

# SCA Policy Framework

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# 1 Policy Framework

## 1.1 Introduction

The capture and expression of non-functional requirements is an important aspect of service definition and has an impact on SCA throughout the lifecycle of components and compositions. SCA provides a framework to support specification of constraints, capabilities and QoS expectations from component design through to concrete deployment. This specification describes the framework and its usage.

Specifically, this section describes the SCA policy association framework that allows policies and policy subjects specified using [WS-Policy](#) [6] and [WS-PolicyAttachment](#) [7], as well as with other policy languages, to be associated with SCA components.

This document should be read in conjunction with the [SCA Assembly Specification](#)[2]. Details of policies for specific policy domains can be found in sections 1.7, 1.8 and 1.9.

### 1.1.1 XML Namespaces

This specification uses a number of namespace prefixes throughout; they are listed below. Note that the choice of any namespace prefix is arbitrary and not semantically significant.).

Prefixes and Namespaces used in this Specification		
Prefix	XML Namespace	Specification
sca	<a href="http://www.osoa.org/xmlns/sca/1.0">http://www.osoa.org/xmlns/sca/1.0</a> This is assumed to be the default namespace in this specification. xs:QNames that appear without a prefix are from the SCA namespace.	<a href="#">[SCA]</a>
acme	Some namespace; a generic prefix	
wsp	<a href="http://www.w3.org/2006/07/ws-policy">http://www.w3.org/2006/07/ws-policy</a>	<a href="#">[WS-Policy]</a>

## Prefixes and Namespaces used in this Specification

Prefix	XML Namespace	Specification
xs	http://www.w3.org/2001/XMLSchema	<a href="#">[XML Schema Datatypes]</a>

17

18 **1.2 Overview**19 **1.2.1 Policies and PolicySets**

20 The term **Policy** is used to describe some capability or constraint that can be applied to service  
 21 components or to the interactions between service components represented by services and  
 22 references. An example of a policy is that messages exchanged between a service client and a  
 23 service provider be encrypted, so that the exchange is confidential and cannot be read by someone  
 24 who intercepts the conversation.

25 In SCA, services and references can have policies applied to them that affect the form of the  
 26 interaction that takes place at runtime. These are called **interaction policies**.

27 Service components can also have other policies applied to them which affect how the components  
 28 themselves behave within their runtime container. These are called **implementation policies**.

29 How particular policies are provided varies depending on the type of runtime container for  
 30 implementation policies and on the binding type for interaction policies. Some policies may be  
 31 provided as an inherent part of the container or of the binding – for example a binding using the  
 32 https protocol will always provide encryption of the messages flowing between a reference and a  
 33 service. Other policies may be provided by a container or by a binding. It is also possible that some  
 34 kinds of container or kinds of binding may be incapable of providing a particular policy at all. In  
 35 SCA, policies are held in **policySets**, which may contain one or many policies, expressed in some  
 36 concrete form, such as WS-Policy assertions. Each policySet targets a specific binding type or a  
 37 specific implementation type.

38 PolicySets are used to apply particular policies to a component or to the binding of a service or  
 39 reference, through configuration information attached to a component or attached to a composite.

40 For example, a service can have a policy applied that requires all interactions (messages) with the  
 41 service to be encrypted. A reference which is wired to that service must be able to support sending  
 42 and receiving messages using the specified encryption technology if it is going to use the service  
 43 successfully.

44 In summary, a service presents a set of interaction policies which it requires the references to use.  
 45 In turn, each reference has a set of policies which define how it is capable of interacting with any  
 46 service to which it is wired. An implementation or component can describe its requirements through  
 47 a set of attached implementation policies.

## 48 **1.2.2 Intents describe the requirements of Components, Services and References**

49 SCA *intents* are used to describe the abstract policy requirements of a component or the  
 50 requirements of interactions between components represented by services and references. Intents  
 51 provide a means for the developer and the assembler to state these requirements in a high-level  
 52 abstract form, independent of the detailed configuration of the runtime and bindings which is the  
 53 role of application deployer. Intents support the late binding of services and references to particular  
 54 SCA bindings, since they assist the deployer in choosing appropriate bindings and concrete policies  
 55 which satisfy the abstract requirements expressed by the intents.

56 It is possible in SCA to directly attach policies to a service, to a reference or to a component at any  
 57 time during the creation of an assembly, through the configuration of bindings and the attachment  
 58 of policy sets. Attachment may be done by the developer of a component at the time when the  
 59 component is written or later at deployment time. SCA recommends a late binding model where the  
 60 bindings and the concrete policies for a particular assembly are decided at deployment time. SCA  
 61 favors the late binding approach since it promotes re-use of components. It allows the use of  
 62 components in new application contexts which may require the use of different bindings and  
 63 different concrete policies. Forcing early decisions on which bindings and policies to use is likely to  
 64 limit re-use and limit the ability to use a component in a new context.

65 For example, in the case of authentication, a service which requires its messages to be  
 66 authenticated can be marked with an intent "**authentication**". This intent marks the service as  
 67 requiring message authentication capability without being prescriptive about how it is achieved. At  
 68 deployment time, when the binding is chosen for the service (say SOAP over HTTP), the deployer  
 69 can apply suitable policies to the service which provide aspects of WS-Security and which supply a  
 70 group of one or more authentication technologies.

71 In many ways, intents can be seen as restricting choices at deployment time. If a service is marked  
 72 with the **confidentiality** intent, then the deployer must use a policySet that provides for the  
 73 encryption of the messages.

74 The set of intents available to developers and assemblers can be extended arbitrarily by policy  
 75 administrators. The SCA Policy Framework specification does define a set of intents which address  
 76 the infrastructure capabilities relating to security reliable messaging.

## 77 **1.2.3 Determining which policies apply to a particular wire**

78 In order for a reference to connect to a particular service, the policies of the reference must  
 79 intersect with the policies of the service.

80 Multiple policies may be attached to both services and to references. Where there are multiple  
 81 policies, they may be organized into policy domains, where each domain deals with some particular  
 82 aspect of the interaction. An example of a policy domain is confidentiality, which covers the  
 83 encryption of messages sent between a reference and a service. Each policy domain may have one  
 84 or more policy. Where multiple policies are present for a particular domain, they represent  
 85 alternative ways of meeting the requirements for that domain. For example, in the case of message

86 integrity, there could be a set of policies, where each one deals with a particular security token to be  
87 used: X509, SAML, Kerberos. Any one of the tokens may be used - they will all ensure that the  
88 overall goal of message integrity is achieved.

89 In order for a service to be accessed by a wide range of clients, it is good practice for the service to  
90 support multiple alternative policies within a particular domain. So, if a service requires message  
91 confidentiality, instead of insisting on one specific encryption technology, the service can have a  
92 policySet which has a host of alternative encryption technologies, any of which are acceptable to the  
93 service. Equally, a reference can have a policySet attached which defines the range of encryption  
94 technologies which it is capable of using. Typically, the set of policies used for a given domain will  
95 reflect the capabilities of the binding and of the runtime being used for the service and for the  
96 reference.

97 When a service and a reference are wired together, the policies declared by the policySets at each  
98 end of the wire are matched to each other. SCA does not define how policy matching is done, but  
99 instead delegates this to the policy language (e.g. WS-Policy) used for the binding. For example,  
100 where WS-Policy is used as the policy language, the matching procedure looks at each domain in  
101 turn within the policy sets and looks for 1 or more policies which are in common between the service  
102 and the reference. When only one match is found, that policy is used. Where multiple matches are  
103 found, then the SCA runtime can choose to use any one of the matching policies. No match implies  
104 that the wire cannot be used - it is an error.

105

## 106 **1.3 Framework Model**

107 The SCA Policy Framework model is comprised of *intents* and *policySets*. Intents represent  
108 abstract assertions and Policy Sets contain concrete policies that may be applied to SCA bindings  
109 and implementations. The framework describes how intents are related to PolicySets. It also  
110 describes how intents and Policy Sets are utilized to express the constraints that govern the  
111 behavior of SCA bindings and implementations. Both intents and policySets may be used to specify  
112 QoS requirements on services and references.

113 The following section describes the Framework Model and illustrates it using Interaction Policies.  
114 Implementation Policies follow the same basic model and are discussed later in section 1.5.

### 115 **1.3.1 Intents**

116 As discussed earlier, an *intent* is an abstract assertion about a specific Quality of Service (QoS)  
117 characteristic that is expressed independently of any particular implementation technology. An intent  
118 is thus used to describe the desired runtime characteristics of an SCA construct. Intents are  
119 typically defined by a policy administrator. See section [\[Policy Administrator\]](#) for a more detailed  
120 description of the SCA roles with respect to Policy concepts, their definition and their use. The  
121 semantics of an intent may not be always available normatively, but could be expressed with  
122 documentation that is available and accessible.

123 For example, an intent named **integrity** may be specified to signify that communications should be  
124 protected from possible tampering. This specific intent may be declared as a requirement by some  
125 SCA artifacts, i.e. a reference. Note that this intent can be satisfied by a variety of bindings and with  
126 many different ways of configuring those bindings. Thus, the reference where the intent is expressed

127 as a requirement could eventually be wired using either a web service binding (SOAP over HTTP) or  
 128 with an EJB binding that communicates with an EJB via RMI/IIOP.

129 Intents can be used to express requirements for *interaction policies* or *implementation policies*.  
 130 The **integrity** intent in the above example is used to express an interaction policy. Interaction  
 131 policies are intents that are typically applied to a *service* or *reference*. They are meant to govern  
 132 the communication between a client and a service provider. Intents may be applied to SCA  
 133 component implementations as *implementation policies*. These intents specify the qualities of  
 134 service that should be provided by a container as it runs the component. An example of such an  
 135 intent could be a requirement that the component must run in a transaction.

136 An intent is defined using the following pseudo-schema:

```
137 <intent name="NCName"
138         constrains="listOfQNames"
139         requires="listOfQNames"? >
140   <description>
141     <!-- description of the intent -->
142   </description>
143 </intent>
```

144 Where

- 145 • @name attribute defines the name of the intent
- 146 • @constrains attribute (optional) specifies the SCA constructs (SCA binding or  
 147 implementation) that this intent is meant to configure. If a value is not specified, it is  
 148 assumed that this intent is a qualified intent and inherits its constraint list from the qualifiable  
 149 intent it is qualifying (see below). This attribute does not define the valid attach points of the  
 150 intent.

151 Note that the “constrains” attribute may name an abstract element type, such as sca:binding  
 152 in our running example. This means that it will match against any binding used within a  
 153 SCDL file. A SCDL element may match @constrains if its type is in a substitution group.

- 154 • @requires attribute (optional) defines the set of all intents that the referring intent requires.  
 155 In essence, the referring intent requires all the intents named to be satisfied. This attribute is  
 156 used to compose an intent from a set of other intents. This use is further described in Section  
 157 1.3.2 below.

158 The **confidentiality** intent may be defined as:

```
159 <intent name="confidentiality" constrains="sca:binding">
160   <description>
161     Communication through this binding must prevent
162     unauthorized users from reading the messages.
163   </description>
164 </intent>
```

165 For convenience and conciseness, it is often desirable to declare a single, higher-level intent to  
 166 denote a requirement that could be satisfied by one of a number of lower-level intents. For example,  
 167 the **confidentiality** intent requires either message-level encryption or transport-level encryption.

168 Both of these are abstract intents because the representation of the configuration necessary to  
 169 realize these two kinds of encryption could vary from binding to binding, and each would also require  
 170 additional parameters for configuration.

171 An intent that can be completely satisfied by one of a choice of lower-level intents is referred to as a  
 172 *qualifiable intent*. In order to express such intents, an intent name may contain a qualifier, ".". An  
 173 intent that includes the name of a qualifiable intent in its name is referred to as a *qualified intent*,  
 174 because it is "qualifying" how the qualifiable intent is satisfied. A qualified intent can only qualify  
 175 one qualifiable intent, so the name of the qualified intent includes the name of the qualifiable intent  
 176 as a prefix (separated by "."), for example, **authentication.message**. See [Usage of @requires](#)  
 177 [attribute for specifying intents](#)

178

179 In general, SCA allows the developer or assembler to attach multiple qualifiers for a single  
 180 qualifiable intent to the same SCA construct. However, domain-specific constraints may prevent the  
 181 use of some combinations of qualifiers (from the same qualifiable intent). Because qualified intents  
 182 include the name of the qualifiable intent, the qualifiable intent definition does not need to list its  
 183 valid qualifiers. The set of all qualified intents defined for that qualifiable intent determines the list  
 184 of valid qualifiers. This is illustrated by adding two additional intents to our example called  
 185 **confidentiality.transport** and **confidentiality.message**. Note that the original intent definition  
 186 for **confidentiality** does not change.

187 Further, the @constrains attribute of a qualified intent is unnecessary because qualified intents  
 188 inherit the @constrains attribute from the qualifiable intent. It is an error to specify @constrains in  
 189 the definition of a qualified intent. The following are definitions of the transport and message  
 190 qualifiers of the **confidentiality** intent.

```
191 <intent name="confidentiality.transport" />
192 <intent name="confidentiality.message" />
```

193 All the intents in a SCA Domain are defined in a global, domain-wide file named definitions.xml.  
 194 Details of this file are described in the [SCA Assembly Model](#) [2].

195 SCA normatively defines a set of core intents that all SCA implementations are expected to support,  
 196 to ensure a minimum level of portability. Users of SCA may define new intents, or extend the  
 197 qualifier set of existing intents.

### 198 1.3.2 Profile Intents

199 An intent that is satisfied only by satisfying *all* of a set of other intents is called a **profile intent**. It  
 200 can be used in the same way as any other intent.

201 The presence of @requires attribute in the intent definition signifies that this is a profile intent. The  
 202 @requires attribute may include all kinds of intents, including qualified intents and other profile  
 203 intents. However, while a profile intent can include qualified intents, it cannot BE a qualified intent  
 204 (so its name must not have "." in it).

205 Requiring a profile intent is always semantically identical to requiring the list of intents that are listed  
 206 in its @requires attribute.

207 An example of a profile intent could be an intent called **messageProtection** which is a shortcut for  
 208 specifying both **confidentiality** and **integrity**, where **integrity** means to protect against  
 209 modification, usually by signing. The intent definition could look like the following:

```
210 <intent name="messageProtection"
211         constrains="sca:binding"
212         requires="confidentiality integrity">
213     <description>
214         Protect messages from unauthorized reading or
215         modification.
216     </description>
217 </intent>
```

### 218 1.3.3 PolicySets

219 A **policySet** element is used to define a set of concrete policies that apply to some binding type or  
 220 implementation type, and which correspond to a set of intents provided by the policySet.

221 The structure of the PolicySet element is as follows:

- 222 • The @name attribute declares a name for the policySet. The value of the @name attribute is  
 223 a xs:QName.
- 224 • The @appliesTo attribute is used to determine which SCA constructs this policySet can  
 225 configure. The contents of the attribute must match the XPath 1.0 production [Expr](#).
- 226 • The @provides attribute, whose value is a list of intent names (that may or may not be  
 227 qualified), designates the intents the PolicySet provides. Members of the list are xs:string  
 228 values separated by a space character " ".

229 It contains one or more of the following element children

- 230 • intentMap element
- 231 • policySetReference element
- 232 • wsp:PolicyAttachment element
- 233 • wsp:Policy element
- 234 • wsp:PolicyReference element
- 235 • xs:any extensibility element

236 Any mix of the above types of elements, in any number, can be included as children of the policySet  
 237 element including extensibility elements. There are likely to be many different policy languages for  
 238 specific binding technologies and domains. In order to allow the inclusion of any policy language  
 239 within a policySet, the extensibility elements may be from any namespace and may be intermixed.  
 240 However, the SCA policy framework expects that WS-Policy will be a common policy language for  
 241 expressing interaction policies, especially for Web Service bindings. For this reason,  
 242 wsp:PolicyAttachment is explicitly included in the schema for clarity.

243 The pseudo schema for policySet is shown below:

```

244 <policySet name="NCName"
245           provides="listOfQNames"?
246           appliesTo="xs:string"
247           xmlns="http://www.osea.org/xmlns/sca/1.0"
248           xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy">
249   <policySetReference name="xs:QName"/>*
250   <intentMap/>*
251   <wsp:PolicyAttachment>*
252   <wsp:Policy>*
253   <wsp:PolicyReference>*
254   <xs:any>*
255 </policySet>

```

256 For example, the policySet element below declares that it provides **authentication.message** and  
 257 **reliability** for the "binding.ws" SCA binding.

```

258 <policySet name="SecureReliablePolicy"
259           provides="authentication.message exactlyOne"
260           appliesTo="sca:binding.ws"
261           xmlns="http://www.osea.org/xmlns/sca/1.0"
262           xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy">
263   <wsp:PolicyAttachment>
264     <!-- policy expression and policy subject for
265           "basic authentication" -->
266     ...
267   </wsp:PolicyAttachment>
268   <wsp:PolicyAttachment>
269     <!-- policy expression and policy subject for
270           "reliability" -->
271     ...
272   </wsp:PolicyAttachment>
273 </policySet>
274

```

275 PolicySet authors should be aware of the evaluation of the @appliesTo attribute in order to designate  
 276 meaningful values for this attribute. Although policySets may be attached to any element in the SCA  
 277 design, the applicability of a policySet is not scoped by where it is attached in the SCA framework.  
 278 Rather, policySets always apply to either binding instances or implementation elements regardless of  
 279 where they are attached to. In this regard, the SCA policy framework does not scope the  
 280 applicability of the policySet to a specific attachment point in contrast to other frameworks, such as  
 281 WS-Policy. Attachment is a shorthand.

282 With this design principle in mind, an XPath expression that is the value of an @appliesTo attribute  
 283 designates what a policySet applies to. Note that the XPath expression will always be evaluated  
 284 within the context of an attachment considering elements where binding instances or  
 285 implementations are allowed to be present. The expression is evaluated against *the parent element*  
 286 *of any binding or implementation element*. The policySet will apply to any child binding or  
 287 implementation elements returned from the expression. So, for example, appliesTo="binding.ws"  
 288 will match any web service binding. If appliesTo="binding.ws[@impl='axis']" then the policySet  
 289 would apply only to web service bindings that have an @impl attribute with a value of 'axis'.

290 For further discussion on attachment of policySets and the computation of applicable policySets,  
291 please refer to Section 1.4.

292 All the policySets in a SCA Domain are defined in a global, domain-wide file named definitions.xml.  
293 Details of this file are described in the [SCA Assembly Model](#) [2].

294 SCA may normatively define a set of core policySets that all SCA implementations are expected to  
295 support, to ensure a minimum level of portability. Users of SCA may define new policySets as  
296 needed.

### 297 **1.3.3.1 IntentMaps**

298 Intent maps contain the concrete policies and policy subjects that are used to realize a specific intent  
299 that is provided by the policySet.

300 The pseudo-schema for intentMaps is given below:

```
301 <intentMap provides="xs:QName"
302         default="xs:string">
303     <qualifier name="xs:string"?>
304         <wsp:PolicyAttachment>*
305         ...
306         </wsp:PolicyAttachment>
307         <xs:any>*
308         <intentMap/> ?
309     </qualifier>
310 </intentMap>
311
```

312 When a policySet element contains a set of intentMap elements, the value of the @provides attribute  
313 of each intentMap corresponds to an unqualified intent that is listed within the @provides attribute  
314 value of the parent policySet element.

315 If a policySet specifies a qualifiable intent in the @provides attribute, then it MUST include an  
316 intentMap element that specifies all possible qualifiers for that intent. If a qualified intent can be  
317 further qualified, then the qualifier element must also contain an intentMap.

318 For each intent (qualified or unqualified) listed as a member of the @provides attribute list of a  
319 policySet element, there may be at most one corresponding intentMap element that declares the  
320 unqualified form of that intent in its @provides attribute. In other words, each intentMap within a  
321 given policySet must uniquely provide for a specific intent.

322 The @provides attribute value of each intentMap that is an immediate child of a policySet must be  
323 included in the @provides attribute of the parent policySet.

324 An intentMap element must contain qualifier element children. Each qualifier element corresponds to  
325 a qualified intent where the unqualified form of that intent is the value of the @provides attribute  
326 value of the parent intentMap. The qualified intent is either included explicitly in the value of the  
327 enclosing policySet's @provides attribute or implicitly by that @provides attribute including the  
328 unqualified form of the intent.

329 A qualifier element designates a set of concrete policy attachments that correspond to a qualified  
 330 intent. The concrete policy attachments may be specified using `wsp:PolicyAttachment` element  
 331 children or using extensibility elements specific to an environment.

332 The default attribute of an `intentMap` must correspond to a qualified intent that is named on one of  
 333 the child qualifier elements. This is used when the unqualified form of the intent has been specified  
 334 as a requirement. The relationship between intents and `policySets`, and their use within SCDL is  
 335 explained in more detail in section 1.5.

336 As an example, the `policySet` element below declares that it provides **confidentiality** using the  
 337 `@provides` attribute. The alternatives (transport and message) it contains each specify the policy  
 338 and policy subject they provide. The default is "transport".

```

339 <policySet name="SecureMessagingPolicies"
340           provides="confidentiality"
341           appliesTo="binding.ws"
342           xmlns="http://www.oesa.org/xmlns/sca/1.0"
343           xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy">
344   <intentMap provides="confidentiality"
345             default="transport">
346     <qualifier name="transport">
347       <wsp:PolicyAttachment>
348         <!-- policy expression and policy subject for
349          "transport" alternative -->
350         ...
351       </wsp:PolicyAttachment>
352     <wsp:PolicyAttachment>
353       ...
354     </wsp:PolicyAttachment>
355   </intentMap>
356   <qualifier name="message">
357     <wsp:PolicyAttachment>
358       <!-- policy expression and policy subject for
359        "message" alternative -->
360       ...
361     </wsp:PolicyAttachment>
362   </qualifier>
363 </policySet>
364 </policySet>

```

365 `PolicySets` can embed policies that are defined in any policy language. Although WS-Policy is the  
 366 most common language for expressing interaction policies, it is possible to use other policy  
 367 languages. The following is an example of a `policySet` that embeds a policy defined in a proprietary  
 368 language. This policy provides "authentication" for `binding.ws`.

```

369 <policySet name="AuthenticationPolicy"
370           provides="authentication"
371           appliesTo="binding.ws"
372           xmlns="http://www.oesa.org/xmlns/sca/1.0">
373   <e:policyConfiguration xmlns:e="http://example.com">
374     <e:authentication type="X509"/>
375     <e:trustedCAStore type="JKS"/>
376     <e:keyStoreFile>Foo.jks</e:keyStoreFile>
377   </e:policyConfiguration>

```

```

378         <e:keyStorePassword>123</e:keyStorePassword>
379     </e:authentication>
380 </e:policyConfiguration>
381 </policySet>

```

382 The following example illustrates an intent map that defines policies for an intent with more than  
 383 one level of qualification.

```

384 <policySet name="SecurityPolicy" provides="confidentiality">
385     <intentMap provides="confidentiality" default="message">
386         <qualifier name="message">
387             <intentMap provides="message" default="whole">
388                 <qualifier name="body">
389                     --- policy attachment for body encryption
390                 </qualifier>
391                 <qualifier name="whole">
392                     --- policy attachment for whole message encryption
393                 </qualifier>
394             </intentMap>
395         </qualifier>
396         <qualifier name="transport">
397             --- policy attachment for transport encryption
398         </qualifier>
399     </intentMap>
400 </policySet>
401

```

### 402 **1.3.3.2 Direct Inclusion of Policies within PolicySets**

403 In cases where there is no need for defaults or overriding for an intent included in the @provides of  
 404 a policySet, the policySet element may contain policies or policy attachment elements directly  
 405 without the use of intentMaps or policy set references. There are two ways of including policies  
 406 directly within a policySet. Either the policySet contains one or more wsp:policyAttachment elements  
 407 directly as children or it contains extension elements (using xs:any) that contain concrete policies.

408 When a policySet element directly contains wsp:policyAttachment children or policies using  
 409 extension elements, it is assumed that the set of policies specified as children satisfy the intents  
 410 expressed using the @provides attribute value of the policySet element. The intent names in the  
 411 @provides attribute of the policySet may include names of profile intents.

### 412 **1.3.3.3 Policy Set References**

413 A policySet may refer to other policySets by using sca:PolicySetReference element. This provides a  
 414 recursive inclusion capability for intentMaps, policy attachments or other specific mappings from  
 415 different domains.

416 When a policySet element contains policySetReference element children, the @name attribute of a  
 417 policySetReference element designates a policySet defined with the same value for its @name  
 418 attribute. Therefore, the @name attribute must be a QName.

419 The @appliesTo attribute of a referenced policySet must be compatible with that of the policySet  
420 referring to it. Compatibility, in the simplest case, is string equivalence of the binding names.

421 The @provides attribute of a referenced policySet must include intent values that are compatible  
422 with one of the values of the @provides attribute of the referencing policySet. A compatible intent  
423 either is a value in the referencing policySet's @provides attribute values or is a qualified value of  
424 one of the intents of the referencing policySet's @provides attribute value.

425 The usage of a policySetReference element indicates a copy of the element content children of the  
426 policySet that is being referred is included within the referring policySet. If the result of inclusion  
427 results in a reference to another policySet, the inclusion step is repeated until the contents of a  
428 policySet does not contain any references to other policySets.

429 Note that, since the attributes of a referenced policySet are effectively removed/ignored by this  
430 process, it is the responsibility of the author of the referring policySet to include any necessary  
431 intents in the @provides attribute if the policySet is to correctly advertise its aggregate capabilities.

432 The default values when using this aggregate policySet come from the defaults in the included  
433 policySets. A single intent (or all qualified intents that comprise an intent) in a referencing policySet  
434 must only be included once by using references to other policySets.

435 Here is an example to illustrate the inclusion of two other policySets in a policySet element:

```
436 <policySet name="BasicAuthMsgProtSecurity"
437           provides="authentication confidentiality"
438           appliesTo="binding.ws"
439           xmlns="http://www.oesa.org/xmlns/sca/1.0">
440     <policySetReference name="acme:AuthenticationPolicies"/>
441     <policySetReference name="acme:ConfidentialityPolicies"/>
442 </policySet>
```

443 The above policySet refers to policySets for **authentication** and **confidentiality** and, by reference,  
444 provides policies and policy subject alternatives in these domains.

445 If the policySets referred to have the following content:

```
446 <policySet name="AuthenticationPolicies"
447           provides="authentication"
448           appliesTo="binding.ws"
449           xmlns="http://www.oesa.org/xmlns/sca/1.0">
450     <wsp:PolicyAttachment>
451       <!-- policy expression and policy subject for "basic
452         authentication" -->
453       ...
454     </wsp:PolicyAttachment>
455 </policySet>
456
457 <policySet name="acme:ConfidentialityPolicies"
458           provides="confidentiality"
459           bindings="binding.ws"
460           xmlns="http://www.oesa.org/xmlns/sca/1.0">
461     <intentMap provides="confidentiality"
462               default="transport">
```

```

463     <qualifier name="transport">
464         <wsp:PolicyAttachment>
465             <!-- policy expression and policy subject for "transport"
466                 alternative -->
467             ...
468         </wsp:PolicyAttachment>
469     <wsp:PolicyAttachment>
470         ...
471     </wsp:PolicyAttachment>
472 </qualifier>
473 <qualifier name="message">
474     <wsp:PolicyAttachment>
475         <!-- policy expression and policy subject for "message"
476             alternative" -->
477         ...
478     </wsp:PolicyAttachment>
479 </qualifier>
480 </intentMap>
481 </policySet>

```

482 The result of the inclusion of policySets via policySetReferences would be semantically equivalent to  
 483 the following:

```

484 <policySet name="BasicAuthMsgProtSecurity"
485     provides="authentication confidentiality"
486     appliesTo="binding.ws"
487     xmlns="http://www.oesa.org/xmlns/sca/1.0">
488     <wsp:PolicyAttachment>
489         <!-- policy expression and policy subject for "basic authentication" -->
490         ...
491     </wsp:PolicyAttachment>
492
493     <intentMap provides="confidentiality"
494         default="transport">
495         <qualifier name="transport">
496             <wsp:PolicyAttachment>
497                 <!-- policy expression and policy subject for "transport"
498                     alternative -->
499             ...
500             </wsp:PolicyAttachment>
501             <wsp:PolicyAttachment>
502                 ...
503             </wsp:PolicyAttachment>
504         </qualifier>
505         <qualifier name="message">
506             <wsp:PolicyAttachment>
507                 <!-- policy expression and policy subject for "message"
508                     alternative -->
509             ...
510             </wsp:PolicyAttachment>
511         </qualifier>
512     </intentMap>
513 </policySet>

```

514

## 1.4 Attaching Intents and PolicySets to SCA Constructs

This section describes how intents and policySets are associated with SCA constructs. It describes the various attachment points and semantics for intents and policySets and their relationship to other SCA elements and how intents relate to policySets in these contexts.

### 1.4.1 Attachment Rules

Intents can be attached to any SCA element used in the definition of components and composites since an intent specifies an abstract requirement. The attachment is specified by using the optional @requires attribute. This attribute takes as its value a list of intent names.

For example,

```
<service> or <reference>...
  <binding.binding-type requires="listOfQNames"
  </binding.binding-type>...
</service> or </reference>
```

Similarly, one or more policySets can be attached to any SCA element used in the definition of components and composites. The attachment is specified by using the optional @policySets attribute. This attribute takes as its value a list of policySet names.

For example,

```
<service> or <reference>...
  <binding.binding-type policySets="listOfQNames"
  </binding.binding-type>...
</service> or </reference>
```

The SCA Policy framework enables two distinct cases for utilizing intents and PolicySets:

- It is possible to specify QoS requirements by specifying abstract intents utilizing the @requires element on an element at the time of development. In this case, it is implied that the concrete bindings and policies that satisfy the abstract intents will not be assigned at development time but the intents will be used **to select the concrete Bindings and Policies** at deployment time. Concrete policies are encapsulated within policySets that will be available in a deployment environment. The intents associated with a SCA element is the union of intents specified for it and its parent elements subject to the detailed rules below.
- It is also possible to specify QoS requirements for an element by using both intents and concrete policies contained in policySets at development time. In this case, it is possible **to configure the policySets, by overriding the default settings in the specified policySets using intents**. The policySets associated with a SCA element is the union of policySets specified for it and its parent elements subject to the detailed rules below.

When computing the policySets that apply to a particular element, the @appliesTo attribute of each relevant policySet is checked against the element. If the policySet is attached directly to the element and does not apply to that element an error is raised. If a policySet that is attached to an ancestor element does not apply to the element in question, it is simply discarded.

556 These two different approaches of specifying policies will be illustrated in detail below. We also  
 557 discuss how intents are used to guide the selection and application of specific policySets.

## 558 1.4.2 Usage of @requires attribute for specifying intents

559 As indicated, a list of intents can be specified for any SCA element by using the optional @requires  
 560 attribute.

561 Stating intents with the @requires attribute of an element means that those intents are additionally  
 562 required by every relevant element descendent. For example, specifying  
 563 `requires="confidentiality"` on a `<composite>` element is the equivalent to adding the same  
 564 intent to the @requires list of every service and reference that is contained within that composite,  
 565 including the services and references inside components. *Therefore, the computed intents that*  
 566 *apply to a specific element is the union of all intents that are present in the @requires attribute*  
 567 *values of its ancestors that apply to the specific type of element.* This is equivalent to listing an  
 568 intent in the @requires list of all of descendent elements that match one of the `xs:QName` values of  
 569 the @constrains attribute of an intent, taking into account the presence of substitution groups.

570 When computing the intents that apply to a particular element, the @constrains attribute of each  
 571 relevant intent is checked against the element. If the intent in question does not apply to that  
 572 element it is simply discarded.

573 When intents are specified with @requires attribute values of an element during development and no  
 574 policySets are attached to this element, the computed intents for the element are used to select  
 575 appropriate policySets during deployment. The intents specified for an element are also used to  
 576 determine a specific mapping/choice other than the default, should the selected policySet contain  
 577 intentMaps. The developer in this case is not choosing policySets that apply as they will be  
 578 determined, if possible, during a later deployment step.

579 Both qualified intents and their respective qualifiable intents, and profile intents, can be specified as  
 580 values of a @requires attribute. In considering the set of intents that are computed for a specific  
 581 element, however, the following rules must be observed.

- 582 • When the computed values of a @requires attribute includes both the qualified and  
 583 unqualified form of a qualifiable intent, the unqualified form is ignored. For example, assume  
 584 that the **confidentiality** intent uses **confidentiality.transport** as its default when specified  
 585 as part of a PolicySet. Consider the following composite:

```
586 <composite requires="confidentiality">
587   <service name="foo">
588     <reference name="bar"
589       requires="confidentiality.message"/>
590 </composite>
```

591 In this case, the composite has declared that all of its services and references must guarantee  
 592 confidentiality in their communication, but the "bar" reference would further qualify that requirement  
 593 to specifically require message-level security. When the intent is matched with the appropriate  
 594 policySet (by the assembler or deployer) to generate concrete policies that satisfies the intents, the  
 595 "foo" service element will use the default qualifier specified by the PolicySet that is used at  
 596 deployment time while the "bar" reference will use the **confidentiality.message** intent.

597 During policySet selection, it is only possible to override a qualifiable intent that doesn't specify a  
 598 qualifier. Thus, multiple qualifiers MUST NOT be specified for the same qualifiable intent as part of a  
 599 computed intent set.

600 Consider this variation where a qualified intent is specified at the composite level:

```
601 <composite requires="confidentiality.transport">
602   <service name="foo" />
603   <reference name="bar"
604     requires="confidentiality.message"/>
605 </composite>
```

606 In this case, both the **confidentiality.transport** and the **confidentiality.message** intent are  
 607 required for the reference 'bar'. If there are no bindings that support this combination, an error will  
 608 be generated. However, since in some cases multiple qualifiers for the same intent may be valid there  
 609 there may be bindings that support such combinations, the SCA specification allows this.

- 610 • If a component type includes a list of required intents on a service or reference, it is *not*  
 611 possible for a component that uses that component type to remove any of those required  
 612 intents. However, if any of the intents are qualifiable intents, the component MAY specify a  
 613 qualifier for that intent.

614 It is also possible for a qualified intent to be further qualified. In our example, the  
 615 **confidentiality.message** intent may be further qualified to indicate whether just the body of a  
 616 message is protected, or the whole message (including headers) is protected. So, the second-level  
 617 qualifiers might be "body" and "whole". The default qualifier might be "whole". If the "bar"  
 618 reference from the example above wanted only body confidentiality, it would state:

```
619 <reference name="bar"
620   requires="acme:confidentiality.message.body"/>
```

621 The definition of the second level of qualification for an intent follows the same rules. As with other  
 622 qualified intents, the name of the intent is constructed using the name of the qualifiable intent, the  
 623 delimiter ".", and the name of the qualifier.

### 624 1.4.3 Usage of @requires and @policySet attributes together

625 As indicated above, it is possible to attach both intents and policySets to an SCA element during  
 626 development. The most common use cases for attaching both intents and concrete policySets to an  
 627 element are with binding and reference elements.

628 When the @requires attribute and the @policySets attributes are used together during development,  
 629 it indicates the intention of the developer to configure the element, such as a binding, by the  
 630 application of specific policySet(s) that are in scope for this element.

631 Developers using @requires and @policySet attributes in conjunction with each other must be aware  
 632 of the implications of how the policySets are selected and how the intents are utilized to select  
 633 specific intentMaps, override defaults, etc. The details are provided in the Section [Guided Selection  
 634 of PolicySets using Intents](#). *The same algorithm applies whether the intents guide the selection of  
 635 policySets during deployment or whether a developer uses intents to choose the best alternative in a  
 636 set of policySets that may apply by configuring policySets.*

## 637 1.4.4 Operation-Level Intents and PolicySets on Services & References

638 It is possible to specify intents and policySets for a single service or reference operation in a way  
 639 that applies to all the bindings of a service or reference. In this case, the syntax is to specify the  
 640 operation directly under the <sca:service> or <sca:reference> element. The following example  
 641 illustrates the placement of the <sca:operation> element:

```
642 <service> or <reference>
643     <operation name = "xs:string"
644             policySet="xs:QName" ?
645             requires="="listOfQNames"? />
646 </service> or </reference>
```

647

## 648 1.4.5 Operation-Level Intents and PolicySets on Bindings

649 The above mechanism for specifying operation specific required intents and policySets may also be  
 650 applied to bindings. In this case, the syntax would be:

```
651 <service> or <reference>
652     <binding.binding-type
653         requires="list of intent QNames" policySets="listOfQNames">
654         <operation name = "xs:string"
655                 policySets="xs:QName" ?
656                 requires="listOfQNames"? />*
657     </binding.binding-type>
658 </service> or </reference>
```

659 This makes it possible to specify required intents that are specific to one operation for a single  
 660 binding. Similar to operations on implementations, the intents required for the operation are added  
 661 to the effective list of required intents on the binding, and operation-level policySets override  
 662 corresponding policySets specified for the binding (where a "corresponding" policySet @provides at  
 663 least one common intent).

## 664 1.4.6 Intents and PolicySets on Implementations and Component Types

665 It is possible to specify required intents and policySets for a component's implementation, which get  
 666 exposed to SCA through the corresponding *component type*. How the intents or policies are  
 667 specified within an implementation depends on the implementation technology. For example, Java  
 668 can use the @requires annotation to specify intents.

669 The required intents and policySets specified within an implementation can be found on the  
 670 <sca:implementation.\*> and the various <sca:service> and <sca:reference> elements of the  
 671 component type, for example:

```
672 <componentType>
673     <implementation.* requires="listOfQNames"
674             policySets="="listOfQNames">
675         ...
676     </implementation>
677     <service name="myService" requires="listOfQNames"
```

```

678         policySets="listOfQNames">
679         ...
680     </service>
681     <reference name="myReference" requires="listOfQNames"
682         policySets="="listOfQNames">
683         ...
684     </reference>
685     ...
686 </componentType>

```

687 When applying policies, the intents required by the component type are added to the intents  
688 required by the using component. For the explicitly listed policySets, the list in the component may  
689 override policySets from the component type. More precisely, a policySet on the componentType is  
690 considered to be overridden, and is not used, if it has a @provides list that includes an intent that is  
691 also listed in any component policySet @provides list.

## 692 1.4.7 BindingTypes and Related Intents

693 SCA Binding types implement particular communication mechanisms for connecting components  
694 together. [See detailed discussion in the SCA Assembly specification](#) [1]. Some binding types may  
695 realize intents inherently by virtue of the kind of protocol technology they implement (e.g. an SSL  
696 binding would natively support confidentiality). For these kinds of binding types, it may be the case  
697 that using that binding type, without any additional configuration, will provide a concrete realization  
698 of a required intent. In addition, binding instances which are created by configuring a bindingType  
699 may be able to provide some intents by virtue of its configuration. It is important to know, when  
700 selecting a binding to satisfy a set of intents, just what the binding types themselves can provide  
701 and what they can be configured to provide.

702 The bindingType element is used to declare a class of binding available in a SCA Domain. It declares  
703 the QName of the binding type, and the set of intents that are natively provided using the optional  
704 @alwaysProvides attribute. The intents listed by this attribute are always concretely realized by use  
705 of the given binding type. The binding type also declares the intents that it may provide by using  
706 the optional @mayProvide attribute. Intents listed as the value of this attribute can be provided by  
707 a binding instance configured from this binding type.

708 The pseudo-schema for the bindingType element is as follows:

```

709 <bindingType type="NCName"
710     alwaysProvides="listOfQNames"?
711     mayProvide="listOfQNames"?/>

```

712 The kind of intents a given binding might be capable of providing, beyond these inherent intents, are  
713 implied by the presence of policySets that declare the given binding in their @appliesTo attribute. An  
714 exception is binding.sca which is configured entirely by the intents listed in its @mayProvide and  
715 @alwaysProvides lists. There are no policySets with appliesTo="binding.sca".

716 For example, if the following policySet is available in a SCA Domain it says that the sca:binding.ssl  
717 can provide "reliability" in addition to any other intents it may provide inherently.

```

718 <policySet name="ReliableSSL" provides="exactlyOnce"
719     appliesTo="binding.ssl">
720     ...

```

721 `</policySet>`

722

## 723 **1.4.8 Treatment of Components with Internal Wiring**

724 This section discusses the steps involved in the development and deployment of a component and its  
725 relationship to selection of bindings and policies for wiring services and references.

726 The SCA developer starts by defining a component. Typically, this will contain services and  
727 references. It may also have required intents defined at various locations within composite and  
728 component types as well as policySets defined at various locations.

729 Both for ease of development as well as for deployment, the wiring constraints to relate services and  
730 references need to be determined. This is accomplished by matching constraints of the services and  
731 references to those of corresponding references and services in other components.

732 In this process, the required intents, the binding instances, and the policySets that may apply to  
733 both sides of a wire play an important role. It must be possible to find binding instances on each  
734 side of a wire that are compatible with one another. In addition, concrete policies must be  
735 determined that satisfy the required intents for the service and the reference and are also  
736 compatible with each other. For services and references that make use of bidirectional interfaces,  
737 the same determination of matching bindings and policySets must also take place for the  
738 callbackReference and callbackService.

739 Determining compatibility of wiring plays an important role prior to deployment as well as during the  
740 deployment phases of a component. For example, during development, it helps a developer to  
741 determine whether it is possible to wire services and references when the bindings and policySets  
742 are available in the development environment. During deployment, the wiring constraints determine  
743 whether wiring can be achievable. It does also aid in adding additional concrete policies or making  
744 adjustments to concrete policies in order to deliver the constraints. Here are the concepts that are  
745 needed in making wiring decisions:

- 746 • The set of required wiring intents that individually apply to *each* service or reference.
- 747 • When possible the intents that are required by the service, the reference and callback (if any)  
748 at the other end of the wire. This set is called the *required intent set* and is computed and  
749 MAY be used only when dealing with a wire connecting two components within the SCA  
750 Domain. When external connections are involved, from clients or to services that are outside  
751 the SCA domain, intents are only available for the end of the connection that is inside the  
752 domain. See Section "[Preparing Services and References for External Connection](#)" for more  
753 details.
- 754 • The binding instances that apply to each side of the wire.
- 755 • The policySets that apply to each service or reference.

756 There may be many binding instances specified for a reference/service. If there are no binding  
757 instances specified on a service or a reference, then `<sca:binding.sca>` is assumed.

758 The set of *provided intents* for a binding instance is the union of the intents listed in the  
 759 “alwaysProvides” attribute and the “mayProvides” list of of its binding type (although the capabilities  
 760 represented by the “mayProvides” intents will only be present if the intent is in the list of required  
 761 intents for the binding instance). When an intent is directly provided by the binding type, there is no  
 762 need to use policy set that provides that intent.

763 The policySets that apply to a service or reference are determined by starting with the policySets  
 764 that are explicitly specified on that service or reference, adding in the policy sets for any ancestor  
 765 element, and then finding the smallest set of additional policySets that provide the required wiring  
 766 intents that have not already been satisfied inherently by the binding instances. (Please refer to the  
 767 [Guided Selection of PolicySets using Intents](#) for specifics of how the final set of policySets are  
 768 determined. Selection of the policySets utilize the required wiring intents that are computed above.)

769 When bidirectional interfaces are in use, the same selection of binding instances and policySets that  
 770 provide the required intent are also performed for the callback bindings. Determining Wire Validity  
 771 and Configuration

772 The above approach determines the policySets that should be used in conjunction with the binding  
 773 instances listed for services and references. For services and references that are resolved using SCA  
 774 wires, the bindings and policySets chosen on each side of the wire may or may not be compatible.  
 775 The following approach is used to determine whether they are compatible and the wire is valid. If  
 776 the wire uses a bidirectional interface, then the following technique must find that valid configured  
 777 bindings can be found for both directions of the bidirectional interface.

778 Note that there may be many binding instances present at each side of the wire. The wiring  
 779 compatibility algorithm below determines the compatibility of a wire by a pairwise choice of a  
 780 binding instance and the corresponding policySets on each side of the wire.

781 A *potential binding pair* is a pair of binding instances, one on each end of the wire, that have the  
 782 same binding type. Each binding instance in the pair has a set of policy sets that were determined  
 783 by the algorithm of the last section. If any potential binding pair has policySets on each end that  
 784 are *incompatible*, then that pair of binding instances is removed as an option. The compatibility of  
 785 policySets is determined by the policy language contained in the policySets. However, there are  
 786 some special cases worth mentioning:

- 787 • If both sides of the wire use the identical policySet (by referring to the same policySet by its  
 788 QName in both sides of the wire), then they are compatible.
- 789 • If the policySets contain WS-Policy attachments, then the following steps are used to  
 790 determine their compatibility:
  - 791 1) The `sca:policySet`
  - 792 2) Reference elements within the policySet elements are removed recursively by  
 793 replacing each reference with an equivalent policy expression encapsulated with  
 794 `sca:policySet` element.
  - 795 3) The policy expressions within each policy set are normalized using WS-Policy  
 796 normalization rules to obtain a set of alternatives on each side of the wire.

797 4) The resulting policy alternatives from each side of the wire are pairwise tested for  
 798 compatibility using the WS-Policy intersection algorithm. WS-Policy's *strict*  
 799 compatibility should be used by default.

800 5) If the result of the WS-Policy intersection algorithm is non-empty, then the policy sets  
 801 are considered compatible.

802 For other policy languages, the policy language defines the comparison semantics. Where such  
 803 policy languages are standardized by the SCA specifications, the SCA specifications will reference the  
 804 definition of the comparison semantics or, if no such definition exists, the SCA specifications will  
 805 provide a definition.

## 807 1.4.9 Preparing Services and References for External Connection

808 Services and references are sometimes not intended for SCA wiring, but for communication with  
 809 software that is outside of the SCA domain. References may contain bindings that specify the  
 810 endpoint address of a service that exists outside of the current SCA domain. Composite services  
 811 that are deployed to the virtual domain composite specify bindings that can be exposed to clients  
 812 that are outside of the SCA domain. When web service bindings are used, these services also may  
 813 generate WSDL with attached policies that can be accessed by external clients (as described in the  
 814 SCA Web Service Binding specification)

815 Component services and references that have been promoted to composite services and references  
 816 may connect to references and services in another SCA Domain or a non-SCA Domain. This section  
 817 discusses the steps involved in the preparing such a service or reference for external connection.

818 Essentially, this involves generating a WSDL interface for the service/reference and attaching to it  
 819 policies that reflect abstract QoS requirements specified using intents and specific requirements  
 820 using attached policySets. This section will discuss only the generation of policies. Generation of  
 821 the WSDL interface is discussed in specifications for the various bindings, for example, binding.ws.

822 Matching service/reference policies across the SCA Domain boundary will use WS-Policy compatibility  
 823 (strict WS-Policy intersection) if the policies are expressed in WS-Policy syntax. For other policy  
 824 languages, the policy language defines the comparison semantics. Where such policy languages are  
 825 standardized by the SCA specifications, the SCA specifications will reference the definition of the  
 826 comparison semantics or, if no such definition exists, the SCA specifications will provide a definition.

827 For external services and references that make use of bidirectional interfaces, the same  
 828 determination of matching policies must also take place for the callback.

829 The policies that apply to the service/reference are now computed as discussed in [Guided Selection  
 830 of PolicySets using Intents](#).

## 831 1.4.10 Guided Selection of PolicySets using Intents

832 This section describes the selection of concrete policies that satisfy a set of required intents  
 833 expressed for an element. The purpose of the algorithm is to construct the set of concrete policies  
 834 that apply to an element taking into account the explicitly declared policySets that may be attached

835 to an element as well as the policySets available in the SCA Domain that are selected to match a  
836 required intent.

837 **Note: In the following algorithm, the following rule is observed whenever an intent set is**  
838 **computed.**

839 When a profile intent is encountered in either a @requires or @provides attribute, it is  
840 assumed that the profile intent is immediately replaced by the intents that it is composed by,  
841 namely by all the intents that appear in the profile intent's @requires attribute. This rule is  
842 recursively applied until profile intents do not appear in an intent set. [This is stated  
843 generally, in order to not have to restate this processing step at multiple places in the  
844 algorithm].

#### 845 **Algorithm for Matching Intents and PolicySets:**

846 For each element in the composite definition document that is a subtype of the abstract XSD  
847 elements <sca:binding> or <sca:implementation>, including any <sca:binding.sca> elements that  
848 are implied by the lack of other service or reference bindings:

849 A. Calculate the **required intent set** that applies to the target element as follows:

- 850 1. Start with the list of intents specified in the element's @requires attribute.
- 851 2. Add intents found in the @requires attribute of each ancestor element.
- 852 3. If the element is a binding instance and its parent element (service, reference or callback) is  
853 wired, the required intents of the other side of the wire may be added to the intent set when  
854 they are available. This may simplify, or eliminate, the policy matching step later described in  
855 step C.
- 856 4. Remove any intents that do not include the target element's type in their @constrains  
857 attribute.
- 858 5. If the set of intents includes both a qualified version of an intent and an unqualified version of  
859 the same intent, remove the unqualified version from the set.

860 \* *The required intent set now contains all intents that must be provided for the target element.*

861 B. Remove all directly supported intents from the required intent set. Directly supported intents  
862 are:

- 863 • For a binding instance, the intents listed in the @alwaysProvides attribute of the binding type  
864 definition as well as the intents listed in the binding type's @mayProvides attribute that are  
865 selected when the binding instance is configured.
- 866 • For a implementation instance, the intents listed in the @alwaysProvides attribute of the  
867 implementation type definition as well as the intents listed in the implementation type's  
868 @mayProvides attribute that are selected when the implementation instance is configured.

869 .

870

871

872 \* *The remaining required intents must be provided by policySets.*

873

874 C. Calculate the list of explicitly specified policySets that apply to the target element.

875

876 In this calculation, a policySet *applies to* a target element if the XPath expression contained in the  
877 policySet's @appliesTo attribute is **evaluated** against the parent of the **target element** and the result  
878 of the XPath expression includes the **target element**. For example,  
879  
880

881 @appliesTo="binding.ws[@impl='axis']" will match any binding.ws element that has an @impl  
 882 attribute value of 'axis'.

883

884 The list of explicitly specified policySets is calculated as follows:

- 885 1. Start with the list of policySets specified in the element's @policySets attribute.
- 886 2. If any of these explicitly listed policySets does *not* apply to the target element (binding or  
 887 implementation) then the composite is invalid. *The point of this rule is that it must have  
 888 been a mistake to have explicitly listed a policySet on a binding or implementation element  
 889 that cannot apply to that element.*
- 890 3. Include the values of @policySets attributes from ancestor elements.
- 891 4. Remove any policySet where the XPath expression in that policySet's @appliesTo attribute  
 892 does not match the target element. *It is not an error for an element to inherit a policySet  
 893 from an ancestor element which doesn't apply*

894 D. Remove all required intents that are provided by the specified policySets (i.e. all intents from  
 895 each policySets' respective @provides attribute.)

896 \* *The remaining required intents, if any, are provided by finding additional matching policySets  
 897 within the SCA Domain.*

898 E. Choose the smallest collection of additional policySets that match all remaining required intents.

899 A policySet matches a required intent if any of the following are true:

- 900 1. The required intent matches a provides intent in a policySet exactly.
- 901 2. The provides intent is a parent (e.g. prefix) of the required intent (in this case the policySet  
 902 must have an intentMap entry for the requested qualifier)
- 903 3. The provides intent is more qualified than the required intent

904 \* *All intents should now be satisfied.*

905 F. If no collection of policySets covers all required intents, the configuration is not valid.

906 G. If there is not one unique smallest collection of policySets that satisfy all required intents, then  
 907 the composite definition document is not valid. The composite definition must be changed so that  
 908 either it has enough explicit policySets declared that the ambiguity is removed or additional intents  
 909 are added to remove the ambiguity.

910 H. If a required intent is unqualified and matches a policySet that is also unqualified, then the  
 911 intentMap entry for the qualifier that is marked with default="true" should be used.

912 When the configuration is not valid, it means that the required intents are not being correctly  
 913 satisfied. However, an SCA Domain may allow a deployer to force deployment even in the presence  
 914 of such errors. The behaviors and options enforced by a deployer is not specified.

915

## 916 **1.5 Implementation Policies**

917 The basic model for Implementation Policies is very similar to the model for interaction policies  
 918 described above. Abstract QoS requirements, in the form of intents, may be associated with SCA  
 919 component implementations to indicate implementation policy requirements. These abstract  
 920 capabilities are mapped to concrete policies via policySets at deployment time. Alternatively,  
 921 policies can be associated directly with component implementations.

922 The following example shows how intents can be associated with an implementation:

```
923 < component name="xs:NCName" ... >
924   <implementation.* ...
925     requires="listOfQNames">
926     ...
927   </implementation>
928   ...
929 </component>
```

930 If, for example, one of the intent names in the value of the @requires attribute is 'logging', this  
 931 indicates that all messages to and from the component must be logged. The technology used to  
 932 implement the logging is unspecified. Specific technology is selected when the intent is mapped to  
 933 a policySet (unless the implementation type has native support for the intent, as described in the  
 934 next section). A list of required implementation intents may also be specified by any ancestor  
 935 element of the <sca:implementation> element. The effective list of required implementation intents  
 936 is the union of intents specified on the implementation element and all its ancestors.

937 In addition, one or more policySets may be specified directly by associating them with the  
 938 implementation of a component.

```
939 <component name="xs:NCName" ... >
940   <implementation.*
941     policySets="listOfQNames">
942     ...
943   </implementation>
944   ...
945 </component>
```

946 If any of the explicitly listed policy sets includes an intent map, then the intent map entry used will  
 947 be the one for the appropriate intent qualifier(s) listed in the effective list of required intents. If no  
 948 qualifier is specified for an intent map's qualifiable intent, then the default qualifier is used.

949 The above example shows how intents and policySets may be specified on a component. It is also  
 950 possible to specify required intents and policySets within the implementation. How this is done is  
 951 defined by the implementation type.

952 The required intents and policy sets are specified on the <sca:implementation.\*> element within the  
 953 component type. This is important because intent and policy set definitions need to be able to  
 954 specify that they constrain an appropriate implementation type.

```
955 <componentType>
956   <implementation.* requires="listOfQNames"
957     policySets="listOfQNames">
```

```

958     ...
959     </implementation>
960     ...
961 </componentType>

```

962 When applying policies, the intents required by the implementation are added to the intents required  
 963 by the using component. For the explicitly listed policySets, the list in the component may override  
 964 policySets from the component type. More precisely, a policySet on the componentType is  
 965 considered to be overridden, and is not used, if it has a @provides list that includes an intent that is  
 966 also listed in any component policySet @provides list.

## 967 1.5.1 Natively Supported Intents

968 Each implementation type (e.g. <sca.implementation.java> or <sca.implementation.bpel>) has an  
 969 implementation type definition within the SCA Domain. The form of the implementation type  
 970 definition is as follows:

```

971 <implementationType type="NCName"
972     alwaysProvides="listOfQNames"?
973     mayProvide="listOfQNames"?/>

```

974 The @type attribute should specify the QName of an XSD global element definition that will be used  
 975 for implementation elements with of that type (e.g. sca:implementation.java). There are two lists of  
 976 intents. The intents in the @mayProvide list are provided only for components that require them  
 977 (they are present in the effective list of required intents). The intents in the @alwaysProvides list  
 978 are provided irrespective of the list of required intents.

## 979 1.5.2 Operation-Level Intents and PolicySets on Implementations

980 It is also possible to declare implementation policies that apply only to specific operations of a  
 981 service, rather than all of them, by associating intents and policySets with individual operations  
 982 contained within implementations. The syntax is analogous to that proposed above. See the  
 983 pseudo-schema below:

```

984 <component name="xs:NCName">
985     <implementation.* policySets="listOfQNames"
986         requires="list of intent xs:QNames">
987         ...
988         <operation name="xs:string" service="xs:string"
989             policySets="listOfQNames"?
990             requires="listOfQNames"?/>*
991         ...
992     </implementation>
993     ...
994 </component>

```

995 As in the pseudo-schema displayed earlier, the intents associated with the operation appear as the  
 996 value of the optional @requires attribute. PolicySets may also be explicitly associated with the  
 997 operation by using the optional @policySets attribute. If a policySet that is listed in @policySets  
 998 provides a qualifiable intent that also is listed in the effective required intent list, then the qualifier is  
 999 used to override the default qualifier in the policySet.

1000 Operations are identified by names which are xs:string values. The operation names will be names  
 1001 defined by the interface definition language. For example, for Java interfaces they will be Java  
 1002 names. For WSDL, they will be WSDL1.1 [[See WSDL 1.1 Identifiers](#)] or WSDL 2.0 [[See WSDL 2.0](#)  
 1003 [Component Identifiers](#)] names. If more than one service implemented by this implementation has an  
 1004 operation with the same name, then the @service attribute is required in order to disambiguate  
 1005 them. However, if more than one operation within a single service has the same name (i.e. it is  
 1006 overloaded) then the values of the attributes @requires and @policySet are associated with *all*  
 1007 operations with that name. SCA does not currently provide a means for disambiguating overloaded  
 1008 operations.

1009 The algorithm for mapping of intents to policySets is described in Section [Guided Selection of](#)  
 1010 [PolicySets using Intents](#).

### 1011 **1.5.3 Writing PolicySets for Implementation Policies**

1012 The @appliesTo attribute for a policySet takes an XPath expression that is applied to a binding or an  
 1013 implementation element. For implementation policies, in most cases, all that is needed is the  
 1014 QName of the implementation type. Implementation policies may be expressed using any policy  
 1015 language (which is to say, any configuration language). For example, XACML or EJB-style  
 1016 annotations may be used to declare authorization policies. Other capabilities could be configured  
 1017 using completely proprietary configuration formats. For example, a policySet declared to turn on  
 1018 trace-level logging for some fictional BPEL executions engine would be declared as follows:

```
1019 <policySet name="loggingPolicy" provides="acme:logging.trace"
1020           appliesTo="sca:implementation.bpel" ...>
1021   <acme:processLogging level="3"/>
1022 </policySet>
```

1023 PolicySets or intent map entries may include PolicyAttachment elements. A PolicyAttachment  
 1024 element has a child-element called AppliesTo followed by a policy expression. The AppliesTo  
 1025 indicates the subject that the policy applies to. In the SCA case, the policy subject is indicated by  
 1026 where the policySet is attached and so, this will generally be omitted. (This AppliesTo element  
 1027 should not be confused with the @appliesTo attribute for a policySet. They have quite different  
 1028 meanings.)

1029 Following the AppliesTo is a policy expression. In [WS-Policy](#)[6] this can be a WS-Policy expression  
 1030 or a WS-PolicyReference, For SCA, we need to generalize this to contain policy expressions in other  
 1031 policy languages.

#### 1032 **1.5.3.1. Non WS-Policy Examples**

1033 Authorization policies expressed in XACML [could](#) be used in the framework in two ways:  
 1034 1. Embed XACML expressions directly in the PolicyAttachment element using the extensibility  
 1035 elements discussed above, or  
 1036 2. Define WS-Policy assertions to wrap XACML expressions.

1038 For EJB-style authorization policy, [the same approach could be used](#):

1039 1. Embed EJB-annotations in the PolicyAttachment element using the extensibility elements  
 1040 discussed above, or  
 1041 2. Use the WS-Policy assertions defined as wrappers for EJB annotations.

1043

1044 **1.6 Roles and Responsibilities**

1045 There are 4 roles that are significant for the SCA Policy Framework. The following is a list of the roles  
1046 and the artifacts that the role creates:

- 1047 • Policy Administrator – policySet definitions and intent definitions
- 1048 • Developer – Implementations and component types
- 1049 • Assembler - Composites
- 1050 • Deployer – Composites and the SCA Domain (including the logical Domain-level composite)

1051 **1.6.1 Policy Administrator**

1052 An intent represents a requirement that a developer or assembler can make, which ultimately must  
1053 be satisfied at runtime. The full definition of the requirement is the informal text description in the  
1054 intent definition.

1055 The **policy administrator**'s job is to both define the intents that are available and to define the  
1056 policySets that represent the concrete realization of those informal descriptions for some set of  
1057 binding type or implementation types. See the sections on intent and policySet definitions for the  
1058 details of those definitions.

1059 **1.6.2 Developer**

1060 When it is possible for a component to be written without assuming a specific binding type for its  
1061 services and references, then the **developer** uses intents to specify requirements in a binding  
1062 neutral way.

1063 If the developer requires a specific binding type for a component, then the developer can specify  
1064 bindings and policySets with the implementation of the component. Those bindings and policySets  
1065 will be represented in the component type for the implementation (although that component type  
1066 might be generated from the implementation).

1067 If any of the policySets used for the implementation include intentMaps, then the default choice for  
1068 the intentMap can be overridden by an assembler or deployer by requiring a qualified intent that is  
1069 present in the intentMap.

1070 **1.6.3 Assembler**

1071 An **assembler** creates composites. Because composites are implementations, an assembler is like a  
1072 developer, except that the implementations created by an assembler are composites made up of  
1073 other components wired together. So, like other developers, the assembler can specify required  
1074 intents or bindings or policySets on any service or reference of the composite.

1075 However, in addition the definition of composite-level services and references, it is also possible for  
1076 the assembler to use the policy framework to further configure components within the composite.  
1077 The assembler may add additional requirements to any component's services or references or to the  
1078 component itself (for implementation policies). The assembler may also override the bindings or  
1079 policySets used for the component. See the assembly specification's description of overriding rules  
1080 for details on overriding.

1081 As a shortcut, an assembler can also specify intents and policySets on any element in the composite  
1082 definition, which has the same effect as specifying those intents and policySets on every applicable  
1083 binding or implementation below that element (where applicability is determined by the @appliesTo  
1084 attribute of the policySet definition or the @constrains attribute of the intent definition).

#### 1085 **1.6.4 Deployer**

1086 A **deployer** deploys implementations (typically composites) into the SCA Domain. It is the  
1087 deployers job to make the final decisions about all configurable aspects of an implementation that is  
1088 to be deployed and to make sure that all required intents are satisfied.

1089 If the deployer determines that an implementation is correctly configured as it is, then the  
1090 implementation may be deployed directly. However, more typically, the deployer will create a new  
1091 composite, which contains a component for each implementation to be deployed along with any  
1092 changes to the bindings or policySets that the deployer desires.

1093 When the deployer is determining whether the existing list of policySets is correct for a component,  
1094 the deployer needs to consider both the explicitly listed policySets as well as the policySets that will  
1095 be chosen according to the algorithm specified in [Guided Selection of PolicySets using Intents](#).

1096 .

1097

1098 **1.7 Security Policy**

1099 The SCA Security Model provides SCA developers the flexibility to specify the required level of  
 1100 security protection for their components to satisfy business requirements without the burden of  
 1101 understanding detailed security mechanisms.

1102 The SCA Policy framework distinguishes between two types of policies: **interaction policy** and  
 1103 **implementation policy**. Interaction policy governs the communications between clients and  
 1104 service providers and typically applies to Services and References. In the security space, interaction  
 1105 policy is concerned with client and service provider authentication and message protection  
 1106 requirements. Implementation policy governs security constraints on service implementations and  
 1107 typically applies to Components. In the security space, implementation policy concerns include  
 1108 access control, identity delegation, and other security quality of service characteristics that are  
 1109 pertinent to the service implementations.

1110 The SCA security interaction policy can be specified via intents or policySets. Intents represent  
 1111 security quality of service requirements at a high abstraction level, independent from security  
 1112 protocols, while policySets specify concrete policies at a detailed level which are typically security  
 1113 protocol specific.

1114 The SCA security policy can be specified either in the SCDL or annotatively in the implementation  
 1115 code. Language-specific annotations are described in the respective language Client and  
 1116 Implementation specifications.

1117 **1.7.1 SCA Security Intents**

1118 The SCA security specification defines the following intents to specify interaction policy:  
 1119 authentication, confidentiality, and integrity.

1120 **authentication** – the authentication intent is used to indicate that a client must authenticate itself  
 1121 in order to use an SCA service. Typically, the client security infrastructure is responsible for the  
 1122 server authentication in order to guard against a "man in the middle" attack.

1123 **confidentiality** – the confidentiality intent is used to indicate that the contents of a message are  
 1124 accessible only to those authorized to have access (typically the service client and the service  
 1125 provider). A common approach is to encrypt the message, although other methods are possible.

1126 **integrity** – the integrity intent is used to indicate that assurance is required that the contents of a  
 1127 message have not been tampered with and altered between sender and receiver. A common  
 1128 approach is to digitally sign the message, although other methods are possible.

1129 **1.7.2 Interaction Security Policy**

1130 Any one of the three security intents may be further qualified to specify more specific business  
 1131 requirements. Two qualifiers are defined by the SCA security specification: transport and message,  
 1132 which can be applied to any of the above three intent's.

### 1133 **1.7.2.1** *Qualifiers*

1134 **transport** – the transport qualifier specifies the qualified intent should be realized at the transport  
1135 layer of the communication protocol.

1136 **message** – the message qualifier specifies that the qualified intent should be realized at the  
1137 message level of the communication protocol.

1138 The following example snippet shows the usage of intents and qualified intents.

```
1139 <composite name="example" requires="confidentiality">
1140   <service name="foo"/>
1141   ...
1142   <reference name="bar" requires="confidentiality.message"/>
1143 </composite>
```

1144 In this case, the composite declares that all of its services and references must guarantee  
1145 confidentiality in their communication by setting requires="confidentiality". This applies to the "foo"  
1146 service. However, the "bar" reference further qualifies that requirement to specifically require  
1147 message-level security by setting requires="confidentiality.message".

### 1148 **1.7.2.2** *Operation Level Intents*

1149 Intents may be specified at operation level. The operation element does not distinguish operations  
1150 with different arguments. Operation level intents override the service level intents of the same  
1151 type. For example an operation level "confidentiality.message" intent would override service level  
1152 "confidentiality" intent, but would not override other types of intents at service level such as  
1153 "integrity" and "authentication" intents.

1154 Use the following implementation as an example.

```
1155 public interface HelloService {
1156     String hello(String message);
1157 }
1158
1159 import org.osoa.sca.annotations.*;
1160
1161 @Service(HelloServiceImpl.class)
1162 public class HelloServiceImpl implements HelloService {
1163     public String hello(String message) {
1164         ...
1165     }
1166 }
```

1166 Consider the following composite document:

```
1167 <service name="HelloServiceImpl"
1168     requires="authentication integrity.transport confidentiality.transport">
1169     <interface.wsdl interface="...#wsdl.interface(HelloService)"/>
1170     <operation name="hello"
1171         requires="authentication.message integrity.message"/>
1172     <binding.ws/>
1173 </service>
```

1174 The effective QoS intent's on the "hello" operation of the HelloService are "authentication.message",  
1175 "integrity.message", and "confidentiality.transport".

### 1176 **1.7.2.3 References to Concrete Policies**

1177 In addition to the SCA intent model's late binding approach, developers can reference concrete  
1178 policy explicitly by attaching policySets directly, as shown below

```
1179 <service name="foo">
1180     <interface.wSDL interface="..." />
1181     <binding.ws policySets="acme:CorporatePolicySet3"/>
1182 </service>
```

1183 It is possible to use the @requires attribute and the @policySets attributes together during  
1184 development, it indicates the intention of the developer to configure the element, such as a binding,  
1185 by the application of specific @policySets that are in scope for this element using the computed  
1186 intents that apply to this element. The @requires attribute designates a configuration of concrete  
1187 policies specified by the policySets overriding the defaults specified in the policySets.

### 1188 **1.7.3 Implementation Security Policy**

1189 SCA security model provides a policy reference mechanism which can specify security  
1190 implementation policy files external to the SCA composite document. Security implementation policy  
1191 of component implementation such as EJB can be defined in J2EE deployment descriptor ejb-jar.xml  
1192 which can be referred to by the policy reference document. Additionally SCA security model defines  
1193 a security implementation policy that may be used by POJO component implementation as well as  
1194 other type of component implementations.

#### 1195 **1.7.3.1 Authorization and Security Identity Policy**

1196 Two policy assertions are defined which apply to implementations – **Authorization** and **Security**  
1197 **Identity**. Authorization controls who can access the protected SCA resources. A security role is an  
1198 abstract concept that represents a set of access control constraints on SCA resources such as  
1199 composites, components, and operations. The approach and scope of the mapping of role names to  
1200 security principals is SCA runtime implementation dependent. Scope implies the set of artifacts  
1201 contained by some higher-level artifact, so that a composite contains components, a component  
1202 contains services and references, services and reference contain an interface, an interface contains  
1203 operations.

1204 Security Identity declares the security identity under which an operation will be executed. Both are  
1205 represented as policy assertions that would be used within policySets created for implementations  
1206 (i.e. implementation policies). The following policy assertions are defined:

```
1207 <allow roles="listOfNCNames">
```

1208 When the <allow> element is included in a policySet used on a component, then that component  
1209 can only be accessed by principals whose role corresponds to one of the role names listed in the  
1210 @roles attribute. How role names are mapped to security principals is implementation dependent  
1211 (SCA does not define this).

```
1212 <permitAll/>
```

1213 `<denyAll/>`

1214 The `<permitAll/>` and `</denyAll>` policy assertions grant or deny access to all principals,  
1215 respectively.

1216 `<runAs role="xs:NCName">`

1217 The `<runAs>` policy assertion specifies the name of a security role. Any code so annotated will run  
1218 with the permissions of that role. How runAs role names are mapped to security principals is  
1219 implementation dependent.

### 1220 **1.7.3.2 Implementation Policy Example**

1221 The following is an example implementation, written in Java. The `AccountServiceImpl` implements  
1222 the ***AccountService*** interface, which is defined via a Java interface:

1223 `package services.account;`

1224 `@Remotable`

1225 `public interface AccountService{`

1226 `public AccountReport getAccountReport(String customerID);`

1227 `}`

1228 The following is a composite that contains an `AccountServiceComponent`, which should be accessible  
1229 by anyone with the "customer" role.

```
1230 <composite xmlns="http://www.oesa.org/xmlns/sca/1.0"
1231           name="AccountService">
1232     <component name="AccountServiceComponent">*
1233       <implementation.java class="services.account.AccountServiceImpl"
1234                             policySets="acme:allow_customers"/>
1235     </component>
1236 </composite>
```

1237 The following is what the `policySet` definition looks like for this case.

```
1238 <policySet name="allow_customers">
1239   <allow roles="customers">
1240 </policySet>
```

1241

### 1242 **1.7.3.3 SCA Component Container Requirements**

1243 SCA component containers MUST support the SCA policy intent model including annotated intent and  
1244 `policySets` reference. Additionally SCA component containers MUST satisfy the following security  
1245 management requirements.

1246 **1.7.3.4 Security Identity Propagation**

1247 SCA container MUST establish security identity when authentication is required based on the security  
 1248 intents before executing the SCA component implementation. The security identity under which the  
 1249 operation is executed is determined by the run-as security policy. It is either the user identity who  
 1250 invokes the SCA operation or the identity that represents the run-as security role. When an SCA  
 1251 operation invokes other SCA services, SCA component container must propagate the security  
 1252 identity along with the SCA request.

1253 **1.7.3.5 Security Identity Of Async Callback**

1254 In SCA async programming model, the security identity that executes the callback operation by  
 1255 default should be the same as security identity under which the original operation was executed.

1256 **1.7.3.6 Default Authorization Policy**

1257 It may happen that some operations are not assigned any security roles and are not marked as  
 1258 DenyAll or PermitAll. In the SCA deployment process, those operations must be assigned security  
 1259 roles or marked as DenyAll or PermitAll. At runtime time if any operations are not associated with  
 1260 any explicit authorization policy, no access control will be enforced on those operations, i.e.,  
 1261 PermitAll.

1262 **1.7.3.7 Default RunAs Policy**

1263 Operations will be executed under authentication user identity if no RunAs role policy is explicitly  
 1264 specified.

1265

1266

1267 **1.8 Reliability Policy**

1268 Failures can affect the communication between a service consumer and a service provider.  
 1269 Depending on the characteristics of the binding, these failures could cause messages to be  
 1270 redelivered, delivered in a different order than they were originally sent out or even worse, could  
 1271 cause messages to be lost. Some transports like JMS provide built-in reliability features such as at  
 1272 least once and exactly once message delivery. Other transports like HTTP need to have additional  
 1273 layers built on top of them to provide some of these features.

1274 The events that occur due to failures in communication may affect the outcome of the service  
 1275 invocation. For an implementation of a stock trade service, a message redelivery could result in a  
 1276 new trade. A client (i.e. consumer) of the same service could receive a fault message if trade orders  
 1277 are not delivered to the service implementation in the order they were sent out. In some cases,  
 1278 these failures could have dramatic consequences.

1279 An SCA developer can anticipate some types of failures and work around them in service  
 1280 implementations. For example, the implementation of a stock trade service could be designed to  
 1281 support duplicate message detection. An implementation of a purchase order service could have  
 1282 built in logic that orders the incoming messages. In these cases, service implementations don't need  
 1283 the binding layers to provide these reliability features (e.g. duplicate message detection, message  
 1284 ordering). However, this comes at a cost: extra complexity is built in the service implementation.  
 1285 Along with business logic, the service implementation has additional logic that handles these  
 1286 failures.

1287 Although service implementations can work around some of these types of failures, it is worth noting  
 1288 that is not always possible. A message may be lost or expire even before it is delivered to the  
 1289 service implementation.

1290 Instead of handling some of these issues in the service implementation, a better way of doing it is to  
 1291 use a binding or a protocol that supports reliable messaging. This is better, not just because it  
 1292 simplifies application development, it may also lead to better throughput. For example, there is less  
 1293 need for application-level acknowledgement messages. A binding supports reliable messaging if it  
 1294 provides features such as message delivery guarantees, duplicate message detection and message  
 1295 ordering.

1296 It is very important for the SCA developer to be able to require, at design-time, a binding or protocol  
 1297 that supports reliable messaging. SCA defines a set of policy intents that can be used for specifying  
 1298 reliable messaging Quality of Service requirements. These reliable messaging intents establish a  
 1299 contract between the binding layer and the application layer (i.e. service implementation or the  
 1300 service consumer implementation) (see below).

1301 **1.8.1 Policy Intents**

1302 Based on the use-cases described above, we define the following policy intents. It's worth noting  
 1303 that SCA does not provide support for attaching an intent at a message level. Therefore, an intent  
 1304 attached at an operation level applies to all the messages in the operation (e.g. both request and  
 1305 response messages for a request/response message exchange pattern).

- 1306 1) **atLeastOnce** - The binding implementation guarantees that a message that is successfully sent  
 1307 by a service consumer is delivered to the destination (i.e. service implementation). The message  
 1308 could be delivered more than once to the service implementation.
- 1309 The binding implementation guarantees that a message that is successfully sent by a service  
 1310 implementation is delivered to the destination (i.e. service consumer). The message could be  
 1311 delivered more than once to the service consumer.
- 1312 2) **atMostOnce** - The binding implementation guarantees that a message that is successfully sent  
 1313 by a service consumer is not delivered more than once to the service implementation. The binding  
 1314 implementation does not guarantee that the message is delivered to the service implementation.
- 1315 The binding implementation guarantees that a message that is successfully sent by a service  
 1316 implementation is not delivered more than once to the service consumer. The binding  
 1317 implementation does not guarantee that the message is delivered to the service consumer.
- 1318 3) **ordered** – The binding implementation guarantees that the messages are delivered to the service  
 1319 implementation in the order in which they were sent by the service consumer. This intent does not  
 1320 guarantee that messages that are sent by a service consumer are delivered to the service  
 1321 implementation.
- 1322 The binding implementation guarantees that the messages are delivered to the service consumer in  
 1323 the order in which they were sent by the service implementation. This intent does not guarantee  
 1324 that messages that are sent by the service implementation are delivered to the service consumer.
- 1325 4) **exactlyOnce** - The binding implementation guarantees that a message sent by a service  
 1326 consumer is delivered to the service implementation. Also, the binding implementation guarantees  
 1327 that the message is not delivered more than once to the service implementation.
- 1328 The binding implementation guarantees that a message sent by a service implementation is  
 1329 delivered to the service consumer. Also, the binding implementation guarantees that the message is  
 1330 not delivered more than once to the service consumer.
- 1331 NOTE: This is a profile intent which is composed of *atLeastOnce* and *atMostOnce*.
- 1332 This is the most reliable intent since it guarantees the following:
- 1333 • message delivery – all the messages sent by a sender are delivered to the service  
 1334 implementation (i.e. Java class, BPEL process, etc.).
  - 1335 • duplicate message detection and elimination – a message sent by a sender is not  
 1336 processed more than once by the service implementation
- 1337 How can a binding implementation guarantee that a message that it receives is delivered to the  
 1338 service implementation? One way to do it is by persisting the message and keeping redelivering it  
 1339 until it is processed by the service implementation. That way, if the system crashes after delivery  
 1340 but while processing it, the message will be redelivered on restart and processed again. Since a  
 1341 message could be delivered multiple times to the service implementation, this technique usually  
 1342 requires the service implementation to perform duplicate message detection. However, that is not  
 1343 always possible. Often times service implementations that perform critical operations are designed  
 1344 without having support for duplicate message detection. Therefore, they cannot *process* an incoming  
 1345 message more than once.

Also, consider the scenario where a message is delivered to a service implementation that does not handle duplicates - the system crashes after a message is delivered to the service implementation but before it is completely processed. Should the underlying layer redeliver the message on restart? If it did that, there is a risk that some critical operations (e.g. sending out a JMS message or updating a DB table) will be executed again when the message is processed. On the other hand, if the underlying layer does not redeliver the message, there is a risk that the message is never completely processed.

This issue cannot be safely solved unless all the critical operations performed by the service implementation are running in a transaction. Therefore, *exactlyOnce* cannot be assured without involving the service implementation. In other words, an *exactlyOnce* message delivery does not guarantee *exactlyOnce* message processing unless the service implementation is transactional. It's worth noting that this is a necessary condition but not sufficient. The underlying layer (e.g. binding implementation, container) would have to ensure that a message is not redelivered to the service implementation after the transaction is committed. As an example, a way to ensure it when the binding uses JMS is by making sure the operation that acknowledges the message is executed in the same transaction the service implementation is running in.

### 1.8.2 End to end Reliable Messaging

Failures can occur at different points in the message path: in the binding layer on the sender side, in the transport layer or in the binding layer on the receiver side. The SCA service developer doesn't really care where the failure occurs. Whether a message was lost due to a network failure or due to a crash of the machine where the service is deployed, is not that much important. What is important though, is that the contract between the application layer (i.e. service implementation or service consumer) and the binding layer is not violated (e.g. a message that was successfully transmitted by a sender is always delivered to the destination; a message that was successfully transmitted by a sender is not delivered more than once to the service implementation, etc). It is worth noting that the binding layer could throw an exception when a sender (e.g. service consumer, service implementation) sends a message out. This is not considered a successful message transmission.

In order to ensure the semantics of the reliable messaging intents, the entire message path, which is composed of the binding layer on the client side, the transport layer and the binding layer on the service side, must be reliable.

### 1.8.3 Intent definitions

```
<?xml version="1.0" encoding="ASCII"?>
<definitions xmlns="http://www.oxa.org/xmlns/sca/1.0" >
  <intent name="atLeastOnce"
    appliesTo="sca:binding">
    <description>
      This intent is used to indicate that a message sent
      by a client is always delivered to the component.
    </description>
  </intent>

  <intent name="atMostOnce"
    appliesTo="sca:binding">
    <description>
      This intent is used to indicate that a message that was
      successfully sent by a client is not delivered more than once to
      the component.
    </description>
  </intent>
</definitions>
```

```

1394     </intent>
1395
1396     <intent name="ordered"
1397           appliesTo="sca:binding">
1398       <description>
1399           This intent is used to indicate that all the messages
1400           are delivered to the component in the order they were
1401           sent by the client.
1402       </description>
1403     </intent>
1404
1405     <intent name="exactlyOnce"
1406           appliesTo="sca:binding"
1407           requires="atLeastOnce atMostOnce">
1408       <description>
1409           This profile intent is used to indicate that a message
1410           sent by a client is always delivered to the component.
1411           It also indicates that duplicate messages are not
1412           delivered to the component.
1413       </description>
1414     </intent>
1415 </definitions>

```

## 1.9 Miscellaneous Intents

The following are standard intents that apply to bindings and are not related to either security or reliable messaging

**SOAP** – The SOAP intent specifies that the SOAP messaging model should be used for delivering messages. It does not require the use of any specific transport technology for delivering the messages, so for example, this intent can be supported by a binding that sends SOAP messages over HTTP, bare TCP or even JMS. If the intent is required in an unqualified form then any version of SOAP is acceptable. Standard qualified intents also exist for SOAP.1\_1 and SOAP.1\_2, which specify the use of versions 1.1 or 1.2 of SOAP respectively.

**JMS** – The JMS intent does not specify a wire-level transport protocol, but instead requires that whatever binding technology is used, the messages should be able to be delivered and received via the JMS API.

**NoListener** – This intent may only be used within the @requires attribute of a reference. It states that the client is not able to handle new inbound connections. It requires that the binding and callback binding be configured so that any response (or callback) comes either through a back-channel of the connection from the client to the server or by having the client poll the server for messages. An example policy assertion that would guarantee this is a WS-Policy assertion that applies to the <binding.ws> binding, which requires the use of WS-Addressing with anonymous responses (e.g. "<wsaw:Anonymous>required</wsaw:Anonymous>" – see <http://www.w3.org/TR/ws-addr-wsdl/#anonelement>).

**BP.1\_1** – This intent specifies the use of a binding that conforms to the WS-I Basic Profile version 1.1. Any binding or policySet that provides this intent should also provide the SOAP intent.

1442 However, the BP intent is not a *profile intent*, since it is not completely satisfied by the lower-level  
1443 SOAP– there are additional semantic requirements.  
1444

## 2 Appendix 1

### 2.1 XML Schemas

```

1445
1446
1447
1448 <?xml version="1.0" encoding="UTF-8"?>
1449 <!-- (c) Copyright SCA Collaboration 2006, 2007 -->
1450 <schema xmlns="http://www.w3.org/2001/XMLSchema"
1451         targetNamespace="http://www.oesa.org/xmlns/sca/1.0"
1452         xmlns:sca="http://www.oesa.org/xmlns/sca/1.0"
1453         xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy"
1454         elementFormDefault="qualified">
1455
1456     <include schemaLocation="sca-core.xsd"/>
1457     <import namespace="http://schemas.xmlsoap.org/ws/2004/09/policy"
1458           schemaLocation="http://schemas.xmlsoap.org/ws/2004/09/ws-policy.xsd"/>
1459
1460     <element name="intent" type="sca:Intent"/>
1461     <complexType name="Intent">
1462         <sequence>
1463             <element name="description" type="string" minOccurs="0"
1464 maxOccurs="1" />
1465             <any namespace="##other" processContents="lax"
1466                 minOccurs="0" maxOccurs="unbounded"/>
1467         </sequence>
1468         <attribute name="name" type="NCName" use="required"/>
1469         <attribute name="constrains" type="sca:listOfQNames" use="required"/>
1470         <attribute name="requires" type="sca:listOfQNames" use="optional"/>
1471         <anyAttribute namespace="##any" processContents="lax"/>
1472     </complexType>
1473
1474     <element name="policySet" type="sca:PolicySet"/>
1475     <complexType name="PolicySet">
1476         <choice minOccurs="0" maxOccurs="unbounded">
1477             <element name="policySetReference" type="sca:PolicySetReference"/>
1478             <element name="intentMap" type="sca:IntentMap"/>
1479             <element ref="wsp:PolicyAttachment"/>
1480             <element ref="wsp:Policy"/>
1481             <element ref="wsp:PolicyReference"/>
1482             <any namespace="##other" processContents="lax"/>
1483         </choice>
1484         <attribute name="name" type="NCName" use="required"/>
1485         <attribute name="provides" type="sca:listOfQNames" use="optional"/>
1486         <attribute name="appliesTo" type="string" use="required"/>
1487         <anyAttribute namespace="##any" processContents="lax"/>
1488     </complexType>
1489
1490     <complexType name="PolicySetReference">
1491         <attribute name="name" type="QName" use="required"/>
1492         <anyAttribute namespace="##any" processContents="lax"/>
1493     </complexType>
1494
1495     <complexType name="IntentMap">
1496         <choice minOccurs="1" maxOccurs="unbounded">
1497             <element name="qualifier" type="sca:Qualifier"/>

```

```

1498         <any namespace="##other" processContents="lax"/>
1499     </choice>
1500     <attribute name="provides" type="QName" use="required"/>
1501     <attribute name="default" type="string" use="optional"/>
1502     <anyAttribute namespace="##any" processContents="lax"/>
1503 </complexType>
1504
1505 <complexType name="Qualifier">
1506     <choice minOccurs="1" maxOccurs="unbounded">
1507         <element name="intentMap" type="sca:IntentMap"/>
1508         <element ref="wsp:PolicyAttachment"/>
1509         <any namespace="##other" processContents="lax"/>
1510     </choice>
1511     <attribute name="name" type="string" use="required"/>
1512     <anyAttribute namespace="##any" processContents="lax"/>
1513 </complexType>
1514
1515 <element name="allow" type="sca:Allow"/>
1516 <complexType name="Allow">
1517     <attribute name="roles" type="string" use="required"/>
1518 </complexType>
1519
1520 <element name="permitAll" type="sca:PermitAll"/>
1521 <complexType name="PermitAll"/>
1522
1523 <element name="denyAll" type="sca:DenyAll"/>
1524 <complexType name="DenyAll"/>
1525
1526 <element name="runAs" type="sca:RunAs"/>
1527 <complexType name="RunAs">
1528     <attribute name="role" type="string" use="required"/>
1529 </complexType>
1530
1531 <simpleType name="listOfNCNames">
1532     <list itemType="NCName"/>
1533 </simpleType>
1534
1535 </schema>
1536

```

### 3 References

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[1] Service Component Architecture (SCA)

<http://www.osoa.org/display/Main/Service+Component+Architecture+Specifications>

[2] SCA Assembly Model

<http://www.osoa.org/display/Main/Service+Component+Architecture+Specifications>

[3] SCA Detailed Example

[http://www.osoa.org/download/attachments/35/SCA\\_DetailedExample.pdf](http://www.osoa.org/download/attachments/35/SCA_DetailedExample.pdf)

[4] Web Services Description Language (WSDL) Version 2.0 Part 1: Core Language – Appendix

<http://www.w3.org/TR/2006/CR-wsdl20-20060327/>

[5] SCA WSDL 1.1 Element Identifiers – forthcoming W3C Note

<http://dev.w3.org/cvsweb/~checkout~/2006/ws/policy/wsdl11elementidentifiers.html>

[6] Web Services Policy (WS-Policy)

<http://www.w3.org/TR/ws-policy>

[7] Web Services Policy Attachment (WS-PolicyAttachment)

<http://www.w3.org/TR/ws-policy-attach>

[8] XML Schema Part 2: Datatypes Second Edition XML Schema Part 2: Datatypes Second Edition, Oct. 28 2004.

<http://www.w3.org/TR/xmlschema-2/>