

Examples of Inductive and Coinductive Definitions in ZF

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1 Sample datatype definitions

`theory Datatypes imports ZF begin`

1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters A and B .

consts

$data :: [i, i] ==> i$

datatype $data(A, B) =$

$Con0$
| $Con1 (a \in A)$
| $Con2 (a \in A, b \in B)$
| $Con3 (a \in A, b \in B, d \in data(A, B))$

lemma $data-unfold: data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$
 $\langle proof \rangle$

Lemmas to justify using $data$ in other recursive type definitions.

lemma $data-mono: [| A \subseteq C; B \subseteq D |] ==> data(A, B) \subseteq data(C, D)$
 $\langle proof \rangle$

lemma $data-univ: data(univ(A), univ(A)) \subseteq univ(A)$
 $\langle proof \rangle$

lemma $data-subset-univ: [| A \subseteq univ(C); B \subseteq univ(C) |] ==> data(A, B) \subseteq univ(C)$
 $\langle proof \rangle$

1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...
(back in 1994 that is).

consts

$enum :: i$

datatype $enum =$

$C00 | C01 | C02 | C03 | C04 | C05 | C06 | C07 | C08 | C09$
| $C10 | C11 | C12 | C13 | C14 | C15 | C16 | C17 | C18 | C19$
| $C20 | C21 | C22 | C23 | C24 | C25 | C26 | C27 | C28 | C29$
| $C30 | C31 | C32 | C33 | C34 | C35 | C36 | C37 | C38 | C39$
| $C40 | C41 | C42 | C43 | C44 | C45 | C46 | C47 | C48 | C49$
| $C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59$

end

2 Binary trees

theory $Binary-Trees$ **imports** ZF **begin**

2.1 Datatype definition

consts

$bt :: i \Rightarrow i$

datatype $bt(A) =$

$Lf \mid Br (a \in A, t1 \in bt(A), t2 \in bt(A))$

declare $bt.intros [simp]$

lemma $Br\text{-}neq\text{-}left: l \in bt(A) \Rightarrow Br(x, l, r) \neq l$

$\langle proof \rangle$

lemma $Br\text{-}iff: Br(a, l, r) = Br(a', l', r') \iff a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

$\langle proof \rangle$

inductive-cases $BrE: Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using bt in other recursive type definitions.

lemma $bt\text{-}mono: A \subseteq B \Rightarrow bt(A) \subseteq bt(B)$

$\langle proof \rangle$

lemma $bt\text{-}univ: bt(univ(A)) \subseteq univ(A)$

$\langle proof \rangle$

lemma $bt\text{-}subset\text{-}univ: A \subseteq univ(B) \Rightarrow bt(A) \subseteq univ(B)$

$\langle proof \rangle$

lemma $bt\text{-}rec\text{-}type:$

$[[t \in bt(A);$

$c \in C(Lf);$

$!!x \ y \ z \ r \ s. [[x \in A; y \in bt(A); z \in bt(A); r \in C(y); s \in C(z)]] \Rightarrow$

$h(x, y, z, r, s) \in C(Br(x, y, z))$

$]] \Rightarrow bt\text{-}rec(c, h, t) \in C(t)$

— Type checking for recursor – example only; not really needed.

$\langle proof \rangle$

2.2 Number of nodes, with an example of tail-recursion

consts $n\text{-}nodes :: i \Rightarrow i$

primrec

$n\text{-}nodes(Lf) = 0$

$n\text{-}nodes(Br(a, l, r)) = succ(n\text{-}nodes(l) \#+ n\text{-}nodes(r))$

lemma $n\text{-}nodes\text{-}type [simp]: t \in bt(A) \Rightarrow n\text{-}nodes(t) \in nat$

$\langle proof \rangle$

consts $n\text{-nodes-aux} :: i \Rightarrow i$

primrec

$n\text{-nodes-aux}(Lf) = (\lambda k \in \text{nat}. k)$

$n\text{-nodes-aux}(Br(a, l, r)) =$

$(\lambda k \in \text{nat}. n\text{-nodes-aux}(r) \text{ ‘ } (n\text{-nodes-aux}(l) \text{ ‘ } \text{succ}(k)))$

lemma $n\text{-nodes-aux-eg}$:

$t \in \text{bt}(A) \Rightarrow k \in \text{nat} \Rightarrow n\text{-nodes-aux}(t) \text{ ‘ } k = n\text{-nodes}(t) \text{ \# } + k$

$\langle \text{proof} \rangle$

definition

$n\text{-nodes-tail} :: i \Rightarrow i$ **where**

$n\text{-nodes-tail}(t) == n\text{-nodes-aux}(t) \text{ ‘ } 0$

lemma $t \in \text{bt}(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$

$\langle \text{proof} \rangle$

2.3 Number of leaves

consts

$n\text{-leaves} :: i \Rightarrow i$

primrec

$n\text{-leaves}(Lf) = 1$

$n\text{-leaves}(Br(a, l, r)) = n\text{-leaves}(l) \text{ \# } + n\text{-leaves}(r)$

lemma $n\text{-leaves-type}$ [simp]: $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(t) \in \text{nat}$

$\langle \text{proof} \rangle$

2.4 Reflecting trees

consts

$bt\text{-reflect} :: i \Rightarrow i$

primrec

$bt\text{-reflect}(Lf) = Lf$

$bt\text{-reflect}(Br(a, l, r)) = Br(a, bt\text{-reflect}(r), bt\text{-reflect}(l))$

lemma $bt\text{-reflect-type}$ [simp]: $t \in \text{bt}(A) \Rightarrow bt\text{-reflect}(t) \in \text{bt}(A)$

$\langle \text{proof} \rangle$

Theorems about $n\text{-leaves}$.

lemma $n\text{-leaves-reflect}$: $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(bt\text{-reflect}(t)) = n\text{-leaves}(t)$

$\langle \text{proof} \rangle$

lemma $n\text{-leaves-nodes}$: $t \in \text{bt}(A) \Rightarrow n\text{-leaves}(t) = \text{succ}(n\text{-nodes}(t))$

$\langle \text{proof} \rangle$

Theorems about $bt\text{-reflect}$.

lemma $bt\text{-reflect-}bt\text{-reflect-ident}$: $t \in \text{bt}(A) \Rightarrow bt\text{-reflect}(bt\text{-reflect}(t)) = t$

$\langle \text{proof} \rangle$

end

3 Terms over an alphabet

theory *Term* imports *ZF* begin

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

consts

term :: $i \Rightarrow i$

datatype *term*(*A*) = *Apply* ($a \in A, l \in \text{list}(\text{term}(A))$)

monos *list-mono*

type-elims *list-univ* [*THEN subsetD, elim-format*]

declare *Apply* [*TC*]

definition

term-rec :: $[i, [i, i, i] \Rightarrow i] \Rightarrow i$ **where**

term-rec(*t, d*) ==

$Vrec(t, \lambda t g. \text{term-case}(\lambda x zs. d(x, zs, \text{map}(\lambda z. g'z, zs)), t))$

definition

term-map :: $[i \Rightarrow i, i] \Rightarrow i$ **where**

term-map(*f, t*) == *term-rec*(*t, \lambda x zs rs. Apply(f(x), rs)*)

definition

term-size :: $i \Rightarrow i$ **where**

term-size(*t*) == *term-rec*(*t, \lambda x zs rs. succ(list-add(rs))*)

definition

reflect :: $i \Rightarrow i$ **where**

reflect(*t*) == *term-rec*(*t, \lambda x zs rs. Apply(x, rev(rs))*)

definition

preorder :: $i \Rightarrow i$ **where**

preorder(*t*) == *term-rec*(*t, \lambda x zs rs. Cons(x, flat(rs))*)

definition

postorder :: $i \Rightarrow i$ **where**

postorder(*t*) == *term-rec*(*t, \lambda x zs rs. flat(rs) @ [x]*)

lemma *term-unfold*: $\text{term}(A) = A * \text{list}(\text{term}(A))$

<proof>

lemma *term-induct2*:

$[[t \in \text{term}(A);$

$!!x. [[x \in A]] \implies P(\text{Apply}(x, \text{Nil});$

$!!x z zs. [[x \in A; z \in \text{term}(A); zs: \text{list}(\text{term}(A)); P(\text{Apply}(x, zs))$

$\llbracket \rrbracket \implies P(\text{Apply}(x, \text{Cons}(z, zs)))$
 $\llbracket \rrbracket \implies P(t)$
 — Induction on $\text{term}(A)$ followed by induction on list .
 $\langle \text{proof} \rangle$

lemma *term-induct-eqn* [consumes 1, case-names *Apply*]:

$\llbracket t \in \text{term}(A);$
 $\quad !!x \text{ } zs. \llbracket x \in A; zs: \text{list}(\text{term}(A)); \text{map}(f, zs) = \text{map}(g, zs) \rrbracket \implies$
 $\quad \quad \quad f(\text{Apply}(x, zs)) = g(\text{Apply}(x, zs))$
 $\llbracket \rrbracket \implies f(t) = g(t)$
 — Induction on $\text{term}(A)$ to prove an equation.
 $\langle \text{proof} \rangle$

Lemmas to justify using *term* in other recursive type definitions.

lemma *term-mono*: $A \subseteq B \implies \text{term}(A) \subseteq \text{term}(B)$
 $\langle \text{proof} \rangle$

lemma *term-univ*: $\text{term}(\text{univ}(A)) \subseteq \text{univ}(A)$
 — Easily provable by induction also
 $\langle \text{proof} \rangle$

lemma *term-subset-univ*: $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$
 $\langle \text{proof} \rangle$

lemma *term-into-univ*: $\llbracket t \in \text{term}(A); A \subseteq \text{univ}(B) \rrbracket \implies t \in \text{univ}(B)$
 $\langle \text{proof} \rangle$

term-rec – by *Vset* recursion.

lemma *map-lemma*: $\llbracket l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i \rrbracket$
 $\implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i). h(x)) \text{ } z, l) = \text{map}(h, l)$
 — *map* works correctly on the underlying list of terms.
 $\langle \text{proof} \rangle$

lemma *term-rec* [*simp*]: $ts \in \text{list}(A) \implies$
 $\text{term-rec}(\text{Apply}(a, ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z, d), ts))$
 — Typing premise is necessary to invoke *map-lemma*.
 $\langle \text{proof} \rangle$

lemma *term-rec-type*:

assumes $t: t \in \text{term}(A)$
and $a: !!x \text{ } zs \text{ } r. \llbracket x \in A; zs: \text{list}(\text{term}(A));$
 $\quad \quad \quad r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) \rrbracket$
 $\implies d(x, zs, r): C(\text{Apply}(x, zs))$
shows $\text{term-rec}(t, d) \in C(t)$
 — Slightly odd typing condition on r in the second premise!
 $\langle \text{proof} \rangle$

lemma *def-term-rec*:

$\llbracket \forall t. j(t) == \text{term-rec}(t, d); \text{ ts: list}(A) \rrbracket ==>$
 $j(\text{Apply}(a, \text{ts})) = d(a, \text{ts}, \text{map}(\lambda Z. j(Z), \text{ts}))$
 $\langle \text{proof} \rangle$

lemma *term-rec-simple-type* [TC]:

$\llbracket t \in \text{term}(A);$
 $\forall x \text{ zs } r. \llbracket x \in A; \text{ zs: list}(\text{term}(A)); r \in \text{list}(C) \rrbracket$
 $==> d(x, \text{zs}, r) \in C$
 $\rrbracket ==> \text{term-rec}(t, d) \in C$
 $\langle \text{proof} \rangle$

term-map.

lemma *term-map* [simp]:

$\text{ts} \in \text{list}(A) ==>$
 $\text{term-map}(f, \text{Apply}(a, \text{ts})) = \text{Apply}(f(a), \text{map}(\text{term-map}(f), \text{ts}))$
 $\langle \text{proof} \rangle$

lemma *term-map-type* [TC]:

$\llbracket t \in \text{term}(A); \forall x. x \in A ==> f(x) \in B \rrbracket ==> \text{term-map}(f, t) \in \text{term}(B)$
 $\langle \text{proof} \rangle$

lemma *term-map-type2* [TC]:

$t \in \text{term}(A) ==> \text{term-map}(f, t) \in \text{term}(\{f(u). u \in A\})$
 $\langle \text{proof} \rangle$

term-size.

lemma *term-size* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{term-size}(\text{Apply}(a, \text{ts})) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, \text{ts})))$
 $\langle \text{proof} \rangle$

lemma *term-size-type* [TC]: $t \in \text{term}(A) ==> \text{term-size}(t) \in \text{nat}$

$\langle \text{proof} \rangle$

reflect.

lemma *reflect* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{reflect}(\text{Apply}(a, \text{ts})) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, \text{ts})))$
 $\langle \text{proof} \rangle$

lemma *reflect-type* [TC]: $t \in \text{term}(A) ==> \text{reflect}(t) \in \text{term}(A)$

$\langle \text{proof} \rangle$

preorder.

lemma *preorder* [simp]:

$\text{ts} \in \text{list}(A) ==> \text{preorder}(\text{Apply}(a, \text{ts})) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, \text{ts})))$
 $\langle \text{proof} \rangle$

lemma *preorder-type* [TC]: $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$
<proof>

postorder.

lemma *postorder* [simp]:
 $ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$
<proof>

lemma *postorder-type* [TC]: $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$
<proof>

Theorems about *term-map*.

declare *map-compose* [simp]

lemma *term-map-ident*: $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$
<proof>

lemma *term-map-compose*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$
<proof>

lemma *term-map-reflect*:
 $t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$
<proof>

Theorems about *term-size*.

lemma *term-size-term-map*: $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f, t)) = \text{term-size}(t)$
<proof>

lemma *term-size-reflect*: $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$
<proof>

lemma *term-size-length*: $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$
<proof>

Theorems about *reflect*.

lemma *reflect-reflect-ident*: $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$
<proof>

Theorems about *preorder*.

lemma *preorder-term-map*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f, t)) = \text{map}(f, \text{preorder}(t))$
<proof>

lemma *preorder-reflect-eq-rev-postorder*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$

<proof>

end

4 Datatype definition n-ary branching trees

theory *Ntree* **imports** *ZF* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

consts

ntree :: $i \Rightarrow i$
maptree :: $i \Rightarrow i$
maptree2 :: $[i, i] \Rightarrow i$

datatype *ntree*(A) = *Branch* ($a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$)
monos *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form
type-intros *nat-fun-univ* [*THEN subsetD*]
type-elims *UN-E*

datatype *maptree*(A) = *Sons* ($a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$)
monos *FiniteFun-mono1* — Use monotonicity in BOTH args
type-intros *FiniteFun-univ1* [*THEN subsetD*]

datatype *maptree2*(A, B) = *Sons2* ($a \in A, h \in B \rightarrow \text{maptree2}(A, B)$)
monos *FiniteFun-mono* [*OF subset-refl*]
type-intros *FiniteFun-in-univ'*

definition

ntree-rec :: $[[i, i, i] \Rightarrow i, i] \Rightarrow i$ **where**
ntree-rec(b) ==
 Vrecursor($\lambda pr. \text{ntree-case}(\lambda x h. b(x, h, \lambda i \in \text{domain}(h). pr'(h'i))))$)

definition

ntree-copy :: $i \Rightarrow i$ **where**
ntree-copy(z) == *ntree-rec*($\lambda x h r. \text{Branch}(x, r), z$)

ntree

lemma *ntree-unfold*: $\text{ntree}(A) = A \times (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$
<proof>

lemma *ntree-induct* [*consumes 1, case-names Branch, induct set: ntree*]:

assumes $t \in \text{ntree}(A)$
and step: $!!x n h. [! x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); \forall i \in n. P(h'i)] \implies P(\text{Branch}(x, h))$

shows $P(t)$

— A nicer induction rule than the standard one.

<proof>

lemma *ntree-induct-eqn* [*consumes 1*]:
assumes $t: t \in \text{ntree}(A)$
and $f: f \in \text{ntree}(A) \rightarrow B$
and $g: g \in \text{ntree}(A) \rightarrow B$
and *step*: $!!x\ n\ h. [| x \in A; n \in \text{nat}; h \in n \rightarrow \text{ntree}(A); f\ O\ h = g\ O\ h |]$
 $==>$
 $f\ ' Branch(x,h) = g\ ' Branch(x,h)$
shows $f\ 't = g\ 't$
— Induction on $\text{ntree}(A)$ to prove an equation
 $\langle \text{proof} \rangle$

Lemmas to justify using *Ntree* in other recursive type definitions.

lemma *ntree-mono*: $A \subseteq B ==> \text{ntree}(A) \subseteq \text{ntree}(B)$
 $\langle \text{proof} \rangle$

lemma *ntree-univ*: $\text{ntree}(\text{univ}(A)) \subseteq \text{univ}(A)$
— Easily provable by induction also
 $\langle \text{proof} \rangle$

lemma *ntree-subset-univ*: $A \subseteq \text{univ}(B) ==> \text{ntree}(A) \subseteq \text{univ}(B)$
 $\langle \text{proof} \rangle$

ntree recursion.

lemma *ntree-rec-Branch*:
 $\text{function}(h) ==>$
 $\text{ntree-rec}(b, \text{Branch}(x,h)) = b(x, h, \lambda i \in \text{domain}(h). \text{ntree-rec}(b, h\ 'i))$
 $\langle \text{proof} \rangle$

lemma *ntree-copy-Branch* [*simp*]:
 $\text{function}(h) ==>$
 $\text{ntree-copy}(\text{Branch}(x, h)) = \text{Branch}(x, \lambda i \in \text{domain}(h). \text{ntree-copy}(h\ 'i))$
 $\langle \text{proof} \rangle$

lemma *ntree-copy-is-ident*: $z \in \text{ntree}(A) ==> \text{ntree-copy}(z) = z$
 $\langle \text{proof} \rangle$

maptree

lemma *maptree-unfold*: $\text{maptree}(A) = A \times (\text{maptree}(A) \dashv\vdash \text{maptree}(A))$
 $\langle \text{proof} \rangle$

lemma *maptree-induct* [*consumes 1*, *induct set: maptree*]:
assumes $t: t \in \text{maptree}(A)$
and *step*: $!!x\ n\ h. [| x \in A; h \in \text{maptree}(A) \dashv\vdash \text{maptree}(A);$
 $\forall y \in \text{field}(h). P(y)$
 $]| ==> P(\text{Sons}(x,h))$
shows $P(t)$

— A nicer induction rule than the standard one.
<proof>

maptree2

lemma *maptree2-unfold*: $\text{maptree2}(A, B) = A \times (B \text{ --> } \text{maptree2}(A, B))$
<proof>

lemma *maptree2-induct* [*consumes 1, induct set: maptree2*]:

assumes $t \in \text{maptree2}(A, B)$

and step: $!!x \ n \ h. [\![\ x \in A; \ h \in B \text{ --> } \text{maptree2}(A, B); \ \forall y \in \text{range}(h). \ P(y) \]\!] \implies P(\text{Sons2}(x, h))$

shows $P(t)$

<proof>

end

5 Trees and forests, a mutually recursive type definition

theory *Tree-Forest* imports *ZF* begin

5.1 Datatype definition

consts

tree :: $i \implies i$

forest :: $i \implies i$

tree-forest :: $i \implies i$

datatype $\text{tree}(A) = \text{Tcons } (a \in A, f \in \text{forest}(A))$

and $\text{forest}(A) = \text{Fnil} \mid \text{Fcons } (t \in \text{tree}(A), f \in \text{forest}(A))$

lemmas *tree'induct* =

tree-forest.mutual-induct [*THEN conjunct1, THEN spec, THEN [2] rev-mp, of concl: - t, consumes 1*]

and *forest'induct* =

tree-forest.mutual-induct [*THEN conjunct2, THEN spec, THEN [2] rev-mp, of concl: - f, consumes 1*]

for $t \ f$

declare *tree-forest.intros* [*simp, TC*]

lemma *tree-def*: $\text{tree}(A) == \text{Part}(\text{tree-forest}(A), \text{Inl})$

<proof>

lemma *forest-def*: $\text{forest}(A) == \text{Part}(\text{tree-forest}(A), \text{Inr})$

<proof>

$tree\text{-}forest(A)$ as the union of $tree(A)$ and $forest(A)$.

lemma *tree-subset-TF*: $tree(A) \subseteq tree\text{-}forest(A)$
<proof>

lemma *treeI* [TC]: $x \in tree(A) \implies x \in tree\text{-}forest(A)$
<proof>

lemma *forest-subset-TF*: $forest(A) \subseteq tree\text{-}forest(A)$
<proof>

lemma *treeI'* [TC]: $x \in forest(A) \implies x \in tree\text{-}forest(A)$
<proof>

lemma *TF-equals-Un*: $tree(A) \cup forest(A) = tree\text{-}forest(A)$
<proof>

lemma *tree-forest-unfold*:
 $tree\text{-}forest(A) = (A \times forest(A)) + (\{0\} + tree(A) \times forest(A))$
— NOT useful, but interesting ...
<proof>

lemma *tree-forest-unfold'*:
 $tree\text{-}forest(A) =$
 $A \times Part(tree\text{-}forest(A), \lambda w. Inr(w)) +$
 $\{0\} + Part(tree\text{-}forest(A), \lambda w. Inl(w)) * Part(tree\text{-}forest(A), \lambda w. Inr(w))$
<proof>

lemma *tree-unfold*: $tree(A) = \{Inl(x). x \in A \times forest(A)\}$
<proof>

lemma *forest-unfold*: $forest(A) = \{Inr(x). x \in \{0\} + tree(A) * forest(A)\}$
<proof>

Type checking for recursor: Not needed; possibly interesting?

lemma *TF-rec-type*:
[[$z \in tree\text{-}forest(A)$;
 !! $x f r$. [[$x \in A$; $f \in forest(A)$; $r \in C(f)$
]] $\implies b(x,f,r) \in C(Tcons(x,f))$;
 $c \in C(Fnil)$;
 !! $t f r1 r2$. [[$t \in tree(A)$; $f \in forest(A)$; $r1 \in C(t)$; $r2 \in C(f)$
]] $\implies d(t,f,r1,r2) \in C(Fcons(t,f))$
]] $\implies tree\text{-}forest\text{-}rec(b,c,d,z) \in C(z)$
<proof>

lemma *tree-forest-rec-type*:
[[!! $x f r$. [[$x \in A$; $f \in forest(A)$; $r \in D(f)$
]] $\implies b(x,f,r) \in C(Tcons(x,f))$;
 $c \in D(Fnil)$;

$z \in \text{tree-forest}(A) \implies \text{list-of-TF}(z) \in \text{list}(\text{tree}(A))$
 ⟨proof⟩

lemma *of-list-type* [TC]: $l \in \text{list}(\text{tree}(A)) \implies \text{of-list}(l) \in \text{forest}(A)$
 ⟨proof⟩

map.

lemma

assumes $!!x. x \in A \implies h(x) \in B$

shows *map-tree-type*: $t \in \text{tree}(A) \implies \text{map}(h,t) \in \text{tree}(B)$

and *map-forest-type*: $f \in \text{forest}(A) \implies \text{map}(h,f) \in \text{forest}(B)$

⟨proof⟩

size.

lemma *size-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{size}(z) \in \text{nat}$
 ⟨proof⟩

preorder.

lemma *preorder-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$
 ⟨proof⟩

Theorems about *list-of-TF* and *of-list*.

lemma *forest-induct* [consumes 1, case-names *Fnil Fcons*]:

$[[f \in \text{forest}(A);$

$R(\text{Fnil});$

$!!t f. [[t \in \text{tree}(A); f \in \text{forest}(A); R(f)]] \implies R(\text{Fcons}(t,f))$

$]] \implies R(f)$

— Essentially the same as list induction.

⟨proof⟩

lemma *forest-iso*: $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$
 ⟨proof⟩

lemma *tree-list-iso*: $ts \in \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$
 ⟨proof⟩

Theorems about *map*.

lemma *map-ident*: $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$
 ⟨proof⟩

lemma *map-compose*:

$z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j,z)) = \text{map}(\lambda u. h(j(u)), z)$

⟨proof⟩

Theorems about *size*.

lemma *size-map*: $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h,z)) = \text{size}(z)$
 ⟨proof⟩

lemma *size-length*: $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$
 ⟨proof⟩

Theorems about *preorder*.

lemma *preorder-map*:
 $z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h,z)) = \text{List.map}(h, \text{preorder}(z))$
 ⟨proof⟩

end

6 Infinite branching datatype definitions

theory *Brouwer* imports *ZFC* begin

6.1 The Brouwer ordinals

consts

brouwer :: *i*

datatype \subseteq *Vfrom*(0, *csucc*(*nat*))

brouwer = *Zero* | *Suc* ($b \in \text{brouwer}$) | *Lim* ($h \in \text{nat} \rightarrow \text{brouwer}$)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: $\text{brouwer} = \{0\} + \text{brouwer} + (\text{nat} \rightarrow \text{brouwer})$
 ⟨proof⟩

lemma *brouwer-induct2* [*consumes 1, case-names Zero Suc Lim*]:

assumes $b: b \in \text{brouwer}$

and cases:

$P(\text{Zero})$

$!!b. [\![\ b \in \text{brouwer};\ P(b)\]\!] \implies P(\text{Suc}(b))$

$!!h. [\![\ h \in \text{nat} \rightarrow \text{brouwer};\ \forall i \in \text{nat}. P(h\ i)\]\!] \implies P(\text{Lim}(h))$

shows $P(b)$

— A nicer induction rule than the standard one.

⟨proof⟩

6.2 The Martin-Löf wellordering type

consts

Well :: $[i, i \Rightarrow i] \Rightarrow i$

datatype \subseteq *Vfrom*($A \cup (\bigcup x \in A. B(x))$, *csucc*($\text{nat} \cup |\bigcup x \in A. B(x)|$))

— The union with *nat* ensures that the cardinal is infinite.

$\text{Well}(A, B) = \text{Sup}(a \in A, f \in B(a) \rightarrow \text{Well}(A, B))$

monos *Pi-mono*
type-intros *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

lemma *Well-unfold*: $Well(A, B) = (\sum x \in A. B(x) \rightarrow Well(A, B))$
 ⟨*proof*⟩

lemma *Well-induct2* [*consumes 1, case-names step*]:
assumes *w*: $w \in Well(A, B)$
and step: $!!a f. [a \in A; f \in B(a) \rightarrow Well(A, B); \forall y \in B(a). P(f'y)] ==>$
 $P(Sup(a, f))$
shows $P(w)$
 — A nicer induction rule than the standard one.
 ⟨*proof*⟩

lemma *Well-bool-unfold*: $Well(bool, \lambda x. x) = 1 + (1 \rightarrow Well(bool, \lambda x. x))$
 — In fact it's isomorphic to *nat*, but we need a recursion operator
 — for *Well* to prove this.
 ⟨*proof*⟩

end

7 The Mutilated Chess Board Problem, formalized inductively

theory *Mutil* **imports** *ZF* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts
domino :: *i*
tiling :: *i* ==> *i*

inductive
domains *domino* $\subseteq Pow(nat \times nat)$
intros
horiz: $[i \in nat; j \in nat] ==> \{<i, j>, <i, succ(j)>\} \in domino$
vertl: $[i \in nat; j \in nat] ==> \{<i, j>, <succ(i), j>\} \in domino$
type-intros *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

inductive
domains *tiling*(*A*) $\subseteq Pow(\bigcup(A))$
intros
empty: $0 \in tiling(A)$
Un: $[a \in A; t \in tiling(A); a \cap t = 0] ==> a \cup t \in tiling(A)$
type-intros *empty-subsetI Union-upper Un-least PowI*
type-elim *PowD* [*elim-format*]

definition

$evnodd :: [i, i] \Rightarrow i$ **where**

$evnodd(A, b) == \{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$

7.1 Basic properties of evnodd

lemma *evnodd-iff*: $\langle i, j \rangle : evnodd(A, b) \longleftrightarrow \langle i, j \rangle : A \ \& \ (i \# + j) \bmod 2 = b$
 ⟨proof⟩

lemma *evnodd-subset*: $evnodd(A, b) \subseteq A$
 ⟨proof⟩

lemma *Finite-evnodd*: $Finite(X) \Rightarrow Finite(evnodd(X, b))$
 ⟨proof⟩

lemma *evnodd-Un*: $evnodd(A \cup B, b) = evnodd(A, b) \cup evnodd(B, b)$
 ⟨proof⟩

lemma *evnodd-Diff*: $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$
 ⟨proof⟩

lemma *evnodd-cons* [*simp*]:
 $evnodd(\text{cons}(\langle i, j \rangle, C), b) =$
(if $(i \# + j) \bmod 2 = b$ *then* $\text{cons}(\langle i, j \rangle, evnodd(C, b))$ *else* $evnodd(C, b)$ *)*
 ⟨proof⟩

lemma *evnodd-0* [*simp*]: $evnodd(0, b) = 0$
 ⟨proof⟩

7.2 Dominoes

lemma *domino-Finite*: $d \in \text{domino} \Rightarrow Finite(d)$
 ⟨proof⟩

lemma *domino-singleton*:
 $[[d \in \text{domino}; b < 2]] \Rightarrow \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$
 ⟨proof⟩

7.3 Tilings

The union of two disjoint tilings is a tiling

lemma *tiling-UnI*:
 $t \in \text{tiling}(A) \Rightarrow u \in \text{tiling}(A) \Rightarrow t \cap u = 0 \Rightarrow t \cup u \in \text{tiling}(A)$
 ⟨proof⟩

lemma *tiling-domino-Finite*: $t \in \text{tiling}(\text{domino}) \Rightarrow Finite(t)$
 ⟨proof⟩

lemma *tiling-domino-0-1*: $t \in \text{tiling}(\text{domino}) \Rightarrow |evnodd(t, 0)| = |evnodd(t, 1)|$

<proof>

lemma *dominoes-tile-row*:

$\llbracket i \in \text{nat}; n \in \text{nat} \rrbracket \implies \{i\} * (n \# + n) \in \text{tiling}(\text{domino})$
<proof>

lemma *dominoes-tile-matrix*:

$\llbracket m \in \text{nat}; n \in \text{nat} \rrbracket \implies m * (n \# + n) \in \text{tiling}(\text{domino})$
<proof>

lemma *eq-lt-E*: $\llbracket x=y; x<y \rrbracket \implies P$

<proof>

theorem *mutl-not-tiling*: $\llbracket m \in \text{nat}; n \in \text{nat};$

$t = (\text{succ}(m)\# + \text{succ}(m)) * (\text{succ}(n)\# + \text{succ}(n));$
 $t' = t - \{<0,0>\} - \{<\text{succ}(m\#+m), \text{succ}(n\#+n)>\} \rrbracket$
 $\implies t' \notin \text{tiling}(\text{domino})$

<proof>

end

theory *FoldSet* **imports** *ZF* **begin**

consts *fold-set* :: $[i, i, [i,i] \implies i, i] \implies i$

inductive

domains *fold-set*(*A, B, f, e*) $\subseteq \text{Fin}(A) * B$

intros

emptyI: $e \in B \implies <0, e> \in \text{fold-set}(A, B, f, e)$

consI: $\llbracket x \in A; x \notin C; <C, y> \in \text{fold-set}(A, B, f, e); f(x, y): B \rrbracket$
 $\implies <\text{cons}(x, C), f(x, y)> \in \text{fold-set}(A, B, f, e)$

type-intros *Fin.intros*

definition

fold :: $[i, [i,i] \implies i, i, i] \implies i$ (*<fold[-]'(-,-,-)>*) **where**
fold[B](f, e, A) == *THE* *x*. $<A, x> \in \text{fold-set}(A, B, f, e)$

definition

setsum :: $[i \implies i, i] \implies i$ **where**

setsum(*g, C*) == *if* *Finite*(*C*) *then*

fold[int](%x y. g(x) \$+ y, #0, C) *else* #0

inductive-cases *empty-fold-setE*: $<0, x> \in \text{fold-set}(A, B, f, e)$

inductive-cases *cons-fold-setE*: $<\text{cons}(x, C), y> \in \text{fold-set}(A, B, f, e)$

lemma *cons-lemma1*: $[[x \notin C; x \notin B]] \implies \text{cons}(x,B) = \text{cons}(x,C) \iff B = C$
 ⟨proof⟩

lemma *cons-lemma2*: $[[\text{cons}(x, B) = \text{cons}(y, C); x \neq y; x \notin B; y \notin C]] \implies B - \{y\} = C - \{x\} \ \& \ x \in C \ \& \ y \in B$
 ⟨proof⟩

lemma *fold-set-mono-lemma*:
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e) \implies \forall D. A \leq D \longrightarrow \langle C, x \rangle \in \text{fold-set}(D, B, f, e)$
 ⟨proof⟩

lemma *fold-set-mono*: $C \leq A \implies \text{fold-set}(C, B, f, e) \subseteq \text{fold-set}(A, B, f, e)$
 ⟨proof⟩

lemma *fold-set-lemma*:
 $\langle C, x \rangle \in \text{fold-set}(A, B, f, e) \implies \langle C, x \rangle \in \text{fold-set}(C, B, f, e) \ \& \ C \leq A$
 ⟨proof⟩

lemma *Diff1-fold-set*:
 $[[\langle C - \{x\}, y \rangle \in \text{fold-set}(A, B, f, e); x \in C; x \in A; f(x, y) : B]] \implies \langle C, f(x, y) \rangle \in \text{fold-set}(A, B, f, e)$
 ⟨proof⟩

locale *fold-typing* =
fixes *A and B and e and f*
assumes *f*type [intro,simp]: $[[x \in A; y \in B]] \implies f(x,y) \in B$
and *e*type [intro,simp]: $e \in B$
and *f*comm: $[[x \in A; y \in A; z \in B]] \implies f(x, f(y, z)) = f(y, f(x, z))$

lemma (in *fold-typing*) *Fin-imp-fold-set*:
 $C \in \text{Fin}(A) \implies (\exists x. \langle C, x \rangle \in \text{fold-set}(A, B, f, e))$
 ⟨proof⟩

lemma *Diff-sing-imp*:
 $[[C - \{b\} = D - \{a\}; a \neq b; b \in C]] \implies C = \text{cons}(b,D) - \{a\}$
 ⟨proof⟩

lemma (in *fold-typing*) *fold-set-determ-lemma* [rule-format]:
 $n \in \text{nat} \implies \forall C. |C| < n \longrightarrow (\forall x. \langle C, x \rangle \in \text{fold-set}(A, B, f, e) \longrightarrow (\forall y. \langle C, y \rangle \in \text{fold-set}(A, B, f, e) \longrightarrow y = x))$
 ⟨proof⟩

lemma (in *fold-typing*) *fold-set-determ*:

$$[[\langle C, x \rangle \in \text{fold-set}(A, B, f, e); \\ \langle C, y \rangle \in \text{fold-set}(A, B, f, e)]] \implies y = x$$
<proof>

lemma (in *fold-typing*) *fold-equality*:

$$\langle C, y \rangle \in \text{fold-set}(A, B, f, e) \implies \text{fold}[B](f, e, C) = y$$
<proof>

lemma *fold-0 [simp]*: $e \in B \implies \text{fold}[B](f, e, 0) = e$
<proof>

This result is the right-to-left direction of the subsequent result

lemma (in *fold-typing*) *fold-set-imp-cons*:

$$[[\langle C, y \rangle \in \text{fold-set}(C, B, f, e); C \in \text{Fin}(A); c \in A; c \notin C]] \\ \implies \langle \text{cons}(c, C), f(c, y) \rangle \in \text{fold-set}(\text{cons}(c, C), B, f, e)$$
<proof>

lemma (in *fold-typing*) *fold-cons-lemma [rule-format]*:

$$[[C \in \text{Fin}(A); c \in A; c \notin C]] \\ \implies \langle \text{cons}(c, C), v \rangle \in \text{fold-set}(\text{cons}(c, C), B, f, e) \iff \\ (\exists y. \langle C, y \rangle \in \text{fold-set}(C, B, f, e) \ \& \ v = f(c, y))$$
<proof>

lemma (in *fold-typing*) *fold-cons*:

$$[[C \in \text{Fin}(A); c \in A; c \notin C]] \\ \implies \text{fold}[B](f, e, \text{cons}(c, C)) = f(c, \text{fold}[B](f, e, C))$$
<proof>

lemma (in *fold-typing*) *fold-type [simp, TC]*:

$$C \in \text{Fin}(A) \implies \text{fold}[B](f, e, C) : B$$
<proof>

lemma (in *fold-typing*) *fold-commute [rule-format]*:

$$[[C \in \text{Fin}(A); c \in A]] \\ \implies (\forall y \in B. f(c, \text{fold}[B](f, y, C)) = \text{fold}[B](f, f(c, y), C))$$
<proof>

lemma (in *fold-typing*) *fold-nest-Un-Int*:

$$[[C \in \text{Fin}(A); D \in \text{Fin}(A)]] \\ \implies \text{fold}[B](f, \text{fold}[B](f, e, D), C) = \\ \text{fold}[B](f, \text{fold}[B](f, e, (C \cap D)), C \cup D)$$
<proof>

lemma (in *fold-typing*) *fold-nest-Un-disjoint*:

$$[[C \in \text{Fin}(A); D \in \text{Fin}(A); C \cap D = 0]]$$

$==> \text{fold}[B](f,e,C \cup D) = \text{fold}[B](f, \text{fold}[B](f,e,D), C)$
 <proof>

lemma *Finite-cons-lemma*: $\text{Finite}(C) ==> C \in \text{Fin}(\text{cons}(c, C))$
 <proof>

7.4 The Operator *setsum*

lemma *setsum-0* [simp]: $\text{setsum}(g, 0) = \#0$
 <proof>

lemma *setsum-cons* [simp]:
 $\text{Finite}(C) ==>$
 $\text{setsum}(g, \text{cons}(c, C)) =$
 $(\text{if } c \in C \text{ then } \text{setsum}(g, C) \text{ else } g(c) \$+ \text{setsum}(g, C))$
 <proof>

lemma *setsum-K0*: $\text{setsum}((\%i. \#0), C) = \#0$
 <proof>

lemma *setsum-Un-Int*:
 $[[\text{Finite}(C); \text{Finite}(D)]]$
 $==> \text{setsum}(g, C \cup D) \$+ \text{setsum}(g, C \cap D)$
 $= \text{setsum}(g, C) \$+ \text{setsum}(g, D)$
 <proof>

lemma *setsum-type* [simp, TC]: $\text{setsum}(g, C) : \text{int}$
 <proof>

lemma *setsum-Un-disjoint*:
 $[[\text{Finite}(C); \text{Finite}(D); C \cap D = 0]]$
 $==> \text{setsum}(g, C \cup D) = \text{setsum}(g, C) \$+ \text{setsum}(g, D)$
 <proof>

lemma *Finite-RepFun* [rule-format (no-asm)]:
 $\text{Finite}(I) ==> (\forall i \in I. \text{Finite}(C(i))) \longrightarrow \text{Finite}(\text{RepFun}(I, C))$
 <proof>

lemma *setsum-UN-disjoint* [rule-format (no-asm)]:
 $\text{Finite}(I)$
 $==> (\forall i \in I. \text{Finite}(C(i))) \longrightarrow$
 $(\forall i \in I. \forall j \in I. i \neq j \longrightarrow C(i) \cap C(j) = 0) \longrightarrow$
 $\text{setsum}(f, \bigcup i \in I. C(i)) = \text{setsum } (\%i. \text{setsum}(f, C(i)), I)$
 <proof>

lemma *setsum-addf*: $\text{setsum}(\%x. f(x) \$+ g(x), C) = \text{setsum}(f, C) \$+ \text{setsum}(g, C)$

$\langle proof \rangle$

lemma *fold-set-cong*:

$$\begin{aligned} & \llbracket A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y)) \rrbracket \\ & \implies \text{fold-set}(A,B,f,e) = \text{fold-set}(A',B',f',e') \end{aligned}$$

$\langle proof \rangle$

lemma *fold-cong*:

$$\begin{aligned} & \llbracket B=B'; A=A'; e=e'; \\ & \quad \llbracket \forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y) \rrbracket \implies \\ & \quad \text{fold}[B](f,e,A) = \text{fold}[B'](f',e',A') \end{aligned}$$

$\langle proof \rangle$

lemma *setsum-cong*:

$$\begin{aligned} & \llbracket A=B; \forall x \in B. f(x) = g(x) \rrbracket \implies \\ & \quad \text{setsum}(f, A) = \text{setsum}(g, B) \end{aligned}$$

$\langle proof \rangle$

lemma *setsum-Un*:

$$\begin{aligned} & \llbracket \text{Finite}(A); \text{Finite}(B) \rrbracket \\ & \implies \text{setsum}(f, A \cup B) = \\ & \quad \text{setsum}(f, A) \# + \text{setsum}(f, B) \# - \text{setsum}(f, A \cap B) \end{aligned}$$

$\langle proof \rangle$

lemma *setsum-zneg-or-0* [*rule-format (no-asm)*]:

$$\text{Finite}(A) \implies (\forall x \in A. g(x) \# \leq \#0) \longrightarrow \text{setsum}(g, A) \# \leq \#0$$

$\langle proof \rangle$

lemma *setsum-succD-lemma* [*rule-format*]:

$$\begin{aligned} & \text{Finite}(A) \\ & \implies \forall n \in \text{nat}. \text{setsum}(f, A) = \# \text{ succ}(n) \longrightarrow (\exists a \in A. \#0 \# < f(a)) \end{aligned}$$

$\langle proof \rangle$

lemma *setsum-succD*:

$$\llbracket \text{setsum}(f, A) = \# \text{ succ}(n); n \in \text{nat} \rrbracket \implies \exists a \in A. \#0 \# < f(a)$$

$\langle proof \rangle$

lemma *g-zpos-imp-setsum-zpos* [*rule-format*]:

$$\text{Finite}(A) \implies (\forall x \in A. \#0 \# \leq g(x)) \longrightarrow \#0 \# \leq \text{setsum}(g, A)$$

$\langle proof \rangle$

lemma *g-zpos-imp-setsum-zpos2* [*rule-format*]:

$$\llbracket \text{Finite}(A); \forall x. \#0 \# \leq g(x) \rrbracket \implies \#0 \# \leq \text{setsum}(g, A)$$

$\langle proof \rangle$

lemma *g-zspos-imp-setsum-zspos* [*rule-format*]:

$$\text{Finite}(A)$$

$\implies (\forall x \in A. \#0 \ \$< g(x)) \longrightarrow A \neq 0 \longrightarrow (\#0 \ \$< \text{setsum}(g, A))$
 <proof>

lemma *setsum-Diff* [rule-format]:

$\text{Finite}(A) \implies \forall a. M(a) = \#0 \longrightarrow \text{setsum}(M, A) = \text{setsum}(M, A - \{a\})$
 <proof>

end

8 The accessible part of a relation

theory *Acc* imports *ZF* begin

Inductive definition of $\text{acc}(r)$; see [3].

consts

$\text{acc} :: i \Rightarrow i$

inductive

domains $\text{acc}(r) \subseteq \text{field}(r)$

intros

vimage: $[[r - \{a\}: \text{Pow}(\text{acc}(r)); a \in \text{field}(r)]] \implies a \in \text{acc}(r)$

monos *Pow-mono*

The introduction rule must require $a \in \text{field}(r)$, otherwise $\text{acc}(r)$ would be a proper class!

The intended introduction rule:

lemma *accI*: $[[!!b. \langle b, a \rangle : r \implies b \in \text{acc}(r); a \in \text{field}(r)]] \implies a \in \text{acc}(r)$
 <proof>

lemma *acc-downward*: $[[b \in \text{acc}(r); \langle a, b \rangle : r]] \implies a \in \text{acc}(r)$
 <proof>

lemma *acc-induct* [consumes 1, case-names *vimage*, induct set: *acc*]:

$[[a \in \text{acc}(r);$

$!!x. [[x \in \text{acc}(r); \forall y. \langle y, x \rangle : r \longrightarrow P(y)]] \implies P(x)$

$]] \implies P(a)$

<proof>

lemma *wf-on-acc*: $\text{wf}[\text{acc}(r)](r)$

<proof>

lemma *acc-wfI*: $\text{field}(r) \subseteq \text{acc}(r) \implies \text{wf}(r)$

<proof>

lemma *acc-wfD*: $\text{wf}(r) \implies \text{field}(r) \subseteq \text{acc}(r)$

<proof>

lemma *wf-acc-iff*: $wf(r) \longleftrightarrow field(r) \subseteq acc(r)$
 ⟨*proof*⟩

end

theory *Multiset*
imports *FoldSet Acc*
begin

abbreviation (*input*)
 — Short cut for multiset space
Mult :: $i \Rightarrow i$ **where**
Mult(A) == $A \rightarrow nat - \{0\}$

definition

funrestrict :: $[i, i] \Rightarrow i$ **where**
funrestrict(f, A) == $\lambda x \in A. f^x$

definition

multiset :: $i \Rightarrow o$ **where**
multiset(M) == $\exists A. M \in A \rightarrow nat - \{0\} \ \& \ Finite(A)$

definition

mset-of :: $i \Rightarrow i$ **where**
mset-of(M) == *domain*(M)

definition

munion :: $[i, i] \Rightarrow i$ (**infixl** $\langle +\# \rangle$ 65) **where**
 $M +\# N == \lambda x \in mset-of(M) \cup mset-of(N).$
 if $x \in mset-of(M) \cap mset-of(N)$ then $(M^x) \# + (N^x)$
 else (if $x \in mset-of(M)$ then M^x else N^x)

definition

normalize :: $i \Rightarrow i$ **where**
normalize(f) ==
 if $(\exists A. f \in A \rightarrow nat \ \& \ Finite(A))$ then
 funrestrict($f, \{x \in mset-of(f). 0 < f^x\}$)
 else 0

definition

mdiff :: $[i, i] \Rightarrow i$ (**infixl** $\langle -\# \rangle$ 65) **where**
 $M -\# N == normalize(\lambda x \in mset-of(M).$
 if $x \in mset-of(N)$ then $M^x \# - N^x$ else M^x)

definition

msingle :: $i \Rightarrow i$ ($\langle \{ \# - \# \} \rangle$) **where**
 $\{ \# a \# \} == \{ \langle a, 1 \rangle \}$

definition

MCollect :: $[i, i \Rightarrow o] \Rightarrow i$ **where**
 $MCollect(M, P) == funrestrict(M, \{x \in mset-of(M). P(x)\})$

definition

*mcoun*t :: $[i, i] \Rightarrow i$ **where**
 $mcoun(M, a) == if\ a \in\ mset-of(M)\ then\ M\ a\ else\ 0$

definition

msize :: $i \Rightarrow i$ **where**
 $msize(M) == setsum(\%a.\ \$\# mcoun(M,a), mset-of(M))$

abbreviation

melem :: $[i, i] \Rightarrow o$ ($\langle (- / : \# -) \rangle$ [50, 51] 50) **where**
 $a : \# M == a \in mset-of(M)$

syntax

-MColl :: $[ptrn, i, o] \Rightarrow i$ ($\langle (1 \{ \# - \in - / - \# \}) \rangle$)

translations

$\{ \# x \in M. P \# \} == CONST\ MCollect(M, \lambda x. P)$

definition

multirel1 :: $[i, i] \Rightarrow i$ **where**
 $multirel1(A, r) ==$
 $\{ \langle M, N \rangle \in Mult(A) * Mult(A).$
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$
 $N = M0 + \# \{ \# a \# \} \ \& \ M = M0 + \# K \ \& \ (\forall b \in mset-of(K). \langle b, a \rangle \in r) \}$

definition

multirel :: $[i, i] \Rightarrow i$ **where**
 $multirel(A, r) == multirel1(A, r) \hat{+}$

definition

omultiset :: $i \Rightarrow o$ **where**
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

definition

mless :: $[i, i] \Rightarrow o$ (**infixl** $\langle \langle \# \rangle$ 50) **where**
 $M \langle \# \rangle N == \exists i. Ord(i) \ \& \ \langle M, N \rangle \in multirel(field(Memrel(i)), Memrel(i))$

definition

$mle :: [i, i] ==> o$ (**infixl** $\langle \# \rangle 50$) **where**
 $M \langle \# \rangle N == (omultiset(M) \& M = N) \mid M \langle \# \rangle N$

8.1 Properties of the original "restrict" from ZF.thy

lemma *funrestrict-subset*: $[[f \in Pi(C,B); A \subseteq C]] ==> funrestrict(f,A) \subseteq f$
 $\langle proof \rangle$

lemma *funrestrict-type*:

$[[!!x. x \in A ==> f'x \in B(x)]] ==> funrestrict(f,A) \in Pi(A,B)$
 $\langle proof \rangle$

lemma *funrestrict-type2*: $[[f \in Pi(C,B); A \subseteq C]] ==> funrestrict(f,A) \in Pi(A,B)$
 $\langle proof \rangle$

lemma *funrestrict [simp]*: $a \in A ==> funrestrict(f,A) ' a = f'a$
 $\langle proof \rangle$

lemma *funrestrict-empty [simp]*: $funrestrict(f,0) = 0$
 $\langle proof \rangle$

lemma *domain-funrestrict [simp]*: $domain(funrestrict(f,C)) = C$
 $\langle proof \rangle$

lemma *fun-cons-funrestrict-eq*:

$f \in cons(a, b) -> B ==> f = cons(\langle a, f ' a \rangle, funrestrict(f, b))$
 $\langle proof \rangle$

declare *domain-of-fun [simp]*

declare *domainE [rule del]*

A useful simplification rule

lemma *multiset-fun-iff*:

$(f \in A -> nat - \{0\}) \longleftrightarrow f \in A -> nat \& (\forall a \in A. f'a \in nat \& 0 < f'a)$
 $\langle proof \rangle$

lemma *multiset-into-Mult*: $[[multiset(M); mset-of(M) \subseteq A]] ==> M \in Mult(A)$
 $\langle proof \rangle$

lemma *Mult-into-multiset*: $M \in Mult(A) ==> multiset(M) \& mset-of(M) \subseteq A$
 $\langle proof \rangle$

lemma *Mult-iff-multiset*: $M \in Mult(A) \longleftrightarrow multiset(M) \& mset-of(M) \subseteq A$
 $\langle proof \rangle$

lemma *multiset-iff-Mult-mset-of*: $multiset(M) \longleftrightarrow M \in Mult(mset-of(M))$

<proof>

The *mset* operator

lemma *mset-of-0* [simp]: $mset-of(0)$
<proof>

The *mset-of* operator

lemma *mset-set-of-Finite* [simp]: $mset-of(M) ==> Finite(mset-of(M))$
<proof>

lemma *mset-of-0* [iff]: $mset-of(0) = 0$
<proof>

lemma *mset-is-0-iff*: $mset-of(M) ==> mset-of(M)=0 \longleftrightarrow M=0$
<proof>

lemma *mset-of-single* [iff]: $mset-of(\{ \#a\}) = \{a\}$
<proof>

lemma *mset-of-union* [iff]: $mset-of(M +\# N) = mset-of(M) \cup mset-of(N)$
<proof>

lemma *mset-of-diff* [simp]: $mset-of(M) \subseteq A ==> mset-of(M -\# N) \subseteq A$
<proof>

lemma *msingle-not-0* [iff]: $\{ \#a\} \neq 0 \ \& \ 0 \neq \{ \#a\}$
<proof>

lemma *msingle-eq-iff* [iff]: $(\{ \#a\} = \{ \#b\}) \longleftrightarrow (a = b)$
<proof>

lemma *msingle-multiset* [iff, TC]: $mset-of(\{ \#a\})$
<proof>

lemmas *Collect-Finite = Collect-subset* [THEN subset-Finite]

lemma *normalize-idem* [simp]: $normalize(normalize(f)) = normalize(f)$
<proof>

lemma *normalize-multiset* [simp]: $mset-of(M) ==> normalize(M) = M$
<proof>

lemma *mset-normalize* [simp]: $mset-of(normalize(f))$
<proof>

lemma *munion-multiset* [simp]: $[| \text{multiset}(M); \text{multiset}(N) |] \implies \text{multiset}(M +\# N)$
 ⟨proof⟩

lemma *mdiff-multiset* [simp]: $\text{multiset}(M -\# N)$
 ⟨proof⟩

lemma *munion-0* [simp]: $\text{multiset}(M) \implies M +\# 0 = M \ \& \ 0 +\# M = M$
 ⟨proof⟩

lemma *munion-commute*: $M +\# N = N +\# M$
 ⟨proof⟩

lemma *munion-assoc*: $(M +\# N) +\# K = M +\# (N +\# K)$
 ⟨proof⟩

lemma *munion-lcommute*: $M +\# (N +\# K) = N +\# (M +\# K)$
 ⟨proof⟩

lemmas *munion-ac = munion-commute munion-assoc munion-lcommute*

lemma *mdiff-self-eq-0* [simp]: $M -\# M = 0$
 ⟨proof⟩

lemma *mdiff-0* [simp]: $0 -\# M = 0$
 ⟨proof⟩

lemma *mdiff-0-right* [simp]: $\text{multiset}(M) \implies M -\# 0 = M$
 ⟨proof⟩

lemma *mdiff-union-inverse2* [simp]: $\text{multiset}(M) \implies M +\# \{\#a\# \} -\# \{\#a\# \} = M$
 ⟨proof⟩

lemma *mcount-type* [simp,TC]: $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$

<proof>

lemma *mcoun-0* [*simp*]: $mcoun(0, a) = 0$

<proof>

lemma *mcoun-single* [*simp*]: $mcoun(\{ \#b \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$

<proof>

lemma *mcoun-union* [*simp*]: $[[\text{multiset}(M); \text{multiset}(N)]]$

$$\implies mcoun(M \# N, a) = mcoun(M, a) \# + mcoun(N, a)$$

<proof>

lemma *mcoun-diff* [*simp*]:

$$\text{multiset}(M) \implies mcoun(M \# - N, a) = mcoun(M, a) \# - mcoun(N, a)$$

<proof>

lemma *mcoun-elem*: $[[\text{multiset}(M); a \in \text{mset-of}(M)]]$ $\implies 0 < mcoun(M, a)$

<proof>

lemma *msize-0* [*simp*]: $msize(0) = \#0$

<proof>

lemma *msize-single* [*simp*]: $msize(\{ \#a \}) = \#1$

<proof>

lemma *msize-type* [*simp, TC*]: $msize(M) \in \text{int}$

<proof>

lemma *msize-zpositive*: $\text{multiset}(M) \implies \#0 \leq msize(M)$

<proof>

lemma *msize-int-of-nat*: $\text{multiset}(M) \implies \exists n \in \text{nat}. msize(M) = \#n$

<proof>

lemma *not-empty-multiset-imp-exist*:

$$[[M \neq 0; \text{multiset}(M)]]$$
 $\implies \exists a \in \text{mset-of}(M). 0 < mcoun(M, a)$

<proof>

lemma *msize-eq-0-iff*: $\text{multiset}(M) \implies msize(M) = \#0 \iff M = 0$

<proof>

lemma *setsum-mcoun-Int*:

$$\text{Finite}(A) \implies \text{setsum}(\%a. \# mcoun(N, a), A \cap \text{mset-of}(N)) \\ = \text{setsum}(\%a. \# mcoun(N, a), A)$$

<proof>

lemma *msize-union* [*simp*]:

$[[\text{multiset}(M); \text{multiset}(N)]] \implies \text{msize}(M \text{ +\# } N) = \text{msize}(M) \text{ \$+ } \text{msize}(N)$
 $\langle \text{proof} \rangle$

lemma *msize-eq-succ-imp-elem*: $[[\text{msize}(M) = \text{\$}\# \text{ succ}(n); n \in \text{nat}]] \implies \exists a. a \in \text{mset-of}(M)$
 $\langle \text{proof} \rangle$

lemma *equality-lemma*:
 $[[\text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a)]] \implies \text{mset-of}(M) = \text{mset-of}(N)$
 $\langle \text{proof} \rangle$

lemma *multiset-equality*:
 $[[\text{multiset}(M); \text{multiset}(N)]] \implies M = N \iff (\forall a. \text{mcount}(M, a) = \text{mcount}(N, a))$
 $\langle \text{proof} \rangle$

lemma *munion-eq-0-iff [simp]*: $[[\text{multiset}(M); \text{multiset}(N)]] \implies (M \text{ +\# } N = 0) \iff (M = 0 \ \& \ N = 0)$
 $\langle \text{proof} \rangle$

lemma *empty-eq-munion-iff [simp]*: $[[\text{multiset}(M); \text{multiset}(N)]] \implies (0 = M \text{ +\# } N) \iff (M = 0 \ \& \ N = 0)$
 $\langle \text{proof} \rangle$

lemma *munion-right-cancel [simp]*:
 $[[\text{multiset}(M); \text{multiset}(N); \text{multiset}(K)]] \implies (M \text{ +\# } K = N \text{ +\# } K) \iff (M = N)$
 $\langle \text{proof} \rangle$

lemma *munion-left-cancel [simp]*:
 $[[\text{multiset}(K); \text{multiset}(M); \text{multiset}(N)]] \implies (K \text{ +\# } M = K \text{ +\# } N) \iff (M = N)$
 $\langle \text{proof} \rangle$

lemma *nat-add-eq-1-cases*: $[[m \in \text{nat}; n \in \text{nat}]] \implies (m \text{ \#\# } n = 1) \iff (m = 1 \ \& \ n = 0) \mid (m = 0 \ \& \ n = 1)$
 $\langle \text{proof} \rangle$

lemma *munion-is-single*:
 $[[\text{multiset}(M); \text{multiset}(N)]] \implies (M \text{ +\# } N = \{\#a\# \}) \iff (M = \{\#a\# \} \ \& \ N = 0) \mid (M = 0 \ \& \ N = \{\#a\# \})$
 $\langle \text{proof} \rangle$

lemma *msingle-is-union*: $[[\text{multiset}(M); \text{multiset}(N)]] \implies (\{\#a\# \} = M \text{ +\# } N) \iff (\{\#a\# \} = M \ \& \ N = 0 \mid M = 0 \ \& \ \{\#a\# \} = N)$

N)
 ⟨proof⟩

lemma *setsum-decr*:

$Finite(A)$
 $\implies (\forall M. \text{multiset}(M) \longrightarrow$
 $(\forall a \in \text{mset-of}(M). \text{setsum}(\%z. \$\# \text{mcount}(M(a:=M'a \#- 1), z), A) =$
 $(\text{if } a \in A \text{ then } \text{setsum}(\%z. \$\# \text{mcount}(M, z), A) \$- \#1$
 $\text{else } \text{setsum}(\%z. \$\# \text{mcount}(M, z), A))))$
 ⟨proof⟩

lemma *setsum-decr2*:

$Finite(A)$
 $\implies \forall M. \text{multiset}(M) \longrightarrow (\forall a \in \text{mset-of}(M).$
 $\text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A) =$
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$
 $\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A)))$
 ⟨proof⟩

lemma *setsum-decr3*: $[[Finite(A); \text{multiset}(M); a \in \text{mset-of}(M)]]$

$\implies \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M)-\{a\}), x), A - \{a\})$
 $=$
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) \$- \$\# M'a$
 $\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))$
 ⟨proof⟩

lemma *nat-le-1-cases*: $n \in \text{nat} \implies n \leq 1 \longleftrightarrow (n=0 \mid n=1)$

⟨proof⟩

lemma *succ-pred-eq-self*: $[[0 < n; n \in \text{nat}]] \implies \text{succ}(n \#- 1) = n$

⟨proof⟩

Specialized for use in the proof below.

lemma *multiset-funrestrict*:

$[[\forall a \in A. M ' a \in \text{nat} \wedge 0 < M ' a; Finite(A)]]$
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$
 ⟨proof⟩

lemma *multiset-induct-aux*:

assumes *prem1*: $!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M)]] \implies P(\text{cons}(<a,$
 $1>, M))$

and *prem2*: $!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M)]] \implies P(M(b:=$
 $M'b \#+ 1))$

shows

$[[n \in \text{nat}; P(0)]]$

$\implies (\forall M. \text{multiset}(M) \longrightarrow$

$(\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M'x\}) = \$\# n) \longrightarrow$

$P(M)$
 $\langle proof \rangle$

lemma *multiset-induct2*:

$[[\text{multiset}(M); P(0);$
 $(!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M)]] ==> P(\text{cons}(\langle a, 1 \rangle, M)));$
 $(!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M)]] ==> P(M(b := M'b \# + 1)))]$
 $]]$
 $==> P(M)$
 $\langle proof \rangle$

lemma *union-single-case1*:

$[[\text{multiset}(M); a \notin \text{mset-of}(M)]] ==> M \# \{ \# a \# \} = \text{cons}(\langle a, 1 \rangle, M)$
 $\langle proof \rangle$

lemma *union-single-case2*:

$[[\text{multiset}(M); a \in \text{mset-of}(M)]] ==> M \# \{ \# a \# \} = M(a := M'a \# + 1)$
 $\langle proof \rangle$

lemma *multiset-induct*:

assumes $M: \text{multiset}(M)$
 and $P0: P(0)$
 and step: $!!M a. [[\text{multiset}(M); P(M)]] ==> P(M \# \{ \# a \# \})$
 shows $P(M)$
 $\langle proof \rangle$

lemma *MCollect-multiset [simp]*:

$\text{multiset}(M) ==> \text{multiset}(\{ \# x \in M. P(x) \# \})$
 $\langle proof \rangle$

lemma *mset-of-MCollect [simp]*:

$\text{mset-of}(\{ \# x \in M. P(x) \# \}) \subseteq \text{mset-of}(M)$
 $\langle proof \rangle$

lemma *MCollect-mem-iff [iff]*:

$x \in \text{mset-of}(\{ \# x \in M. P(x) \# \}) \longleftrightarrow x \in \text{mset-of}(M) \ \& \ P(x)$
 $\langle proof \rangle$

lemma *mcount-MCollect [simp]*:

$\text{mcount}(\{ \# x \in M. P(x) \# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$
 $\langle proof \rangle$

lemma *multiset-partition*: $\text{multiset}(M) ==> M = \{ \# x \in M. P(x) \# \} \# \{ \# x \in M. \sim P(x) \# \}$

$\langle proof \rangle$

lemma *natify-elem-is-self* [simp]:

$[[\text{multiset}(M); a \in \text{mset-of}(M)]] \implies \text{natify}(M'a) = M'a$
 <proof>

lemma *munion-eq-conv-diff*: $[[\text{multiset}(M); \text{multiset}(N)]]$

$\implies (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \longleftrightarrow (M = N \ \& \ a = b \mid$
 $M = N -\# \{\#a\# \} +\# \{\#b\# \} \ \& \ N = M -\# \{\#b\# \} +\# \{\#a\# \})$
 <proof>

lemma *melem-diff-single*:

$\text{multiset}(M) \implies$

$k \in \text{mset-of}(M -\# \{\#a\# \}) \longleftrightarrow (k=a \ \& \ 1 < \text{mcount}(M,a)) \mid (k \neq a \ \& \ k \in$
 $\text{mset-of}(M))$
 <proof>

lemma *munion-eq-conv-exist*:

$[[M \in \text{Mult}(A); N \in \text{Mult}(A)]]$

$\implies (M +\# \{\#a\# \} = N +\# \{\#b\# \}) \longleftrightarrow$
 $(M=N \ \& \ a=b \mid (\exists K \in \text{Mult}(A). M = K +\# \{\#b\# \} \ \& \ N = K +\# \{\#a\# \}))$
 <proof>

8.2 Multiset Orderings

lemma *multirel1-type*: $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$

<proof>

lemma *multirel1-0* [simp]: $\text{multirel1}(0, r) = 0$

<proof>

lemma *multirel1-iff*:

$\langle N, M \rangle \in \text{multirel1}(A, r) \longleftrightarrow$
 $(\exists a. a \in A \ \&$
 $(\exists M0. M0 \in \text{Mult}(A) \ \& \ (\exists K. K \in \text{Mult}(A) \ \&$
 $M = M0 +\# \{\#a\# \} \ \& \ N = M0 +\# K \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r)))$
 <proof>

Monotonicity of *multirel1*

lemma *multirel1-mono1*: $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$

<proof>

lemma *multirel1-mono2*: $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$

<proof>

lemma *multirel1-mono*:

$[[A \subseteq B; r \subseteq s]] \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, s)$
 <proof>

8.3 Toward the proof of well-foundedness of multirel1

lemma *not-less-0* [iff]: $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$

<proof>

lemma *less-union*: $\llbracket \langle N, M0 \text{ +\# } \{\#a\# \} \rangle \in \text{multirel1}(A, r); M0 \in \text{Mult}(A)$

$\rrbracket \implies$

$(\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \ \& \ N = M \text{ +\# } \{\#a\# \}) \mid$

$(\exists K. K \in \text{Mult}(A) \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \ \& \ N = M0 \text{ +\# } K)$

<proof>

lemma *multirel1-base*: $\llbracket M \in \text{Mult}(A); a \in A \rrbracket \implies \langle M, M \text{ +\# } \{\#a\# \} \rangle \in \text{multirel1}(A, r)$

<proof>

lemma *acc-0*: $\text{acc}(0) = 0$

<proof>

lemma *lemma1*: $\llbracket \forall b \in A. \langle b, a \rangle \in r \longrightarrow$

$(\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#b\# \} : \text{acc}(\text{multirel1}(A, r)))$;

$M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A$;

$\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r)) \rrbracket$

$\implies M0 \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *lemma2*: $\llbracket \forall b \in A. \langle b, a \rangle \in r$

$\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#b\# \} : \text{acc}(\text{multirel1}(A, r)))$;

$M \in \text{acc}(\text{multirel1}(A, r)); a \in A \rrbracket \implies M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A,$

$r))$

<proof>

lemma *lemma3*: $\llbracket \text{wf}[A](r); a \in A \rrbracket$

$\implies \forall M \in \text{acc}(\text{multirel1}(A, r)). M \text{ +\# } \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *lemma4*: $\text{multiset}(M) \implies \text{mset-of}(M) \subseteq A \longrightarrow$

$\text{wf}[A](r) \longrightarrow M \in \text{field}(\text{multirel1}(A, r)) \longrightarrow M \in \text{acc}(\text{multirel1}(A, r))$

<proof>

lemma *all-accessible*: $\llbracket \text{wf}[A](r); M \in \text{Mult}(A); A \neq 0 \rrbracket \implies M \in \text{acc}(\text{multirel1}(A,$

$r))$

<proof>

lemma *wf-on-multirel1*: $\text{wf}[A](r) \implies \text{wf}[A - \{\#\} \text{ nat} - \{0\}](\text{multirel1}(A, r))$

<proof>

lemma *wf-multirel1*: $\text{wf}(r) \implies \text{wf}(\text{multirel1}(\text{field}(r), r))$

<proof>

lemma *multirel-type*: $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$
 <proof>

lemma *multirel-mono*:
 $[[A \subseteq B; r \subseteq s]] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$
 <proof>

lemma *add-diff-eq*: $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$
 <proof>

lemma *mdiff-union-single-conv*: $[[a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J)]] \implies I \# + J \# - \{ \# a \# \} = I \# + (J \# - \{ \# a \# \})$
 <proof>

lemma *diff-add-commute*: $[[n \leq m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat}]] \implies m \# - n \# + k = m \# + k \# - n$
 <proof>

lemma *multirel-implies-one-step*:
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$
 $\text{trans}[A](r) \longrightarrow$
 $(\exists I J K.$
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$
 $N = I \# + J \ \& \ M = I \# + K \ \& \ J \neq 0 \ \&$
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$
 <proof>

lemma *melem-imp-eq-diff-union* [*simp*]: $[[a \in \text{mset-of}(M); \text{multiset}(M)]] \implies M \# - \{ \# a \# \} \# + \{ \# a \# \} = M$
 <proof>

lemma *msize-eq-succ-imp-eq-union*:
 $[[\text{msize}(M) = \$ \# \text{succ}(n); M \in \text{Mult}(A); n \in \text{nat}]] \implies \exists a N. M = N \# + \{ \# a \# \} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$
 <proof>

lemma *one-step-implies-multirel-lemma* [*rule-format (no-asm)*]:
 $n \in \text{nat} \implies$
 $(\forall I J K.$
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$
 $(\text{msize}(J) = \$ \# n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in$

$r))$
 $\longrightarrow \langle I +\# K, I +\# J \rangle \in \text{multirel}(A, r)$
 $\langle \text{proof} \rangle$

lemma *one-step-implies-multirel*:

$[[J \neq 0; \forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r;$
 $I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A)]]$
 $\implies \langle I +\# K, I +\# J \rangle \in \text{multirel}(A, r)$
 $\langle \text{proof} \rangle$

lemma *multirel-irrefl-lemma*:

$\text{Finite}(A) \implies \text{part-ord}(A, r) \longrightarrow (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \longrightarrow A=0$
 $\langle \text{proof} \rangle$

lemma *irrefl-on-multirel*:

$\text{part-ord}(A, r) \implies \text{irrefl}(\text{Mult}(A), \text{multirel}(A, r))$
 $\langle \text{proof} \rangle$

lemma *trans-on-multirel*: $\text{trans}[\text{Mult}(A)](\text{multirel}(A, r))$

$\langle \text{proof} \rangle$

lemma *multirel-trans*:

$[[\langle M, N \rangle \in \text{multirel}(A, r); \langle N, K \rangle \in \text{multirel}(A, r)]] \implies \langle M, K \rangle \in$
 $\text{multirel}(A, r)$
 $\langle \text{proof} \rangle$

lemma *trans-multirel*: $\text{trans}(\text{multirel}(A, r))$

$\langle \text{proof} \rangle$

lemma *part-ord-multirel*: $\text{part-ord}(A, r) \implies \text{part-ord}(\text{Mult}(A), \text{multirel}(A, r))$

$\langle \text{proof} \rangle$

lemma *munion-multirel1-mono*:

$[[\langle M, N \rangle \in \text{multirel1}(A, r); K \in \text{Mult}(A)]] \implies \langle K +\# M, K +\# N \rangle \in$
 $\text{multirel1}(A, r)$
 $\langle \text{proof} \rangle$

lemma *munion-multirel-mono2*:

$[[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)]] \implies \langle K +\# M, K +\# N \rangle \in$
 $\text{multirel}(A, r)$
 $\langle \text{proof} \rangle$

lemma *munion-multirel-mono1*:

$[[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)] \implies \langle M +\# K, N +\# K \rangle \in \text{multirel}(A, r)]$
 <proof>

lemma *munion-multirel-mono*:

$[[\langle M, K \rangle \in \text{multirel}(A, r); \langle N, L \rangle \in \text{multirel}(A, r)] \implies \langle M +\# N, K +\# L \rangle \in \text{multirel}(A, r)]$
 <proof>

8.4 Ordinal Multisets

lemmas *field-Memrel-mono = Memrel-mono* [THEN *field-mono*]

lemmas *multirel-Memrel-mono = multirel-mono* [OF *field-Memrel-mono Memrel-mono*]

lemma *omultiset-is-multiset* [simp]: $\text{omultiset}(M) \implies \text{multiset}(M)$
 <proof>

lemma *munion-omultiset* [simp]: $[[\text{omultiset}(M); \text{omultiset}(N)] \implies \text{omultiset}(M +\# N)]$
 <proof>

lemma *mdiff-omultiset* [simp]: $\text{omultiset}(M) \implies \text{omultiset}(M -\# N)$
 <proof>

lemma *irrefl-Memrel*: $\text{Ord}(i) \implies \text{irrefl}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$
 <proof>

lemma *trans-iff-trans-on*: $\text{trans}(r) \longleftrightarrow \text{trans}[\text{field}(r)](r)$
 <proof>

lemma *part-ord-Memrel*: $\text{Ord}(i) \implies \text{part-ord}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$
 <proof>

lemmas *part-ord-mless = part-ord-Memrel* [THEN *part-ord-multirel*]

lemma *mless-not-refl*: $\sim(M <\# M)$
 <proof>

lemmas *mless-irrefl* = *mless-not-refl* [*THEN notE, elim!*]

lemma *mless-trans*: $[| K <\# M; M <\# N |] \implies K <\# N$
<proof>

lemma *mless-not-sym*: $M <\# N \implies \sim N <\# M$
<proof>

lemma *mless-asym*: $[| M <\# N; \sim P \implies N <\# M |] \implies P$
<proof>

lemma *mle-refl* [*simp*]: $omultiset(M) \implies M <\# = M$
<proof>

lemma *mle-antisym*:
 $[| M <\# = N; N <\# = M |] \implies M = N$
<proof>

lemma *mle-trans*: $[| K <\# = M; M <\# = N |] \implies K <\# = N$
<proof>

lemma *mless-le-iff*: $M <\# N \longleftrightarrow (M <\# = N \ \& \ M \neq N)$
<proof>

lemma *munion-less-mono2*: $[| M <\# N; omultiset(K) |] \implies K +\# M <\# K +\# N$
<proof>

lemma *munion-less-mono1*: $[| M <\# N; omultiset(K) |] \implies M +\# K <\# N +\# K$
<proof>

lemma *mless-imp-omultiset*: $M <\# N \implies omultiset(M) \ \& \ omultiset(N)$
<proof>

lemma *munion-less-mono*: $[| M <\# K; N <\# L |] \implies M +\# N <\# K +\# L$
<proof>

lemma *mle-imp-omultiset*: $M <\# = N \implies omultiset(M) \ \& \ omultiset(N)$
<proof>

lemma *mle-mono*: $[[M <\#= K; N <\#= L]] \implies M +\# N <\#= K +\# L$
 $\langle proof \rangle$

lemma *omultiset-0* [*iff*]: $omultiset(0)$
 $\langle proof \rangle$

lemma *empty-leI* [*simp*]: $omultiset(M) \implies 0 <\#= M$
 $\langle proof \rangle$

lemma *munion-upper1*: $[[omultiset(M); omultiset(N)]] \implies M <\#= M +\# N$
 $\langle proof \rangle$

end

9 An operator to “map” a relation over a list

theory *Rmap* imports *ZF* begin

consts

rmap :: $i \Rightarrow i$

inductive

domains $rmap(r) \subseteq list(domain(r)) \times list(range(r))$

intros

NilI: $\langle Nil, Nil \rangle \in rmap(r)$

ConsI: $[[\langle x, y \rangle: r; \langle xs, ys \rangle \in rmap(r)]]$
 $\implies \langle Cons(x, xs), Cons(y, ys) \rangle \in rmap(r)$

type-intros *domainI rangeI list.intros*

lemma *rmap-mono*: $r \subseteq s \implies rmap(r) \subseteq rmap(s)$
 $\langle proof \rangle$

inductive-cases

Nil-rmap-case [*elim!*]: $\langle Nil, zs \rangle \in rmap(r)$

and *Cons-rmap-case* [*elim!*]: $\langle Cons(x, xs), zs \rangle \in rmap(r)$

declare *rmap.intros* [*intro*]

lemma *rmap-rel-type*: $r \subseteq A \times B \implies rmap(r) \subseteq list(A) \times list(B)$
 $\langle proof \rangle$

lemma *rmap-total*: $A \subseteq domain(r) \implies list(A) \subseteq domain(rmap(r))$
 $\langle proof \rangle$

lemma *rmap-functional*: $function(r) \implies function(rmap(r))$
 $\langle proof \rangle$

If f is a function then $rmap(f)$ behaves as expected.

lemma *rmap-fun-type*: $f \in A \rightarrow B \implies rmap(f): list(A) \rightarrow list(B)$
 $\langle proof \rangle$

lemma *rmap-Nil*: $rmap(f) 'Nil = Nil$
 $\langle proof \rangle$

lemma *rmap-Cons*: $[| f \in A \rightarrow B; x \in A; xs: list(A) |]$
 $\implies rmap(f) ' Cons(x,xs) = Cons(f ' x, rmap(f) ' xs)$
 $\langle proof \rangle$

end

10 Meta-theory of propositional logic

theory *PropLog* **imports** *ZF* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in Fin(H)$

10.1 The datatype of propositions

consts

propn :: *i*

datatype *propn* =

Fls
 $| Var (n \in nat) \quad (\langle \# \rightarrow [100] 100)$
 $| Imp (p \in propn, q \in propn) \quad (\mathbf{infixr} \langle \Rightarrow \rangle 90)$

10.2 The proof system

consts *thms* :: *i* \Rightarrow *i*

abbreviation

thms-syntax :: $[i, i] \Rightarrow o \quad (\mathbf{infixl} \langle |- \rangle 50)$
where $H \vdash p \equiv p \in thms(H)$

inductive

domains $thms(H) \subseteq propn$

intros

H: $[| p \in H; p \in propn |] \implies H \vdash p$
K: $[| p \in propn; q \in propn |] \implies H \vdash p \Rightarrow q \Rightarrow p$
S: $[| p \in propn; q \in propn; r \in propn |]$

$\implies H \mid- (p \implies q \implies r) \implies (p \implies q) \implies p \implies r$
DN: $p \in \text{propn} \implies H \mid- ((p \implies \text{Fls}) \implies \text{Fls}) \implies p$
MP: $[[H \mid- p \implies q; H \mid- p; p \in \text{propn}; q \in \text{propn}]] \implies H \mid- q$
type-intros *propn.intros*

declare *propn.intros* [*simp*]

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

is-true-fun :: $[i, i] \implies i$

primrec

is-true-fun(*Fls*, *t*) = 0

is-true-fun(*Var*(*v*), *t*) = (if $v \in t$ then 1 else 0)

is-true-fun($p \implies q$, *t*) = (if *is-true-fun*(*p*, *t*) = 1 then *is-true-fun*(*q*, *t*) else 1)

definition

is-true :: $[i, i] \implies o$ **where**

is-true(*p*, *t*) == *is-true-fun*(*p*, *t*) = 1

— this definition is required since predicates can't be recursive

lemma *is-true-Fls* [*simp*]: *is-true*(*Fls*, *t*) \longleftrightarrow *False*

<proof>

lemma *is-true-Var* [*simp*]: *is-true*($\#v$, *t*) \longleftrightarrow $v \in t$

<proof>

lemma *is-true-Imp* [*simp*]: *is-true*($p \implies q$, *t*) \longleftrightarrow (*is-true*(*p*, *t*) \longrightarrow *is-true*(*q*, *t*))

<proof>

10.3.2 Logical consequence

For every valuation, if all elements of *H* are true then so is *p*.

definition

logcon :: $[i, i] \implies o$ (**infixl** $\langle | \implies \rangle$ 50) **where**

$H \models p \implies \forall t. (\forall q \in H. \text{is-true}(q, t)) \longrightarrow \text{is-true}(p, t)$

A finite set of hypotheses from *t* and the *Vars* in *p*.

consts

hyps :: $[i, i] \implies i$

primrec

hyps(*Fls*, *t*) = 0

hyps(*Var*(*v*), *t*) = (if $v \in t$ then $\{\#v\}$ else $\{\#v \implies \text{Fls}\}$)

hyps($p \implies q$, *t*) = *hyps*(*p*, *t*) \cup *hyps*(*q*, *t*)

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \subseteq H \implies \text{thms}(G) \subseteq \text{thms}(H)$

$\langle proof \rangle$

lemmas $thms\text{-in-pl} = thms.\text{dom-subset}$ [THEN subsetD]

inductive-cases $ImpE$: $p \Rightarrow q \in propn$

lemma $thms\text{-MP}$: $[| H \mid\!-\! p \Rightarrow q; H \mid\!-\! p |] \Rightarrow H \mid\!-\! q$

— Stronger Modus Ponens rule: no typechecking!

$\langle proof \rangle$

lemma $thms\text{-I}$: $p \in propn \Rightarrow H \mid\!-\! p \Rightarrow p$

— Rule is called *I* for Identity Combinator, not for Introduction.

$\langle proof \rangle$

10.4.1 Weakening, left and right

lemma $weaken\text{-left}$: $[| G \subseteq H; G \mid\!-\! p |] \Rightarrow H \mid\!-\! p$

— Order of premises is convenient with *THEN*

$\langle proof \rangle$

lemma $weaken\text{-left-cons}$: $H \mid\!-\! p \Rightarrow cons(a,H) \mid\!-\! p$

$\langle proof \rangle$

lemmas $weaken\text{-left-Un1} = Un\text{-upper1}$ [THEN $weaken\text{-left}$]

lemmas $weaken\text{-left-Un2} = Un\text{-upper2}$ [THEN $weaken\text{-left}$]

lemma $weaken\text{-right}$: $[| H \mid\!-\! q; p \in propn |] \Rightarrow H \mid\!-\! p \Rightarrow q$

$\langle proof \rangle$

10.4.2 The deduction theorem

theorem $deduction$: $[| cons(p,H) \mid\!-\! q; p \in propn |] \Rightarrow H \mid\!-\! p \Rightarrow q$

$\langle proof \rangle$

10.4.3 The cut rule

lemma cut : $[| H \mid\!-\! p; cons(p,H) \mid\!-\! q |] \Rightarrow H \mid\!-\! q$

$\langle proof \rangle$

lemma $thms\text{-FlsE}$: $[| H \mid\!-\! Fls; p \in propn |] \Rightarrow H \mid\!-\! p$

$\langle proof \rangle$

lemma $thms\text{-notE}$: $[| H \mid\!-\! p \Rightarrow Fls; H \mid\!-\! p; q \in propn |] \Rightarrow H \mid\!-\! q$

$\langle proof \rangle$

10.4.4 Soundness of the rules wrt truth-table semantics

theorem $soundness$: $H \mid\!-\! p \Rightarrow H \models p$

$\langle proof \rangle$

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *Fls-Imp*: $\llbracket H \mid\!-\! p \Rightarrow \text{Fls}; q \in \text{propn} \rrbracket \implies H \mid\!-\! p \Rightarrow q$
 $\langle \text{proof} \rangle$

lemma *Imp-Fls*: $\llbracket H \mid\!-\! p; H \mid\!-\! q \Rightarrow \text{Fls} \rrbracket \implies H \mid\!-\! (p \Rightarrow q) \Rightarrow \text{Fls}$
 $\langle \text{proof} \rangle$

lemma *hyps-thms-if*:

$p \in \text{propn} \implies \text{hyps}(p, t) \mid\!-\! (\text{if is-true}(p, t) \text{ then } p \text{ else } p \Rightarrow \text{Fls})$

— Typical example of strengthening the induction statement.

$\langle \text{proof} \rangle$

lemma *logcon-thms-p*: $\llbracket p \in \text{propn}; 0 \mid\!-\! p \rrbracket \implies \text{hyps}(p, t) \mid\!-\! p$

— Key lemma for completeness; yields a set of assumptions satisfying p

$\langle \text{proof} \rangle$

For proving certain theorems in our new propositional logic.

lemmas *propn-SIs* = *propn.intros deduction*

and *propn-Is* = *thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*:

$\llbracket p \in \text{propn}; q \in \text{propn} \rrbracket \implies H \mid\!-\! (p \Rightarrow q) \Rightarrow ((p \Rightarrow \text{Fls}) \Rightarrow q) \Rightarrow q$

$\langle \text{proof} \rangle$

lemma *thms-excluded-middle-rule*:

$\llbracket \text{cons}(p, H) \mid\!-\! q; \text{cons}(p \Rightarrow \text{Fls}, H) \mid\!-\! q; p \in \text{propn} \rrbracket \implies H \mid\!-\! q$

— Hard to prove directly because it requires cuts

$\langle \text{proof} \rangle$

10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \mid\!-\! p$ we also have $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$.

lemma *hyps-Diff*:

$p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow \text{Fls}, \text{hyps}(p, t) - \{\#v\})$

$\langle \text{proof} \rangle$

For the case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow \text{Fls}, Y) \mid\!-\! p$ we also have $\text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\} \subseteq \text{hyps}(p, \text{cons}(v, t))$.

lemma *hyps-cons*:

$p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow \text{Fls}\})$

$\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

lemma *cons-Diff-same*: $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$
 ⟨proof⟩

lemma *cons-Diff-subset2*: $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$
 ⟨proof⟩

The set $\text{hyps}(p, t)$ is finite, and elements have the form $\#v$ or $\#v \Rightarrow Fls$; could probably prove the stronger $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$.

lemma *hyps-finite*: $p \in \text{propn} \Rightarrow \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow Fls\})$
 ⟨proof⟩

lemmas *Diff-weaken-left = Diff-mono* [*OF - subset-refl, THEN weaken-left*]

Induction on the finite set of assumptions $\text{hyps}(p, t0)$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma* [*rule-format*]:
 $[\![p \in \text{propn}; 0 \models p]\!] \Rightarrow \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \vdash p$
 ⟨proof⟩

10.5.3 Completeness theorem

lemma *completeness-0*: $[\![p \in \text{propn}; 0 \models p]\!] \Rightarrow 0 \vdash p$
 — The base case for completeness
 ⟨proof⟩

lemma *logcon-Imp*: $[\![\text{cons}(p, H) \models q]\!] \Rightarrow H \models p \Rightarrow q$
 — A semantic analogue of the Deduction Theorem
 ⟨proof⟩

lemma *completeness*:
 $H \in \text{Fin}(\text{propn}) \Rightarrow p \in \text{propn} \Rightarrow H \models p \Rightarrow H \vdash p$
 ⟨proof⟩

theorem *thms-iff*: $H \in \text{Fin}(\text{propn}) \Rightarrow H \vdash p \iff H \models p \wedge p \in \text{propn}$
 ⟨proof⟩

end

11 Lists of n elements

theory *ListN* imports *ZF* begin

Inductive definition of lists of n elements; see [3].

consts *listn* :: $i \Rightarrow i$

inductive

domains $\text{listn}(A) \subseteq \text{nat} \times \text{list}(A)$

intros

$NilI: \langle 0, Nil \rangle \in listn(A)$
 $ConsI: [| a \in A; \langle n, l \rangle \in listn(A) |] ==> \langle succ(n), Cons(a, l) \rangle \in listn(A)$
type-intros *nat-typechecks list.intros*

lemma *list-into-listn*: $l \in list(A) ==> \langle length(l), l \rangle \in listn(A)$
<proof>

lemma *listn-iff*: $\langle n, l \rangle \in listn(A) \longleftrightarrow l \in list(A) \ \& \ length(l)=n$
<proof>

lemma *listn-image-eq*: $listn(A)^{\{n\}} = \{l \in list(A). length(l)=n\}$
<proof>

lemma *listn-mono*: $A \subseteq B ==> listn(A) \subseteq listn(B)$
<proof>

lemma *listn-append*:
 $[| \langle n, l \rangle \in listn(A); \langle n', l' \rangle \in listn(A) |] ==> \langle n\#+n', l@l' \rangle \in listn(A)$
<proof>

inductive-cases

$Nil-listn-case: \langle i, Nil \rangle \in listn(A)$
and $Cons-listn-case: \langle i, Cons(x, l) \rangle \in listn(A)$

inductive-cases

$zero-listn-case: \langle 0, l \rangle \in listn(A)$
and $succ-listn-case: \langle succ(i), l \rangle \in listn(A)$

end

12 Combinatory Logic example: the Church-Rosser Theorem

theory *Comb*
imports *ZF*
begin

Curiously, combinators do not include free variables.
 Example taken from [1].

12.1 Definitions

Datatype definition of combinators S and K .

consts *comb* :: i
datatype *comb* =
 K

| S
| $app (p \in comb, q \in comb)$ (**infixl** $\langle \cdot \rangle$ 90)

Inductive definition of contractions, \rightarrow^1 and (multi-step) reductions, \rightarrow .

consts $contract :: i$
abbreviation $contract-syntax :: [i,i] \Rightarrow o$ (**infixl** $\langle \rightarrow^1 \rangle$ 50)
where $p \rightarrow^1 q \equiv \langle p,q \rangle \in contract$

abbreviation $contract-multi :: [i,i] \Rightarrow o$ (**infixl** $\langle \rightarrow \rangle$ 50)
where $p \rightarrow q \equiv \langle p,q \rangle \in contract^*$

inductive

domains $contract \subseteq comb \times comb$

intros

$K: [] p \in comb; q \in comb [] \implies K \cdot p \cdot q \rightarrow^1 p$
 $S: [] p \in comb; q \in comb; r \in comb [] \implies S \cdot p \cdot q \cdot r \rightarrow^1 (p \cdot r) \cdot (q \cdot r)$
 $Ap1: [] p \rightarrow^1 q; r \in comb [] \implies p \cdot r \rightarrow^1 q \cdot r$
 $Ap2: [] p \rightarrow^1 q; r \in comb [] \implies r \cdot p \rightarrow^1 r \cdot q$

type-intros $comb.intros$

Inductive definition of parallel contractions, \Rightarrow^1 and (multi-step) parallel reductions, \Rightarrow .

consts $parcontract :: i$

abbreviation $parcontract-syntax :: [i,i] \Rightarrow o$ (**infixl** $\langle \Rightarrow^1 \rangle$ 50)
where $p \Rightarrow^1 q \equiv \langle p,q \rangle \in parcontract$

abbreviation $parcontract-multi :: [i,i] \Rightarrow o$ (**infixl** $\langle \Rightarrow \rangle$ 50)
where $p \Rightarrow q \equiv \langle p,q \rangle \in parcontract^+$

inductive

domains $parcontract \subseteq comb \times comb$

intros

$refl: [] p \in comb [] \implies p \Rightarrow^1 p$
 $K: [] p \in comb; q \in comb [] \implies K \cdot p \cdot q \Rightarrow^1 p$
 $S: [] p \in comb; q \in comb; r \in comb [] \implies S \cdot p \cdot q \cdot r \Rightarrow^1 (p \cdot r) \cdot (q \cdot r)$
 $Ap: [] p \Rightarrow^1 q; r \Rightarrow^1 s [] \implies p \cdot r \Rightarrow^1 q \cdot s$

type-intros $comb.intros$

Misc definitions.

definition $I :: i$
where $I \equiv S \cdot K \cdot K$

definition $diamond :: i \Rightarrow o$
where $diamond(r) \equiv$
 $\forall x y. \langle x,y \rangle \in r \longrightarrow (\forall y'. \langle x,y' \rangle \in r \longrightarrow (\exists z. \langle y,z \rangle \in r \ \& \ \langle y',z \rangle \in r))$

12.2 Transitive closure preserves the Church-Rosser property

lemma *diamond-strip-lemmaD* [*rule-format*]:

$$\llbracket \text{diamond}(r); \langle x, y \rangle : r^{\wedge+} \rrbracket \implies$$

$$\forall y'. \langle x, y' \rangle : r \longrightarrow (\exists z. \langle y', z \rangle : r^{\wedge+} \ \& \ \langle y, z \rangle : r)$$
<proof>

lemma *diamond-trancl*: $\text{diamond}(r) \implies \text{diamond}(r^{\wedge+})$
<proof>

inductive-cases *Ap-E* [*elim!*]: $p \cdot q \in \text{comb}$

12.3 Results about Contraction

For type checking: replaces $a \rightarrow^1 b$ by $a, b \in \text{comb}$.

lemmas *contract-combE2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]
and *contract-combD1* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]
and *contract-combD2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

lemma *field-contract-eq*: $\text{field}(\text{contract}) = \text{comb}$
<proof>

lemmas *reduction-refl* =
field-contract-eq [*THEN equalityD2*, *THEN subsetD*, *THEN rtrancl-refl*]

lemmas *rtrancl-into-rtrancl2* =
r-into-rtrancl [*THEN trans-rtrancl* [*THEN transD*]]

declare *reduction-refl* [*intro!*] *contract.K* [*intro!*] *contract.S* [*intro!*]

lemmas *reduction-rls* =
contract.K [*THEN rtrancl-into-rtrancl2*]
contract.S [*THEN rtrancl-into-rtrancl2*]
contract.Ap1 [*THEN rtrancl-into-rtrancl2*]
contract.Ap2 [*THEN rtrancl-into-rtrancl2*]

lemma $p \in \text{comb} \implies I \cdot p \rightarrow p$
— Example only: not used
<proof>

lemma *comb-I*: $I \in \text{comb}$
<proof>

12.4 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases *K-contractE* [*elim!*]: $K \rightarrow^1 r$

and $S\text{-contractE}$ [elim!]: $S \rightarrow^1 r$
and $Ap\text{-contractE}$ [elim!]: $p \cdot q \rightarrow^1 r$

lemma $I\text{-contract-E}$: $I \rightarrow^1 r \implies P$
 ⟨proof⟩

lemma $KI\text{-contractD}$: $K \cdot p \rightarrow^1 r \implies (\exists q. r = K \cdot q \ \& \ p \rightarrow^1 q)$
 ⟨proof⟩

lemma $Ap\text{-reduce1}$: $[[p \rightarrow q; r \in \text{comb}]] \implies p \cdot r \rightarrow q \cdot r$
 ⟨proof⟩

lemma $Ap\text{-reduce2}$: $[[p \rightarrow q; r \in \text{comb}]] \implies r \cdot p \rightarrow r \cdot q$
 ⟨proof⟩

Counterexample to the diamond property for \rightarrow^1 .

lemma $KIII\text{-contract1}$: $K \cdot I \cdot (I \cdot I) \rightarrow^1 I$
 ⟨proof⟩

lemma $KIII\text{-contract2}$: $K \cdot I \cdot (I \cdot I) \rightarrow^1 K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I))$
 ⟨proof⟩

lemma $KIII\text{-contract3}$: $K \cdot I \cdot ((K \cdot I) \cdot (K \cdot I)) \rightarrow^1 I$
 ⟨proof⟩

lemma $\text{not-diamond-contract}$: $\neg \text{diamond}(\text{contract})$
 ⟨proof⟩

12.5 Results about Parallel Contraction

For type checking: replaces $a \Rightarrow^1 b$ by $a, b \in \text{comb}$

lemmas $\text{parcontract-combE2} = \text{parcontract.dom-subset}$ [THEN subsetD, THEN SigmaE2]

and $\text{parcontract-combD1} = \text{parcontract.dom-subset}$ [THEN subsetD, THEN SigmaD1]

and $\text{parcontract-combD2} = \text{parcontract.dom-subset}$ [THEN subsetD, THEN SigmaD2]

lemma $\text{field-parcontract-eq}$: $\text{field}(\text{parcontract}) = \text{comb}$
 ⟨proof⟩

Derive a case for each combinator constructor.

inductive-cases

$K\text{-parcontractE}$ [elim!]: $K \Rightarrow^1 r$
and $S\text{-parcontractE}$ [elim!]: $S \Rightarrow^1 r$
and $Ap\text{-parcontractE}$ [elim!]: $p \cdot q \Rightarrow^1 r$

declare $\text{parcontract.intros}$ [intro]

12.6 Basic properties of parallel contraction

lemma *K1-parcontractD* [*dest!*]:

$K \cdot p \Rightarrow^1 r \implies (\exists p'. r = K \cdot p' \ \& \ p \Rightarrow^1 p')$
<proof>

lemma *S1-parcontractD* [*dest!*]:

$S \cdot p \Rightarrow^1 r \implies (\exists p'. r = S \cdot p' \ \& \ p \Rightarrow^1 p')$
<proof>

lemma *S2-parcontractD* [*dest!*]:

$S \cdot p \cdot q \Rightarrow^1 r \implies (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p \Rightarrow^1 p' \ \& \ q \Rightarrow^1 q')$
<proof>

lemma *diamond-parcontract*: *diamond(parcontract)*

— Church-Rosser property for parallel contraction
<proof>

Equivalence of $p \rightarrow q$ and $p \Rightarrow q$.

lemma *contract-imp-parcontract*: $p \rightarrow^1 q \implies p \Rightarrow^1 q$

<proof>

lemma *reduce-imp-parreduce*: $p \rightarrow q \implies p \Rightarrow q$

<proof>

lemma *parcontract-imp-reduce*: $p \Rightarrow^1 q \implies p \rightarrow q$

<proof>

lemma *parreduce-imp-reduce*: $p \Rightarrow q \implies p \rightarrow q$

<proof>

lemma *parreduce-iff-reduce*: $p \Rightarrow q \iff p \rightarrow q$

<proof>

end

13 Primitive Recursive Functions: the inductive definition

theory *Primrec* **imports** *ZF* **begin**

Proof adopted from [4].

See also [2, page 250, exercise 11].

13.1 Basic definitions

definition

SC :: *i* **where**

$SC == \lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{succ}(x), l)$

definition

$CONSTANT :: i \Rightarrow i$ **where**
 $CONSTANT(k) == \lambda l \in \text{list}(\text{nat}). k$

definition

$PROJ :: i \Rightarrow i$ **where**
 $PROJ(i) == \lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. x, \text{drop}(i,l))$

definition

$COMP :: [i,i] \Rightarrow i$ **where**
 $COMP(g,fs) == \lambda l \in \text{list}(\text{nat}). g \text{ ' } \text{map}(\lambda f. f^l, fs)$

definition

$PREC :: [i,i] \Rightarrow i$ **where**
 $PREC(f,g) ==$
 $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0,$
 $\lambda x \text{ xs}. \text{rec}(x, f^x, \lambda y r. g \text{ ' } \text{Cons}(r, \text{Cons}(y, xs))), l)$
— Note that g is applied first to $PREC(f, g) \text{ ' } y$ and then to $y!$

consts

$ACK :: i \Rightarrow i$

primrec

$ACK(0) = SC$
 $ACK(\text{succ}(i)) = PREC (CONSTANT (ACK(i) \text{ ' } [1]), COMP(ACK(i), [PROJ(0)]))$

abbreviation

$ack :: [i,i] \Rightarrow i$ **where**
 $ack(x,y) == ACK(x) \text{ ' } [y]$

Useful special cases of evaluation.

lemma SC : $[[x \in \text{nat}; l \in \text{list}(\text{nat})]] \Rightarrow SC \text{ ' } (\text{Cons}(x,l)) = \text{succ}(x)$
<proof>

lemma $CONSTANT$: $l \in \text{list}(\text{nat}) \Rightarrow CONSTANT(k) \text{ ' } l = k$
<proof>

lemma $PROJ-0$: $[[x \in \text{nat}; l \in \text{list}(\text{nat})]] \Rightarrow PROJ(0) \text{ ' } (\text{Cons}(x,l)) = x$
<proof>

lemma $COMP-1$: $l \in \text{list}(\text{nat}) \Rightarrow COMP(g,[f]) \text{ ' } l = g \text{ ' } [f^l]$
<proof>

lemma $PREC-0$: $l \in \text{list}(\text{nat}) \Rightarrow PREC(f,g) \text{ ' } (\text{Cons}(0,l)) = f^l$
<proof>

lemma $PREC\text{-succ}$:

$[[x \in \text{nat}; l \in \text{list}(\text{nat})]]$

$==> \text{PREC}(f,g) \text{ ' } (\text{Cons}(\text{succ}(x),l)) =$
 $g \text{ ' } \text{Cons}(\text{PREC}(f,g) \text{ ' } (\text{Cons}(x,l)), \text{Cons}(x,l))$
 <proof>

13.2 Inductive definition of the PR functions

consts

prim-rec :: *i*

inductive

domains *prim-rec* \subseteq *list*(*nat*) \rightarrow *nat*

intros

SC \in *prim-rec*

k \in *nat* $==>$ *CONSTANT*(*k*) \in *prim-rec*

i \in *nat* $==>$ *PROJ*(*i*) \in *prim-rec*

$[[g \in \text{prim-rec}; fs \in \text{list}(\text{prim-rec})]] ==> \text{COMP}(g,fs) \in \text{prim-rec}$

$[[f \in \text{prim-rec}; g \in \text{prim-rec}]] ==> \text{PREC}(f,g) \in \text{prim-rec}$

monos *list-mono*

con-defs *SC-def* *CONSTANT-def* *PROJ-def* *COMP-def* *PREC-def*

type-intros *nat-typechecks* *list.intros*

lam-type *list-case-type* *drop-type* *map-type*

apply-type *rec-type*

lemma *prim-rec-into-fun* [*TC*]: *c* \in *prim-rec* $==>$ *c* \in *list*(*nat*) \rightarrow *nat*
 <proof>

lemmas [*TC*] = *apply-type* [*OF prim-rec-into-fun*]

declare *prim-rec.intros* [*TC*]

declare *nat-into-Ord* [*TC*]

declare *rec-type* [*TC*]

lemma *ACK-in-prim-rec* [*TC*]: *i* \in *nat* $==>$ *ACK*(*i*) \in *prim-rec*
 <proof>

lemma *ack-type* [*TC*]: $[[i \in \text{nat}; j \in \text{nat}]] ==> \text{ack}(i,j) \in \text{nat}$
 <proof>

13.3 Ackermann's function cases

lemma *ack-0*: *j* \in *nat* $==>$ *ack*(0,*j*) = *succ*(*j*)
 — PROPERTY A 1
 <proof>

lemma *ack-succ-0*: *ack*(*succ*(*i*), 0) = *ack*(*i*,1)
 — PROPERTY A 2
 <proof>

lemma *ack-succ-succ*:

$[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(\text{succ}(i), \text{succ}(j)) = \text{ack}(i, \text{ack}(\text{succ}(i), j))$
 — PROPERTY A 3
 $\langle \text{proof} \rangle$

lemmas $[\text{simp}] = \text{ack-0 ack-succ-0 ack-succ-succ ack-type}$
and $[\text{simp del}] = \text{ACK.simps}$

lemma $\text{lt-ack2}: i \in \text{nat} \implies j \in \text{nat} \implies j < \text{ack}(i, j)$
 — PROPERTY A 4
 $\langle \text{proof} \rangle$

lemma $\text{ack-lt-ack-succ2}: [[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(i, \text{succ}(j))$
 — PROPERTY A 5-, the single-step lemma
 $\langle \text{proof} \rangle$

lemma $\text{ack-lt-mono2}: [[j < k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(i, k)$
 — PROPERTY A 5, monotonicity for <
 $\langle \text{proof} \rangle$

lemma $\text{ack-le-mono2}: [[j \leq k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) \leq \text{ack}(i, k)$
 — PROPERTY A 5', monotonicity for \leq
 $\langle \text{proof} \rangle$

lemma $\text{ack2-le-ack1}: [[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$
 — PROPERTY A 6
 $\langle \text{proof} \rangle$

lemma $\text{ack-lt-ack-succ1}: [[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$
 — PROPERTY A 7-, the single-step lemma
 $\langle \text{proof} \rangle$

lemma $\text{ack-lt-mono1}: [[i < j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) < \text{ack}(j, k)$
 — PROPERTY A 7, monotonicity for <
 $\langle \text{proof} \rangle$

lemma $\text{ack-le-mono1}: [[i \leq j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) \leq \text{ack}(j, k)$
 — PROPERTY A 7', monotonicity for \leq
 $\langle \text{proof} \rangle$

lemma $\text{ack-1}: j \in \text{nat} \implies \text{ack}(1, j) = \text{succ}(\text{succ}(j))$
 — PROPERTY A 8
 $\langle \text{proof} \rangle$

lemma $\text{ack-2}: j \in \text{nat} \implies \text{ack}(\text{succ}(1), j) = \text{succ}(\text{succ}(\text{succ}(j\#+j)))$
 — PROPERTY A 9
 $\langle \text{proof} \rangle$

lemma *ack-nest-bound*:

$[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$
 $\implies \text{ack}(i1, \text{ack}(i2, j)) < \text{ack}(\text{succ}(\text{succ}(i1 \# + i2)), j)$
 — PROPERTY A 10
 $\langle \text{proof} \rangle$

lemma *ack-add-bound*:

$[[i1 \in \text{nat}; i2 \in \text{nat}; j \in \text{nat}]]$
 $\implies \text{ack}(i1, j) \# + \text{ack}(i2, j) < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(i1 \# + i2))))), j)$
 — PROPERTY A 11
 $\langle \text{proof} \rangle$

lemma *ack-add-bound2*:

$[[i < \text{ack}(k, j); j \in \text{nat}; k \in \text{nat}]]$
 $\implies i \# + j < \text{ack}(\text{succ}(\text{succ}(\text{succ}(\text{succ}(k))))), j)$
 — PROPERTY A 12.
 — Article uses existential quantifier but the ALF proof used $k \# + \#4$.
 — Quantified version must be nested $\exists k'. \forall i, j \dots$
 $\langle \text{proof} \rangle$

13.4 Main result

declare *list-add-type* [simp]

lemma *SC-case*: $l \in \text{list}(\text{nat}) \implies \text{SC} \text{ ' } l < \text{ack}(1, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *lt-ack1*: $[[i \in \text{nat}; j \in \text{nat}]] \implies i < \text{ack}(i, j)$
 — PROPERTY A 4? Extra lemma needed for *CONSTANT* case, constant functions.
 $\langle \text{proof} \rangle$

lemma *CONSTANT-case*:

$[[l \in \text{list}(\text{nat}); k \in \text{nat}]] \implies \text{CONSTANT}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *PROJ-case* [rule-format]:

$l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

COMP case.

lemma *COMP-map-lemma*:

$fs \in \text{list}(\{f \in \text{prim-rec}. \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}). f \text{ ' } l < \text{ack}(kf, \text{list-add}(l))\})$
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}).$
 $\text{list-add}(\text{map}(\lambda f. f \text{ ' } l, fs)) < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *COMP-case*:

$[[kg \in \text{nat};$

$\forall l \in \text{list}(\text{nat}). g^l < \text{ack}(kg, \text{list-add}(l));$
 $fs \in \text{list}(\{f \in \text{prim-rec} .$
 $\quad \exists kf \in \text{nat}. \forall l \in \text{list}(\text{nat}).$
 $\quad \quad f^l < \text{ack}(kf, \text{list-add}(l))\}) \parallel$
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{COMP}(g, fs)^l < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

PREC case.

lemma *PREC-case-lemma*:

$\parallel \forall l \in \text{list}(\text{nat}). f^l \# + \text{list-add}(l) < \text{ack}(kf, \text{list-add}(l));$
 $\quad \forall l \in \text{list}(\text{nat}). g^l \# + \text{list-add}(l) < \text{ack}(kg, \text{list-add}(l));$
 $\quad f \in \text{prim-rec}; \quad kf \in \text{nat};$
 $\quad g \in \text{prim-rec}; \quad kg \in \text{nat};$
 $\quad l \in \text{list}(\text{nat}) \parallel$
 $\implies \text{PREC}(f, g)^l \# + \text{list-add}(l) < \text{ack}(\text{succ}(kf \# + kg), \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *PREC-case*:

$\parallel f \in \text{prim-rec}; \quad kf \in \text{nat};$
 $\quad g \in \text{prim-rec}; \quad kg \in \text{nat};$
 $\quad \forall l \in \text{list}(\text{nat}). f^l < \text{ack}(kf, \text{list-add}(l));$
 $\quad \forall l \in \text{list}(\text{nat}). g^l < \text{ack}(kg, \text{list-add}(l)) \parallel$
 $\implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f, g)^l < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

lemma *ack-bounds-prim-rec*:

$f \in \text{prim-rec} \implies \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f^l < \text{ack}(k, \text{list-add}(l))$
 $\langle \text{proof} \rangle$

theorem *ack-not-prim-rec*:

$(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x xs. \text{ack}(x, x), l)) \notin \text{prim-rec}$
 $\langle \text{proof} \rangle$

end

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