text{acc}(r)](r)$
apply (*rule wf-onI2*)
apply (*erule acc-induct*)
apply *fast*
done

lemma *acc-wfI*: $\text{field}(r) \subseteq \text{acc}(r) \implies \text{wf}(r)$
by (*erule wf-on-acc [THEN wf-on-subset-A, THEN wf-on-field-imp-wf]*)

lemma *acc-wfD*: $\text{wf}(r) \implies \text{field}(r) \subseteq \text{acc}(r)$
apply (*rule subsetI*)
apply (*erule wf-induct2, assumption*)
apply (*blast intro: accI*)
done

lemma *wf-acc-iff*: $\text{wf}(r) \longleftrightarrow \text{field}(r) \subseteq \text{acc}(r)$
by (*rule iffI, erule acc-wfD, erule acc-wfI*)

end

theory *Multiset*
imports *FoldSet Acc*
begin

abbreviation (*input*)
 — Short cut for multiset space
 $\text{Mult} :: i \Rightarrow i$ **where**
 $\text{Mult}(A) == A - ||> \text{nat} - \{0\}$

definition

$\text{funrestrict} :: [i, i] \Rightarrow i$ **where**
 $\text{funrestrict}(f, A) == \lambda x \in A. f \cdot x$

definition

multiset :: $i \Rightarrow o$ **where**
multiset(M) == $\exists A. M \in A \rightarrow \text{nat} - \{0\} \ \& \ \text{Finite}(A)$

definition

mset-of :: $i \Rightarrow i$ **where**
mset-of(M) == *domain*(M)

definition

munion :: $[i, i] \Rightarrow i$ (**infixl** $\langle + \# \rangle$ 65) **where**
 $M + \# N == \lambda x \in \text{mset-of}(M) \cup \text{mset-of}(N).$
if $x \in \text{mset-of}(M) \cap \text{mset-of}(N)$ *then* $(M'x) \# + (N'x)$
else (*if* $x \in \text{mset-of}(M)$ *then* $M'x$ *else* $N'x$)

definition

normalize :: $i \Rightarrow i$ **where**
normalize(f) ==
if $(\exists A. f \in A \rightarrow \text{nat} \ \& \ \text{Finite}(A))$ *then*
funrestrict($f, \{x \in \text{mset-of}(f). 0 < f'x\}$)
else 0

definition

mdiff :: $[i, i] \Rightarrow i$ (**infixl** $\langle - \# \rangle$ 65) **where**
 $M - \# N == \text{normalize}(\lambda x \in \text{mset-of}(M).$
if $x \in \text{mset-of}(N)$ *then* $M'x \# - N'x$ *else* $M'x$)

definition

msingle :: $i \Rightarrow i$ ($\langle \{\# - \# \} \rangle$) **where**
 $\{\# a \# \} == \{ \langle a, 1 \rangle \}$

definition

MCollect :: $[i, i \Rightarrow o] \Rightarrow i$ **where**
MCollect(M, P) == *funrestrict*($M, \{x \in \text{mset-of}(M). P(x)\}$)

definition

mcount :: $[i, i] \Rightarrow i$ **where**
mcount(M, a) == *if* $a \in \text{mset-of}(M)$ *then* $M'a$ *else* 0

definition

msize :: $i \Rightarrow i$ **where**
msize(M) == *setsum*($\%a. \$\# \text{mcount}(M, a), \text{mset-of}(M)$)

abbreviation

melem :: $[i, i] \Rightarrow o$ ($\langle (- / : \# -) \rangle$ [50, 51] 50) **where**

$a : \# M == a \in mset-of(M)$

syntax

$-MColl :: [pttrn, i, o] ==> i \ (\lambda (1\{\# - \in -./ -\#\})\lambda)$

translations

$\{\#x \in M. P\# \} == CONST\ MCollect(M, \lambda x. P)$

definition

$multirel1 :: [i, i] ==> i$ **where**
 $multirel1(A, r) ==$
 $\{ \langle M, N \rangle \in Mult(A) * Mult(A).$
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$
 $N = M0 + \# \{ \#a \# \} \ \& \ M = M0 + \# K \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r) \}$

definition

$multirel :: [i, i] ==> i$ **where**
 $multirel(A, r) == multirel1(A, r)^{+}$

definition

$omultiset :: i ==> o$ **where**
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

definition

$mless :: [i, i] ==> o$ (**infixl** $\langle \# \rangle$ 50) **where**
 $M \langle \# \rangle N == \exists i. Ord(i) \ \& \ \langle M, N \rangle \in multirel(field(Memrel(i)), Memrel(i))$

definition

$mle :: [i, i] ==> o$ (**infixl** $\langle \# == \rangle$ 50) **where**
 $M \langle \# == \rangle N == (omultiset(M) \ \& \ M = N) \mid M \langle \# \rangle N$

8.1 Properties of the original "restrict" from ZF.thy

lemma *funrestrict-subset*: $[f \in Pi(C, B); A \subseteq C] ==> funrestrict(f, A) \subseteq f$
by (*auto simp add: funrestrict-def lam-def intro: apply-Pair*)

lemma *funrestrict-type*:

$[!x. x \in A ==> f'x \in B(x)] ==> funrestrict(f, A) \in Pi(A, B)$
by (*simp add: funrestrict-def lam-type*)

lemma *funrestrict-type2*: $[f \in Pi(C, B); A \subseteq C] ==> funrestrict(f, A) \in Pi(A, B)$
by (*blast intro: apply-type funrestrict-type*)

lemma *funrestrict [simp]*: $a \in A ==> funrestrict(f, A) \ 'a = f'a$
by (*simp add: funrestrict-def*)

lemma *funrestrict-empty* [*simp*]: $\text{funrestrict}(f, 0) = 0$

by (*simp add: funrestrict-def*)

lemma *domain-funrestrict* [*simp*]: $\text{domain}(\text{funrestrict}(f, C)) = C$

by (*auto simp add: funrestrict-def lam-def*)

lemma *fun-cons-funrestrict-eq*:

$f \in \text{cons}(a, b) \rightarrow B \implies f = \text{cons}(\langle a, f \cdot a \rangle, \text{funrestrict}(f, b))$

apply (*rule equalityI*)

prefer 2 **apply** (*blast intro: apply-Pair funrestrict-subset [THEN subsetD]*)

apply (*auto dest!: Pi-memberD simp add: funrestrict-def lam-def*)

done

declare *domain-of-fun* [*simp*]

declare *domainE* [*rule del*]

A useful simplification rule

lemma *multiset-fun-iff*:

$(f \in A \rightarrow \text{nat} - \{0\}) \longleftrightarrow f \in A \rightarrow \text{nat} \& (\forall a \in A. f \cdot a \in \text{nat} \& 0 < f \cdot a)$

apply *safe*

apply (*rule-tac [4] B1 = range (f) in Pi-mono [THEN subsetD]*)

apply (*auto intro!: Ord-0-lt*

dest: apply-type Diff-subset [THEN Pi-mono, THEN subsetD]

simp add: range-of-fun apply-iff)

done

lemma *multiset-into-Mult*: $[\mid \text{multiset}(M); \text{mset-of}(M) \subseteq A \mid] \implies M \in \text{Mult}(A)$

apply (*simp add: multiset-def*)

apply (*auto simp add: multiset-fun-iff mset-of-def*)

apply (*rule-tac B1 = nat - {0} in FiniteFun-mono [THEN subsetD], simp-all*)

apply (*rule Finite-into-Fin [THEN [2] Fin-mono [THEN subsetD], THEN fun-FiniteFunI]*)

apply (*simp-all (no-asm-simp) add: multiset-fun-iff*)

done

lemma *Mult-into-multiset*: $M \in \text{Mult}(A) \implies \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$

apply (*simp add: multiset-def mset-of-def*)

apply (*frule FiniteFun-is-fun*)

apply (*drule FiniteFun-domain-Fin*)

apply (*frule FinD, clarify*)

apply (*rule-tac x = domain (M) in exI*)

apply (*blast intro: Fin-into-Finite*)

done

lemma *Mult-iff-multiset*: $M \in \text{Mult}(A) \longleftrightarrow \text{multiset}(M) \& \text{mset-of}(M) \subseteq A$

by (*blast dest: Mult-into-multiset intro: multiset-into-Mult*)

lemma *multiset-iff-Mult-mset-of*: $\text{multiset}(M) \longleftrightarrow M \in \text{Mult}(\text{mset-of}(M))$

by (*auto simp add: Mult-iff-multiset*)

The *multiset* operator

lemma *multiset-0* [*simp*]: *multiset*(0)

by (*auto intro: FiniteFun.intros simp add: multiset-iff-Mult-mset-of*)

The *mset-of* operator

lemma *multiset-set-of-Finite* [*simp*]: *multiset*(*M*) ==> *Finite*(*mset-of*(*M*))

by (*simp add: multiset-def mset-of-def, auto*)

lemma *mset-of-0* [*iff*]: *mset-of*(0) = 0

by (*simp add: mset-of-def*)

lemma *mset-is-0-iff*: *multiset*(*M*) ==> *mset-of*(*M*)=0 $\longleftrightarrow$ *M*=0

by (*auto simp add: multiset-def mset-of-def*)

lemma *mset-of-single* [*iff*]: *mset-of*({#*a*#}) = {*a*}

by (*simp add: msingle-def mset-of-def*)

lemma *mset-of-union* [*iff*]: *mset-of*(*M* +# *N*) = *mset-of*(*M*) $\cup$ *mset-of*(*N*)

by (*simp add: mset-of-def munion-def*)

lemma *mset-of-diff* [*simp*]: *mset-of*(*M*) $\subseteq$ *A* ==> *mset-of*(*M* -# *N*) $\subseteq$ *A*

by (*auto simp add: mdiff-def multiset-def normalize-def mset-of-def*)

lemma *msingle-not-0* [*iff*]: {#*a*#} $\neq$ 0 & 0 $\neq$ {#*a*#}

by (*simp add: msingle-def*)

lemma *msingle-eq-iff* [*iff*]: ({#*a*#} = {#*b*#}) $\longleftrightarrow$ (*a* = *b*)

by (*simp add: msingle-def*)

lemma *msingle-multiset* [*iff*, *TC*]: *multiset*({#*a*#})

apply (*simp add: multiset-def msingle-def*)

apply (*rule-tac* *x* = {*a*} **in** *exI*)

apply (*auto intro: Finite-cons Finite-0 fun-extend3*)

done

lemmas *Collect-Finite* = *Collect-subset* [*THEN subset-Finite*]

lemma *normalize-idem* [*simp*]: *normalize*(*normalize*(*f*)) = *normalize*(*f*)

apply (*simp add: normalize-def funrestrict-def mset-of-def*)

apply (*case-tac* $\exists A. f \in A \rightarrow nat \ \& \ Finite \ (A)$)

apply *clarify*

apply (*drule-tac* *x* = {*x* $\in$ *domain* (*f*) . 0 < *f* ‘ *x*} **in** *spec*)

apply *auto*

apply (*auto intro!: lam-type simp add: Collect-Finite*)
done

lemma *normalize-multiset* [*simp*]: $\text{multiset}(M) ==> \text{normalize}(M) = M$
by (*auto simp add: multiset-def normalize-def mset-of-def funrestrict-def multiset-fun-iff*)

lemma *multiset-normalize* [*simp*]: $\text{multiset}(\text{normalize}(f))$
apply (*simp add: normalize-def*)
apply (*simp add: normalize-def mset-of-def multiset-def, auto*)
apply (*rule-tac x = {x ∈ A . 0 < f'x} in exI*)
apply (*auto intro: Collect-subset [THEN subset-Finite] funrestrict-type*)
done

lemma *munion-multiset* [*simp*]: $[\mid \text{multiset}(M); \text{multiset}(N) \mid] ==> \text{multiset}(M +\# N)$
apply (*unfold multiset-def munion-def mset-of-def, auto*)
apply (*rule-tac x = A ∪ Aa in exI*)
apply (*auto intro!: lam-type intro: Finite-Un simp add: multiset-fun-iff zero-less-add*)
done

lemma *mdiff-multiset* [*simp*]: $\text{multiset}(M -\# N)$
by (*simp add: mdiff-def*)

lemma *munion-0* [*simp*]: $\text{multiset}(M) ==> M +\# 0 = M \ \& \ 0 +\# M = M$
apply (*simp add: multiset-def*)
apply (*auto simp add: munion-def mset-of-def*)
done

lemma *munion-commute*: $M +\# N = N +\# M$
by (*auto intro!: lam-cong simp add: munion-def*)

lemma *munion-assoc*: $(M +\# N) +\# K = M +\# (N +\# K)$
apply (*unfold munion-def mset-of-def*)
apply (*rule lam-cong, auto*)
done

lemma *munion-lcommute*: $M +\# (N +\# K) = N +\# (M +\# K)$
apply (*unfold munion-def mset-of-def*)

apply (rule lam-cong, auto)
done

lemmas munion-ac = munion-commute munion-assoc munion-lcommute

lemma mdiff-self-eq-0 [simp]: $M -\# M = 0$
by (simp add: mdiff-def normalize-def mset-of-def)

lemma mdiff-0 [simp]: $0 -\# M = 0$
by (simp add: mdiff-def normalize-def)

lemma mdiff-0-right [simp]: $\text{multiset}(M) ==> M -\# 0 = M$
by (auto simp add: multiset-def mdiff-def normalize-def multiset-fun-iff mset-of-def funrestrict-def)

lemma mdiff-union-inverse2 [simp]: $\text{multiset}(M) ==> M +\# \{\#a\# \} -\# \{\#a\# \} = M$
apply (unfold multiset-def munion-def mdiff-def msingle-def normalize-def mset-of-def)
apply (auto cong add: if-cong simp add: ltD multiset-fun-iff funrestrict-def subset-Un-iff2 [THEN iffD1])
prefer 2 **apply** (force intro!: lam-type)
apply (subgoal-tac [2] $\{x \in A \cup \{a\} . x \neq a \wedge x \in A\} = A$)
apply (rule fun-extension, auto)
apply (drule-tac $x = A \cup \{a\}$ in spec)
apply (simp add: Finite-Un)
apply (force intro!: lam-type)
done

lemma mcount-type [simp, TC]: $\text{multiset}(M) ==> \text{mcount}(M, a) \in \text{nat}$
by (auto simp add: multiset-def mcount-def mset-of-def multiset-fun-iff)

lemma mcount-0 [simp]: $\text{mcount}(0, a) = 0$
by (simp add: mcount-def)

lemma mcount-single [simp]: $\text{mcount}(\{\#b\# \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$
by (simp add: mcount-def mset-of-def msingle-def)

lemma mcount-union [simp]: $[\text{multiset}(M); \text{multiset}(N)] ==> \text{mcount}(M +\# N, a) = \text{mcount}(M, a) \#+ \text{mcount}(N, a)$
apply (auto simp add: multiset-def multiset-fun-iff mcount-def munion-def mset-of-def)
done

lemma mcount-diff [simp]:
 $\text{multiset}(M) ==> \text{mcount}(M -\# N, a) = \text{mcount}(M, a) \#- \text{mcount}(N, a)$
apply (simp add: multiset-def)

```

apply (auto dest!: not-lt-imp-le
      simp add: mdiff-def multiset-fun-iff mcount-def normalize-def mset-of-def)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

lemma mcount-lem: [| multiset(M); a ∈ mset-of(M) |] ==> 0 < mcount(M, a)
apply (simp add: multiset-def, clarify)
apply (simp add: mcount-def mset-of-def)
apply (simp add: multiset-fun-iff)
done

lemma msize-0 [simp]: msize(0) = #0
by (simp add: msize-def)

lemma msize-single [simp]: msize({#a#}) = #1
by (simp add: msize-def)

lemma msize-type [simp, TC]: msize(M) ∈ int
by (simp add: msize-def)

lemma msize-zpositive: multiset(M) ==> #0 ≤ msize(M)
by (auto simp add: msize-def intro: g-zpos-imp-setsum-zpos)

lemma msize-int-of-nat: multiset(M) ==> ∃ n ∈ nat. msize(M) = #n
apply (rule not-zneg-int-of)
apply (simp-all (no-asm-simp) add: msize-type [THEN znegative-iff-zless-0] not-zless-iff-zle
      msize-zpositive)
done

lemma not-empty-multiset-imp-exist:
  [| M ≠ 0; multiset(M) |] ==> ∃ a ∈ mset-of(M). 0 < mcount(M, a)
apply (simp add: multiset-def)
apply (erule not-emptyE)
apply (auto simp add: mset-of-def mcount-def multiset-fun-iff)
apply (blast dest!: fun-is-rel)
done

lemma msize-eq-0-iff: multiset(M) ==> msize(M) = #0 ⟷ M = 0
apply (simp add: msize-def, auto)
apply (rule-tac P = setsum (u,v) ≠ #0 for u v in swap)
apply blast
apply (drule not-empty-multiset-imp-exist, assumption, clarify)
apply (subgoal-tac Finite (mset-of (M) - {a}) )
  prefer 2 apply (simp add: Finite-Diff)
apply (subgoal-tac setsum (%x. #mcount (M, x), cons (a, mset-of (M) - {a})) = #0)
  prefer 2 apply (simp add: cons-Diff, simp)

```

```

apply (subgoal-tac #0 $≤ setsum (%x. $# mcount (M, x), mset-of (M) - {a}) )
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
apply (auto simp add: Finite-Diff not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0
[THEN iff-sym])
apply (rule not-zneg-int-of [THEN bexE])
apply (auto simp del: int-of-0 simp add: int-of-add [symmetric] int-of-0 [symmetric])
done

```

lemma setsum-mcount-Int:

$$\text{Finite}(A) \implies \text{setsum}(\%a. \# \text{mcount}(N, a), A \cap \text{mset-of}(N)) \\ = \text{setsum}(\%a. \# \text{mcount}(N, a), A)$$

```

apply (induct rule: Finite-induct)
apply auto
apply (subgoal-tac Finite (B ∩ mset-of (N)))
prefer 2 apply (blast intro: subset-Finite)
apply (auto simp add: mcount-def Int-cons-left)
done

```

lemma msize-union [simp]:

$$[\text{multiset}(M); \text{multiset}(N)] \implies \text{msize}(M +\# N) = \text{msize}(M) \$+ \text{msize}(N)$$

```

apply (simp add: msize-def setsum-Un setsum-addf int-of-add setsum-mcount-Int)
apply (subst Int-commute)
apply (simp add: setsum-mcount-Int)
done

```

lemma msize-eq-succ-imp-elem: $[\text{msize}(M) = \# \text{succ}(n); n \in \text{nat}] \implies \exists a. a \in \text{mset-of}(M)$

```

apply (unfold msize-def)
apply (blast dest: setsum-succD)
done

```

lemma equality-lemma:

$$[\text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a)] \\ \implies \text{mset-of}(M) = \text{mset-of}(N)$$

```

apply (simp add: multiset-def)
apply (rule sym, rule equalityI)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
apply (drule-tac [!] x=x in spec)
apply (case-tac [2] x ∈ Aa, case-tac x ∈ A, auto)
done

```

lemma multiset-equality:

$$[\text{multiset}(M); \text{multiset}(N)] \implies M = N \longleftrightarrow (\forall a. \text{mcount}(M, a) = \text{mcount}(N, a))$$

```

apply auto
apply (subgoal-tac mset-of (M) = mset-of (N) )
prefer 2 apply (blast intro: equality-lemma)
apply (simp add: multiset-def mset-of-def)

```

```

apply (auto simp add: multiset-fun-iff)
apply (rule fun-extension)
apply (blast, blast)
apply (drule-tac  $x = x$  in spec)
apply (auto simp add: mcount-def mset-of-def)
done

```

```

lemma munion-eq-0-iff [simp]: [|multiset(M); multiset(N)|]==>(M +# N = 0)
<=> (M=0 & N=0)
by (auto simp add: multiset-equality)

```

```

lemma empty-eq-munion-iff [simp]: [|multiset(M); multiset(N)|]==>(0=M +#
N) <=> (M=0 & N=0)
apply (rule iffI, drule sym)
apply (simp-all add: multiset-equality)
done

```

```

lemma munion-right-cancel [simp]:
[| multiset(M); multiset(N); multiset(K) |]==>(M +# K = N +# K)<=>(M=N)
by (auto simp add: multiset-equality)

```

```

lemma munion-left-cancel [simp]:
[|multiset(K); multiset(M); multiset(N)|] ==>(K +# M = K +# N) <=> (M
= N)
by (auto simp add: multiset-equality)

```

```

lemma nat-add-eq-1-cases: [| m ∈ nat; n ∈ nat |] ==> (m #+ n = 1) <=> (m=1
& n=0) | (m=0 & n=1)
by (induct-tac n) auto

```

```

lemma munion-is-single:
[|multiset(M); multiset(N)|]
==> (M +# N = {#a#}) <=> (M={#a#} & N=0) | (M = 0 & N =
{#a#})
apply (simp (no-asm-simp) add: multiset-equality)
apply safe
apply simp-all
apply (case-tac aa=a)
apply (drule-tac [2]  $x = aa$  in spec)
apply (drule-tac  $x = a$  in spec)
apply (simp add: nat-add-eq-1-cases, simp)
apply (case-tac aaa=aa, simp)
apply (drule-tac  $x = aa$  in spec)
apply (simp add: nat-add-eq-1-cases)
apply (case-tac aaa=a)
apply (drule-tac [4]  $x = aa$  in spec)
apply (drule-tac [3]  $x = a$  in spec)

```

```

apply (drule-tac [2]  $x = aaa$  in spec)
apply (drule-tac  $x = aa$  in spec)
apply (simp-all add: nat-add-eq-1-cases)
done

```

```

lemma msingle-is-union: [| multiset( $M$ ); multiset( $N$ ) |]
  ==> ( $\{\#a\# \} = M +\# N$ )  $\longleftrightarrow$  ( $\{\#a\# \} = M \ \& \ N=0 \mid M = 0 \ \& \ \{\#a\# \} = N$ )
apply (subgoal-tac ( $\{\#a\# \} = M +\# N$ )  $\longleftrightarrow$  ( $M +\# N = \{\#a\# \}$ ) )
apply (simp (no-asm-simp) add: munion-is-single)
apply blast
apply (blast dest: sym)
done

```

```

lemma setsum-decr:
  Finite( $A$ )
  ==> ( $\forall M. \text{multiset}(M) \longrightarrow$ 
    ( $\forall a \in \text{mset-of}(M). \text{setsum}(\%z. \#\ \text{mcount}(M(a:=M'a \#- 1), z), A) =$ 
      (if  $a \in A$  then  $\text{setsum}(\%z. \#\ \text{mcount}(M, z), A) \#- \#1$ 
        else  $\text{setsum}(\%z. \#\ \text{mcount}(M, z), A)$ )))
apply (unfold multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff)
apply (unfold mset-of-def mcount-def)
apply (case-tac  $x \in A$ , auto)
apply (subgoal-tac  $\#\ M \ ' x \# + \#-1 = \#\ M \ ' x \# - \#\ 1$ )
apply (erule ssubst)
apply (rule int-of-diff, auto)
done

```

```

lemma setsum-decr2:
  Finite( $A$ )
  ==>  $\forall M. \text{multiset}(M) \longrightarrow (\forall a \in \text{mset-of}(M).
    \text{setsum}(\%x. \#\ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A) =$ 
    (if  $a \in A$  then  $\text{setsum}(\%x. \#\ \text{mcount}(M, x), A) \#- \#\ M'a$ 
      else  $\text{setsum}(\%x. \#\ \text{mcount}(M, x), A)$ )))
apply (simp add: multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
done

```

```

lemma setsum-decr3: [| Finite( $A$ ); multiset( $M$ );  $a \in \text{mset-of}(M)$  |]
  ==>  $\text{setsum}(\%x. \#\ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A - \{a\})$ 
  =
    (if  $a \in A$  then  $\text{setsum}(\%x. \#\ \text{mcount}(M, x), A) \#- \#\ M'a$ 
      else  $\text{setsum}(\%x. \#\ \text{mcount}(M, x), A)$ )
apply (subgoal-tac  $\text{setsum}(\%x. \#\ \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A - \{a\})$ )

```

```

= setsum (%x. $# mcount (funrestrict (M, mset-of (M) -{a}),x),A) )
apply (rule-tac [2] setsum-Diff [symmetric])
apply (rule sym, rule ssubst, blast)
apply (rule sym, drule setsum-decr2, auto)
apply (simp add: mcount-def mset-of-def)
done

```

```

lemma nat-le-1-cases:  $n \in \text{nat} \implies n \leq 1 \iff (n=0 \mid n=1)$ 
by (auto elim: natE)

```

```

lemma succ-pred-eq-self:  $[[\ 0 < n; n \in \text{nat} \ ]] \implies \text{succ}(n \#- 1) = n$ 
apply (subgoal-tac  $1 \leq n$ )
apply (drule add-diff-inverse2, auto)
done

```

Specialized for use in the proof below.

```

lemma multiset-funrestrict:
   $[[\forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A)]]$ 
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$ 
apply (simp add: multiset-def multiset-fun-iff)
apply (rule-tac  $x=A-\{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type)
done

```

```

lemma multiset-induct-aux:
  assumes prem1:  $!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M) \ ]] \implies P(\text{cons}(<a, 1>, M))$ 
  and prem2:  $!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M) \ ]] \implies P(M(b:=M'b \#+ 1))$ 
  shows
     $[[\ n \in \text{nat}; P(0) \ ]]$ 
 $\implies (\forall M. \text{multiset}(M) \longrightarrow$ 
   $(\text{setsum}(\%x. \text{\$}\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M'x\}) = \text{\$}\# \ n) \longrightarrow$ 
   $P(M))$ 
apply (erule nat-induct, clarify)
apply (frule msize-eq-0-iff)
apply (auto simp add: mset-of-def multiset-def multiset-fun-iff msize-def)
apply (subgoal-tac  $\text{setsum}(\%x. \text{\$}\# \text{mcount}(M, x), A) = \text{\$}\# \ \text{succ}(x)$ )
apply (drule setsum-succD, auto)
apply (case-tac  $1 < M'a$ )
apply (drule-tac [2] not-lt-imp-le)
apply (simp-all add: nat-le-1-cases)
apply (subgoal-tac  $M = (M(a:=M'a \#- 1))(a:= (M(a:=M'a \#- 1))'a \#+ 1)$ )
apply (rule-tac [2]  $A = A$  and  $B = \%x. \text{nat}$  and  $D = \%x. \text{nat}$  in fun-extension)
apply (rule-tac [3] update-type)+
apply (simp-all (no-asm-simp))
apply (rule-tac [2] impI)
apply (rule-tac [2] succ-pred-eq-self [symmetric])

```

```

apply (simp-all (no-asm-simp))
apply (rule subst, rule sym, blast, rule prem2)
apply (simp (no-asm) add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A$  in exI)
apply (force intro: update-type)
apply (simp (no-asm-simp) add: mset-of-def mcount-def)
apply (drule-tac  $x = M$  ( $a := M$  ‘  $a \# - 1$ ) in spec)
apply (drule mp, drule-tac [2] mp, simp-all)
apply (rule-tac  $x = A$  in exI)
apply (auto intro: update-type)
apply (subgoal-tac Finite ( $\{x \in \text{cons } (a, A) . x \neq a \longrightarrow 0 < M'x\}$ ) )
prefer 2 apply (blast intro: Collect-subset [THEN subset-Finite] Finite-cons)
apply (drule-tac  $A = \{x \in \text{cons } (a, A) . x \neq a \longrightarrow 0 < M'x\}$  in setsum-decr)
apply (drule-tac  $x = M$  in spec)
apply (subgoal-tac multiset ( $M$ ) )
prefer 2
apply (simp add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A$  in exI, force)
apply (simp-all add: mset-of-def)
apply (drule-tac  $\psi = \forall x \in A. u(x)$  for  $u$  in asm-rl)
apply (drule-tac  $x = a$  in bspec)
apply (simp (no-asm-simp))
apply (subgoal-tac  $\text{cons } (a, A) = A$ )
prefer 2 apply blast
apply simp
apply (subgoal-tac  $M = \text{cons } (<a, M'a>, \text{funrestrict } (M, A - \{a\}))$ )
prefer 2
apply (rule fun-cons-funrestrict-eq)
apply (subgoal-tac  $\text{cons } (a, A - \{a\}) = A$ )
apply force
apply force
apply (rule-tac  $a = \text{cons } (<a, 1>, \text{funrestrict } (M, A - \{a\}))$  in ssubst)
apply simp
apply (frule multiset-funrestrict, assumption)
apply (rule prem1, assumption)
apply (simp add: mset-of-def)
apply (drule-tac  $x = \text{funrestrict } (M, A - \{a\})$  in spec)
apply (drule mp)
apply (rule-tac  $x = A - \{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type simp add: funrestrict)
apply (frule-tac  $A = A$  and  $M = M$  and  $a = a$  in setsum-decr3)
apply (simp (no-asm-simp) add: multiset-def multiset-fun-iff)
apply blast
apply (simp (no-asm-simp) add: mset-of-def)
apply (drule-tac  $b = \text{if } u \text{ then } v \text{ else } w$  for  $u$   $v$   $w$  in sym, simp-all)
apply (subgoal-tac  $\{x \in A - \{a\} . 0 < \text{funrestrict } (M, A - \{x\}) 'x\} = A - \{a\}$ )
apply (auto intro!: setsum-cong simp add: zdiff-eq-iff zadd-commute multiset-def multiset-fun-iff mset-of-def)
done

```

```

lemma multiset-induct2:
  [| multiset(M); P(0);
    (!!M a. [| multiset(M); a ∉ mset-of(M); P(M) |] ==> P(cons(<a, 1>, M)));
    (!!M b. [| multiset(M); b ∈ mset-of(M); P(M) |] ==> P(M(b := M'b #+ 1)))
  |]
  ==> P(M)
apply (subgoal-tac ∃ n ∈ nat. setsum (λx. $# mcount (M, x), {x ∈ mset-of (M)
  . 0 < M ' x} ) = $# n)
apply (rule-tac [2] not-zneg-int-of)
apply (simp-all (no-asm-simp) add: znegative-iff-zless-0 not-zless-iff-zle)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
prefer 2 apply (blast intro: multiset-set-of-Finite Collect-subset [THEN sub-
set-Finite])
prefer 2 apply (simp add: multiset-def multiset-fun-iff, clarify)
apply (rule multiset-induct-aux [rule-format], auto)
done

lemma munion-single-case1:
  [| multiset(M); a ∉ mset-of(M) |] ==> M +# {#a#} = cons(<a, 1>, M)
apply (simp add: multiset-def msingle-def)
apply (auto simp add: munion-def)
apply (unfold mset-of-def, simp)
apply (rule fun-extension, rule lam-type, simp-all)
apply (auto simp add: multiset-fun-iff fun-extend-apply)
apply (drule-tac c = a and b = 1 in fun-extend3)
apply (auto simp add: cons-eq Un-commute [of - {a}])
done

lemma munion-single-case2:
  [| multiset(M); a ∈ mset-of(M) |] ==> M +# {#a#} = M(a := M'a #+ 1)
apply (simp add: multiset-def)
apply (auto simp add: munion-def multiset-fun-iff msingle-def)
apply (unfold mset-of-def, simp)
apply (subgoal-tac A ∪ {a} = A)
apply (rule fun-extension)
apply (auto dest: domain-type intro: lam-type update-type)
done

lemma multiset-induct:
  assumes M: multiset(M)
  and P0: P(0)
  and step: !!M a. [| multiset(M); P(M) |] ==> P(M +# {#a#})
  shows P(M)
apply (rule multiset-induct2 [OF M])
apply (simp-all add: P0)
apply (frule-tac [2] a = b in munion-single-case2 [symmetric])

```

apply (*frule-tac* $a = a$ **in** *munion-single-case1* [*symmetric*])
apply (*auto intro: step*)
done

lemma *MCollect-multiset* [*simp*]:
 $\text{multiset}(M) ==> \text{multiset}(\{\# x \in M. P(x)\# \})$
apply (*simp add: MCollect-def multiset-def mset-of-def, clarify*)
apply (*rule-tac* $x = \{x \in A. P(x)\}$ **in** *exI*)
apply (*auto dest: CollectD1* [*THEN* [2] *apply-type*]
 $\text{intro: Collect-subset}$ [*THEN subset-Finite*] *funrestrict-type*)
done

lemma *mset-of-MCollect* [*simp*]:
 $\text{multiset}(M) ==> \text{mset-of}(\{\# x \in M. P(x)\# \}) \subseteq \text{mset-of}(M)$
by (*auto simp add: mset-of-def MCollect-def multiset-def funrestrict-def*)

lemma *MCollect-mem-iff* [*iff*]:
 $x \in \text{mset-of}(\{\# x \in M. P(x)\# \}) \longleftrightarrow x \in \text{mset-of}(M) \ \& \ P(x)$
by (*simp add: MCollect-def mset-of-def*)

lemma *mcount-MCollect* [*simp*]:
 $\text{mcount}(\{\# x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$
by (*simp add: mcount-def MCollect-def mset-of-def*)

lemma *multiset-partition*: $\text{multiset}(M) ==> M = \{\# x \in M. P(x)\# \} +\# \{\# x \in M. \sim P(x)\# \}$
by (*simp add: multiset-equality*)

lemma *natify-elem-is-self* [*simp*]:
 $[[\text{multiset}(M); a \in \text{mset-of}(M)]] ==> \text{natify}(M'a) = M'a$
by (*auto simp add: multiset-def mset-of-def multiset-fun-iff*)

lemma *munion-eq-conv-diff*: $[[\text{multiset}(M); \text{multiset}(N)]]$
 $==> (M +\# \{\# a\# \} = N +\# \{\# b\# \}) \longleftrightarrow (M = N \ \& \ a = b \mid$
 $M = N -\# \{\# a\# \} +\# \{\# b\# \} \ \& \ N = M -\# \{\# b\# \} +\# \{\# a\# \})$
apply (*simp del: mcount-single add: multiset-equality*)
apply (*rule iffI, erule-tac* [2] *disjE, erule-tac* [3] *conjE*)
apply (*case-tac a=b, auto*)
apply (*drule-tac* $x = a$ **in** *spec*)
apply (*drule-tac* [2] $x = b$ **in** *spec*)
apply (*drule-tac* [3] $x = aa$ **in** *spec*)
apply (*drule-tac* [4] $x = a$ **in** *spec, auto*)
apply (*subgoal-tac* [!] *mcount* (N, a) :*nat*)
apply (*erule-tac* [3] *natE, erule natE, auto*)
done

lemma *melem-diff-single*:
multiset(M) ==>
 $k \in \text{mset-of}(M - \# \{ \#a\# \}) \longleftrightarrow (k=a \ \& \ 1 < \text{mcount}(M,a)) \mid (k \neq a \ \& \ k \in \text{mset-of}(M))$
apply (*simp add: multiset-def*)
apply (*simp add: normalize-def mset-of-def msingle-def mdiff-def mcount-def*)
apply (*auto dest: domain-type intro: zero-less-diff [THEN iffD1]*
simp add: multiset-fun-iff apply-iff)
apply (*force intro!: lam-type*)
apply (*force intro!: lam-type*)
apply (*force intro!: lam-type*)
done

lemma *munion-eq-conv-exist*:
 $[[M \in \text{Mult}(A); N \in \text{Mult}(A)]]$
==> $(M + \# \{ \#a\# \} = N + \# \{ \#b\# \}) \longleftrightarrow$
 $(M=N \ \& \ a=b \mid (\exists K \in \text{Mult}(A). M=K + \# \{ \#b\# \} \ \& \ N=K + \# \{ \#a\# \}))$
by (*auto simp add: Mult-iff-multiset melem-diff-single munion-eq-conv-diff*)

8.2 Multiset Orderings

lemma *multirel1-type*: $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$
by (*auto simp add: multirel1-def*)

lemma *multirel1-0* [*simp*]: $\text{multirel1}(0, r) = 0$
by (*auto simp add: multirel1-def*)

lemma *multirel1-iff*:
 $\langle N, M \rangle \in \text{multirel1}(A, r) \longleftrightarrow$
 $(\exists a. a \in A \ \&$
 $(\exists M0. M0 \in \text{Mult}(A) \ \& \ (\exists K. K \in \text{Mult}(A) \ \&$
 $M=M0 + \# \{ \#a\# \} \ \& \ N=M0 + \# K \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r)))$
by (*auto simp add: multirel1-def Mult-iff-multiset Bex-def*)

Monotonicity of *multirel1*

lemma *multirel1-mono1*: $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$
apply (*auto simp add: multirel1-def*)
apply (*auto simp add: Un-subset-iff Mult-iff-multiset*)
apply (*rule-tac x = a in bexI*)
apply (*rule-tac x = M0 in bexI, simp*)
apply (*rule-tac x = K in bexI*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *multirel1-mono2*: $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$
apply (*simp add: multirel1-def, auto*)
apply (*rule-tac x = a in bexI*)
apply (*rule-tac x = M0 in bexI*)

```

apply (simp-all add: Mult-iff-multiset)
apply (rule-tac  $x = K$  in exI)
apply (simp-all add: Mult-iff-multiset, auto)
done

```

```

lemma multirel1-mono:
  [|  $A \subseteq B$ ;  $r \subseteq s$  |] ==> multirel1( $A, r$ )  $\subseteq$  multirel1( $B, s$ )
apply (rule subset-trans)
apply (rule multirel1-mono1)
apply (rule-tac [2] multirel1-mono2, auto)
done

```

8.3 Toward the proof of well-foundedness of multirel1

```

lemma not-less-0 [iff]:  $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$ 
by (auto simp add: multirel1-def Mult-iff-multiset)

```

```

lemma less-munion: [|  $\langle N, M0 +\# \{\#a\# \} \rangle \in \text{multirel1}(A, r)$ ;  $M0 \in \text{Mult}(A)$ 
|] ==>
  ( $\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r)$  &  $N = M +\# \{\#a\# \}$ ) |
  ( $\exists K. K \in \text{Mult}(A)$  &  $(\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r)$  &  $N = M0 +\# K$ )
apply (frule multirel1-type [THEN subsetD])
apply (simp add: multirel1-iff)
apply (auto simp add: munion-eq-conv-exist)
apply (rule-tac  $x = Ka +\# K$  in exI, auto, simp add: Mult-iff-multiset)
apply (simp (no-asm-simp) add: munion-left-cancel munion-assoc)
apply (auto simp add: munion-commute)
done

```

```

lemma multirel1-base: [|  $M \in \text{Mult}(A)$ ;  $a \in A$  |] ==>  $\langle M, M +\# \{\#a\# \} \rangle \in$ 
  multirel1( $A, r$ )
apply (auto simp add: multirel1-iff)
apply (simp add: Mult-iff-multiset)
apply (rule-tac  $x = a$  in exI, clarify)
apply (rule-tac  $x = M$  in exI, simp)
apply (rule-tac  $x = 0$  in exI, auto)
done

```

```

lemma acc-0:  $\text{acc}(0) = 0$ 
by (auto intro!: equalityI dest: acc.dom-subset [THEN subsetD])

```

```

lemma lemma1: [|  $\forall b \in A. \langle b, a \rangle \in r \longrightarrow$ 
  ( $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
   $M0 \in \text{acc}(\text{multirel1}(A, r))$ ;  $a \in A$ ;
   $\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$  |]
==>  $M0 +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (subgoal-tac  $M0 \in \text{Mult}(A)$  )
prefer 2
apply (erule acc.cases)

```

```

apply (erule fieldE)
apply (auto dest: multirel1-type [THEN subsetD])
apply (rule accI)
apply (rename-tac N)
apply (drule less-munion, blast)
apply (auto simp add: Mult-iff-multiset)
apply (erule-tac  $P = \forall x \in \text{mset-of } (K) . \langle x, a \rangle \in r$  in rev-mp)
apply (erule-tac  $P = \text{mset-of } (K) \subseteq A$  in rev-mp)
apply (erule-tac  $M = K$  in multiset-induct)

apply (simp (no-asm-simp))

apply (simp add: Ball-def Un-subset-iff, clarify)
apply (drule-tac  $x = aa$  in spec, simp)
apply (subgoal-tac  $aa \in A$ )
prefer 2 apply blast
apply (drule-tac  $x = M0 +\# M$  and  $P =$ 
   $\%x. x \in \text{acc}(\text{multirel1}(A, r)) \longrightarrow Q(x)$  for  $Q$  in spec)
apply (simp add: munion-assoc [symmetric])

apply (auto intro!: multirel1-base [THEN fieldI2] simp add: Mult-iff-multiset)
done

lemma lemma2: [ $\forall b \in A. \langle b, a \rangle \in r$ 
   $\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\} : \text{acc}(\text{multirel1}(A, r)));$ 
   $M \in \text{acc}(\text{multirel1}(A, r)); a \in A]$   $\implies M +\# \{\#a\} \in \text{acc}(\text{multirel1}(A,$ 
   $r))$ 
apply (erule acc-induct)
apply (blast intro: lemma1)
done

lemma lemma3: [ $\text{wf}[A](r); a \in A$ ]
   $\implies \forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#a\} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule-tac  $a = a$  in wf-on-induct, blast)
apply (blast intro: lemma2)
done

lemma lemma4:  $\text{multiset}(M) \implies \text{mset-of}(M) \subseteq A \longrightarrow$ 
   $\text{wf}[A](r) \longrightarrow M \in \text{field}(\text{multirel1}(A, r)) \longrightarrow M \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule multiset-induct)

apply clarify
apply (rule accI, force)
apply (simp add: multirel1-def)

apply clarify
apply simp
apply (subgoal-tac  $\text{mset-of } (M) \subseteq A$ )

```

```

prefer 2 apply blast
apply clarify
apply (drule-tac  $a = a$  in lemma3, blast)
apply (subgoal-tac  $M \in \text{field}(\text{multirel1}(A, r))$ )
apply blast
apply (rule multirel1-base [THEN fieldI1])
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma all-accessible: [ $\text{wf}[A](r); M \in \text{Mult}(A); A \neq 0$ ]  $\implies M \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule not-emptyE)
apply (rule lemma4 [THEN mp, THEN mp, THEN mp])
apply (rule-tac [4] multirel1-base [THEN fieldI1])
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma wf-on-multirel1:  $\text{wf}[A](r) \implies \text{wf}[A - || > \text{nat} - \{0\}](\text{multirel1}(A, r))$ 
apply (case-tac  $A=0$ )
apply (simp (no-asm-simp))
apply (rule wf-imp-wf-on)
apply (rule wf-on-field-imp-wf)
apply (simp (no-asm-simp) add: wf-on-0)
apply (rule-tac  $A = \text{acc}(\text{multirel1}(A, r))$  in wf-on-subset-A)
apply (rule wf-on-acc)
apply (blast intro: all-accessible)
done

```

```

lemma wf-multirel1:  $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$ 
apply (simp (no-asm-use) add: wf-iff-wf-on-field)
apply (drule wf-on-multirel1)
apply (rule-tac  $A = \text{field}(r) - || > \text{nat} - \{0\}$  in wf-on-subset-A)
apply (simp (no-asm-simp))
apply (rule field-rel-subset)
apply (rule multirel1-type)
done

```

```

lemma multirel-type:  $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$ 
apply (simp add: multirel-def)
apply (rule trancl-type [THEN subset-trans])
apply (auto dest: multirel1-type [THEN subsetD])
done

```

```

lemma multirel-mono:
  [ $A \subseteq B; r \subseteq s$ ]  $\implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$ 
apply (simp add: multirel-def)

```

```

apply (rule trancl-mono)
apply (rule multirel1-mono, auto)
done

```

```

lemma add-diff-eq:  $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$ 
by (erule nat-induct, auto)

```

```

lemma mdiff-union-single-conv:  $[[a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J)]] \implies I \# + J \# - \{\#a\# \} = I \# + (J \# - \{\#a\# \})$ 
apply (simp (no-asm-simp) add: multiset-equality)
apply (case-tac a  $\notin$  mset-of (I) )
apply (auto simp add: mcount-def mset-of-def multiset-def multiset-fun-iff)
apply (auto dest: domain-type simp add: add-diff-eq)
done

```

```

lemma diff-add-commute:  $[[n \leq m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat}]] \implies m \# - n \# + k = m \# + k \# - n$ 
by (auto simp add: le-iff less-iff-succ-add)

```

```

lemma multirel-implies-one-step:
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$ 
   $\text{trans}[A](r) \longrightarrow$ 
   $(\exists I J K.$ 
     $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$ 
     $N = I \# + J \ \& \ M = I \# + K \ \& \ J \neq 0 \ \&$ 
     $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$ 
apply (simp add: multirel-def Ball-def Bex-def)
apply (erule converse-trancl-induct)
apply (simp-all add: multirel1-iff Mult-iff-multiset)

```

```

apply clarify
apply (rule-tac  $x = M0$  in exI, force)

```

```

apply clarify
apply hypsubst-thin
apply (case-tac a  $\in$  mset-of (Ka) )
apply (rule-tac  $x = I$  in exI, simp (no-asm-simp))
apply (rule-tac  $x = J$  in exI, simp (no-asm-simp))
apply (rule-tac  $x = (Ka \# - \{\#a\# \}) \# + K$  in exI, simp (no-asm-simp))
apply (simp-all add: Un-subset-iff)
apply (simp (no-asm-simp) add: munion-assoc [symmetric])
apply (drule-tac  $t = \%M. M \# - \{\#a\# \}$  in subst-context)
apply (simp add: mdiff-union-single-conv melem-diff-single, clarify)
apply (erule disjE, simp)

```

```

apply (erule disjE, simp)
apply (drule-tac  $x = a$  and  $P = \%x. x :\# Ka \longrightarrow Q(x)$  for  $Q$  in spec)
apply clarify
apply (rule-tac  $x = xa$  in exI)
apply (simp (no-asm-simp))
apply (blast dest: trans-onD)

apply (subgoal-tac  $a :\# I$ )
apply (rule-tac  $x = I - \# \{ \# a \# \}$  in exI, simp (no-asm-simp))
apply (rule-tac  $x = J + \# \{ \# a \# \}$  in exI)
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac  $x = Ka + \# K$  in exI)
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule conjI)
apply (simp (no-asm-simp) add: multiset-equality mcount-elem [THEN succ-pred-eq-self])
apply (rule conjI)
apply (drule-tac  $t = \%M. M - \# \{ \# a \# \}$  in subst-context)
apply (simp add: mdiff-union-inverse2)
apply (simp-all (no-asm-simp) add: multiset-equality)
apply (rule diff-add-commute [symmetric])
apply (auto intro: mcount-elem)
apply (subgoal-tac  $a \in \text{mset-of } (I + \# Ka)$  )
apply (drule-tac [2] sym, auto)
done

```

```

lemma melem-imp-eq-diff-union [simp]: [ $a \in \text{mset-of}(M)$ ;  $\text{multiset}(M)$ ] ==>
 $M - \# \{ \# a \# \} + \# \{ \# a \# \} = M$ 
by (simp add: multiset-equality mcount-elem [THEN succ-pred-eq-self])

```

```

lemma msize-eq-succ-imp-eq-union:
  [ $\text{msize}(M) = \$\# \text{succ}(n)$ ;  $M \in \text{Mult}(A)$ ;  $n \in \text{nat}$ ]
  ==>  $\exists a N. M = N + \# \{ \# a \# \} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$ 
apply (drule msize-eq-succ-imp-elem, auto)
apply (rule-tac  $x = a$  in exI)
apply (rule-tac  $x = M - \# \{ \# a \# \}$  in exI)
apply (frule Mult-into-multiset)
apply (simp (no-asm-simp))
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma one-step-implies-multirel-lemma [rule-format (no-asm)]:
 $n \in \text{nat} ==>$ 
  ( $\forall I J K.$ 
     $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$ 
    ( $\text{msize}(J) = \$\# n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). <k, j> \in$ 
       $r))$ 
     $\longrightarrow <I + \# K, I + \# J> \in \text{multirel}(A, r))$ 

```

```

apply (simp add: Mult-iff-multiset)
apply (erule nat-induct, clarify)
apply (drule-tac  $M = J$  in msize-eq-0-iff, auto)

apply (subgoal-tac msize ( $J = \# \text{ succ } (x)$ ))
  prefer 2 apply simp
apply (frule-tac  $A = A$  in msize-eq-succ-imp-eq-union)
apply (simp-all add: Mult-iff-multiset, clarify)
apply (rename-tac  $J'$ , simp)
apply (case-tac  $J' = 0$ )
apply (simp add: multirel-def)
apply (rule r-into-trancl, clarify)
apply (simp add: multirel1-iff Mult-iff-multiset, force)

apply (drule sym, rotate-tac  $-1$ , simp)
apply (erule-tac  $V = \# x = \text{msize } (J')$  in thin-rl)
apply (frule-tac  $M = K$  and  $P = \%x. \langle x, a \rangle \in r$  in multiset-partition)
apply (erule-tac  $P = \forall k \in \text{mset-of } (K) . P(k)$  for  $P$  in rev-mp)
apply (erule ssubst)
apply (simp add: Ball-def, auto)
apply (subgoal-tac  $\langle (I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}) + \# \{ \# x \in K. \langle x, a \rangle \notin r \# \}, (I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}) + \# J' \rangle \in \text{multirel}(A, r)$ )
  prefer 2
  apply (drule-tac  $x = I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \}$  in spec)
  apply (rotate-tac  $-1$ )
  apply (drule-tac  $x = J'$  in spec)
  apply (rotate-tac  $-1$ )
  apply (drule-tac  $x = \{ \# x \in K. \langle x, a \rangle \notin r \# \}$  in spec, simp) apply blast
apply (simp add: munion-assoc [symmetric] multirel-def)
apply (rule-tac  $b = I + \# \{ \# x \in K. \langle x, a \rangle \in r \# \} + \# J'$  in trancl-trans, blast)
apply (rule r-into-trancl)
apply (simp add: multirel1-iff Mult-iff-multiset)
apply (rule-tac  $x = a$  in exI)
apply (simp (no-asm-simp))
apply (rule-tac  $x = I + \# J'$  in exI)
apply (auto simp add: munion-ac Un-subset-iff)
done

lemma one-step-implies-multirel:
  [|  $J \neq 0$ ;  $\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r$ ;
    $I \in \text{Mult}(A)$ ;  $J \in \text{Mult}(A)$ ;  $K \in \text{Mult}(A)$  |]
  ==>  $\langle I + \# K, I + \# J \rangle \in \text{multirel}(A, r)$ 
apply (subgoal-tac multiset ( $J$ ))
  prefer 2 apply (simp add: Mult-iff-multiset)
apply (frule-tac  $M = J$  in msize-int-of-nat)
apply (auto intro: one-step-implies-multirel-lemma)
done

```

lemma *multirel-irrefl-lemma*:

$Finite(A) ==> part-ord(A, r) \longrightarrow (\forall x \in A. \exists y \in A. <x,y> \in r) \longrightarrow A=0$
apply (*erule* *Finite-induct*)
apply (*auto* *dest*: *subset-consI* [*THEN* [*2*] *part-ord-subset*])
apply (*auto* *simp* *add*: *part-ord-def* *irrefl-def*)
apply (*drule-tac* $x = xa$ **in** *bspec*)
apply (*drule-tac* [*2*] $a = xa$ **and** $b = x$ **in** *trans-onD*, *auto*)
done

lemma *irrefl-on-multirel*:

$part-ord(A, r) ==> irrefl(Mult(A), multirel(A, r))$
apply (*simp* *add*: *irrefl-def*)
apply (*subgoal-tac* *trans*[*A*](*r*))
prefer *2* **apply** (*simp* *add*: *part-ord-def*, *clarify*)
apply (*drule* *multirel-implies-one-step*, *clarify*)
apply (*simp* *add*: *Mult-iff-multiset*, *clarify*)
apply (*subgoal-tac* *Finite* (*mset-of* (*K*)))
apply (*frule-tac* $r = r$ **in** *multirel-irrefl-lemma*)
apply (*frule-tac* $B = mset-of$ (*K*) **in** *part-ord-subset*)
apply *simp-all*
apply (*auto* *simp* *add*: *multiset-def* *mset-of-def*)
done

lemma *trans-on-multirel*: *trans*[*Mult*(*A*)](*multirel*(*A*, *r*))

apply (*simp* *add*: *multirel-def* *trans-on-def*)
apply (*blast* *intro*: *trancl-trans*)
done

lemma *multirel-trans*:

$[[<M, N> \in multirel(A, r); <N, K> \in multirel(A, r)] ==> <M, K> \in multirel(A, r)]$
apply (*simp* *add*: *multirel-def*)
apply (*blast* *intro*: *trancl-trans*)
done

lemma *trans-multirel*: *trans*(*multirel*(*A*, *r*))

apply (*simp* *add*: *multirel-def*)
apply (*rule* *trans-trancl*)
done

lemma *part-ord-multirel*: $part-ord(A, r) ==> part-ord(Mult(A), multirel(A, r))$

apply (*simp* (*no-asm*) *add*: *part-ord-def*)
apply (*blast* *intro*: *irrefl-on-multirel* *trans-on-multirel*)
done

```

lemma munion-multirel1-mono:
  [| <M,N> ∈ multirel1(A, r); K ∈ Mult(A) |] ==> <K +# M, K +# N> ∈
  multirel1(A, r)
  apply (frule multirel1-type [THEN subsetD])
  apply (auto simp add: multirel1-iff Mult-iff-multiset)
  apply (rule-tac x = a in exI)
  apply (simp (no-asm-simp))
  apply (rule-tac x = K +# M0 in exI)
  apply (simp (no-asm-simp) add: Un-subset-iff)
  apply (rule-tac x = Ka in exI)
  apply (simp (no-asm-simp) add: munion-assoc)
done

```

```

lemma munion-multirel-mono2:
  [| <M, N> ∈ multirel(A, r); K ∈ Mult(A) |] ==> <K +# M, K +# N> ∈
  multirel(A, r)
  apply (frule multirel-type [THEN subsetD])
  apply (simp (no-asm-use) add: multirel-def)
  apply clarify
  apply (drule-tac psi = <M,N> ∈ multirel1 (A, r) ^+ in asm-rl)
  apply (erule rev-mp)
  apply (erule rev-mp)
  apply (erule rev-mp)
  apply (erule trancl-induct, clarify)
  apply (blast intro: munion-multirel1-mono r-into-trancl, clarify)
  apply (subgoal-tac y ∈ Mult(A) )
  prefer 2
  apply (blast dest: multirel-type [unfolded multirel-def, THEN subsetD])
  apply (subgoal-tac <K +# y, K +# z> ∈ multirel1 (A, r) )
  prefer 2 apply (blast intro: munion-multirel1-mono)
  apply (blast intro: r-into-trancl trancl-trans)
done

```

```

lemma munion-multirel-mono1:
  [| <M, N> ∈ multirel(A, r); K ∈ Mult(A) |] ==> <M +# K, N +# K> ∈
  multirel(A, r)
  apply (frule multirel-type [THEN subsetD])
  apply (rule-tac P = %x. <x,u> ∈ multirel(A, r) for u in munion-commute
  [THEN subst])
  apply (subst munion-commute [of N])
  apply (rule munion-multirel-mono2)
  apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma munion-multirel-mono:
  [| <M,K> ∈ multirel(A, r); <N,L> ∈ multirel(A, r) |]
  ==> <M +# N, K +# L> ∈ multirel(A, r)
  apply (subgoal-tac M ∈ Mult(A) & N ∈ Mult(A) & K ∈ Mult(A) & L ∈ Mult(A)

```

```

)
prefer 2 apply (blast dest: multirel-type [THEN subsetD])
apply (blast intro: munion-multirel-mono1 multirel-trans munion-multirel-mono2)
done

```

8.4 Ordinal Multisets

```

lemmas field-Memrel-mono = Memrel-mono [THEN field-mono]

```

```

lemmas multirel-Memrel-mono = multirel-mono [OF field-Memrel-mono Memrel-mono]

```

```

lemma omultiset-is-multiset [simp]: omultiset(M) ==> multiset(M)
apply (simp add: omultiset-def)
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma munion-omultiset [simp]: [| omultiset(M); omultiset(N) |] ==> omultiset(M +# N)
apply (simp add: omultiset-def, clarify)
apply (rule-tac x = i ∪ ia in exI)
apply (simp add: Mult-iff-multiset Ord-Un Un-subset-iff)
apply (blast intro: field-Memrel-mono)
done

```

```

lemma mdiff-omultiset [simp]: omultiset(M) ==> omultiset(M -# N)
apply (simp add: omultiset-def, clarify)
apply (simp add: Mult-iff-multiset)
apply (rule-tac x = i in exI)
apply (simp (no-asm-simp))
done

```

```

lemma irrefl-Memrel: Ord(i) ==> irrefl(field(Memrel(i)), Memrel(i))
apply (rule irreflI, clarify)
apply (subgoal-tac Ord (x) )
prefer 2 apply (blast intro: Ord-in-Ord)
apply (drule-tac i = x in ltI [THEN lt-irrefl], auto)
done

```

```

lemma trans-iff-trans-on: trans(r) <=> trans[field(r)](r)
by (simp add: trans-on-def trans-def, auto)

```

```

lemma part-ord-Memrel: Ord(i) ==> part-ord(field(Memrel(i)), Memrel(i))
apply (simp add: part-ord-def)
apply (simp (no-asm) add: trans-iff-trans-on [THEN iff-sym])

```

apply (*blast intro: trans-Memrel irrefl-Memrel*)
done

lemmas *part-ord-mless = part-ord-Memrel [THEN part-ord-multirel]*

lemma *mless-not-refl: $\sim(M <\# M)$*
apply (*simp add: mless-def, clarify*)
apply (*frule multirel-type [THEN subsetD]*)
apply (*drule part-ord-mless*)
apply (*simp add: part-ord-def irrefl-def*)
done

lemmas *mless-irrefl = mless-not-refl [THEN notE, elim!]*

lemma *mless-trans: $[| K <\# M; M <\# N |] ==> K <\# N$*
apply (*simp add: mless-def, clarify*)
apply (*rule-tac x = i $\cup$ ia in exI*)
apply (*blast dest: multirel-Memrel-mono [OF Un-upper1 Un-upper1, THEN subsetD]*
multirel-Memrel-mono [OF Un-upper2 Un-upper2, THEN subsetD]
intro: multirel-trans Ord-Un))
done

lemma *mless-not-sym: $M <\# N ==> \sim N <\# M$*
apply *clarify*
apply (*rule mless-not-refl [THEN notE]*)
apply (*erule mless-trans, assumption*)
done

lemma *mless-asy: $[| M <\# N; \sim P ==> N <\# M |] ==> P$*
by (*blast dest: mless-not-sym*)

lemma *mle-refl [simp]: $omultiset(M) ==> M <\# M$*
by (*simp add: mle-def*)

lemma *mle-antisym:*
 $[| M <\# N; N <\# M |] ==> M = N$
apply (*simp add: mle-def*)
apply (*blast dest: mless-not-sym*)
done

```

lemma mle-trans: [|  $K <\# = M$ ;  $M <\# = N$  |] ==>  $K <\# = N$ 
apply (simp add: mle-def)
apply (blast intro: mless-trans)
done

```

```

lemma mless-le-iff:  $M <\# N \longleftrightarrow (M <\# = N \ \& \ M \neq N)$ 
by (simp add: mle-def, auto)

```

```

lemma munion-less-mono2: [|  $M <\# N$ ;  $omultiset(K)$  |] ==>  $K +\# M <\# K +\# N$ 
apply (simp add: mless-def omultiset-def, clarify)
apply (rule-tac x = i  $\cup$  ia in exI)
apply (simp add: Mult-iff-multiset Ord-Un Un-subset-iff)
apply (rule munion-multirel-mono2)
apply (blast intro: multirel-Memrel-mono [THEN subsetD])
apply (simp add: Mult-iff-multiset)
apply (blast intro: field-Memrel-mono [THEN subsetD])
done

```

```

lemma munion-less-mono1: [|  $M <\# N$ ;  $omultiset(K)$  |] ==>  $M +\# K <\# N +\# K$ 
by (force dest: munion-less-mono2 simp add: munion-commute)

```

```

lemma mless-imp-omultiset:  $M <\# N ==> omultiset(M) \ \& \ omultiset(N)$ 
by (auto simp add: mless-def omultiset-def dest: multirel-type [THEN subsetD])

```

```

lemma munion-less-mono: [|  $M <\# K$ ;  $N <\# L$  |] ==>  $M +\# N <\# K +\# L$ 
apply (frule-tac M = M in mless-imp-omultiset)
apply (frule-tac M = N in mless-imp-omultiset)
apply (blast intro: munion-less-mono1 munion-less-mono2 mless-trans)
done

```

```

lemma mle-imp-omultiset:  $M <\# = N ==> omultiset(M) \ \& \ omultiset(N)$ 
by (auto simp add: mle-def mless-imp-omultiset)

```

```

lemma mle-mono: [|  $M <\# = K$ ;  $N <\# = L$  |] ==>  $M +\# N <\# = K +\# L$ 
apply (frule-tac M = M in mle-imp-omultiset)
apply (frule-tac M = N in mle-imp-omultiset)
apply (auto simp add: mle-def intro: munion-less-mono1 munion-less-mono2 munion-less-mono)
done

```

```

lemma omultiset-0 [iff]:  $omultiset(0)$ 
by (auto simp add: omultiset-def Mult-iff-multiset)

```

```

lemma empty-leI [simp]: omultiset(M) ==> 0 <#=M
apply (simp add: mle-def mless-def)
apply (subgoal-tac  $\exists i. \text{Ord } (i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$  )
  prefer 2 apply (simp add: omultiset-def)
apply (case-tac M=0, simp-all, clarify)
apply (subgoal-tac  $<0 +\# 0, 0 +\# M> \in \text{multirel}(\text{field } (\text{Memrel}(i)), \text{Memrel}(i))$ )
apply (rule-tac [2] one-step-implies-multirel)
apply (auto simp add: Mult-iff-multiset)
done

lemma munion-upper1: [omultiset(M); omultiset(N) ] ==> M <#=M +# N
apply (subgoal-tac M +# 0 <#=M +# N)
apply (rule-tac [2] mle-mono, auto)
done

end

```

9 An operator to “map” a relation over a list

theory *Rmap* **imports** *ZF* **begin**

consts

rmap :: $i \Rightarrow i$

inductive

domains *rmap*(*r*) $\subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

intros

NilI: $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

ConsI: [$\langle x, y \rangle \in r; \langle xs, ys \rangle \in \text{rmap}(r)$]
 $\Rightarrow \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

type-intros *domainI* *rangeI* *list.intros*

lemma *rmap-mono*: $r \subseteq s \Rightarrow \text{rmap}(r) \subseteq \text{rmap}(s)$

apply (*unfold rmap.defs*)

apply (*rule lfp-mono*)

apply (*rule rmap.bnd-mono*) +

apply (*assumption* | *rule Sigma-mono list-mono domain-mono range-mono basic-monos*) +

done

inductive-cases

Nil-rmap-case [*elim!*]: $\langle \text{Nil}, zs \rangle \in \text{rmap}(r)$

and *Cons-rmap-case* [*elim!*]: $\langle \text{Cons}(x, xs), zs \rangle \in \text{rmap}(r)$

declare *rmap.intros* [*intro*]

```

lemma rmap-rel-type:  $r \subseteq A \times B \implies \text{rmap}(r) \subseteq \text{list}(A) \times \text{list}(B)$ 
  apply (rule rmap.dom-subset [THEN subset-trans])
  apply (assumption |
    rule domain-rel-subset range-rel-subset Sigma-mono list-mono) +
  done

```

```

lemma rmap-total:  $A \subseteq \text{domain}(r) \implies \text{list}(A) \subseteq \text{domain}(\text{rmap}(r))$ 
  apply (rule subsetI)
  apply (erule list.induct)
  apply blast +
  done

```

```

lemma rmap-functional:  $\text{function}(r) \implies \text{function}(\text{rmap}(r))$ 
  apply (unfold function-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule rmap.induct)
  apply blast +
  done

```

If f is a function then $\text{rmap}(f)$ behaves as expected.

```

lemma rmap-fun-type:  $f \in A \multimap B \implies \text{rmap}(f): \text{list}(A) \multimap \text{list}(B)$ 
  by (simp add: Pi-iff rmap-rel-type rmap-functional rmap-total)

```

```

lemma rmap-Nil:  $\text{rmap}(f) \text{ `Nil} = \text{Nil}$ 
  by (unfold apply-def) blast

```

```

lemma rmap-Cons:  $[\mid f \in A \multimap B; \ x \in A; \ xs: \text{list}(A) \mid] \implies$ 
   $\text{rmap}(f) \text{ `Cons}(x, xs) = \text{Cons}(f \text{ `} x, \text{rmap}(f) \text{ `} xs)$ 
  by (blast intro: apply-equality apply-Pair rmap-fun-type rmap.intros)

```

end

10 Meta-theory of propositional logic

theory *PropLog* **imports** *ZF* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

```

consts
  propn :: i

```

```

datatype propn =
  Fls
  | Var (n ∈ nat)    (⟨#-⟩ [100] 100)
  | Imp (p ∈ propn, q ∈ propn)  (infixr ⟨=>⟩ 90)

```

10.2 The proof system

```

consts thms    :: i => i

```

abbreviation

```

thms-syntax :: [i,i] => o    (infixl ⟨|-⟩ 50)
where H |- p == p ∈ thms(H)

```

inductive

```

domains thms(H) ⊆ propn

```

intros

```

H: [| p ∈ H; p ∈ propn |] ==> H |- p
K: [| p ∈ propn; q ∈ propn |] ==> H |- p=>q=>p
S: [| p ∈ propn; q ∈ propn; r ∈ propn |]
   ==> H |- (p=>q=>r) => (p=>q) => p=>r
DN: p ∈ propn ==> H |- ((p=>Fls) => Fls) => p
MP: [| H |- p=>q; H |- p; p ∈ propn; q ∈ propn |] ==> H |- q

```

```

type-intros propn.intros

```

```

declare propn.intros [simp]

```

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

```

is-true-fun :: [i,i] => i

```

primrec

```

is-true-fun(Fls, t) = 0
is-true-fun(Var(v), t) = (if v ∈ t then 1 else 0)
is-true-fun(p=>q, t) = (if is-true-fun(p,t) = 1 then is-true-fun(q,t) else 1)

```

definition

```

is-true :: [i,i] => o where
  is-true(p,t) == is-true-fun(p,t) = 1
  — this definition is required since predicates can't be recursive

```

```

lemma is-true-Fls [simp]: is-true(Fls,t) ⟷ False

```

```

by (simp add: is-true-def)

```

```

lemma is-true-Var [simp]: is-true(#v,t) ⟷ v ∈ t

```

```

by (simp add: is-true-def)

```

```

lemma is-true-Imp [simp]: is-true(p=>q,t) ⟷ (is-true(p,t) ⟶ is-true(q,t))

```

```

by (simp add: is-true-def)

```

10.3.2 Logical consequence

For every valuation, if all elements of H are true then so is p .

definition

$logcon :: [i,i] ==> o$ (**infixl** $\langle | == \rangle$ 50) **where**
 $H | = p == \forall t. (\forall q \in H. is_true(q,t)) \longrightarrow is_true(p,t)$

A finite set of hypotheses from t and the $Vars$ in p .

consts

$hyps :: [i,i] ==> i$

primrec

$hyps(Fls, t) = 0$
 $hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v ==> Fls\})$
 $hyps(p ==> q, t) = hyps(p,t) \cup hyps(q,t)$

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \subseteq H ==> thms(G) \subseteq thms(H)$

apply (*unfold thms.defs*)
apply (*rule lfp-mono*)
apply (*rule thms.bnd-mono*) +
apply (*assumption | rule univ-mono basic-monos*) +
done

lemmas *thms-in-pl* = *thms.dom-subset* [*THEN subsetD*]

inductive-cases *ImpE*: $p ==> q \in propn$

lemma *thms-MP*: $[H | - p ==> q; H | - p] ==> H | - q$

— Stronger Modus Ponens rule: no typechecking!

apply (*rule thms.MP*)
apply (*erule asm-rl thms-in-pl thms-in-pl [THEN ImpE]*) +
done

lemma *thms-I*: $p \in propn ==> H | - p ==> p$

— Rule is called *I* for Identity Combinator, not for Introduction.

apply (*rule thms.S [THEN thms-MP, THEN thms-MP]*)
apply (*rule-tac [5] thms.K*)
apply (*rule-tac [4] thms.K*)
apply *simp-all*
done

10.4.1 Weakening, left and right

lemma *weaken-left*: $[G \subseteq H; G | - p] ==> H | - p$

— Order of premises is convenient with *THEN*

by (*erule thms-mono [THEN subsetD]*)

lemma *weaken-left-cons*: $H | - p ==> cons(a,H) | - p$

```

by (erule subset-consI [THEN weaken-left])

lemmas weaken-left-Un1 = Un-upper1 [THEN weaken-left]
lemmas weaken-left-Un2 = Un-upper2 [THEN weaken-left]

lemma weaken-right: [| H |- q; p ∈ propn |] ==> H |- p=>q
  by (simp-all add: thms.K [THEN thms-MP] thms-in-pl)

```

10.4.2 The deduction theorem

```

theorem deduction: [| cons(p,H) |- q; p ∈ propn |] ==> H |- p=>q
  apply (erule thms.induct)
    apply (blast intro: thms-I thms.H [THEN weaken-right])
    apply (blast intro: thms.K [THEN weaken-right])
    apply (blast intro: thms.S [THEN weaken-right])
    apply (blast intro: thms.DN [THEN weaken-right])
    apply (blast intro: thms.S [THEN thms-MP [THEN thms-MP]])
  done

```

10.4.3 The cut rule

```

lemma cut: [| H |- p; cons(p,H) |- q |] ==> H |- q
  apply (rule deduction [THEN thms-MP])
  apply (simp-all add: thms-in-pl)
done

lemma thms-FlsE: [| H |- Fls; p ∈ propn |] ==> H |- p
  apply (rule thms.DN [THEN thms-MP])
  apply (rule-tac [2] weaken-right)
  apply (simp-all add: propn.intros)
done

lemma thms-notE: [| H |- p=>Fls; H |- p; q ∈ propn |] ==> H |- q
  by (erule thms-MP [THEN thms-FlsE])

```

10.4.4 Soundness of the rules wrt truth-table semantics

```

theorem soundness: H |- p ==> H |= p
  apply (unfold logcon-def)
  apply (induct set: thms)
  apply auto
done

```

10.5 Completeness

10.5.1 Towards the completeness proof

```

lemma Fls-Imp: [| H |- p=>Fls; q ∈ propn |] ==> H |- p=>q
  apply (frule thms-in-pl)
  apply (rule deduction)

```

```

apply (rule weaken-left-cons [THEN thms-notE])
apply (blast intro: thms.H elim: ImpE)+
done

lemma Imp-Fls: [| H |- p; H |- q=>Fls |] ==> H |- (p=>q)=>Fls
apply (frule thms-in-pl)
apply (frule thms-in-pl [of concl: q=>Fls])
apply (rule deduction)
apply (erule weaken-left-cons [THEN thms-MP])
apply (rule consI1 [THEN thms.H, THEN thms-MP])
apply (blast intro: weaken-left-cons elim: ImpE)+
done

lemma hyps-thms-if:
  p ∈ propn ==> hyps(p,t) |- (if is-true(p,t) then p else p=>Fls)
— Typical example of strengthening the induction statement.
apply simp
apply (induct-tac p)
apply (simp-all add: thms-I thms.H)
apply (safe elim!: Fls-Imp [THEN weaken-left-Un1] Fls-Imp [THEN weaken-left-Un2])
apply (blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right Imp-Fls)+
done

lemma logcon-thms-p: [| p ∈ propn; 0 |= p |] ==> hyps(p,t) |- p
— Key lemma for completeness; yields a set of assumptions satisfying p
apply (drule hyps-thms-if)
apply (simp add: logcon-def)
done

For proving certain theorems in our new propositional logic.

lemmas propn-SIs = propn.intros deduction
and propn-Is = thms-in-pl thms.H thms.H [THEN thms-MP]

The excluded middle in the form of an elimination rule.

lemma thms-excluded-middle:
  [| p ∈ propn; q ∈ propn |] ==> H |- (p=>q) => ((p=>Fls)=>q) => q
apply (rule deduction [THEN deduction])
apply (rule thms.DN [THEN thms-MP])
apply (best intro!: propn-SIs intro: propn-Is)+
done

lemma thms-excluded-middle-rule:
  [| cons(p,H) |- q; cons(p=>Fls,H) |- q; p ∈ propn |] ==> H |- q
— Hard to prove directly because it requires cuts
apply (rule thms-excluded-middle [THEN thms-MP, THEN thms-MP])
apply (blast intro!: propn-SIs intro: propn-Is)+
done

```

10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$ we also have $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$.

lemma *hyps-Diff*:

$p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$
by (*induct set: propn*) *auto*

For the case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \vdash p$ we also have $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$.

lemma *hyps-cons*:

$p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$
by (*induct set: propn*) *auto*

Two lemmas for use with *weaken-left*

lemma *cons-Diff-same*: $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$
by *blast*

lemma *cons-Diff-subset2*: $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$
by *blast*

The set $\text{hyps}(p, t)$ is finite, and elements have the form $\#v$ or $\#v \Rightarrow \text{Fls}$; could probably prove the stronger $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$.

lemma *hyps-finite*: $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow \text{Fls}\})$
by (*induct set: propn*) *auto*

lemmas *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

Induction on the finite set of assumptions $\text{hyps}(p, t0)$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma* [*rule-format*]:

$[\mid p \in \text{propn}; \ 0 \models p \mid] \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$
apply (*frule hyps-finite*)
apply (*erule Fin-induct*)
apply (*simp add: logcon-thms-p Diff-0*)

inductive step

apply *safe*

Case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$

apply (*rule thms-excluded-middle-rule*)
apply (*erule-tac* [3] *propn.intros*)
apply (*blast intro: cons-Diff-same* [*THEN* *weaken-left*])
apply (*blast intro: cons-Diff-subset2* [*THEN* *weaken-left*]
hyps-Diff [*THEN* *Diff-weaken-left*])

Case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow Fls, Y) \vdash p$

```

apply (rule thms-excluded-middle-rule)
  apply (erule-tac [3] propn.intros)
  apply (blast intro: cons-Diff-subset2 [THEN weaken-left]
    hyps-cons [THEN Diff-weaken-left])
  apply (blast intro: cons-Diff-same [THEN weaken-left])
done

```

10.5.3 Completeness theorem

lemma *completeness-0*: $[\mid p \in \text{propn}; \ 0 \models p \mid] \Rightarrow 0 \vdash p$
 — The base case for completeness

```

apply (rule Diff-cancel [THEN subst])
apply (blast intro: completeness-0-lemma)
done

```

lemma *logcon-Imp*: $[\mid \text{cons}(p, H) \models q \mid] \Rightarrow H \models p \Rightarrow q$
 — A semantic analogue of the Deduction Theorem

```

by (simp add: logcon-def)

```

lemma *completeness*:

```

   $H \in \text{Fin}(\text{propn}) \Rightarrow p \in \text{propn} \Rightarrow H \models p \Rightarrow H \vdash p$ 
apply (induct arbitrary: p set: Fin)
apply (safe intro!: completeness-0)
apply (rule weaken-left-cons [THEN thms-MP])
apply (blast intro!: logcon-Imp propn.intros)
apply (blast intro: propn-Is)
done

```

theorem *thms-iff*: $H \in \text{Fin}(\text{propn}) \Rightarrow H \vdash p \longleftrightarrow H \models p \wedge p \in \text{propn}$
by (blast intro: soundness completeness thms-in-pl)

end

11 Lists of n elements

theory *ListN* **imports** *ZF* **begin**

Inductive definition of lists of n elements; see [3].

```

consts listn ::  $i \Rightarrow i$ 
inductive
  domains listn( $A$ )  $\subseteq \text{nat} \times \text{list}(A)$ 
  intros
    NilI:  $\langle 0, \text{Nil} \rangle \in \text{listn}(A)$ 
    ConsI:  $[\mid a \in A; \langle n, l \rangle \in \text{listn}(A) \mid] \Rightarrow \langle \text{succ}(n), \text{Cons}(a, l) \rangle \in \text{listn}(A)$ 
  type-intros nat-typechecks list.intros

```

```

lemma list-into-listn:  $l \in \text{list}(A) \implies \langle \text{length}(l), l \rangle \in \text{listn}(A)$ 
  by (induct set: list) (simp-all add: listn.intros)

lemma listn-iff:  $\langle n, l \rangle \in \text{listn}(A) \iff l \in \text{list}(A) \ \& \ \text{length}(l) = n$ 
  apply (rule iffI)
  apply (erule listn.induct)
  apply auto
  apply (blast intro: list-into-listn)
  done

lemma listn-image-eq:  $\text{listn}(A) \text{ ``}\{n\} = \{l \in \text{list}(A). \text{length}(l) = n\}$ 
  apply (rule equality-iffI)
  apply (simp add: listn-iff separation image-singleton-iff)
  done

lemma listn-mono:  $A \subseteq B \implies \text{listn}(A) \subseteq \text{listn}(B)$ 
  apply (unfold listn.defs)
  apply (rule lfp-mono)
  apply (rule listn.bnd-mono)+
  apply (assumption | rule univ-mono Sigma-mono list-mono basic-monos)+
  done

lemma listn-append:
   $[\langle n, l \rangle \in \text{listn}(A); \langle n', l' \rangle \in \text{listn}(A)] \implies \langle n\# + n', l @ l' \rangle \in \text{listn}(A)$ 
  apply (erule listn.induct)
  apply (frule listn.dom-subset [THEN subsetD])
  apply (simp-all add: listn.intros)
  done

inductive-cases
  Nil-listn-case:  $\langle i, \text{Nil} \rangle \in \text{listn}(A)$ 
  and Cons-listn-case:  $\langle i, \text{Cons}(x, l) \rangle \in \text{listn}(A)$ 

inductive-cases
  zero-listn-case:  $\langle 0, l \rangle \in \text{listn}(A)$ 
  and succ-listn-case:  $\langle \text{succ}(i), l \rangle \in \text{listn}(A)$ 

end

```

12 Combinatory Logic example: the Church-Rosser Theorem

```

theory Comb
imports ZF
begin

```

Curiously, combinators do not include free variables.
 Example taken from [1].

12.1 Definitions

Datatype definition of combinators S and K .

```
consts comb :: i
datatype comb =
  K
| S
| app (p ∈ comb, q ∈ comb) (infixl  $\langle \cdot \rangle$  90)
```

Inductive definition of contractions, $\rightarrow^1$ and (multi-step) reductions, $\rightarrow$.

```
consts contract :: i
abbreviation contract-syntax :: [i,i]  $\Rightarrow$  o (infixl  $\langle \rightarrow^1 \rangle$  50)
where  $p \rightarrow^1 q \equiv \langle p, q \rangle \in \text{contract}$ 
```

```
abbreviation contract-multi :: [i,i]  $\Rightarrow$  o (infixl  $\langle \rightarrow \rangle$  50)
where  $p \rightarrow q \equiv \langle p, q \rangle \in \text{contract}^*$ 
```

inductive

domains *contract* $\subseteq \text{comb} \times \text{comb}$

intros

```
K: [| p ∈ comb; q ∈ comb |] ==>  $K \cdot p \cdot q \rightarrow^1 p$ 
S: [| p ∈ comb; q ∈ comb; r ∈ comb |] ==>  $S \cdot p \cdot q \cdot r \rightarrow^1 (p \cdot r) \cdot (q \cdot r)$ 
Ap1: [|  $p \rightarrow^1 q$ ; r ∈ comb |] ==>  $p \cdot r \rightarrow^1 q \cdot r$ 
Ap2: [|  $p \rightarrow^1 q$ ; r ∈ comb |] ==>  $r \cdot p \rightarrow^1 r \cdot q$ 
```

type-intros *comb.intros*

Inductive definition of parallel contractions, $\Rightarrow^1$ and (multi-step) parallel reductions, $\Rightarrow$.

```
consts parcontract :: i
```

```
abbreviation parcontract-syntax :: [i,i]  $\Rightarrow$  o (infixl  $\langle \Rightarrow^1 \rangle$  50)
where  $p \Rightarrow^1 q \equiv \langle p, q \rangle \in \text{parcontract}$ 
```

```
abbreviation parcontract-multi :: [i,i]  $\Rightarrow$  o (infixl  $\langle \Rightarrow \rangle$  50)
where  $p \Rightarrow q \equiv \langle p, q \rangle \in \text{parcontract}^+$ 
```

inductive

domains *parcontract* $\subseteq \text{comb} \times \text{comb}$

intros

```
refl: [| p ∈ comb |] ==>  $p \Rightarrow^1 p$ 
K: [| p ∈ comb; q ∈ comb |] ==>  $K \cdot p \cdot q \Rightarrow^1 p$ 
S: [| p ∈ comb; q ∈ comb; r ∈ comb |] ==>  $S \cdot p \cdot q \cdot r \Rightarrow^1 (p \cdot r) \cdot (q \cdot r)$ 
Ap: [|  $p \Rightarrow^1 q$ ;  $r \Rightarrow^1 s$  |] ==>  $p \cdot r \Rightarrow^1 q \cdot s$ 
```

type-intros *comb.intros*

Misc definitions.

```
definition I :: i
where  $I \equiv S \cdot K \cdot K$ 
```

definition *diamond* :: $i \Rightarrow o$
where *diamond*(*r*) $\equiv$
 $\forall x y. \langle x, y \rangle \in r \longrightarrow (\forall y'. \langle x, y' \rangle \in r \longrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

12.2 Transitive closure preserves the Church-Rosser property

lemma *diamond-strip-lemmaD* [*rule-format*]:
 $[| \text{diamond}(r); \langle x, y \rangle : r^+ |] \implies$
 $\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^+ \ \& \ \langle y, z \rangle : r)$
apply (*unfold diamond-def*)
apply (*erule trancl-induct*)
apply (*blast intro: r-into-trancl*)
apply *clarify*
apply (*drule spec [THEN mp], assumption*)
apply (*blast intro: r-into-trancl trans-trancl [THEN transD]*)
done

lemma *diamond-trancl*: $\text{diamond}(r) \implies \text{diamond}(r^+)$
apply (*simp (no-asm-simp) add: diamond-def*)
apply (*rule impI [THEN allI, THEN allI]*)
apply (*erule trancl-induct*)
apply *auto*
apply (*best intro: r-into-trancl trans-trancl [THEN transD]*)
dest: diamond-strip-lemmaD)+
done

inductive-cases *Ap-E* [*elim!*]: $p \cdot q \in \text{comb}$

12.3 Results about Contraction

For type checking: replaces $a \rightarrow^1 b$ by $a, b \in \text{comb}$.

lemmas *contract-combE2* = *contract.dom-subset* [*THEN subsetD, THEN SigmaE2*]
and *contract-combD1* = *contract.dom-subset* [*THEN subsetD, THEN SigmaD1*]
and *contract-combD2* = *contract.dom-subset* [*THEN subsetD, THEN SigmaD2*]

lemma *field-contract-eq*: $\text{field}(\text{contract}) = \text{comb}$
by (*blast intro: contract.K elim!: contract-combE2*)

lemmas *reduction-refl* =
field-contract-eq [*THEN equalityD2, THEN subsetD, THEN rtrancl-refl*]

lemmas *rtrancl-into-rtrancl2* =
r-into-rtrancl [*THEN trans-rtrancl [THEN transD]*]

declare *reduction-refl* [*intro!*] *contract.K* [*intro!*] *contract.S* [*intro!*]

lemmas *reduction-rls* =

```

contract.K [THEN rtranc1-into-rtranc12]
contract.S [THEN rtranc1-into-rtranc12]
contract.Ap1 [THEN rtranc1-into-rtranc12]
contract.Ap2 [THEN rtranc1-into-rtranc12]

```

lemma $p \in \text{comb} \implies I \cdot p \rightarrow p$
 — Example only: not used
unfolding $I\text{-def}$ **by** (*blast intro: reduction-rls*)

lemma $\text{comb-}I: I \in \text{comb}$
unfolding $I\text{-def}$ **by** *blast*

12.4 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases $K\text{-contract}E$ [*elim!*]: $K \rightarrow^1 r$
and $S\text{-contract}E$ [*elim!*]: $S \rightarrow^1 r$
and $Ap\text{-contract}E$ [*elim!*]: $p \cdot q \rightarrow^1 r$

lemma $I\text{-contract-}E: I \rightarrow^1 r \implies P$
by (*auto simp add: I-def*)

lemma $K1\text{-contract}D: K \cdot p \rightarrow^1 r \implies (\exists q. r = K \cdot q \ \& \ p \rightarrow^1 q)$
by *auto*

lemma $Ap\text{-reduce}1: [p \rightarrow q; r \in \text{comb}] \implies p \cdot r \rightarrow q \cdot r$
apply (*frule rtranc1-type [THEN subsetD, THEN SigmaD1]*)
apply (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)
apply (*erule rtranc1-induct*)
apply (*blast intro: reduction-rls*)
apply (*erule trans-rtranc1 [THEN transD]*)
apply (*blast intro: contract-combD2 reduction-rls*)
done

lemma $Ap\text{-reduce}2: [p \rightarrow q; r \in \text{comb}] \implies r \cdot p \rightarrow r \cdot q$
apply (*frule rtranc1-type [THEN subsetD, THEN SigmaD1]*)
apply (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)
apply (*erule rtranc1-induct*)
apply (*blast intro: reduction-rls*)
apply (*blast intro: trans-rtranc1 [THEN transD]*
 contract-combD2 reduction-rls)
done

Counterexample to the diamond property for $\rightarrow^1$.

lemma $KIII\text{-contract}1: K \cdot I \cdot (I \cdot I) \rightarrow^1 I$
by (*blast intro: comb-I*)

lemma $KIII\text{-contract}2: K \cdot I \cdot (I \cdot I) \rightarrow^1 K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$
by (*unfold I-def*) (*blast intro: contract.intros*)

lemma *KIII-contract3*: $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) \rightarrow^1 I$
by (*blast intro: comb-I*)

lemma *not-diamond-contract*: $\neg \text{diamond}(\text{contract})$
apply (*unfold diamond-def*)
apply (*blast intro: KIII-contract1 KIII-contract2 KIII-contract3*
elim!: I-contract-E)
done

12.5 Results about Parallel Contraction

For type checking: replaces $a \Rightarrow^1 b$ by $a, b \in \text{comb}$

lemmas *parcontract-combE2* = *parcontract.dom-subset* [*THEN subsetD, THEN SigmaE2*]
and *parcontract-combD1* = *parcontract.dom-subset* [*THEN subsetD, THEN SigmaD1*]
and *parcontract-combD2* = *parcontract.dom-subset* [*THEN subsetD, THEN SigmaD2*]

lemma *field-parcontract-eq*: $\text{field}(\text{parcontract}) = \text{comb}$
by (*blast intro: parcontract.K elim!: parcontract-combE2*)

Derive a case for each combinator constructor.

inductive-cases

K-parcontractE [*elim!*]: $K \Rightarrow^1 r$
and *S-parcontractE* [*elim!*]: $S \Rightarrow^1 r$
and *Ap-parcontractE* [*elim!*]: $p \cdot q \Rightarrow^1 r$

declare *parcontract.intros* [*intro*]

12.6 Basic properties of parallel contraction

lemma *K1-parcontractD* [*dest!*]:
 $K \cdot p \Rightarrow^1 r \implies (\exists p'. r = K \cdot p' \ \& \ p \Rightarrow^1 p')$
by *auto*

lemma *S1-parcontractD* [*dest!*]:
 $S \cdot p \Rightarrow^1 r \implies (\exists p'. r = S \cdot p' \ \& \ p \Rightarrow^1 p')$
by *auto*

lemma *S2-parcontractD* [*dest!*]:
 $S \cdot p \cdot q \Rightarrow^1 r \implies (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p \Rightarrow^1 p' \ \& \ q \Rightarrow^1 q')$
by *auto*

lemma *diamond-parcontract*: $\text{diamond}(\text{parcontract})$
— Church-Rosser property for parallel contraction
apply (*unfold diamond-def*)
apply (*rule impI* [*THEN allI, THEN allI*])

```

apply (erule parcontract.induct)
  apply (blast elim!: comb.free-elim intro: parcontract-combD2)+
done

```

Equivalence of $p \rightarrow q$ and $p \Rightarrow q$.

```

lemma contract-imp-parcontract:  $p \rightarrow^1 q \implies p \Rightarrow^1 q$ 
  by (induct set: contract) auto

```

```

lemma reduce-imp-parreduce:  $p \rightarrow q \implies p \Rightarrow q$ 
  apply (frule rtranc1-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
  apply (erule rtranc1-induct)
  apply (blast intro: r-into-tranc1)
  apply (blast intro: contract-imp-parcontract r-into-tranc1
    trans-tranc1 [THEN transD])
done

```

```

lemma parcontract-imp-reduce:  $p \Rightarrow^1 q \implies p \rightarrow q$ 
  apply (induct set: parcontract)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: reduction-rls)
  apply (blast intro: trans-rtranc1 [THEN transD]
    Ap-reduce1 Ap-reduce2 parcontract-combD1 parcontract-combD2)
done

```

```

lemma parreduce-imp-reduce:  $p \Rightarrow q \implies p \rightarrow q$ 
  apply (frule tranc1-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-parcontract-eq [THEN equalityD1, THEN subsetD])
  apply (erule tranc1-induct, erule parcontract-imp-reduce)
  apply (erule trans-rtranc1 [THEN transD])
  apply (erule parcontract-imp-reduce)
done

```

```

lemma parreduce-iff-reduce:  $p \Rightarrow q \longleftrightarrow p \rightarrow q$ 
  by (blast intro: parreduce-imp-reduce reduce-imp-parreduce)

```

end

13 Primitive Recursive Functions: the inductive definition

theory Primrec **imports** ZF **begin**

Proof adopted from [4].

See also [2, page 250, exercise 11].

13.1 Basic definitions

definition

$SC :: i$ **where**
 $SC == \lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{succ}(x), l)$

definition

$CONSTANT :: i \Rightarrow i$ **where**
 $CONSTANT(k) == \lambda l \in \text{list}(\text{nat}). k$

definition

$PROJ :: i \Rightarrow i$ **where**
 $PROJ(i) == \lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. x, \text{drop}(i, l))$

definition

$COMP :: [i, i] \Rightarrow i$ **where**
 $COMP(g, fs) == \lambda l \in \text{list}(\text{nat}). g \text{ ' } \text{map}(\lambda f. f \text{ ' } l, fs)$

definition

$PREC :: [i, i] \Rightarrow i$ **where**
 $PREC(f, g) ==$
 $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0,$
 $\lambda x \text{ xs}. \text{rec}(x, f \text{ ' } xs, \lambda y \text{ r}. g \text{ ' } \text{Cons}(r, \text{Cons}(y, xs))), l)$
 — Note that g is applied first to $PREC(f, g) \text{ ' } y$ and then to $y!$

consts

$ACK :: i \Rightarrow i$

primrec

$ACK(0) = SC$
 $ACK(\text{succ}(i)) = PREC (CONSTANT (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

abbreviation

$ack :: [i, i] \Rightarrow i$ **where**
 $ack(x, y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

lemma SC : $[\mid x \in \text{nat}; l \in \text{list}(\text{nat}) \mid] \Rightarrow SC \text{ ' } (\text{Cons}(x, l)) = \text{succ}(x)$
by (*simp add: SC-def*)

lemma $CONSTANT$: $l \in \text{list}(\text{nat}) \Rightarrow CONSTANT(k) \text{ ' } l = k$
by (*simp add: CONSTANT-def*)

lemma $PROJ$ -0: $[\mid x \in \text{nat}; l \in \text{list}(\text{nat}) \mid] \Rightarrow PROJ(0) \text{ ' } (\text{Cons}(x, l)) = x$
by (*simp add: PROJ-def*)

lemma $COMP$ -1: $l \in \text{list}(\text{nat}) \Rightarrow COMP(g, [f]) \text{ ' } l = g \text{ ' } [f \text{ ' } l]$
by (*simp add: COMP-def*)

lemma $PREC$ -0: $l \in \text{list}(\text{nat}) \Rightarrow PREC(f, g) \text{ ' } (\text{Cons}(0, l)) = f \text{ ' } l$

by (*simp add: PREC-def*)

lemma *PREC-succ*:

$[[x \in \text{nat}; l \in \text{list}(\text{nat})]]$
 $\implies \text{PREC}(f,g) \text{ ' } (\text{Cons}(\text{succ}(x),l)) =$
 $g \text{ ' } \text{Cons}(\text{PREC}(f,g) \text{ ' } (\text{Cons}(x,l)), \text{Cons}(x,l))$
by (*simp add: PREC-def*)

13.2 Inductive definition of the PR functions

consts

prim-rec :: *i*

inductive

domains *prim-rec* $\subseteq \text{list}(\text{nat}) \rightarrow \text{nat}$

intros

SC $\in \text{prim-rec}$
 $k \in \text{nat} \implies \text{CONSTANT}(k) \in \text{prim-rec}$
 $i \in \text{nat} \implies \text{PROJ}(i) \in \text{prim-rec}$
 $[[g \in \text{prim-rec}; fs \in \text{list}(\text{prim-rec})]] \implies \text{COMP}(g,fs) \in \text{prim-rec}$
 $[[f \in \text{prim-rec}; g \in \text{prim-rec}]] \implies \text{PREC}(f,g) \in \text{prim-rec}$

monos *list-mono*

con-defs *SC-def* *CONSTANT-def* *PROJ-def* *COMP-def* *PREC-def*

type-intros *nat-typechecks* *list.intros*

lam-type *list-case-type* *drop-type* *map-type*
apply-type *rec-type*

lemma *prim-rec-into-fun* [*TC*]: $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$

by (*erule subsetD [OF prim-rec.dom-subset]*)

lemmas [*TC*] = *apply-type* [*OF prim-rec-into-fun*]

declare *prim-rec.intros* [*TC*]

declare *nat-into-Ord* [*TC*]

declare *rec-type* [*TC*]

lemma *ACK-in-prim-rec* [*TC*]: $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$

by (*induct set: nat*) *simp-all*

lemma *ack-type* [*TC*]: $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) \in \text{nat}$

by *auto*

13.3 Ackermann's function cases

lemma *ack-0*: $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$

— *PROPERTY A 1*

by (*simp add: SC*)

lemma *ack-succ-0*: $\text{ack}(\text{succ}(i), 0) = \text{ack}(i,1)$

```

— PROPERTY A 2
by (simp add: CONSTANT PREC-0)

lemma ack-succ-succ:
  [| i ∈ nat; j ∈ nat |] ==> ack(succ(i), succ(j)) = ack(i, ack(succ(i), j))
— PROPERTY A 3
by (simp add: CONSTANT PREC-succ COMP-1 PROJ-0)

lemmas [simp] = ack-0 ack-succ-0 ack-succ-succ ack-type
and [simp del] = ACK.simps

lemma lt-ack2: i ∈ nat ==> j ∈ nat ==> j < ack(i, j)
— PROPERTY A 4
apply (induct i arbitrary: j set: nat)
  apply simp
  apply (induct-tac j)
    apply (erule-tac [2] succ-leI [THEN lt-trans1])
    apply (rule nat-0I [THEN nat-0-le, THEN lt-trans])
    apply auto
  done

lemma ack-lt-ack-succ2: [| i ∈ nat; j ∈ nat |] ==> ack(i, j) < ack(i, succ(j))
— PROPERTY A 5-, the single-step lemma
by (induct set: nat) (simp-all add: lt-ack2)

lemma ack-lt-mono2: [| j < k; i ∈ nat; k ∈ nat |] ==> ack(i, j) < ack(i, k)
— PROPERTY A 5, monotonicity for <
apply (frule lt-nat-in-nat, assumption)
apply (erule succ-lt-induct)
  apply assumption
  apply (rule-tac [2] lt-trans)
  apply (auto intro: ack-lt-ack-succ2)
done

lemma ack-le-mono2: [| j ≤ k; i ∈ nat; k ∈ nat |] ==> ack(i, j) ≤ ack(i, k)
— PROPERTY A 5', monotonicity for ≤
apply (rule-tac f = λj. ack (i, j) in Ord-lt-mono-imp-le-mono)
  apply (assumption | rule ack-lt-mono2 ack-type [THEN nat-into-Ord])
done

lemma ack2-le-ack1:
  [| i ∈ nat; j ∈ nat |] ==> ack(i, succ(j)) ≤ ack(succ(i), j)
— PROPERTY A 6
apply (induct-tac j)
  apply simp-all
  apply (rule ack-le-mono2)
    apply (rule lt-ack2 [THEN succ-leI, THEN le-trans])
    apply auto

```

```

done

lemma ack-lt-ack-succ1: [| i ∈ nat; j ∈ nat |] ==> ack(i,j) < ack(succ(i),j)
  — PROPERTY A 7-, the single-step lemma
  apply (rule ack-lt-mono2 [THEN lt-trans2])
    apply (rule-tac [4] ack2-le-ack1)
      apply auto
    done

lemma ack-lt-mono1: [| i < j; j ∈ nat; k ∈ nat |] ==> ack(i,k) < ack(j,k)
  — PROPERTY A 7, monotonicity for <
  apply (frule lt-nat-in-nat, assumption)
  apply (erule succ-lt-induct)
    apply assumption
    apply (rule-tac [2] lt-trans)
      apply (auto intro: ack-lt-ack-succ1)
    done

lemma ack-le-mono1: [| i ≤ j; j ∈ nat; k ∈ nat |] ==> ack(i,k) ≤ ack(j,k)
  — PROPERTY A 7', monotonicity for ≤
  apply (rule-tac f = λj. ack (j,k) in Ord-lt-mono-imp-le-mono)
    apply (assumption | rule ack-lt-mono1 ack-type [THEN nat-into-Ord])+
  done

lemma ack-1: j ∈ nat ==> ack(1,j) = succ(succ(j))
  — PROPERTY A 8
  by (induct set: nat) simp-all

lemma ack-2: j ∈ nat ==> ack(succ(1),j) = succ(succ(succ(j#+j)))
  — PROPERTY A 9
  by (induct set: nat) (simp-all add: ack-1)

lemma ack-nest-bound:
  [| i1 ∈ nat; i2 ∈ nat; j ∈ nat |]
  ==> ack(i1, ack(i2,j)) < ack(succ(succ(i1#+i2)), j)
  — PROPERTY A 10
  apply (rule lt-trans2 [OF - ack2-le-ack1])
    apply simp
    apply (rule add-le-self [THEN ack-le-mono1, THEN lt-trans1])
      apply auto
    apply (force intro: add-le-self2 [THEN ack-lt-mono1, THEN ack-lt-mono2])
  done

lemma ack-add-bound:
  [| i1 ∈ nat; i2 ∈ nat; j ∈ nat |]
  ==> ack(i1,j) #+ ack(i2,j) < ack(succ(succ(succ(succ(i1#+i2))))), j)
  — PROPERTY A 11
  apply (rule-tac j = ack (succ (1), ack (i1 #+ i2, j)) in lt-trans)
    apply (simp add: ack-2)

```

```

apply (rule-tac [2] ack-nest-bound [THEN lt-trans2])
  apply (rule add-le-mono [THEN leI, THEN leI])
    apply (auto intro: add-le-self add-le-self2 ack-le-mono1)
done

```

lemma *ack-add-bound2*:

```

  [| i < ack(k,j); j ∈ nat; k ∈ nat |]
  ==> i#+j < ack(succ(succ(succ(succ(k)))), j)
  — PROPERTY A 12.
  — Article uses existential quantifier but the ALF proof used k #+ #4.
  — Quantified version must be nested ∃ k'. ∀ i,j ...
  apply (rule-tac j = ack (k,j) #+ ack (0,j) in lt-trans)
  apply (rule-tac [2] ack-add-bound [THEN lt-trans2])
    apply (rule add-lt-mono)
    apply auto
done

```

13.4 Main result

declare *list-add-type* [simp]

lemma *SC-case*: $l \in \text{list}(\text{nat}) \implies \text{SC} \text{ ' } l < \text{ack}(1, \text{list-add}(l))$

```

  apply (unfold SC-def)
  apply (erule list.cases)
  apply (simp add: succ-iff)
  apply (simp add: ack-1 add-le-self)
done

```

lemma *lt-ack1*: $[| i \in \text{nat}; j \in \text{nat} |] \implies i < \text{ack}(i,j)$

— PROPERTY A 4'? Extra lemma needed for *CONSTANT* case, constant functions.

```

  apply (induct-tac i)
  apply (simp add: nat-0-le)
  apply (erule lt-trans1 [OF succ-leI ack-lt-ack-succ1])
  apply auto
done

```

lemma *CONSTANT-case*:

```

  [| l ∈ list(nat); k ∈ nat |] ==> CONSTANT(k) ' l < ack(k, list-add(l))
  by (simp add: CONSTANT-def lt-ack1)

```

lemma *PROJ-case* [rule-format]:

```

  l ∈ list(nat) ==> ∀ i ∈ nat. PROJ(i) ' l < ack(0, list-add(l))
  apply (unfold PROJ-def)
  apply simp
  apply (erule list.induct)
  apply (simp add: nat-0-le)
  apply simp
  apply (rule ballI)

```

```

apply (erule-tac  $n = i$  in  $\text{nat}E$ )
apply (simp add: add-le-self)
apply simp
apply (erule bspec [THEN lt-trans2])
apply (rule-tac [2] add-le-self2 [THEN succ-leI])
apply auto
done

```

COMP case.

lemma *COMP-map-lemma*:

```

 $fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f'l < \text{ack}(kf, \text{list-add}(l))\})$ 
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$ 
 $\text{list-add}(\text{map}(\lambda f. f' l, fs)) < \text{ack}(k, \text{list-add}(l))$ 
apply (induct set: list)
apply (rule-tac  $x = 0$  in  $\text{bexI}$ )
apply (simp-all add: lt-ack1 nat-0-le)
apply clarify
apply (rule ballI [THEN  $\text{bexI}$ ])
apply (rule add-lt-mono [THEN lt-trans])
apply (rule-tac [5] ack-add-bound)
apply blast
apply auto
done

```

lemma *COMP-case*:

```

[[  $kg \in \text{nat};$ 
 $\forall l \in \text{list}(\text{nat}). g'l < \text{ack}(kg, \text{list-add}(l));$ 
 $fs \in \text{list}(\{f \in \text{prim-rec} .$ 
 $\exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}).$ 
 $f'l < \text{ack}(kf, \text{list-add}(l))\})$  ]]
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{COMP}(g, fs)'l < \text{ack}(k, \text{list-add}(l))$ 
apply (simp add: COMP-def)
apply (frule list-CollectD)
apply (erule COMP-map-lemma [THEN  $\text{bexE}$ ])
apply (rule ballI [THEN  $\text{bexI}$ ])
apply (erule bspec [THEN lt-trans])
apply (rule-tac [2] lt-trans)
apply (rule-tac [3] ack-nest-bound)
apply (erule-tac [2] bspec [THEN ack-lt-mono2])
apply auto
done

```

PREC case.

lemma *PREC-case-lemma*:

```

[[  $\forall l \in \text{list}(\text{nat}). f'l \# + \text{list-add}(l) < \text{ack}(kf, \text{list-add}(l));$ 
 $\forall l \in \text{list}(\text{nat}). g'l \# + \text{list-add}(l) < \text{ack}(kg, \text{list-add}(l));$ 
 $f \in \text{prim-rec}; kf \in \text{nat};$ 
 $g \in \text{prim-rec}; kg \in \text{nat};$ 

```

```

    l ∈ list(nat) ||
==> PREC(f,g) 'l #+ list-add(l) < ack(succ(kf#+kg), list-add(l))
apply (unfold PREC-def)
apply (erule list.cases)
    apply (simp add: lt-trans [OF nat-le-refl lt-ack2])
apply simp
apply (erule ssubst) — get rid of the needless assumption
apply (induct-tac a)
apply simp-all

```

base case

```

apply (rule lt-trans, erule bspec, assumption)
apply (simp add: add-le-self [THEN ack-lt-mono1])

```

ind step

```

apply (rule succ-leI [THEN lt-trans1])
apply (rule-tac j = g ' ll #+ mm for ll mm in lt-trans1)
    apply (erule-tac [2] bspec)
apply (rule nat-le-refl [THEN add-le-mono])
    apply typecheck
apply (simp add: add-le-self2)

```

final part of the simplification

```

apply simp
apply (rule add-le-self2 [THEN ack-le-mono1, THEN lt-trans1])
    apply (erule-tac [4] ack-lt-mono2)
    apply auto
done

```

lemma *PREC-case*:

```

[[ f ∈ prim-rec; kf ∈ nat;
   g ∈ prim-rec; kg ∈ nat;
   ∀ l ∈ list(nat). f'l < ack(kf, list-add(l));
   ∀ l ∈ list(nat). g'l < ack(kg, list-add(l)) ]]
==> ∃ k ∈ nat. ∀ l ∈ list(nat). PREC(f,g) 'l < ack(k, list-add(l))
apply (rule ballI [THEN bexI])
apply (rule lt-trans1 [OF add-le-self PREC-case-lemma])
    apply typecheck
    apply (blast intro: ack-add-bound2 list-add-type)+
done

```

lemma *ack-bounds-prim-rec*:

```

f ∈ prim-rec ==> ∃ k ∈ nat. ∀ l ∈ list(nat). f'l < ack(k, list-add(l))
apply (induct set: prim-rec)
apply (auto intro: SC-case CONSTANT-case PROJ-case COMP-case PREC-case)
done

```

theorem *ack-not-prim-rec*:

```

(λl ∈ list(nat). list-case(0, λx xs. ack(x,x), l)) ∉ prim-rec

```

```

apply (rule notI)
apply (drule ack-bounds-prim-rec)
apply force
done

end

```

References

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